Regional Management Corp.

Form 3 July 14, 2014

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Freiberg Steven J

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

07/02/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Regional Management Corp. [RM]

4. Relationship of Reporting Person(s) to Issuer

Filed(Month/Day/Year)

C/O REGIONAL MANAGEMENT CORP., Â 509 WEST BUTLER ROAD

(Street)

10% Owner \_X\_ Director Officer \_ Other

(give title below) (specify below)

(Check all applicable)

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

5. If Amendment, Date Original

Person

Form filed by More than One

Reporting Person

GREENVILLE, Â SCÂ 29607

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Form: Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

2. Date Exercisable and

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

**Expiration Date** (Month/Day/Year)

Date

Exercisable

3. Title and Amount of Securities Underlying **Derivative Security** 

5. 4 Conversion or Exercise

Ownership Form of (Instr. 5) Derivative

6. Nature of Indirect Beneficial Ownership

(Instr. 4)

Date

**Expiration Title** 

Amount or Number of

Price of Derivative Security: Security Direct (D)

Shares

or Indirect (I)

(Instr. 5)

## **Reporting Owners**

GREENVILLE, Â SCÂ 29607

Relationships Reporting Owner Name / Address

Director 10% Owner Officer Other

Freiberg Steven J

C/O REGIONAL MANAGEMENT CORP. 509 WEST BUTLER ROAD

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**Signatures** 

/s/ Steven J.

07/14/2014

Freiberg

Date

\*\*Signature of Reporting Person

# **Explanation of Responses:**

No securities are beneficially owned

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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## **Remarks:**

No securities are beneficially owned.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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