

Novakovich Mark
Form 4
February 16, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Novakovich Mark

(Last) (First) (Middle)

11734 SOUTH ELECTION ROAD

(Street)

SALT LAKE CITY, UT 84020

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
CONTROL4 CORP [CTRL]

3. Date of Earliest Transaction
(Month/Day/Year)
02/15/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

Chief Financial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/15/2018		M	2,000	A	Ⓐ 21,841	D	
Common Stock	02/15/2018		F	693 ⁽²⁾	D	\$ 24.76 21,148	D	
Common Stock	02/15/2018		M	1,417	A	Ⓐ 22,565	D	
Common Stock	02/15/2018		F	491 ⁽²⁾	D	\$ 24.76 22,074	D	
Common Stock	02/15/2018		M	8,000	A	Ⓐ 30,074	D	

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Common Stock	02/15/2018	F	2,348 <u>(2)</u>	D	\$ 24.76	27,726	D	
Common Stock	02/15/2018	M	1,417	A	<u>(1)</u>	29,143	D	
Common Stock	02/15/2018	F	420 <u>(3)</u>	D	\$ 24.76	28,723	D	
Common Stock	02/15/2018	M	8,000	A	<u>(1)</u>	36,723	D	
Common Stock	02/15/2018	F	2,333 <u>(3)</u>	D	\$ 24.76	34,390	D	
Common Stock	02/15/2018	M	15,200	A	<u>(1)</u>	49,590	D	
Common Stock	02/15/2018	F	4,462 <u>(3)</u>	D	\$ 24.76	45,128	D	
Common Stock						496	I	By 401(k) Plan <u>(10)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. An or Nu of	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Restricted Stock Units	<u>(1)</u>	02/15/2018		M	2,000	<u>(4)</u>	<u>(4)</u>	Common Stock	2
Restricted Stock Units	<u>(1)</u>	02/15/2018		M	1,417	<u>(5)</u>	<u>(5)</u>	Common Stock	1
Restricted Stock Units	<u>(1)</u>	02/15/2018		M	8,000	<u>(6)</u>	<u>(6)</u>	Common Stock	8
Performance-based Restricted Stock	<u>(1)</u>	02/15/2018		M	1,417	<u>(7)</u>	<u>(7)</u>	Common Stock	1

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- (9) This PSU award was granted on January 3, 2017, and these shares represent all of the shares in the award which vested on February 15, 2018 due to the achievement of a certain 2017 financial performance goal.
- (10) Based on the Reporting Person's most recent 401(k) Plan statement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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