## Edgar Filing: HUNZIKER HANS JORG - Form 4

|   | HANS JORG  |   |   |  |                          |   |                |  |  |   |  |
|---|--|---|---|--|--------------------------|---|----------------|--|--|---|--|
| Form 4  | 0.0010   |   |   |  |                          |   |                |  |  |   |  |
| September 1   |  |   |   |  |                          |   |                |  |  | PROVAL  |  |
| FORM  | SECURITIES AND EXCHANGE COMMISSI<br>Weshington D.C. 20540                        |   |   |  |                          | OMMISSION   | OMB<br>Number: | 3235-0287  |  |   |  |
| Check th<br>if no long<br>subject to<br>Section 1<br>Form 4 of<br>Form 5<br>obligation<br>may com<br>See Instr<br>1(b). | ger<br>5<br>16.<br>5<br>5<br>5<br>5<br>5<br>5<br>5<br>5<br>5<br>5<br>5<br>5<br>5 | Washington, D.C. 20549<br>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |   |  |                          |   |                |  | Expires:<br>Estimated a<br>burden hour<br>response                         |   |  |
| (Print or Type ]  | Responses)   |   |   |  |                          |   |                |  |  |   |  |
| 1. Name and Address of Reporting Person <u>*</u><br>HUNZIKER HANS JORG  |  |   | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>WILLIS LEASE FINANCE CORP<br>[WLFC]  |  |                          |   | 0              | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)  |  |   |  |
| (M  |  |   | <ul><li>3. Date of Earliest Transaction</li><li>(Month/Day/Year)</li><li>09/07/2018</li></ul> |  |                          |   |                | X_ Director 10% Owner<br>Officer (give title Other (specify<br>below) below)   |  |   |  |
|   | (Street)   | 4. If Amendment, Date Original<br>Filed(Month/Day/Year)   |   |  |                          | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |                |  |  |   |  |
| NOVATO,   | CA 94998   |   |   |  |                          |   |                | Form filed by Me<br>Person   |  |   |  |
| (City)  | (State)  | (Zip)   | Tab   | le I - Non-I                                     | Derivative               | Secu  | rities Acqu    | uired, Disposed of,  | or Beneficiall   | y Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Date<br>(Month/Day/Year)  |   | Date, if  | 3.<br>Transactic<br>Code<br>(Instr. 8)<br>Code V | omr Dispos<br>(Instr. 3, | sed of  |                | ) 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock   | 09/07/2018   | 09/07/20  | )18   | S  | 617                      | D   | \$<br>34.1182  | 17,944   | D  |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, |                     | ate                | Secur | int of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---------------------------------------|--|---------------------|--------------------|-------|--|---|--|
|   |   |   | Code V                                | 4, and 5)<br>(A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |  |

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## **Reporting Owners**

| Reporting Owner Name / Addr   | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
|   |               | 10% Owner | Officer | Other |  |  |  |
| HUNZIKER HANS JORG<br>773 SAN MARIN DRIVE<br>SUITE 2215<br>NOVATO, CA 94998 | Х             |           |         |       |  |  |  |
| Signatures  |               |           |         |       |  |  |  |
| /s/ Hans Jorg<br>Hunziker   | 09/10/2018    |           |         |       |  |  |  |
| **Signature of  | Date          |           |         |       |  |  |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. a range of \$16.53 - \$16.97. Mr. Dunn will provide, upon request by the SEC staff, WWE or a shareholder of WWE, complete information regarding the number of shares purchased at each price within the range.

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Reporting Person