

KNOWLES MICHAEL

Form 3

October 03, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *Â KNOWLES MICHAEL
(Last) (First) (Middle)

9333 BALBOA AVENUE

(Street)

SAN DIEGO,Â CAÂ 92123

(City) (State) (Zip)

2. Date of Event Requiring
Statement(Month/Day/Year)
10/01/20183. Issuer Name **and** Ticker or Trading Symbol
CUBIC CORP /DE/ [CUB]4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
President, CGD - SVP, CORP5. If Amendment, Date Original
Filed(Month/Day/Year)6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

618

D

Â

Common Stock

23

I

ESPP ⁽¹⁾Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of
Derivative5. Ownership
Form of
Derivative
Security:6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	Â (3)	Â (3)	Common Stock	219	\$ (2)	D	Â
Restricted Stock Units	Â (4)	Â (4)	Common Stock	272	\$ (2)	D	Â
Common Stock	Â (5)	Â (5)	Common Stock	224	\$ (2)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KNOWLES MICHAEL 9333 BALBOA AVENUE SAN DIEGO, CA 92123	Â	Â	Â President, CGD - SVP, CORP	Â

Signatures

Claudia M. Strup, Attorney-in-fact for Michael Knowles

10/03/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents securities acquired through Cubic's ESPP.
- (2) Each restricted stock unit ("RSU") represents a contingent right to receive one share of CUB stock.
- (3) RSUs granted to the Reporting Person on November 6, 2015. The remaining 218 shares from such grant shall vest on October 1, 2019.
- (4) RSUs granted to the Reporting Person on November 14, 2016. The remaining 542 shall vest in 2 equal parts on October 1, 2019 and 2020.
- (5) RSUs granted to the Reporting Person on November 27, 2017. The remaining 672 shall vest in 3 equal parts on October 1, 2019, 2020 and 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.