

SUN MICROSYSTEMS, INC.  
 Form 3  
 September 15, 2006

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |  |  |   |  |   |
|---|--|--|---|--|---|
| 1. Name and Address of Reporting Person *<br>Green Richard L<br>(Last) (First) (Middle)<br><br>4150 NETWORK CIRCLE<br>(Street)<br><br>SANTA CLARA, CA 95054<br>(City) (State) (Zip) | 2. Date of Event Requiring Statement<br>(Month/Day/Year)<br>09/05/2006 | 3. Issuer Name and Ticker or Trading Symbol<br>SUN MICROSYSTEMS, INC. [SUNW] | 4. Relationship of Reporting Person(s) to Issuer<br><br>(Check all applicable)<br><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other<br>(give title below) (specify below)<br>EVP, Software Group | 5. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line)<br><input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person |
|---|--|--|---|--|---|

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock                       | 435,138 <sup>(1)</sup>                                   | D   | ∆  |
| Common Stock                       | 4,604  | I   | Shares held by wife.                                     |
| Common Stock                       | 1,104  | I   | Shares held in a custodial account for son.              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of Securities Underlying | 4. Conversion | 5. Ownership | 6. Nature of Indirect Beneficial |
|---------------------------------|---|--|---------------|--------------|----------------------------------|
|---------------------------------|---|--|---------------|--------------|----------------------------------|

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| (Instr. 4)                                      | Date Exercisable          | Expiration Date | Derivative Security (Instr. 4)<br>Title | Amount or Number of Shares | or Exercise Price of Derivative Security | Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | Ownership (Instr. 5)  |
|---|---------------------------|-----------------|---|----------------------------|--|--|-----------------------|
| Employee Stock Option (Right to Buy) <u>(2)</u> | 05/21/2004 <sup>(3)</sup> | 05/21/2011      | Common Stock                            | 3,000                      | \$ 4.2                                   | I  | Options held by wife. |
| Employee Stock Option (Right to Buy) <u>(2)</u> | 11/13/2004 <sup>(4)</sup> | 11/13/2011      | Common Stock                            | 5,000                      | \$ 4.208                                 | I  | Options held by wife. |
| Employee Stock Option (Right to Buy) <u>(2)</u> | 09/17/2005 <sup>(5)</sup> | 09/17/2012      | Common Stock                            | 5,000                      | \$ 3.94                                  | I  | Options held by wife. |
| Employee Stock Option (Right to Buy) <u>(2)</u> | 01/27/2006 <sup>(6)</sup> | 01/27/2013      | Common Stock                            | 12,000                     | \$ 4.12                                  | I  | Options held by wife. |
| Employee Stock Option (Right to Buy) <u>(2)</u> | 07/27/2007 <sup>(7)</sup> | 07/27/2014      | Common Stock                            | 250,000                    | \$ 4.26                                  | D  | Â                     |

## Reporting Owners

| Reporting Owner Name / Address                                  | Relationships |           |                       |       |
|---|---------------|-----------|-----------------------|-------|
|   | Director      | 10% Owner | Officer               | Other |
| Green Richard L<br>4150 NETWORK CIRCLE<br>SANTA CLARA, CA 95054 | Â             | Â         | Â EVP, Software Group | Â     |

## Signatures

/s/ Richard L. Green 09/15/2006

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This includes 423,000 shares of unvested restricted stock units.
- (2) This option was granted under the Sun Microsystems, Inc. 1990 Long-Term Equity Incentive Plan.
- (3) This option vests and becomes exercisable in five equal annual installments of 600 shares beginning on May 21, 2004.
- (4) This option vests and becomes exercisable in five equal annual installments of 1,000 shares beginning on November 13, 2004.
- (5) This option vests and becomes exercisable in five equal annual installments of 1,000 shares beginning on September 17, 2005.
- (6) This option vests and becomes exercisable in five equal annual installments of 2,400 shares beginning on January 27, 2006.

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(7) This option vests and becomes exercisable in five equal annual installments of 50,000 shares beginning on July 27, 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.