

DOAR MICHAEL
Form 4
October 10, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
DOAR MICHAEL

2. Issuer Name and Ticker or Trading Symbol
HURCO COMPANIES INC
[HURC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
ONE TECHNOLOGY WAY
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
10/08/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman & CEO

INDIANAPOLIS, IN 46268

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
				Code	V	Amount	
Common Stock	10/08/2018		M	A	450	\$ 18.13	95,733 D
Common Stock	10/08/2018		S	D	450	\$ 44.9919 <u>(1)</u>	95,283 D
Common Stock	10/09/2018		M	A	4,922	\$ 18.13	100,205 D
Common Stock	10/09/2018		S	D	4,422	\$ 44.5076 <u>(2)</u>	95,783 D
	10/09/2018		S	D	500		95,283 D

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Common Stock \$ 45,194
(3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (right to buy)	\$ 18.13	10/08/2018		M	450	(4) 05/13/2020	Common Stock	450
Stock Option (right to buy)	\$ 18.13	10/09/2018		M	4,922	(4) 05/13/2020	Common Stock	4,922

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DOAR MICHAEL ONE TECHNOLOGY WAY INDIANAPOLIS, IN 46268	X		Chairman & CEO	

Signatures

/s/ Michael Doar 10/10/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Represents the sale of 450 shares in multiple transactions, ranging in price from \$44.92 to \$45.10 per share, resulting in a weighted average sale price of \$44.9919. The reporting person will provide, upon request by the SEC staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each price within the range.
 - Represents the sale of 4,422 shares in multiple transactions, ranging in price from \$44.17 to \$45.005 per share, resulting in a weighted average sale price of \$44.5076. The reporting person will provide, upon request by the SEC staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each price within the range.
 - Represents the sale of 500 shares in multiple transactions, ranging in price from \$45.18 to \$45.25 per share, resulting in a weighted average sale price of \$45.194. The reporting person will provide, upon request by the SEC staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each price within the range.
- (4) Option vested in thirds on each of May 13, 2011, May 13, 2012 and May 13, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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