

FISERV INC
Form 4
February 21, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
GUPTA RAHUL

(Last) (First) (Middle)
255 FISERV DRIVE
(Street)

BROOKFIELD, WI 53045

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
FISERV INC [FISV]

3. Date of Earliest Transaction (Month/Day/Year)
02/19/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
EVP, Group President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	02/19/2014		A		6,144 (1) \$ 0	97,159 (2) (3)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: FISERV INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)				
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 56.97	02/19/2014		A		18,646		02/19/2015 ⁽⁴⁾	02/19/2024	Common Stock	18,646
Stock Option (right to buy)	\$ 40.35 ⁽³⁾							02/20/2014 ⁽⁴⁾	02/20/2023	Common Stock	27,860 ⁽³⁾
Stock Option (right to buy)	\$ 32.64 ⁽³⁾							02/22/2013 ⁽⁴⁾	02/22/2022	Common Stock	25,520 ⁽³⁾
Stock Option (right to buy)	\$ 30.86 ⁽³⁾							02/23/2012 ⁽⁴⁾	02/23/2021	Common Stock	15,360 ⁽³⁾
Stock Option (right to buy)	\$ 23.85 ⁽³⁾							02/24/2011	02/24/2020	Common Stock	14,440 ⁽³⁾
Stock Option (right to buy)	\$ 16.37 ⁽³⁾							02/26/2010	02/26/2019	Common Stock	33,840 ⁽³⁾
Stock Option (right to buy)	\$ 27.11 ⁽³⁾							02/23/2011	02/27/2018	Common Stock	32,280 ⁽³⁾
Stock Option (right to buy)	\$ 27.11 ⁽³⁾							02/27/2009	02/27/2018	Common Stock	27,670 ⁽³⁾
Stock Option (right to buy)	\$ 26.53 ⁽³⁾							03/30/2008	03/30/2017	Common Stock	34,850 ⁽³⁾

Stock					
Option	\$ 26.25				
(right to buy)	<u>(3)</u>	12/18/2008	12/18/2016	Common Stock	30,000 <u>(3)</u>

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GUPTA RAHUL 255 FISERV DRIVE BROOKFIELD, WI 53045			EVP, Group President	

Signatures

/s/ Lynn S. McCreary (attorney-in-fact)	02/21/2014
<u> </u> Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One-third of these restricted stock units vest on the second, third and fourth anniversaries of the grant date.
- (2) Includes 569 shares acquired under the Fiserv, Inc. Employee Stock Purchase Plan.
- (3) Adjusted to reflect the two-for-one split of Fiserv, Inc. common stock distributed on December 16, 2013 to holders of record on December 2, 2013.
- (4) One-third of these options vest on each anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.