Edgar Filing: SP Bancorp, Inc. - Form 4

SP Bancorp, 1	Inc.									
Form 4										
November 25	, 2013									
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB APPROVAL		
CURITIES AND EXCHANG Washington, D.C. 20549				NGE (COMMISSION	OMB Number:	3235-0287 January 31,			
Check this if no longe	ə r									
subject to	STATEM	ENT OF CHA		GES IN BENEFICIAL OWNERSHIP (Expires: 2005 Estimated average		
Section 16		SECUR					burden hours per			
Form 4 or Form 5		Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,						response	0.5	
obligation	~ ^									
may conti	nue.	30(h) of the I	•	•	- ·		f 1935 or Sectio	211		
See Instru 1(b).	ction	50(ii) of the 1	nvestment	company	y 1101	0117	-10			
- (-).										
(Print or Type R	esponses)									
1 Nama and A	dagaa of Doporting D						5 Deletionship e	f Donorting Dor	aan(a) to	
Villarreal Lora J. Symbol SP Banc			er Name and	Ticker or	Fradin	g	5. Relationship of Reporting Person(s) to Issuer			
			ancorp, Inc. [SPBC]							
			•				(Check all applicable)			
(Month/D			of Earliest Transaction			X_ Director 10% Owner Officer (give title Other (specify				
			/21/2013							
			_010				below)	below)		
			nendment, Date Original				6. Individual or Joint/Group Filing(Check			
			onth/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person			
PLANO, TX	75093							More than One Re		
	15075						Person			
(City)	(State) (2	Zip) Tal	ble I - Non-D	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of	2. Transaction Date	3.					6. Ownership 7. Nature of			
Security	(Month/Day/Year)	Execution Date, i		TransactionAcquired (A) orCodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5)			Securities	(D) or H	Indirect Beneficial Ownership	
(Instr. 3)		any (Month/Day/Year					Beneficially Owned			
		``````````````````````````````````````	, , , , ,	~ /		, 	Following	(Instr. 4)	(Instr. 4)	
					(A)		Reported Transaction(s)			
					or	D .	(Instr. 3 and 4)			
Common			Code V	Amount	, í	Price				
Stock	11/21/2013		А	500 <u>(1)</u>	А	\$0	3,900	D		
Stovi										

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 19.4	11/21/2013		А	3,000 (2)	11/21/2014	11/21/2023	Common Stock	3,000

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>		Relationships					
		Director	10% Owner	Officer	Other		
Villarreal Lora J. 5224 W. PLANO PARKWA PLANO, TX 75093	AY	X					
Signatures							
/s/ Lora J. Villareal	11/2	25/2013					
<u>**</u> Signature of Reporting Person	]	Date					

## **Explanation of Responses:**

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents shares of restricted stock granted to the reporting person pursuant to the SP Bancorp, Inc. 2012 Equity Incentive Plan (the
 "2012 Plan"). Such shares vest in five (5) equal annual installments, with the first installment vesting on the first anniversary of the date of grant and succeeding installments on each anniversary thereafter.

Represents options to purchase shares of the Issuer's common stock awarded to the reporting person pursuant to the 2012 Plan. The shares (2) of common stock underlying these options vest in five (5) equal annual installments, with the first installment vesting on the first

anniversary of the date of grant and succeeding installments on each anniversary thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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