

SHEEHAN JAMES N
Form 5
December 06, 2018

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0362
Expires: January 31, 2005
Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
SHEEHAN JAMES N
(Last) (First) (Middle)

2. Issuer Name and Ticker or Trading Symbol
HORMEL FOODS CORP /DE/ [HRL]

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
10/28/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
 Director 10% Owner
 Officer (give title below) Other (specify below)
Senior V.P. & CFO

6. Individual or Joint/Group Reporting
(check applicable line)
 Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

1 HORMEL PLACE
(Street)

AUSTIN, MN 55912
(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--|--|-----------------------------------|
| Common Stock | 12/20/2017 | Â | G | 225 D \$ 0 | 80,457 ⁽¹⁾ ⁽²⁾ | I | Rev Trust |
| Common Stock | 12/20/2017 | Â | G | 225 D \$ 0 | 56,355 ⁽³⁾ | I | Spouse's Rev Trust |
| Common Stock | 12/27/2017 | Â | G | 225 D \$ 0 | 80,232 | I | Rev Trust |
| Common Stock | 12/27/2017 | Â | G | 225 D \$ 0 | 56,130 | I | Spouse's Rev Trust |

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| | | | | | | | | | |
|--------------|------------|---|---|-------|---|------|------------|---|--------------------|
| Common Stock | 04/11/2018 | Â | G | 2,500 | D | \$ 0 | 77,732 | I | Rev Trust |
| Common Stock | 04/11/2018 | Â | G | 2,500 | D | \$ 0 | 53,630 | I | Spouse's Rev Trust |
| Common Stock | 10/12/2018 | Â | G | 750 | D | \$ 0 | 76,982 | I | Rev Trust |
| Common Stock | 10/12/2018 | Â | G | 750 | D | \$ 0 | 52,880 | I | Spouse's Rev Trust |
| Common Stock | Â | Â | Â | Â | Â | Â | 15,673.737 | I | JEPST Plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. of D Se O E Is Fi (I |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|
| | | | | | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------------------|-------|
| | Director | 10% Owner | Officer | Other |
| SHEEHAN JAMES N 1 HORMEL PLACE AUSTIN, MN 55912 | Â | Â | Â Senior V.P. & CFO | Â |

Signatures

James N. Sheehan, by Power of Attorney
Date: 12/06/2018

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The balance reported on this Form 4 reflects that the reporting person's Form 4 filed on August 31, 2017, erroneously deducted 260 shares from the reporting person's revocable trust when they should have been deducted from the reporting person's spouse's revocable trust.
- (2) The balance reported on this Form 4 also reflects an additional 24,296 which the reporting person transferred from direct ownership to the revocable trust beneficially owned by the reporting person.
- (3) The balance reported on this Form 4 reflects the deduction of 260 shares from the reporting person's spouse's revocable trust which were erroneously deducted from the reporting person's revocable trust on the reporting person's Form 4 filed on August 31, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.