

LADENBURG THALMANN FINANCIAL SERVICES INC  
Form 13F-HR  
November 14, 2012

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Form 13F

FORM 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: 09-30-12  
-----

Check here if Amendment [ ]; Amendment Number: \_\_\_\_\_

This Amendment (Check only one.): [ ] is a restatement.  
[ ] adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Ladenburg Thalmann Financial Services Inc.  
-----  
Address: 4400 Biscayne Blvd, 12th Floor  
-----  
Miami, FL 33137  
-----

Form 13F File Number: 028-14689  
-----

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: Brett Kaufman  
-----  
Title: CFO  
-----  
Phone: 305-572-4100  
-----

Signature, Place, and Date of Signing:

|                   |               |          |
|-------------------|---------------|----------|
| /s/ Brett Kaufman | Miami, FL     | 11-13-12 |
| -----             | -----         | -----    |
| [Signature]       | [City, State] | [Date]   |

Report Type (Check only one.):

- 13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)
- 13F NOTICE. (Check here if no holdings reported are in this report, and all holdings are reported by other reporting manager(s).)

# Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

[ ] 13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

## FORM 13F SUMMARY PAGE

### Report Summary:

|                                    |                     |
|------------------------------------|---------------------|
| Number of Other Included Managers: | 2                   |
| Form 13F Information Table Entry : | 4,389               |
| Form 13F Information Table Value : | \$2,481,778 (x1000) |

### List of Other Included Managers:

Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report.

| NO. | FORM 13F FILE NUMBER | NAME | NAME                                      |
|-----|----------------------|------|---|
| 1   | 28-12574             |      | Securities America Advisors Inc.          |
| 2   | 28-13809             |      | Ladenburg Thalmann Asset Management, Inc. |

## FORM 13F INFORMATION TABLE

| NAME OF ISSUER               | TITLE OF CLASS   | CUSIP     | VALUE<br>(x\$1000) | SHARES/<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL | INVSTMT<br>DSCRETN |
|------------------------------|------------------|-----------|--------------------|--------------------|------------|--------------|--------------------|
| ABB LTD                      | SPONSORED ADR    | 000375204 | 275                | 14679.924          | SH         |              | SOLE               |
| ACCO BRANDS CORP             | COM              | 00081T108 | 17                 | 2546               | SH         |              | SOLE               |
| ACNB CORP                    | COM              | 000868109 | 8                  | 515                | SH         |              | SOLE               |
| AFC ENTERPRISES INC          | COM              | 00104Q107 | 3                  | 116                | SH         |              | SOLE               |
| AFLAC INC                    | COM              | 001055102 | 597                | 12467.384          | SH         |              | SOLE               |
| AGCO CORP                    | COM              | 001084102 | 53                 | 1117               | SH         |              | SOLE               |
| AGIC CONV & INCOME FD        | COM              | 001190107 | 286                | 30324.975          | SH         |              | SOLE               |
| AGIC CONV & INCOME FD II     | COM              | 001191105 | 232                | 26818.433          | SH         |              | SOLE               |
| AGIC EQUITY & CONV INCOME FD | COM              | 00119P102 | 131                | 7479               | SH         |              | SOLE               |
| AGIC GLOBAL EQUITY & CONV IN | COM              | 00119R108 | 13                 | 950                | SH         |              | SOLE               |
| AGL RES INC                  | COM              | 001204106 | 607                | 14844.013          | SH         |              | SOLE               |
| A H BELO CORP                | COM CL A         | 001282102 | 77                 | 16000              | SH         |              | SOLE               |
| AES CORP                     | COM              | 00130H105 | 17                 | 1536               | SH         |              | SOLE               |
| AK STL HLDG CORP             | COM              | 001547108 | 67                 | 13970              | SH         |              | SOLE               |
| ALPS ETF TR                  | C&S GLOBL ETF    | 00162Q106 | 129                | 3337               | SH         |              | SOLE               |
| ALPS ETF TR                  | JEFF TRJ CRB GLO | 00162Q304 | 10                 | 225                | SH         |              | SOLE               |
| ALPS ETF TR                  | ALERIAN MLP      | 00162Q866 | 569                | 34837.413          | SH         |              | SOLE               |
| ALPS ETF TR                  | ALERIAN MLP      | 00162Q866 | 2570               | 155107.852         | SH         |              | SOLE               |
| AMAG PHARMACEUTICALS INC     | COM              | 00163U106 | 0                  | 15                 | SH         |              | SOLE               |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                |           |       |               |      |
|------------------------------|----------------|-----------|-------|---------------|------|
| AMC NETWORKS INC             | CL A           | 00164V103 | 0     | 5 SH          | SOLE |
| AMC NETWORKS INC             | CL A           | 00164V103 | 35    | 801 SH        | SOLE |
| AOL INC                      | COM            | 00184X105 | 0     | 9 SH          | SOLE |
| AOL INC                      | COM            | 00184X105 | 103   | 2901 SH       | SOLE |
| AT&T INC                     | COM            | 00206R102 | 1     | 34.127 SH     | SOLE |
| AT&T INC                     | COM            | 00206R102 | 24024 | 637078.575 SH | SOLE |
| AT&T INC                     | COM            | 00206R102 | 0     | 0 SH          | SOLE |
| ATP OIL & GAS CORP           | COM            | 00208J108 | 1     | 5600 SH       | SOLE |
| ARCA BIOPHARMA INC           | COM            | 00211Y100 | 0     | 100 SH        | SOLE |
| ARC GROUP WORLDWIDE INC      | COM            | 00213H105 | 14    | 2051 SH       | SOLE |
| AVX CORP NEW                 | COM            | 002444107 | 0     | 19 SH         | SOLE |
| AARONS INC                   | COM PAR \$0.50 | 002535300 | 4     | 157 SH        | SOLE |
| AASTROM BIOSCIENCES INC      | COM NEW        | 00253U305 | 0     | 225 SH        | SOLE |
| ABAXIS INC                   | COM            | 002567105 | 34    | 958 SH        | SOLE |
| ABBOTT LABS                  | COM            | 002824100 | 8711  | 126992.178 SH | SOLE |
| ABBOTT LABS                  | COM            | 002824100 | 21    | 300 SH        | SOLE |
| ABERCROMBIE & FITCH CO       | CL A           | 002896207 | 29    | 871 SH        | SOLE |
| ABERDEEN ASIA PACIFIC INCOM  | COM            | 003009107 | 397   | 50979.549 SH  | SOLE |
| ABERDEEN AUSTRALIA EQTY FD I | COM            | 003011103 | 81    | 7765 SH       | SOLE |
| ABERDEEN GLOBAL INCOME FD IN | COM            | 003013109 | 11    | 800 SH        | SOLE |
| ABERDEEN EMERG MKTS TELE&INF | COM            | 00301T102 | 1041  | 50329 SH      | SOLE |
| ABERDEEN CHILE FD INC        | COM            | 00301W105 | 31    | 2006.907 SH   | SOLE |
| ABERDEEN INDONESIA FD INC    | COM            | 00305P106 | 43    | 3180.721 SH   | SOLE |
| ABIOMED INC                  | COM            | 003654100 | 21    | 1000 SH       | SOLE |
| ACACIA RESH CORP             | ACACIA TCH COM | 003881307 | 3     | 126 SH        | SOLE |
| ACADIA RLTY TR               | COM SH BEN INT | 004239109 | 39    | 1557 SH       | SOLE |
| ACETO CORP                   | COM            | 004446100 | 21    | 2200 SH       | SOLE |
| ACHILLION PHARMACEUTICALS IN | COM            | 00448Q201 | 2     | 200 SH        | SOLE |
| ACI WORLDWIDE INC            | COM            | 004498101 | 11    | 250 SH        | SOLE |
| ACME PACKET INC              | COM            | 004764106 | 13    | 745 SH        | SOLE |
| ACORDA THERAPEUTICS INC      | COM            | 00484M106 | 3     | 100 SH        | SOLE |
| ACTIVISION BLIZZARD INC      | COM            | 00507V109 | 721   | 63946.869 SH  | SOLE |
| ACTUANT CORP                 | CL A NEW       | 00508X203 | 31    | 1098 SH       | SOLE |
| ACXIOM CORP                  | COM            | 005125109 | 19    | 1025 SH       | SOLE |
| ADA ES INC                   | COM            | 005208103 | 7     | 300 SH        | SOLE |
| ADAMS EXPRESS CO             | COM            | 006212104 | 31    | 2757 SH       | SOLE |
| ADCARE HEALTH SYSTEMS INC    | COM NEW        | 00650W300 | 5     | 1000 SH       | SOLE |
| ADMINISTRADORA FONDOS PENSIO | SPONSORED ADR  | 00709P108 | 33    | 356 SH        | SOLE |
| ADOBE SYS INC                | COM            | 00724F101 | 167   | 5153 SH       | SOLE |
| ADTRAN INC                   | COM            | 00738A106 | 1     | 81 SH         | SOLE |
| ADVANCE AUTO PARTS INC       | COM            | 00751Y106 | 48    | 695 SH        | SOLE |
| ADVANCE AUTO PARTS INC       | COM            | 00751Y106 | 20    | 299.771 SH    | SOLE |
| ADVANTEST CORP               | SPON ADR NEW   | 00762U200 | 1     | 54 SH         | SOLE |
| ADVENT CLAY CONV SEC INC FD  | COM            | 007639107 | 0     | 5.458 SH      | SOLE |
| ADVENT CLAYMORE CV SECS & IN | COM            | 00764C109 | 11    | 652 SH        | SOLE |
| ADVENTRX PHARMACEUTICALS INC | COM NEW        | 00764X202 | 0     | 4 SH          | SOLE |
| ADVANTAGE OIL & GAS LTD      | COM            | 00765F101 | 13    | 3396.095 SH   | SOLE |
| AECOM TECHNOLOGY CORP DELAWA | COM            | 00766T100 | 93    | 4413 SH       | SOLE |
| ADVISORSHARES TR             | ACTIV BEAR ETF | 00768Y883 | 46    | 2200 SH       | SOLE |
| ADVANCED MICRO DEVICES INC   | COM            | 007903107 | 178   | 52813 SH      | SOLE |
| AEGON N V                    | NY REGISTRY SH | 007924103 | 24    | 4580.077 SH   | SOLE |
| ADVENT SOFTWARE INC          | COM            | 007974108 | 27    | 1080 SH       | SOLE |
| AES TR III                   | PFD CV 6.75%   | 00808N202 | 47    | 950 SH        | SOLE |
| AETNA INC NEW                | COM            | 00817Y108 | 488   | 12286.977 SH  | SOLE |
| AFFILIATED MANAGERS GROUP    | COM            | 008252108 | 81    | 657 SH        | SOLE |
| AFFYMAX INC                  | COM            | 00826A109 | 42    | 2000 SH       | SOLE |
| AFFYMETRIX INC               | COM            | 00826T108 | 1     | 200 SH        | SOLE |
| AGILENT TECHNOLOGIES INC     | COM            | 00846U101 | 248   | 6439.416 SH   | SOLE |
| AGILENT TECHNOLOGIES INC     | COM            | 00846U101 | 0     | 0.962 SH      | SOLE |
| AGNICO EAGLE MINES LTD       | COM            | 008474108 | 95    | 1823 SH       | SOLE |
| AGENUS INC                   | COM NEW        | 00847G705 | 25    | 5350 SH       | SOLE |
| AGILYSYS INC                 | COM            | 00847J105 | 4     | 500 SH        | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                |           |      |            |    |      |
|------------------------------|----------------|-----------|------|------------|----|------|
| AGREE REALTY CORP            | COM            | 008492100 | 28   | 1100       | SH | SOLE |
| AGRIUM INC                   | COM            | 008916108 | 82   | 796.463    | SH | SOLE |
| AIR LEASE CORP               | CL A           | 00912X302 | 0    | 3          | SH | SOLE |
| AIR PRODS & CHEMS INC        | COM            | 009158106 | 306  | 3697.53    | SH | SOLE |
| AIR PRODS & CHEMS INC        | COM            | 009158106 | 25   | 300        | SH | SOLE |
| AIRGAS INC                   | COM            | 009363102 | 20   | 249        | SH | SOLE |
| AIXTRON SE                   | SPONSORED ADR  | 009606104 | 20   | 1540       | SH | SOLE |
| AKAMAI TECHNOLOGIES INC      | COM            | 00971T101 | 161  | 4193       | SH | SOLE |
| AKORN INC                    | COM            | 009728106 | 7    | 522        | SH | SOLE |
| ALAMO GROUP INC              | COM            | 011311107 | 250  | 7400       | SH | SOLE |
| ALASKA AIR GROUP INC         | COM            | 011659109 | 11   | 291        | SH | SOLE |
| ALASKA COMMUNICATIONS SYS GR | COM            | 01167P101 | 12   | 5317.91    | SH | SOLE |
| ALBANY MOLECULAR RESH INC    | COM            | 012423109 | 35   | 10000      | SH | SOLE |
| ALBEMARLE CORP               | COM            | 012653101 | 318  | 6040.795   | SH | SOLE |
| ALCOA INC                    | COM            | 013817101 | 921  | 103958.983 | SH | SOLE |
| ALCATEL-LUCENT               | SPONSORED ADR  | 013904305 | 46   | 41762.35   | SH | SOLE |
| ALEXANDER & BALDWIN INC NEW  | COM            | 014491104 | 0    | 3          | SH | SOLE |
| ALERE INC                    | COM            | 01449J105 | 2    | 83         | SH | SOLE |
| ALEXANDERS INC               | COM            | 014752109 | 1    | 3          | SH | SOLE |
| ALEXANDRIA REAL ESTATE EQ IN | COM            | 015271109 | 20   | 270        | SH | SOLE |
| ALEXION PHARMACEUTICALS INC  | COM            | 015351109 | 260  | 2246       | SH | SOLE |
| ALEXZA PHARMACEUTICALS INC   | COM NEW        | 015384209 | 0    | 100        | SH | SOLE |
| ALIGN TECHNOLOGY INC         | COM            | 016255101 | 238  | 6450       | SH | SOLE |
| ALIGN TECHNOLOGY INC         | COM            | 016255101 | 11   | 285        | SH | SOLE |
| ALLEGHANY CORP DEL           | COM            | 017175100 | 8    | 22         | SH | SOLE |
| ALLEGHENY TECHNOLOGIES INC   | COM            | 01741R102 | 25   | 797.554    | SH | SOLE |
| ALLERGAN INC                 | COM            | 018490102 | 207  | 2244.156   | SH | SOLE |
| ALLETE INC                   | COM NEW        | 018522300 | 73   | 1751.386   | SH | SOLE |
| ALLIANCE CALIF MUN INCOME FD | COM            | 018546101 | 26   | 1593.792   | SH | SOLE |
| ALLIANCE DATA SYSTEMS CORP   | COM            | 018581108 | 102  | 716        | SH | SOLE |
| ALLIANCEBERNSTEIN NATL MUNI  | COM            | 01864U106 | 154  | 9343.474   | SH | SOLE |
| ALLIANCE NEW YORK MUN INC FD | COM            | 018714105 | 39   | 2500       | SH | SOLE |
| ALLIANCE RES PARTNER L P     | UT LTD PART    | 01877R108 | 260  | 4338.02    | SH | SOLE |
| ALLIANCEBERNSTEIN GBL HGH IN | COM            | 01879R106 | 254  | 16017.331  | SH | SOLE |
| ALLIANT ENERGY CORP          | COM            | 018802108 | 91   | 2102.397   | SH | SOLE |
| ALLIANT TECHSYSTEMS INC      | COM            | 018804104 | 41   | 818        | SH | SOLE |
| ALLIANCEBERNSTEIN INCOME FUN | COM            | 01881E101 | 659  | 76315.4    | SH | SOLE |
| ALLIANCEBERNSTEIN HOLDING LP | UNIT LTD PARTN | 01881G106 | 89   | 5794.963   | SH | SOLE |
| ALLISON TRANSMISSION HLDGS I | COM            | 01973R101 | 16   | 800        | SH | SOLE |
| ALLSCRIPTS HEALTHCARE SOLUTN | COM            | 01988P108 | 105  | 8435       | SH | SOLE |
| ALLSTATE CORP                | COM            | 020002101 | 680  | 17146.827  | SH | SOLE |
| ALNYLAM PHARMACEUTICALS INC  | COM            | 02043Q107 | 73   | 3900       | SH | SOLE |
| ALPHA NATURAL RESOURCES INC  | COM            | 02076X102 | 37   | 5601       | SH | SOLE |
| ALPINE GLOBAL DYNAMIC DIVD F | COM            | 02082E106 | 29   | 4844.285   | SH | SOLE |
| ALPINE GLOBAL PREMIER PPTYS  | COM SBI        | 02083A103 | 33   | 4521       | SH | SOLE |
| ALPINE TOTAL DYNAMIC DIVID F | COM SBI        | 021060108 | 116  | 26274.258  | SH | SOLE |
| ALTAIR NANOTECHNOLOGIES INC  | COM NEW        | 021373204 | 4    | 5225       | SH | SOLE |
| ALTERA CORP                  | COM            | 021441100 | 22   | 647.417    | SH | SOLE |
| ALTRIA GROUP INC             | COM            | 02209S103 | 6    | 179        | SH | SOLE |
| ALTRIA GROUP INC             | COM            | 02209S103 | 8380 | 250898.441 | SH | SOLE |
| ALUMINA LTD                  | SPONSORED ADR  | 022205108 | 2    | 505        | SH | SOLE |
| ALUMINUM CORP CHINA LTD      | SPON ADR H SHS | 022276109 | 6    | 570.201    | SH | SOLE |
| AMARIN CORP PLC              | SPONS ADR NEW  | 023111206 | 398  | 31635      | SH | SOLE |
| AMAZON COM INC               | COM            | 023135106 | 1584 | 6228       | SH | SOLE |
| AMBIENT CORP                 | COM NEW        | 02318N201 | 3    | 590        | SH | SOLE |
| AMCOL INTL CORP              | COM            | 02341W103 | 20   | 583        | SH | SOLE |
| AMEDISYS INC                 | COM            | 023436108 | 1    | 74         | SH | SOLE |
| AMEREN CORP                  | COM            | 023608102 | 266  | 8141.791   | SH | SOLE |
| AMERESCO INC                 | CL A           | 02361E108 | 6    | 500        | SH | SOLE |
| AMERICA FIRST TAX EX IVS L P | BEN UNIT CTF   | 02364V107 | 7    | 1111       | SH | SOLE |
| AMERICA MOVIL SAB DE CV      | SPON ADR L SHS | 02364W105 | 473  | 18589      | SH | SOLE |
| AMERICA MOVIL SAB DE CV      | SPON ADR A SHS | 02364W204 | 36   | 1500       | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |      |            |    |      |
|------------------------------|------------------|-----------|------|------------|----|------|
| AMERICAN ASSETS TR INC       | COM              | 024013104 | 3    | 104        | SH | SOLE |
| AMERICAN CAMPUS CMNTYS INC   | COM              | 024835100 | 559  | 12730.29   | SH | SOLE |
| AMERICAN CAPITAL AGENCY CORP | COM              | 02503X105 | 235  | 6737       | SH | SOLE |
| AMERICAN CAPITAL AGENCY CORP | COM              | 02503X105 | 3546 | 102486.476 | SH | SOLE |
| AMERICAN CAP LTD             | COM              | 02503Y103 | 142  | 12507.078  | SH | SOLE |
| AMERICAN CAP MTG INVT CORP   | COM              | 02504A104 | 1    | 49         | SH | SOLE |
| AMERICAN ELEC PWR INC        | COM              | 025537101 | 3609 | 82133.791  | SH | SOLE |
| AMERICAN EAGLE OUTFITTERS NE | COM              | 02553E106 | 26   | 1223       | SH | SOLE |
| AMERICAN EXPRESS CO          | COM              | 025816109 | 1466 | 25778.657  | SH | SOLE |
| AMERICAN EXPRESS CO          | COM              | 025816109 | 21   | 369.739    | SH | SOLE |
| AMERICAN FINL GROUP INC OHIO | COM              | 025932104 | 30   | 788        | SH | SOLE |
| AMERICAN GREETINGS CORP      | CL A             | 026375105 | 67   | 3966.706   | SH | SOLE |
| AMERICAN INCOME FD INC       | COM              | 02672T109 | 43   | 5045       | SH | SOLE |
| AMERICAN INTL GROUP INC      | *W EXP 01/19/202 | 026874156 | 37   | 2726       | SH | SOLE |
| AMERICAN INTL GROUP INC      | COM NEW          | 026874784 | 475  | 14396      | SH | SOLE |
| AMERICAN NATL INS CO         | COM              | 028591105 | 30   | 419        | SH | SOLE |
| AMERICAN RLTY CAP TR INC     | COM              | 02917L101 | 6    | 523        | SH | SOLE |
| AMERICAN RLTY CAP TR INC     | COM              | 02917L101 | 7401 | 630410.296 | SH | SOLE |
| AMERICAN RLTY CAP TR INC     | COM              | 02917L101 | 566  | 48286      | SH | SOLE |
| AMERICAN RLTY CAP PPTYS INC  | COM              | 02917T104 | 716  | 57250      | SH | SOLE |
| AMERICAN REPROGRAPHICS CO    | COM              | 029263100 | 0    | 37         | SH | SOLE |
| AMERICAN SCIENCE & ENGR INC  | COM              | 029429107 | 2    | 33         | SH | SOLE |
| AMERICAN SELECT PORTFOLIO IN | COM              | 029570108 | 674  | 60633.866  | SH | SOLE |
| AMERICANSTS WTR CO           | COM              | 029899101 | 58   | 1300       | SH | SOLE |
| AMERICAN STRATEGIC INCM PTFL | COM              | 030098107 | 6    | 514.996    | SH | SOLE |
| AMERN STRATEGIC INCOME PTFL  | COM              | 030099105 | 55   | 6089.797   | SH | SOLE |
| AMERICAN STRATEGIC INCOME II | COM              | 03009T101 | 18   | 2398       | SH | SOLE |
| AMERICAN SUPERCONDUCTOR CORP | COM              | 030111108 | 4    | 983        | SH | SOLE |
| AMERICAN TOWER CORP NEW      | COM              | 03027X100 | 3    | 37         | SH | SOLE |
| AMERICAN TOWER CORP NEW      | COM              | 03027X100 | 1238 | 17329.478  | SH | SOLE |
| AMERICAN WTR WKS CO INC NEW  | COM              | 030420103 | 964  | 26012.151  | SH | SOLE |
| AMERICAS CAR MART INC        | COM              | 03062T105 | 5    | 103        | SH | SOLE |
| AMERISOURCEBERGEN CORP       | COM              | 03073E105 | 113  | 2899       | SH | SOLE |
| AMERIGROUP CORP              | COM              | 03073T102 | 2    | 17         | SH | SOLE |
| AMERIGROUP CORP              | COM              | 03073T102 | 14   | 156        | SH | SOLE |
| AMERIPRISE FINL INC          | COM              | 03076C106 | 235  | 4152.629   | SH | SOLE |
| AMERIGAS PARTNERS L P        | UNIT L P INT     | 030975106 | 288  | 6586.102   | SH | SOLE |
| AMETEK INC NEW               | COM              | 031100100 | 56   | 1578.076   | SH | SOLE |
| AMGEN INC                    | COM              | 031162100 | 5103 | 60516.763  | SH | SOLE |
| AMKOR TECHNOLOGY INC         | COM              | 031652100 | 3    | 750        | SH | SOLE |
| AMPHENOL CORP NEW            | CL A             | 032095101 | 412  | 7005.402   | SH | SOLE |
| AMSURG CORP                  | COM              | 03232P405 | 7    | 244        | SH | SOLE |
| AMTECH SYS INC               | COM PAR \$0.01N  | 032332504 | 2    | 500        | SH | SOLE |
| ANADARKO PETE CORP           | COM              | 032511107 | 587  | 8409.854   | SH | SOLE |
| ANALOG DEVICES INC           | COM              | 032654105 | 167  | 4267.944   | SH | SOLE |
| ANALOGIC CORP                | COM PAR \$0.05   | 032657207 | 5    | 61         | SH | SOLE |
| ANALYSTS INTL CORP           | COM NEW          | 032681207 | 3    | 699        | SH | SOLE |
| ANCESTRY COM INC             | COM              | 032803108 | 9    | 302        | SH | SOLE |
| ANGLOGOLD ASHANTI LTD        | SPONSORED ADR    | 035128206 | 24   | 679.585    | SH | SOLE |
| ANHEUSER BUSCH INBEV SA/NV   | SPONSORED ADR    | 03524A108 | 282  | 3278       | SH | SOLE |
| ANHEUSER BUSCH INBEV SA/NV   | SPONSORED ADR    | 03524A108 | 21   | 240        | SH | SOLE |
| ANIXTER INTL INC             | COM              | 035290105 | 27   | 466        | SH | SOLE |
| ANN INC                      | COM              | 035623107 | 7    | 193        | SH | SOLE |
| ANNALY CAP MGMT INC          | COM              | 035710409 | 4777 | 283689.83  | SH | SOLE |
| ANNALY CAP MGMT INC          | COM              | 035710409 | 649  | 38519      | SH | SOLE |
| ANNIES INC                   | COM              | 03600T104 | 4    | 100        | SH | SOLE |
| ANNIES INC                   | COM              | 03600T104 | 9    | 200        | SH | SOLE |
| ANSYS INC                    | COM              | 03662Q105 | 3    | 39         | SH | SOLE |
| ANSYS INC                    | COM              | 03662Q105 | 76   | 1040       | SH | SOLE |
| ANSYS INC                    | COM              | 03662Q105 | 31   | 433        | SH | SOLE |
| ANTARES PHARMA INC           | COM              | 036642106 | 2    | 500        | SH | SOLE |
| ANWORTH MORTGAGE ASSET CP    | COM              | 037347101 | 84   | 12291.563  | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |       |            |    |      |
|------------------------------|------------------|-----------|-------|------------|----|------|
| ANWORTH MORTGAGE ASSET CP    | COM              | 037347101 | 595   | 87531      | SH | SOLE |
| A123 SYS INC                 | COM              | 03739T108 | 1     | 2600       | SH | SOLE |
| APACHE CORP                  | COM              | 037411105 | 1225  | 14164.937  | SH | SOLE |
| APACHE CORP                  | PFD CONV SER D   | 037411808 | 2     | 39         | SH | SOLE |
| APARTMENT INVT & MGMT CO     | CL A             | 03748R101 | 32    | 1256       | SH | SOLE |
| APOLLO GROUP INC             | CL A             | 037604105 | 19    | 657        | SH | SOLE |
| APOLLO INVT CORP             | COM              | 03761U106 | 87    | 11088.551  | SH | SOLE |
| APOLLO COML REAL EST FIN INC | COM              | 03762U105 | 52    | 2994       | SH | SOLE |
| APPLE INC                    | COM              | 037833100 | 47661 | 71438.115  | SH | SOLE |
| APPLIED INDL TECHNOLOGIES IN | COM              | 03820C105 | 33    | 805        | SH | SOLE |
| APPLIED MATLS INC            | COM              | 038222105 | 1208  | 108215.078 | SH | SOLE |
| APPLIED MICRO CIRCUITS CORP  | COM NEW          | 03822W406 | 8     | 1548       | SH | SOLE |
| APTARGROUP INC               | COM              | 038336103 | 20    | 377.565    | SH | SOLE |
| AQUA AMERICA INC             | COM              | 03836W103 | 526   | 21243.436  | SH | SOLE |
| ARCH COAL INC                | COM              | 039380100 | 69    | 10858.216  | SH | SOLE |
| ARCELORMITTAL SA LUXEMBOURG  | NY REGISTRY SH   | 03938L104 | 123   | 8543       | SH | SOLE |
| ARCHER DANIELS MIDLAND CO    | COM              | 039483102 | 638   | 23447.548  | SH | SOLE |
| ARDEN GROUP INC              | CL A             | 039762109 | 15    | 150        | SH | SOLE |
| ARENA PHARMACEUTICALS INC    | COM              | 040047102 | 371   | 44650      | SH | SOLE |
| ARES CAP CORP                | COM              | 04010L103 | 1382  | 80608.718  | SH | SOLE |
| ARES CAP CORP                | COM              | 04010L103 | 549   | 32050      | SH | SOLE |
| ARIAD PHARMACEUTICALS INC    | COM              | 04033A100 | 23    | 942        | SH | SOLE |
| ARIBA INC                    | COM NEW          | 04033V203 | 15    | 345        | SH | SOLE |
| ARIBA INC                    | COM NEW          | 04033V203 | 12    | 262        | SH | SOLE |
| ARKANSAS BEST CORP DEL       | COM              | 040790107 | 198   | 24922      | SH | SOLE |
| ARLINGTON ASSET INVT CORP    | CL A NEW         | 041356205 | 6     | 255        | SH | SOLE |
| ARM HLDGS PLC                | SPONSORED ADR    | 042068106 | 129   | 4627.833   | SH | SOLE |
| ARMOUR RESIDENTIAL REIT INC  | COM              | 042315101 | 493   | 64417.221  | SH | SOLE |
| ARMOUR RESIDENTIAL REIT INC  | COM              | 042315101 | 599   | 78167      | SH | SOLE |
| ARMOUR RESIDENTIAL REIT INC  | *W EXP 11/07/201 | 042315119 | 0     | 15000      | SH | SOLE |
| ARMSTRONG WORLD INDS INC NEW | COM              | 04247X102 | 13    | 274        | SH | SOLE |
| AROTECH CORP                 | COM NEW          | 042682203 | 0     | 71         | SH | SOLE |
| ARQLE INC                    | COM              | 04269E107 | 5     | 1033       | SH | SOLE |
| ARRIS GROUP INC              | COM              | 04269Q100 | 44    | 3459       | SH | SOLE |
| ARRAY BIOPHARMA INC          | COM              | 04269X105 | 58    | 10000      | SH | SOLE |
| ARROW ELECTRS INC            | COM              | 042735100 | 8     | 246        | SH | SOLE |
| ARUBA NETWORKS INC           | COM              | 043176106 | 16    | 697        | SH | SOLE |
| ASBURY AUTOMOTIVE GROUP INC  | COM              | 043436104 | 2     | 62         | SH | SOLE |
| ASCENA RETAIL GROUP INC      | COM              | 04351G101 | 1     | 30         | SH | SOLE |
| ASCENT CAP GROUP INC         | COM SER A        | 043632108 | 0     | 6          | SH | SOLE |
| ASHFORD HOSPITALITY TR INC   | COM SHS          | 044103109 | 1     | 100        | SH | SOLE |
| ASHLAND INC NEW              | COM              | 044209104 | 50    | 702        | SH | SOLE |
| ASIA PAC FD INC              | COM              | 044901106 | 3     | 300        | SH | SOLE |
| ASPEN TECHNOLOGY INC         | COM              | 045327103 | 20    | 821        | SH | SOLE |
| ASSOCIATED BANC CORP         | COM              | 045487105 | 60    | 4522.518   | SH | SOLE |
| ASSOCIATED ESTATES RLTY CORP | COM              | 045604105 | 5     | 300        | SH | SOLE |
| ASSURANT INC                 | COM              | 04621X108 | 69    | 1828       | SH | SOLE |
| ASTEX PHARMACEUTICALS INC    | COM              | 04624B103 | 1     | 300        | SH | SOLE |
| ASTRAZENECA PLC              | SPONSORED ADR    | 046353108 | 367   | 7672.597   | SH | SOLE |
| ASTRONICS CORP               | COM              | 046433108 | 19    | 616        | SH | SOLE |
| ATHENAHEALTH INC             | COM              | 04685W103 | 39    | 420        | SH | SOLE |
| ATHERSYS INC                 | COM              | 04744L106 | 4     | 2850       | SH | SOLE |
| ATLANTIC PWR CORP            | COM NEW          | 04878Q863 | 104   | 6970       | SH | SOLE |
| ATLANTIC TELE NETWORK INC    | COM NEW          | 049079205 | 21    | 500        | SH | SOLE |
| ATLAS AIR WORLDWIDE HLDGS IN | COM NEW          | 049164205 | 11    | 215        | SH | SOLE |
| ATLAS ENERGY LP              | COM UNITS LP     | 04930A104 | 10    | 301        | SH | SOLE |
| ATLAS PIPELINE PARTNERS LP   | UNIT L P INT     | 049392103 | 70    | 2062.459   | SH | SOLE |
| ATLAS RESOURCE PARTNERS LP   | COM UNT LTD PR   | 04941A101 | 1     | 30         | SH | SOLE |
| ATMEL CORP                   | COM              | 049513104 | 134   | 25569      | SH | SOLE |
| ATMOS ENERGY CORP            | COM              | 049560105 | 68    | 1913.407   | SH | SOLE |
| ATRION CORP                  | COM              | 049904105 | 4     | 18         | SH | SOLE |
| ATWOOD OCEANICS INC          | COM              | 050095108 | 7     | 147        | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                |           |      |            |    |      |
|------------------------------|----------------|-----------|------|------------|----|------|
| AUDIENCE INC                 | COM            | 05070J102 | 6    | 1000       | SH | SOLE |
| AURICO GOLD INC              | COM            | 05155C105 | 7    | 1025       | SH | SOLE |
| AUTODESK INC                 | COM            | 052769106 | 30   | 904        | SH | SOLE |
| AUTOLIV INC                  | COM            | 052800109 | 143  | 2281       | SH | SOLE |
| AUTOMATIC DATA PROCESSING IN | COM            | 053015103 | 1073 | 18291.117  | SH | SOLE |
| AUTOMATIC DATA PROCESSING IN | COM            | 053015103 | 19   | 330.827    | SH | SOLE |
| AUTONATION INC               | COM            | 05329W102 | 28   | 634        | SH | SOLE |
| AUTOZONE INC                 | COM            | 053332102 | 313  | 847        | SH | SOLE |
| AVALON RARE METALS INC       | COM            | 053470100 | 24   | 12375      | SH | SOLE |
| AVALONBAY CMNTYS INC         | COM            | 053484101 | 117  | 859.586    | SH | SOLE |
| AVANIR PHARMACEUTICALS INC   | CL A NEW       | 05348P401 | 40   | 12500      | SH | SOLE |
| AVENUE INCOME CR STRATEGIES  | COM            | 05358E106 | 242  | 13700      | SH | SOLE |
| AVERY DENNISON CORP          | COM            | 053611109 | 31   | 966.552    | SH | SOLE |
| AVIAT NETWORKS INC           | COM            | 05366Y102 | 0    | 13         | SH | SOLE |
| AVIAT NETWORKS INC           | COM            | 05366Y102 | 0    | 197        | SH | SOLE |
| AVIS BUDGET GROUP            | COM            | 053774105 | 2    | 139        | SH | SOLE |
| AVISTA CORP                  | COM            | 05379B107 | 44   | 1708.059   | SH | SOLE |
| AVNET INC                    | COM            | 053807103 | 77   | 2657.498   | SH | SOLE |
| AVIVA PLC                    | ADR            | 05382A104 | 89   | 8496       | SH | SOLE |
| AVON PRODS INC               | COM            | 054303102 | 606  | 37985.973  | SH | SOLE |
| BB&T CORP                    | COM            | 054937107 | 425  | 12812.833  | SH | SOLE |
| BB&T CORP                    | COM            | 054937107 | 18   | 550        | SH | SOLE |
| B & G FOODS INC NEW          | COM            | 05508R106 | 164  | 5421       | SH | SOLE |
| BCE INC                      | COM NEW        | 05534B760 | 315  | 7175       | SH | SOLE |
| BCE INC                      | COM NEW        | 05534B760 | 39   | 879.344    | SH | SOLE |
| BGC PARTNERS INC             | CL A           | 05541T101 | 19   | 3800       | SH | SOLE |
| BHP BILLITON PLC             | SPONSORED ADR  | 05545E209 | 69   | 1100.391   | SH | SOLE |
| BOK FINL CORP                | COM NEW        | 05561Q201 | 0    | 8          | SH | SOLE |
| BP PLC                       | SPONSORED ADR  | 055622104 | 4633 | 109370.538 | SH | SOLE |
| BP PRUDHOE BAY RTY TR        | UNIT BEN INT   | 055630107 | 508  | 5412.428   | SH | SOLE |
| BRE PROPERTIES INC           | CL A           | 05564E106 | 46   | 980        | SH | SOLE |
| BOFI HLDG INC                | COM            | 05566U108 | 2    | 56         | SH | SOLE |
| BT GROUP PLC                 | ADR            | 05577E101 | 14   | 380        | SH | SOLE |
| BMC SOFTWARE INC             | COM            | 055921100 | 65   | 1520       | SH | SOLE |
| BABCOCK & WILCOX CO NEW      | COM            | 05615F102 | 68   | 2678       | SH | SOLE |
| BABSON CAP CORPORATE INVS    | COM            | 05617K109 | 32   | 1933       | SH | SOLE |
| BADGER METER INC             | COM            | 056525108 | 23   | 636        | SH | SOLE |
| BAIDU INC                    | SPON ADR REP A | 056752108 | 1490 | 12746      | SH | SOLE |
| BAKER HUGHES INC             | COM            | 057224107 | 804  | 17786.443  | SH | SOLE |
| BAKER HUGHES INC             | COM            | 057224107 | 7    | 150        | SH | SOLE |
| BALCHEM CORP                 | COM            | 057665200 | 7    | 203        | SH | SOLE |
| BALL CORP                    | COM            | 058498106 | 50   | 1180       | SH | SOLE |
| BALLY TECHNOLOGIES INC       | COM            | 05874B107 | 9    | 181        | SH | SOLE |
| BANCO BRADESCO S A           | SP ADR PFD NEW | 059460303 | 25   | 1538       | SH | SOLE |
| BANCO BILBAO VIZCAYA ARGENTA | SPONSORED ADR  | 05946K101 | 3    | 370        | SH | SOLE |
| BANCO SANTANDER SA           | ADR            | 05964H105 | 71   | 9467.944   | SH | SOLE |
| BANCO SANTANDER SA           | ADR            | 05964H105 | 0    | 33.806     | SH | SOLE |
| BANCO SANTANDER BRASIL S A   | ADS REP 1 UNIT | 05967A107 | 31   | 4250.101   | SH | SOLE |
| BANCOLOMBIA S A              | SPON ADR PREF  | 05968L102 | 4    | 66         | SH | SOLE |
| BANCORPSOUTH INC             | COM            | 059692103 | 5    | 328        | SH | SOLE |
| BANK OF AMERICA CORPORATION  | COM            | 060505104 | 3780 | 427958.319 | SH | SOLE |
| BANK OF AMERICA CORPORATION  | 7.25%CNV PFD L | 060505682 | 195  | 179        | SH | SOLE |
| BANK HAWAII CORP             | COM            | 062540109 | 257  | 5631       | SH | SOLE |
| BANK KY FINL CORP            | COM            | 062896105 | 7    | 244        | SH | SOLE |
| BANK OF MARIN BANCORP        | COM            | 063425102 | 102  | 2408       | SH | SOLE |
| BANK MONTREAL QUE            | COM            | 063671101 | 61   | 1036.291   | SH | SOLE |
| BANK OF THE OZARKS INC       | COM            | 063904106 | 73   | 2107       | SH | SOLE |
| BANK OF NEW YORK MELLON CORP | COM            | 064058100 | 349  | 15437.306  | SH | SOLE |
| BANK NOVA SCOTIA HALIFAX     | COM            | 064149107 | 75   | 1369       | SH | SOLE |
| BANKFINANCIAL CORP           | COM            | 06643P104 | 1    | 102        | SH | SOLE |
| BANKRATE INC DEL             | COM            | 06647F102 | 0    | 28         | SH | SOLE |
| BANKUNITED INC               | COM            | 06652K103 | 0    | 14         | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |       |           |    |      |
|------------------------------|------------------|-----------|-------|-----------|----|------|
| BANNER CORP                  | COM NEW          | 06652V208 | 5     | 185.09    | SH | SOLE |
| BARD C R INC                 | COM              | 067383109 | 73    | 692.583   | SH | SOLE |
| BARCLAYSBK PLC               | DJUBS CMDT ETN36 | 06738C778 | 40    | 921       | SH | SOLE |
| BARCLAYSBK PLC               | DJUBS CMDT ETN36 | 06738C778 | 393   | 8890      | SH | SOLE |
| BARCLAYSBK PLC               | IPSP CROIL ETN   | 06738C786 | 38    | 1710      | SH | SOLE |
| BARCLAYS BK PLC              | IPSPGS TTL ETN   | 06738C794 | 31    | 894       | SH | SOLE |
| BARCLAYSPLC                  | ADR              | 06738E204 | 58    | 4145.582  | SH | SOLE |
| BARCLAYS BK PLC              | ETN DJUBS IND MT | 06738G407 | 84    | 2308      | SH | SOLE |
| BARCLAYS BK PLC              | ETN DJUBS COPR37 | 06739F101 | 44    | 925       | SH | SOLE |
| BARCLAYS BK PLC              | IPMS INDIA ETN   | 06739F291 | 304   | 5171      | SH | SOLE |
| BARCLAYS BK PLC              | ADR 2 PEF 2      | 06739F390 | 40    | 1600      | SH | SOLE |
| BARCLAYS BANK PLC            | ETN DJUBS AGRI37 | 06739H206 | 57    | 918       | SH | SOLE |
| BARCLAYS BANK PLC            | ETN DJUBS SGAR38 | 06739H214 | 7     | 100       | SH | SOLE |
| BARCLAYS BANK PLC            | ETN DJUBS PMET38 | 06739H248 | 54    | 596       | SH | SOLE |
| BARCLAYS BANK PLC            | ETN DJUBSCOTTN38 | 06739H271 | 51    | 1100      | SH | SOLE |
| BARCLAYS BANK PLC            | ETN DJUBS GRNS37 | 06739H305 | 25    | 415       | SH | SOLE |
| BARCLAYS BANK PLC            | ADR PFD SR 5     | 06739H362 | 67    | 2600      | SH | SOLE |
| BARCLAYS BANK PLC            | ADS7.75%PFD S4   | 06739H511 | 64    | 2500      | SH | SOLE |
| BARCLAYS BANK PLC            | ETN DJUBS NAT37  | 06739H644 | 56    | 19218     | SH | SOLE |
| BARCLAYS BANK PLC            | ETN DJUBS NAT37  | 06739H644 | 3     | 824       | SH | SOLE |
| BARCLAYS BANK PLC            | ETN DJUBSLVSTK37 | 06739H743 | 62    | 2270      | SH | SOLE |
| BARCLAYS BANK PLC            | SP ADR 7.1%PF3   | 06739H776 | 60    | 2378      | SH | SOLE |
| BARCLAYS BK PLC              | IPTH S&P VIX NEW | 06740C261 | 230   | 19988     | SH | SOLE |
| BARCLAYS BK PLC              | IPTH S&P VIX NEW | 06740C261 | 1049  | 116526    | SH | SOLE |
| BARCLAYS BK PLC              | S&P 500 VEQTOR   | 06740C337 | 605   | 4634      | SH | SOLE |
| BARCLAYS BK PLC              | 10 YR TREAS BEAR | 06740L451 | 514   | 19693     | SH | SOLE |
| BARCLAYS BK PLC              | IPATH DYNM VIX   | 06741L609 | 299   | 5660      | SH | SOLE |
| BARRICK GOLD CORP            | COM              | 067901108 | 255   | 6100.849  | SH | SOLE |
| BASIC ENERGY SVCS INC NEW    | COM              | 06985P100 | 17    | 1500      | SH | SOLE |
| BAXTER INTL INC              | COM              | 071813109 | 1486  | 24651.763 | SH | SOLE |
| BAYTEX ENERGY CORP           | COM              | 07317Q105 | 40    | 847.25    | SH | SOLE |
| BAZAARVOICE INC              | COM              | 073271108 | 4     | 234       | SH | SOLE |
| B/E AEROSPACE INC            | COM              | 073302101 | 13    | 312       | SH | SOLE |
| BEACON ROOFING SUPPLY INC    | COM              | 073685109 | 96    | 3351      | SH | SOLE |
| BEAM INC                     | COM              | 073730103 | 669   | 11622.825 | SH | SOLE |
| BEAM INC                     | PFD CV \$2.67    | 073730202 | 33    | 77        | SH | SOLE |
| BEAZER HOMES USA INC         | COM              | 07556Q105 | 0     | 15        | SH | SOLE |
| BECTON DICKINSON & CO        | COM              | 075887109 | 465   | 5916.203  | SH | SOLE |
| BED BATH & BEYOND INC        | COM              | 075896100 | 122   | 1940      | SH | SOLE |
| BELDEN INC                   | COM              | 077454106 | 20    | 543       | SH | SOLE |
| BELO CORP                    | COM SER A        | 080555105 | 25    | 3164      | SH | SOLE |
| BEMIS INC                    | COM              | 081437105 | 95    | 3027      | SH | SOLE |
| BENEFICIAL MUTUAL BANCORP IN | COM              | 08173R104 | 55    | 5775      | SH | SOLE |
| BERKLEY W R CORP             | COM              | 084423102 | 10    | 256       | SH | SOLE |
| BERKSHIRE HATHAWAY INC DEL   | CL A             | 084670108 | 1725  | 13        | SH | SOLE |
| BERKSHIRE HATHAWAY INC DEL   | CL B NEW         | 084670702 | 11743 | 133134    | SH | SOLE |
| BERKSHIRE HILLS BANCORP INC  | COM              | 084680107 | 1     | 50        | SH | SOLE |
| BERRY PETE CO                | CL A             | 085789105 | 11    | 269       | SH | SOLE |
| BEST BUY INC                 | COM              | 086516101 | 109   | 6318.088  | SH | SOLE |
| BHP BILLITON LTD             | SPONSORED ADR    | 088606108 | 918   | 13378.637 | SH | SOLE |
| BIG 5 SPORTING GOODS CORP    | COM              | 08915P101 | 1     | 100       | SH | SOLE |
| BIG LOTS INC                 | COM              | 089302103 | 225   | 7617      | SH | SOLE |
| BIGLARI HLDGS INC            | COM              | 08986R101 | 0     | 1         | SH | SOLE |
| BIO RAD LABS INC             | CL A             | 090572207 | 19    | 175       | SH | SOLE |
| BIOANALYTICAL SYS INC        | COM              | 09058M103 | 0     | 3         | SH | SOLE |
| BIOCRYST PHARMACEUTICALS     | COM              | 09058V103 | 72    | 17000     | SH | SOLE |
| BIODELIVERY SCIENCES INTL IN | COM              | 09060J106 | 6     | 871       | SH | SOLE |
| BIOMARIN PHARMACEUTICAL INC  | COM              | 09061G101 | 19    | 460       | SH | SOLE |
| BIOGEN IDEC INC              | COM              | 09062X103 | 613   | 4181      | SH | SOLE |
| BIOGEN IDEC INC              | COM              | 09062X103 | 358   | 2392      | SH | SOLE |
| BIOMED REALTY TRUST INC      | COM              | 09063H107 | 5     | 244       | SH | SOLE |
| BIOSANTE PHARMACEUTICALS INC | COM PAR \$0.0001 | 09065V302 | 2     | 1295      | SH | SOLE |



Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                |           |     |           |    |      |
|------------------------------|----------------|-----------|-----|-----------|----|------|
| BIOTIME INC                  | COM            | 09066L105 | 13  | 3200      | SH | SOLE |
| BLACKROCK FLOATING RATE INCO | COM            | 091941104 | 25  | 1700      | SH | SOLE |
| BLACK HILLS CORP             | COM            | 092113109 | 59  | 1659      | SH | SOLE |
| BLACKBAUD INC                | COM            | 09227Q100 | 9   | 357       | SH | SOLE |
| BLACKROCK MUNICIPL INC QLTY  | COM            | 092479104 | 8   | 484.201   | SH | SOLE |
| BLACKROCK INVT QUALITY MUN T | COM            | 09247D105 | 9   | 500       | SH | SOLE |
| BLACKROCK INCOME TR INC      | COM            | 09247F100 | 4   | 485       | SH | SOLE |
| BLACKROCK INCOME TR INC      | COM            | 09247F100 | 29  | 3841      | SH | SOLE |
| BLACKROCKINC                 | COM            | 09247X101 | 431 | 2418.831  | SH | SOLE |
| BLACKROCKINC                 | COM            | 09247X101 | 0   | 0         | SH | SOLE |
| BLACKROCK CALIF MUN INCOME T | SH BEN INT     | 09248E102 | 10  | 600       | SH | SOLE |
| BLACKROCK MUN INCOME TR      | SH BEN INT     | 09248F109 | 23  | 1375      | SH | SOLE |
| BLACKROCK N Y MUN INCOME TR  | SH BEN INT     | 09248L106 | 30  | 1797.491  | SH | SOLE |
| BLACKROCK CORE BD TR         | SHS BEN INT    | 09249E101 | 90  | 5803.294  | SH | SOLE |
| BLACKROCK STRTGC BOND TR     | COM            | 09249G106 | 18  | 1200      | SH | SOLE |
| BLACKROCK MUNICIPAL BOND TR  | COM            | 09249H104 | 69  | 3892.162  | SH | SOLE |
| BLACKROCK MD MUNICIPAL BOND  | COM            | 09249L105 | 40  | 2283      | SH | SOLE |
| BLACKROCK MUNI INCOME TR II  | COM            | 09249N101 | 153 | 8850.999  | SH | SOLE |
| BLACKROCK NY MUNICIPAL BOND  | COM            | 09249P106 | 30  | 1766.83   | SH | SOLE |
| BLACKROCK NY MUN INCOME TR I | COM            | 09249R102 | 24  | 1420.693  | SH | SOLE |
| BLACKROCK NY MUNI INC QLTY T | COM            | 09249U105 | 66  | 3968.476  | SH | SOLE |
| BLACKROCK LTD DURATION INC T | COM SHS        | 09249W101 | 158 | 8514.571  | SH | SOLE |
| BLACKROCK GLOBAL OPP EQTY TR | COM            | 092501105 | 107 | 7845.321  | SH | SOLE |
| BLACKROCK CREDIT ALL INC TR  | COM            | 092508100 | 57  | 4000      | SH | SOLE |
| BLACKROCK S&P QLTY RK EQ MD  | SHS BEN INT    | 09250D109 | 2   | 165       | SH | SOLE |
| BLACKROCK MUNI INCOME INV QL | COM            | 09250G102 | 50  | 2909.026  | SH | SOLE |
| BLACKROCK ENERGY & RES TR    | COM            | 09250U101 | 46  | 1809.653  | SH | SOLE |
| BLACKROCK ENHANCED EQT DIV T | COM            | 09251A104 | 229 | 30244.005 | SH | SOLE |
| BLACKROCK INTL GRWTH & INC T | COM BENE INTER | 092524107 | 30  | 4062.074  | SH | SOLE |
| BLACKROCK MUNIHOLDINGS FD IN | COM            | 09253N104 | 4   | 200       | SH | SOLE |
| BLACKROCK MUNIVEST FD INC    | COM            | 09253R105 | 56  | 4858      | SH | SOLE |
| BLACKROCK MUNIVEST FD II INC | COM            | 09253T101 | 7   | 380       | SH | SOLE |
| BLACKSTONE GROUP L P         | COM UNIT LTD   | 09253U108 | 184 | 13665     | SH | SOLE |
| BLACKSTONE GROUP L P         | COM UNIT LTD   | 09253U108 | 76  | 5350      | SH | SOLE |
| BLACKROCK MUNIYIELD FD INC   | COM            | 09253W104 | 32  | 1900      | SH | SOLE |
| BLACKROCK MUNI INTER DR FD I | COM            | 09253X102 | 2   | 100       | SH | SOLE |
| BLACKROCK MUNIENHANCED FD IN | COM            | 09253Y100 | 44  | 3387      | SH | SOLE |
| BLACKROCK REAL ASSET EQUITY  | COM            | 09254B109 | 361 | 33500.295 | SH | SOLE |
| BLACKROCK MUNIHLDNGS QLTY II | COM            | 09254C107 | 18  | 1163      | SH | SOLE |
| BLACKROCK MUNIYIELD QLTY FD  | COM            | 09254E103 | 80  | 5021.792  | SH | SOLE |
| BLACKROCK MUNIYIELD QUALITY  | COM            | 09254F100 | 53  | 3000      | SH | SOLE |
| BLACKROCK MUNIASSETS FD INC  | COM            | 09254J102 | 296 | 21196.559 | SH | SOLE |
| BLACKROCK MUNIYIELD ARIZ FD  | COM            | 09254K109 | 404 | 25815     | SH | SOLE |
| BLACKROCK MUNIHLDNGS CALI QL | COM            | 09254L107 | 16  | 973       | SH | SOLE |
| BLACKROCK MUNIYIELD CALI QLT | COM            | 09254N103 | 47  | 2795      | SH | SOLE |
| BLACKROCK MUNIHLDS INVSTM QL | COM            | 09254P108 | 49  | 2988.908  | SH | SOLE |
| BLACKROCK MUNIYIELD N J FD I | COM            | 09254Y109 | 13  | 725       | SH | SOLE |
| BLACKROCK MUNIYIELD NJ QLTY  | COM            | 09255A100 | 62  | 3651      | SH | SOLE |
| BLACKROCK MUNIHLDGS NY QLTY  | COM            | 09255C106 | 39  | 2386      | SH | SOLE |
| BLACKROCK MUNIYIELD NY QLTY  | COM            | 09255E102 | 70  | 4638      | SH | SOLE |
| BLACKROCK MUNIYIELD PA QLTY  | COM            | 09255G107 | 16  | 989       | SH | SOLE |
| BLACKROCK CREDIT ALL INC TR  | COM            | 09255J101 | 0   | 37        | SH | SOLE |
| BLACKROCK CORPOR HIGH YLD FD | COM            | 09255L106 | 154 | 19342.401 | SH | SOLE |
| BLACKROCK CORPOR HI YLD III  | COM            | 09255M104 | 159 | 19750     | SH | SOLE |
| BLACKROCK CORPOR HI YLD FD V | COM            | 09255N102 | 537 | 40491.56  | SH | SOLE |
| BLACKROCK CORPOR HI YLD FD V | COM            | 09255P107 | 39  | 2970      | SH | SOLE |
| BLACKROCK DEBT STRAT FD INC  | COM            | 09255R103 | 11  | 2500      | SH | SOLE |
| BLACKROCK SR HIGH INCOME FD  | COM            | 09255T109 | 0   | 5         | SH | SOLE |
| BLACKROCK DIVERS OME STRATE  | COM            | 09255W102 | 4   | 366.398   | SH | SOLE |
| BLACKROCK FLOAT RATE OME STR | COM            | 09255X100 | 14  | 940       | SH | SOLE |
| BLACKROCK FL RATE OME STRA I | COM SHS        | 09255Y108 | 45  | 3300      | SH | SOLE |
| BLACKROCK ENH CAP & INC FD I | COM            | 09256A109 | 103 | 7901      | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                |           |      |            |    |      |
|------------------------------|----------------|-----------|------|------------|----|------|
| BLACKSTONE GSO LNG SHRT CR I | COM SHS BN INT | 09257D102 | 33   | 1705       | SH | SOLE |
| BLDRS INDEX FDS TR           | EMER MK 50 ADR | 09348R300 | 213  | 5439.328   | SH | SOLE |
| BLDRS INDEX FDS TR           | EUR 100 ADR    | 09348R409 | 3    | 152.694    | SH | SOLE |
| BLOCK H & R INC              | COM            | 093671105 | 10   | 596        | SH | SOLE |
| BLOUNT INTL INC NEW          | COM            | 095180105 | 0    | 14         | SH | SOLE |
| BLUCORA INC                  | COM            | 095229100 | 6    | 317        | SH | SOLE |
| BLUE NILE INC                | COM            | 09578R103 | 2    | 55         | SH | SOLE |
| BLUEFLY INC                  | COM NEW        | 096227301 | 0    | 20         | SH | SOLE |
| BOARDWALK PIPELINE PARTNERS  | UT LTD PARTNER | 096627104 | 748  | 26819.19   | SH | SOLE |
| BOARDWALK PIPELINE PARTNERS  | UT LTD PARTNER | 096627104 | 760  | 27255      | SH | SOLE |
| BOB EVANS FARMS INC          | COM            | 096761101 | 4    | 94         | SH | SOLE |
| BOEING CO                    | COM            | 097023105 | 2673 | 38406.252  | SH | SOLE |
| BOEING CO                    | COM            | 097023105 | 16   | 230        | SH | SOLE |
| BOISE INC                    | COM            | 09746Y105 | 88   | 10000      | SH | SOLE |
| BOLT TECHNOLOGY CORP         | COM            | 097698104 | 1    | 75         | SH | SOLE |
| BON-TON STORES INC           | COM            | 09776J101 | 26   | 2700       | SH | SOLE |
| BOOZ ALLEN HAMILTON HLDG COR | CL A           | 099502106 | 0    | 11         | SH | SOLE |
| BORGWARNER INC               | COM            | 099724106 | 33   | 470        | SH | SOLE |
| BOSTON BEER INC              | CL A           | 100557107 | 31   | 278        | SH | SOLE |
| BOSTON BEER INC              | CL A           | 100557107 | 18   | 168        | SH | SOLE |
| BOSTON PRIVATE FINL HLDGS IN | COM            | 101119105 | 10   | 1003.66    | SH | SOLE |
| BOSTON PROPERTIES INC        | COM            | 101121101 | 95   | 857        | SH | SOLE |
| BOSTON SCIENTIFIC CORP       | COM            | 101137107 | 133  | 23143      | SH | SOLE |
| BOTTOMLINE TECH DEL INC      | COM            | 101388106 | 5    | 200        | SH | SOLE |
| BOULDER GROWTH & INCOME FD I | COM            | 101507101 | 88   | 13500      | SH | SOLE |
| BOYD GAMING CORP             | COM            | 103304101 | 0    | 65         | SH | SOLE |
| BRADY CORP                   | CL A           | 104674106 | 17   | 585        | SH | SOLE |
| BRANDYWINE RLTY TR           | SH BEN INT NEW | 105368203 | 40   | 3305       | SH | SOLE |
| BRASKEM S A                  | SP ADR PFD A   | 105532105 | 22   | 1539       | SH | SOLE |
| BREITBURN ENERGY PARTNERS LP | COM UT LTD PTN | 106776107 | 29   | 1500       | SH | SOLE |
| BRIGGS & STRATTON CORP       | COM            | 109043109 | 23   | 1208       | SH | SOLE |
| BRIGHTPOINT INC              | COM NEW        | 109473405 | 1    | 82         | SH | SOLE |
| BRIGUS GOLD CORP             | COM            | 109490102 | 0    | 500        | SH | SOLE |
| BRINKER INTL INC             | COM            | 109641100 | 15   | 425        | SH | SOLE |
| BRINKS CO                    | COM            | 109696104 | 28   | 1102       | SH | SOLE |
| BRISTOL MYERS SQUIBB CO      | COM            | 110122108 | 552  | 16710.432  | SH | SOLE |
| BRISTOL MYERS SQUIBB CO      | COM            | 110122108 | 7918 | 234617.555 | SH | SOLE |
| BRISTOL MYERS SQUIBB CO      | COM            | 110122108 | 17   | 500        | SH | SOLE |
| BRITISH AMERN TOB PLC        | SPONSORED ADR  | 110448107 | 383  | 3712.428   | SH | SOLE |
| BROADCOM CORP                | CL A           | 111320107 | 1162 | 33627.237  | SH | SOLE |
| BROADSOFT INC                | COM            | 11133B409 | 1    | 19         | SH | SOLE |
| BROADRIDGE FINL SOLUTIONS IN | COM            | 11133T103 | 28   | 1206.636   | SH | SOLE |
| BROADVISION INC              | COM PAR \$.001 | 111412706 | 1    | 70         | SH | SOLE |
| BROCADE COMMUNICATIONS SYS I | COM NEW        | 111621306 | 18   | 2942       | SH | SOLE |
| BROOKDALE SR LIVING INC      | COM            | 112463104 | 3    | 133        | SH | SOLE |
| BROOKFIELD ASSET MGMT INC    | CL A LTD VT SH | 112585104 | 109  | 3170.755   | SH | SOLE |
| BROOKFIELD OFFICE PPTYS INC  | COM            | 112900105 | 11   | 644        | SH | SOLE |
| BROOKLINE BANCORP INC DEL    | COM            | 11373M107 | 13   | 1448       | SH | SOLE |
| BROOKS AUTOMATION INC        | COM            | 114340102 | 3    | 433        | SH | SOLE |
| BROWN & BROWN INC            | COM            | 115236101 | 11   | 410        | SH | SOLE |
| BROWN FORMAN CORP            | CL B           | 115637209 | 48   | 715        | SH | SOLE |
| BRUKER CORP                  | COM            | 116794108 | 6    | 460        | SH | SOLE |
| BRUNSWICK CORP               | COM            | 117043109 | 6    | 282        | SH | SOLE |
| BRYN MAWR BK CORP            | COM            | 117665109 | 52   | 2300       | SH | SOLE |
| BUCKEYE PARTNERS L P         | UNIT LTD PARTN | 118230101 | 364  | 7594.602   | SH | SOLE |
| BUCKEYE TECHNOLOGIES INC     | COM            | 118255108 | 54   | 1687       | SH | SOLE |
| BUCKLE INC                   | COM            | 118440106 | 123  | 2698.749   | SH | SOLE |
| BUFFALO WILD WINGS INC       | COM            | 119848109 | 231  | 2700       | SH | SOLE |
| BUFFALO WILD WINGS INC       | COM            | 119848109 | 18   | 208        | SH | SOLE |
| C&J ENERGY SVCS INC          | COM            | 12467B304 | 19   | 953        | SH | SOLE |
| CAE INC                      | COM            | 124765108 | 46   | 4346       | SH | SOLE |
| CBL & ASSOC PPTYS INC        | COM            | 124830100 | 212  | 9930       | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                |           |      |            |    |      |
|------------------------------|----------------|-----------|------|------------|----|------|
| CBS CORP NEW                 | CL A           | 124857103 | 6    | 170        | SH | SOLE |
| CBS CORP NEW                 | CL B           | 124857202 | 1082 | 29804.562  | SH | SOLE |
| CBRE CLARION GLOBAL REAL EST | COM            | 12504G100 | 412  | 46466.3    | SH | SOLE |
| CBRE GROUP INC               | CL A           | 12504L109 | 294  | 15970      | SH | SOLE |
| CF INDS HLDGS INC            | COM            | 125269100 | 427  | 1923.458   | SH | SOLE |
| CH ENERGY GROUP INC          | COM            | 12541M102 | 26   | 394.179    | SH | SOLE |
| C H ROBINSON WORLDWIDE INC   | COM NEW        | 12541W209 | 23   | 389        | SH | SOLE |
| CIGNA CORPORATION            | COM            | 125509109 | 708  | 15000      | SH | SOLE |
| CIT GROUP INC                | COM NEW        | 125581801 | 859  | 21814      | SH | SOLE |
| CLECO CORP NEW               | COM            | 12561W105 | 17   | 416        | SH | SOLE |
| CME GROUP INC                | COM            | 12572Q105 | 634  | 11066.742  | SH | SOLE |
| CMS ENERGY CORP              | COM            | 125896100 | 29   | 1214.191   | SH | SOLE |
| CNA FINL CORP                | COM            | 126117100 | 1    | 37         | SH | SOLE |
| CNOOC LTD                    | SPONSORED ADR  | 126132109 | 140  | 692        | SH | SOLE |
| CNOOC LTD                    | SPONSORED ADR  | 126132109 | 0    | 0          | SH | SOLE |
| CPFL ENERGIA S A             | SPONSORED ADR  | 126153105 | 717  | 32714.566  | SH | SOLE |
| CNO FINL GROUP INC           | COM            | 12621E103 | 14   | 1509       | SH | SOLE |
| CRH PLC                      | ADR            | 12626K203 | 2    | 120.729    | SH | SOLE |
| CSG SYS INTL INC             | COM            | 126349109 | 0    | 18         | SH | SOLE |
| CSX CORP                     | COM            | 126408103 | 7    | 300        | SH | SOLE |
| CSX CORP                     | COM            | 126408103 | 820  | 39527.1    | SH | SOLE |
| CSR PLC                      | SPONSORED ADR  | 12640Y205 | 0    | 1          | SH | SOLE |
| CTCMEDIA INC                 | COM            | 12642X106 | 0    | 8          | SH | SOLE |
| CVB FINL CORP                | COM            | 126600105 | 4    | 353        | SH | SOLE |
| CVR ENERGY INC               | COM            | 12662P108 | 67   | 1774       | SH | SOLE |
| CVR PARTNERS LP              | COM            | 126633106 | 220  | 8359.391   | SH | SOLE |
| CVS CAREMARK CORPORATION     | COM            | 126650100 | 3    | 71         | SH | SOLE |
| CVS CAREMARK CORPORATION     | COM            | 126650100 | 1256 | 25938.216  | SH | SOLE |
| CVS CAREMARK CORPORATION     | COM            | 126650100 | 45   | 919.209    | SH | SOLE |
| CYS INVTS INC                | COM            | 12673A108 | 213  | 15111.753  | SH | SOLE |
| CA INC                       | COM            | 12673P105 | 3    | 100.973    | SH | SOLE |
| CA INC                       | COM            | 12673P105 | 146  | 5657.453   | SH | SOLE |
| CA INC                       | COM            | 12673P105 | 41   | 1628.046   | SH | SOLE |
| CABELAS INC                  | COM            | 126804301 | 170  | 3107       | SH | SOLE |
| CABLEVISION SYS CORP         | CL A NY CABLVS | 12686C109 | 0    | 21         | SH | SOLE |
| CABLEVISION SYS CORP         | CL A NY CABLVS | 12686C109 | 85   | 5367.273   | SH | SOLE |
| CABOT CORP                   | COM            | 127055101 | 20   | 558.504    | SH | SOLE |
| CABOT OIL & GAS CORP         | COM            | 127097103 | 25   | 553.573    | SH | SOLE |
| CACI INTL INC                | CL A           | 127190304 | 23   | 437        | SH | SOLE |
| CADENCE DESIGN SYSTEM INC    | COM            | 127387108 | 35   | 2732       | SH | SOLE |
| CADENCE PHARMACEUTICALS INC  | COM            | 12738T100 | 14   | 3600       | SH | SOLE |
| CAL DIVE INTL INC DEL        | COM            | 12802T101 | 0    | 264        | SH | SOLE |
| CALAMOS CONV OPP AND INC FD  | SH BEN INT     | 128117108 | 28   | 2205.945   | SH | SOLE |
| CALAMOS GLOBAL TOTAL RETURN  | COM SH BEN INT | 128118106 | 4    | 317.952    | SH | SOLE |
| CALAMOS GBL DYN INCOME FUND  | COM            | 12811L107 | 9    | 1000       | SH | SOLE |
| CALAMOS CONV & HIGH INCOME F | COM SHS        | 12811P108 | 324  | 25558.235  | SH | SOLE |
| CALAMOS ASSET MGMT INC       | CL A           | 12811R104 | 1    | 91         | SH | SOLE |
| CALAMOS STRATEGIC TOTL RETN  | COM SH BEN INT | 128125101 | 2210 | 217336.817 | SH | SOLE |
| CALAMP CORP                  | COM            | 128126109 | 10   | 1200       | SH | SOLE |
| CALGON CARBON CORP           | COM            | 129603106 | 26   | 1783       | SH | SOLE |
| CALIFORNIA FIRST NTNL BANCOR | COM            | 130222102 | 65   | 3500       | SH | SOLE |
| CALIFORNIA WTR SVC GROUP     | COM            | 130788102 | 30   | 1596       | SH | SOLE |
| CALLAWAY GOLF CO             | COM            | 131193104 | 7    | 1073       | SH | SOLE |
| CALLON PETE CO DEL           | COM            | 13123X102 | 1    | 100        | SH | SOLE |
| CALPINE CORP                 | COM NEW        | 131347304 | 6    | 319        | SH | SOLE |
| CALUMET SPECIALTY PRODS PTNR | UT LTD PARTNER | 131476103 | 170  | 5312       | SH | SOLE |
| CAMBIUM LEARNING GRP INC     | COM            | 13201A107 | 0    | 20         | SH | SOLE |
| CAMDEN NATL CORP             | COM            | 133034108 | 4    | 100.696    | SH | SOLE |
| CAMDEN PPTY TR               | SH BEN INT     | 133131102 | 19   | 290.676    | SH | SOLE |
| CAMECO CORP                  | COM            | 13321L108 | 377  | 19361      | SH | SOLE |
| CAMELOT INFORMATION SYS INC  | ADS RP ORD SHS | 13322V105 | 1    | 500        | SH | SOLE |
| CAMERON INTERNATIONAL CORP   | COM            | 13342B105 | 41   | 738        | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |      |           |    |      |
|------------------------------|------------------|-----------|------|-----------|----|------|
| CAMPBELL SOUP CO             | COM              | 134429109 | 554  | 15910.43  | SH | SOLE |
| CAMPUS CREST CMNTYS INC      | COM              | 13466Y105 | 1    | 137       | SH | SOLE |
| CDN IMPERIAL BK OF COMMERCE  | COM              | 136069101 | 28   | 354       | SH | SOLE |
| CANADIAN NATL RY CO          | COM              | 136375102 | 119  | 1347.334  | SH | SOLE |
| CANADIAN NAT RES LTD         | COM              | 136385101 | 71   | 2307      | SH | SOLE |
| CANADIAN PAC RY LTD          | COM              | 13645T100 | 143  | 1723      | SH | SOLE |
| CANON INC                    | ADR              | 138006309 | 343  | 10716.894 | SH | SOLE |
| CANON INC                    | ADR              | 138006309 | 0    | 0         | SH | SOLE |
| CANTEL MEDICAL CORP          | COM              | 138098108 | 4    | 152       | SH | SOLE |
| CAPITAL ONE FINL CORP        | COM              | 14040H105 | 254  | 4427.752  | SH | SOLE |
| CAPITAL SR LIVING CORP       | COM              | 140475104 | 14   | 1000      | SH | SOLE |
| CAPITAL SOUTHWEST CORP       | COM              | 140501107 | 161  | 1438      | SH | SOLE |
| CAPITAL TRUST INC MD         | CL A NEW         | 14052H506 | 3    | 905       | SH | SOLE |
| CAPITALSOURCE INC            | COM              | 14055X102 | 35   | 4649      | SH | SOLE |
| CAPITOL FED FINL INC         | COM              | 14057J101 | 44   | 3596      | SH | SOLE |
| CAPSTONE TURBINE CORP        | COM              | 14067D102 | 10   | 10000     | SH | SOLE |
| CAPSTEAD MTG CORP            | PFD B CV \$1.26  | 14067E308 | 6    | 400       | SH | SOLE |
| CAPSTEAD MTG CORP            | COM NO PAR       | 14067E506 | 283  | 20976     | SH | SOLE |
| CARBO CERAMICS INC           | COM              | 140781105 | 13   | 200       | SH | SOLE |
| CARDERO RES CORP             | COM              | 14140U105 | 3    | 4675      | SH | SOLE |
| CARDINAL FINL CORP           | COM              | 14149F109 | 4    | 252       | SH | SOLE |
| CARDINAL HEALTH INC          | COM              | 14149Y108 | 708  | 18157.729 | SH | SOLE |
| CARDINAL HEALTH INC          | COM              | 14149Y108 | 0    | 0.757     | SH | SOLE |
| CARDTRONICS INC              | COM              | 14161H108 | 8    | 253       | SH | SOLE |
| CAREER EDUCATION CORP        | COM              | 141665109 | 0    | 148       | SH | SOLE |
| CAREFUSION CORP              | COM              | 14170T101 | 562  | 19790     | SH | SOLE |
| CARIBOU COFFEE INC           | COM              | 142042209 | 6    | 465       | SH | SOLE |
| CARLISLE COS INC             | COM              | 142339100 | 24   | 457       | SH | SOLE |
| CARMAX INC                   | COM              | 143130102 | 15   | 542       | SH | SOLE |
| CARNIVAL CORP                | PAIRED CTF       | 143658300 | 18   | 527       | SH | SOLE |
| CARNIVAL CORP                | PAIRED CTF       | 143658300 | 115  | 3168.056  | SH | SOLE |
| CARNIVAL CORP                | PAIRED CTF       | 143658300 | 0    | 0         | SH | SOLE |
| CARNIVAL PLC                 | ADR              | 14365C103 | 2    | 62        | SH | SOLE |
| CAROLINA TR BK LINCOLNTON NC | COM              | 144200102 | 2    | 732       | SH | SOLE |
| CARPENTER TECHNOLOGY CORP    | COM              | 144285103 | 10   | 200       | SH | SOLE |
| CARRIZO OIL & CO INC         | COM              | 144577103 | 18   | 700       | SH | SOLE |
| CARTER INC                   | COM              | 146229109 | 798  | 14826     | SH | SOLE |
| CASEYS GEN STORES INC        | COM              | 147528103 | 33   | 576       | SH | SOLE |
| CASH AMER INTL INC           | COM              | 14754D100 | 9    | 230       | SH | SOLE |
| CASTLE A M & CO              | COM              | 148411101 | 2    | 123.163   | SH | SOLE |
| CATAMARAN CORP               | COM              | 148887102 | 57   | 716       | SH | SOLE |
| CATERPILLAR INC DEL          | COM              | 149123101 | 122  | 1427.049  | SH | SOLE |
| CATERPILLAR INC DEL          | COM              | 149123101 | 4506 | 52378.466 | SH | SOLE |
| CATERPILLAR INC DEL          | COM              | 149123101 | 23   | 270       | SH | SOLE |
| CATHAY GENERAL BANCORP       | COM              | 149150104 | 1    | 63        | SH | SOLE |
| CATO CORP NEW                | CL A             | 149205106 | 17   | 554.141   | SH | SOLE |
| CEDAR FAIR L P               | DEPOSITRY UNIT   | 150185106 | 130  | 3888.01   | SH | SOLE |
| CEL-SCI CORP                 | COM NEW          | 150837409 | 0    | 1000      | SH | SOLE |
| CELANESE CORP DEL            | COM SER A        | 150870103 | 30   | 787       | SH | SOLE |
| CELL THERAPEUTICS INC        | COM NPV NEW 2012 | 150934883 | 1    | 349       | SH | SOLE |
| CELESTICA INC                | SUB VTG SHS      | 15101Q108 | 1    | 100       | SH | SOLE |
| CELGENE CORP                 | COM              | 151020104 | 5    | 60        | SH | SOLE |
| CELGENE CORP                 | COM              | 151020104 | 1094 | 14311     | SH | SOLE |
| CELGENE CORP                 | COM              | 151020104 | 13   | 164       | SH | SOLE |
| CELSION CORPORATION          | COM NEW          | 15117N305 | 29   | 5250      | SH | SOLE |
| CEMEX SAB DE CV              | SPON ADR NEW     | 151290889 | 41   | 4934.729  | SH | SOLE |
| CENOVUS ENERGY INC           | COM              | 15135U109 | 176  | 5057      | SH | SOLE |
| CENTERPOINT ENERGY INC       | COM              | 15189T107 | 92   | 4303.281  | SH | SOLE |
| CENTRAL EUROPEAN DIST CORP   | COM              | 153435102 | 0    | 120       | SH | SOLE |
| CENTRAL FD CDA LTD           | CL A             | 153501101 | 241  | 10137     | SH | SOLE |
| CENTRAL GARDEN & PET CO      | COM              | 153527106 | 1    | 91        | SH | SOLE |
| CENTRAL GARDEN & PET CO      | CL A NON-VTG     | 153527205 | 0    | 13        | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                 |           |       |            |    |      |
|------------------------------|-----------------|-----------|-------|------------|----|------|
| CENTRAL GOLDTRUST            | TR UNIT         | 153546106 | 955   | 14024      | SH | SOLE |
| CENTRAL SECS CORP            | COM             | 155123102 | 31    | 1557       | SH | SOLE |
| CENTURYLINK INC              | COM             | 156700106 | 47    | 1121       | SH | SOLE |
| CENTURYLINK INC              | COM             | 156700106 | 2499  | 61867.915  | SH | SOLE |
| CERADYNE INC                 | COM             | 156710105 | 6     | 228        | SH | SOLE |
| CEREPLAST INC                | COM NEW         | 156732307 | 0     | 1000       | SH | SOLE |
| CEREPLAST INC                | COM NEW         | 156732307 | 0     | 900        | SH | SOLE |
| CERNER CORP                  | COM             | 156782104 | 197   | 2536       | SH | SOLE |
| CEVA INC                     | COM             | 157210105 | 0     | 13         | SH | SOLE |
| CHANGYOU COM LTD             | ADS REP CL A    | 15911M107 | 1     | 36         | SH | SOLE |
| CHARLES & COLVARD LTD        | COM             | 159765106 | 2     | 525        | SH | SOLE |
| CHARLES RIV LABS INTL INC    | COM             | 159864107 | 9     | 217        | SH | SOLE |
| CHART INDS INC               | COM PAR \$0.01  | 16115Q308 | 602   | 8157       | SH | SOLE |
| CHARTER COMMUNICATIONS INC D | CL A NEW        | 16117M305 | 28    | 375        | SH | SOLE |
| CHEESECAKE FACTORY INC       | COM             | 163072101 | 11    | 310        | SH | SOLE |
| CHELSEA THERAPEUTICS INTL LT | COM             | 163428105 | 24    | 2000       | SH | SOLE |
| CHEMED CORP NEW              | COM             | 16359R103 | 7     | 101        | SH | SOLE |
| CHEMTURA CORP                | COM NEW         | 163893209 | 46    | 2697       | SH | SOLE |
| CHENIERE ENERGY PARTNERS LP  | COM UNIT        | 16411Q101 | 384   | 16800      | SH | SOLE |
| CHENIERE ENERGY INC          | COM NEW         | 16411R208 | 253   | 16295      | SH | SOLE |
| CHESAPEAKE ENERGY CORP       | COM             | 165167107 | 3085  | 163503.627 | SH | SOLE |
| CHESAPEAKE ENERGY CORP       | PFD CONV        | 165167842 | 1022  | 12528      | SH | SOLE |
| CHESAPEAKE ENERGY CORP       | NOTE 2.250%12/1 | 165167CB1 | 12    | 15000      | SH | SOLE |
| CHESAPEAKE GRANITE WASH TR   | COM SH BEN INT  | 165185109 | 144   | 7100       | SH | SOLE |
| CHESAPEAKE LODGING TR        | SH BEN INT      | 165240102 | 2     | 101        | SH | SOLE |
| CHESAPEAKE UTILS CORP        | COM             | 165303108 | 6     | 128.221    | SH | SOLE |
| CHEVRON CORP NEW             | COM             | 166764100 | 11    | 94.077     | SH | SOLE |
| CHEVRON CORP NEW             | COM             | 166764100 | 14041 | 120473.386 | SH | SOLE |
| CHEVRON CORP NEW             | COM             | 166764100 | 40    | 339.464    | SH | SOLE |
| CHICAGO BRIDGE & IRON CO N V | N Y REGISTRY SH | 167250109 | 888   | 23322.941  | SH | SOLE |
| CHICOS FAS INC               | COM             | 168615102 | 227   | 12543.004  | SH | SOLE |
| CHINA NEW BORUN CORP         | ADR             | 16890T105 | 89    | 37700      | SH | SOLE |
| CHIMERA INVT CORP            | COM             | 16934Q109 | 182   | 67112.924  | SH | SOLE |
| CHINA FD INC                 | COM             | 169373107 | 4     | 200        | SH | SOLE |
| CHINA NORTH EAST PETE HLDG L | COM             | 16941G102 | 1     | 3496       | SH | SOLE |
| CHINA MOBILE LIMITED         | SPONSORED ADR   | 16941M109 | 419   | 7572.896   | SH | SOLE |
| CHINA MOBILE LIMITED         | SPONSORED ADR   | 16941M109 | 0     | 0          | SH | SOLE |
| CHINA PETE & CHEM CORP       | SPON ADR H SHS  | 16941R108 | 16    | 177        | SH | SOLE |
| CHINA TELECOM CORP LTD       | SPON ADR H SHS  | 169426103 | 23    | 403        | SH | SOLE |
| CHINA GREEN AGRICULTURE INC  | COM             | 16943W105 | 11    | 3500       | SH | SOLE |
| CHINA UNICOM (HONG KONG) LTD | SPONSORED ADR   | 16945R104 | 10    | 628.207    | SH | SOLE |
| CHINA HYDROELECTRIC CORP     | SPONSORED ADR   | 16949D101 | 4     | 2200       | SH | SOLE |
| CHIPOTLE MEXICAN GRILL INC   | COM             | 169656105 | 262   | 830        | SH | SOLE |
| CHIPOTLE MEXICAN GRILL INC   | COM             | 169656105 | 25    | 78         | SH | SOLE |
| CHOICE HOTELS INTL INC       | COM             | 169905106 | 81    | 2530.533   | SH | SOLE |
| CHIQUITA BRANDS INTL INC     | COM             | 170032809 | 16    | 2125       | SH | SOLE |
| CHIQUITA BRANDS INTL INC     | NOTE 4.250% 8/1 | 170032AT3 | 4     | 4000       | SH | SOLE |
| CHUBB CORP                   | COM             | 171232101 | 19    | 261.43     | SH | SOLE |
| CHUBB CORP                   | COM             | 171232101 | 367   | 4804.957   | SH | SOLE |
| CHUBB CORP                   | COM             | 171232101 | 0     | 0          | SH | SOLE |
| CHUNGHWA TELECOM CO LTD      | SPON ADR NEW11  | 17133Q502 | 21    | 646        | SH | SOLE |
| CHURCH & DWIGHT INC          | COM             | 171340102 | 778   | 14405.313  | SH | SOLE |
| CHURCHILL DOWNS INC          | COM             | 171484108 | 29    | 464.388    | SH | SOLE |
| CIBER INC                    | COM             | 17163B102 | 4     | 1050       | SH | SOLE |
| CIENA CORP                   | COM NEW         | 171779309 | 44    | 3220       | SH | SOLE |
| CIMAREX ENERGY CO            | COM             | 171798101 | 73    | 1256.007   | SH | SOLE |
| CINCINNATI BELL INC NEW      | COM             | 171871106 | 5     | 800        | SH | SOLE |
| CINCINNATI BELL INC NEW      | COM             | 171871106 | 142   | 24848      | SH | SOLE |
| CINCINNATI BELL INC NEW      | PFD CV DEP1/20  | 171871403 | 14    | 300        | SH | SOLE |
| CINCINNATI FINL CORP         | COM             | 172062101 | 736   | 19437.08   | SH | SOLE |
| CINEMARK HOLDINGS INC        | COM             | 17243V102 | 6     | 282        | SH | SOLE |
| CIRRUS LOGIC INC             | COM             | 172755100 | 58    | 1510       | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |      |            |    |      |
|------------------------------|------------------|-----------|------|------------|----|------|
| CISCO SYS INC                | COM              | 17275R102 | 27   | 1426       | SH | SOLE |
| CISCO SYS INC                | COM              | 17275R102 | 3702 | 193925.561 | SH | SOLE |
| CISCO SYS INC                | COM              | 17275R102 | 55   | 2887.778   | SH | SOLE |
| CINTAS CORP                  | COM              | 172908105 | 651  | 15701.022  | SH | SOLE |
| CITIGROUP INC                | UNIT 99/99/9999  | 172967416 | 282  | 2904       | SH | SOLE |
| CITIGROUP INC                | COM NEW          | 172967424 | 0    | 13.022     | SH | SOLE |
| CITIGROUP INC                | COM NEW          | 172967424 | 2361 | 72090.457  | SH | SOLE |
| CITIGROUP INC                | COM NEW          | 172967424 | 0    | 0          | SH | SOLE |
| CITIGROUP INC                | DEP SH 1/1000    | 172967598 | 3    | 50         | SH | SOLE |
| CITI TRENDS INC              | COM              | 17306X102 | 0    | 11         | SH | SOLE |
| CITIZENS REPUBLIC BANCORP IN | COM NEW          | 174420307 | 54   | 2798       | SH | SOLE |
| CITIZENS INC                 | CL A             | 174740100 | 0    | 10.945     | SH | SOLE |
| CITRIX SYS INC               | COM              | 177376100 | 3    | 35         | SH | SOLE |
| CITRIX SYS INC               | COM              | 177376100 | 78   | 1028       | SH | SOLE |
| CITRIX SYS INC               | COM              | 177376100 | 16   | 212        | SH | SOLE |
| CITY NATL CORP               | COM              | 178566105 | 6    | 112.514    | SH | SOLE |
| CLARCOR INC                  | COM              | 179895107 | 11   | 255        | SH | SOLE |
| CLAUDE RES INC               | COM              | 182873109 | 0    | 100        | SH | SOLE |
| CLAYMORE EXCHANGE TRD FD TR  | GUGG BRIC ETF    | 18383M100 | 155  | 4382       | SH | SOLE |
| CLAYMORE EXCHANGE TRD FD TR  | GUGG BULL 2015   | 18383M423 | 36   | 1364.715   | SH | SOLE |
| CLAYMORE EXCHANGE TRD FD TR  | GUGG BULL 2014   | 18383M431 | 8    | 300        | SH | SOLE |
| CLAYMORE EXCHANGE TRD FD TR  | GUGG BULL 2013   | 18383M449 | 8    | 300        | SH | SOLE |
| CLAYMORE EXCHANGE TRD FD TR  | GUGG BULL 2012   | 18383M456 | 3    | 100        | SH | SOLE |
| CLAYMORE EXCHANGE TRD FD TR  | GUG MULTI ASSET  | 18383M506 | 643  | 28785.286  | SH | SOLE |
| CLAYMORE EXCHANGE TRD FD TR  | GUGG CRP BD 2016 | 18383M555 | 10   | 470        | SH | SOLE |
| CLAYMORE EXCHANGE TRD FD TR  | GUGG CRP BD 2015 | 18383M563 | 53   | 2445       | SH | SOLE |
| CLAYMORE EXCHANGE TRD FD TR  | GUGG CRP BD 2014 | 18383M571 | 79   | 3690       | SH | SOLE |
| CLAYMORE EXCHANGE TRD FD TR  | GUG S&PG DIV OPP | 18383M860 | 3    | 213.63     | SH | SOLE |
| CLAYMORE EXCHANGE TRD FD TR  | GUGG S&P GBL WTR | 18383Q507 | 4    | 197.03     | SH | SOLE |
| CLAYMORE EXCHANGE TRD FD TR  | GUGG S&P GBL WTR | 18383Q507 | 197  | 9060       | SH | SOLE |
| CLAYMORE EXCHANGE TRD FD TR  | GUGG S&P GBL WTR | 18383Q507 | 32   | 1453.336   | SH | SOLE |
| CLAYMORE EXCHANGE TRD FD TR  | GUGG CA ENRG INC | 18383Q606 | 44   | 2590       | SH | SOLE |
| CLAYMORE EXCHANGE TRD FD TR  | GUGGENHEIM SOLAR | 18383Q739 | 7    | 424        | SH | SOLE |
| CLAYMORE EXCHANGE TRD FD TR  | GUG INTL MLT ASS | 18383Q804 | 793  | 48508.432  | SH | SOLE |
| CLAYMORE EXCHANGE TRD FD TR  | GUGG FRNTR MKT   | 18383Q838 | 328  | 16301.388  | SH | SOLE |
| CLAYMORE EXCHANGE TRD FD TR  | GUGG FRNTR MKT   | 18383Q838 | 0    | 0          | SH | SOLE |
| CLAYMORE EXCHANGE TRD FD TR  | GUGG CHN SML CAP | 18383Q853 | 125  | 6308       | SH | SOLE |
| CLAYMORE EXCHANGE TRD FD TR  | GUGG TIMBER ETF  | 18383Q879 | 31   | 1674       | SH | SOLE |
| CLEAN HARBORS INC            | COM              | 184496107 | 20   | 408        | SH | SOLE |
| CLEAN ENERGY FUELS CORP      | COM              | 184499101 | 263  | 20000      | SH | SOLE |
| CLEAR CHANNEL OUTDOOR HLDGS  | CL A             | 18451C109 | 0    | 52         | SH | SOLE |
| CLEARBRIDGE ENERGY MLP OPP F | COM              | 18469P100 | 177  | 8400       | SH | SOLE |
| CLEARWIRE CORP NEW           | CL A             | 18538Q105 | 24   | 17804      | SH | SOLE |
| CLEARWATER PAPER CORP        | COM              | 18538R103 | 15   | 375        | SH | SOLE |
| CLEVELAND BIOLABS INC        | COM              | 185860103 | 1187 | 719200     | SH | SOLE |
| CLEVELAND BIOLABS INC        | COM              | 185860103 | 0    | 100        | SH | SOLE |
| CLIFFS NATURAL RESOURCES INC | COM              | 18683K101 | 5    | 137        | SH | SOLE |
| CLIFFS NATURAL RESOURCES INC | COM              | 18683K101 | 876  | 22411.944  | SH | SOLE |
| CLOROX CO DEL                | COM              | 189054109 | 22   | 300        | SH | SOLE |
| CLOROX CO DEL                | COM              | 189054109 | 780  | 10818.812  | SH | SOLE |
| CLOUD PEAK ENERGY INC        | COM              | 18911Q102 | 8    | 469        | SH | SOLE |
| CLOUGH GLOBAL ALLOCATION FUN | COM SHS BEN IN   | 18913Y103 | 10   | 705        | SH | SOLE |
| COACH INC                    | COM              | 189754104 | 170  | 3023.218   | SH | SOLE |
| COCA COLA CO                 | COM              | 191216100 | 1234 | 32997.392  | SH | SOLE |
| COCA COLA CO                 | COM              | 191216100 | 6161 | 162392.08  | SH | SOLE |
| COCA COLA ENTERPRISES INC NE | COM              | 19122T109 | 81   | 2572       | SH | SOLE |
| COCA COLA FEMSA S A B DE C V | SPON ADR REP L   | 191241108 | 4    | 36.591     | SH | SOLE |
| COCA COLA FEMSA S A B DE C V | SPON ADR REP L   | 191241108 | 181  | 1400       | SH | SOLE |
| COEUR D ALENE MINES CORP IDA | COM NEW          | 192108504 | 162  | 5620       | SH | SOLE |
| COGNEX CORP                  | COM              | 192422103 | 43   | 1253       | SH | SOLE |
| COGNIZANT TECHNOLOGY SOLUTIO | CL A             | 192446102 | 298  | 4245       | SH | SOLE |
| COGNIZANT TECHNOLOGY SOLUTIO | CL A             | 192446102 | 14   | 190        | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                |           |       |            |    |      |
|------------------------------|----------------|-----------|-------|------------|----|------|
| COHERENT INC                 | COM            | 192479103 | 7     | 160        | SH | SOLE |
| COHEN & STEERS INC           | COM            | 19247A100 | 2     | 75         | SH | SOLE |
| COHEN & STEERS QUALITY RLTY  | COM            | 19247L106 | 339   | 31555      | SH | SOLE |
| COHEN & STEERS TOTAL RETURN  | COM            | 19247R103 | 40    | 2792.163   | SH | SOLE |
| COHEN & STEERS REIT & PFD IN | COM            | 19247X100 | 157   | 9030       | SH | SOLE |
| COHEN & STEERS INFRASTRUCTUR | COM            | 19248A109 | 216   | 11851.553  | SH | SOLE |
| COHEN & STEERS DIV MJRS FDIN | COM            | 19248G106 | 96    | 6414.795   | SH | SOLE |
| COHEN & STEERS GLOBAL INC BL | COM            | 19248M103 | 22    | 1969.74    | SH | SOLE |
| COHEN & STEERS CLOSED END OP | COM            | 19248P106 | 65    | 5000       | SH | SOLE |
| COHEN & STEERS SLT PFD INCM  | COM            | 19248Y107 | 723   | 26283.563  | SH | SOLE |
| COHU INC                     | COM            | 192576106 | 1     | 110        | SH | SOLE |
| COINSTAR INC                 | COM            | 19259P300 | 0     | 9          | SH | SOLE |
| COINSTAR INC                 | COM            | 19259P300 | 75    | 1674       | SH | SOLE |
| COINSTAR INC                 | COM            | 19259P300 | 15    | 332        | SH | SOLE |
| COLDWATER CREEK INC          | COM            | 193068103 | 2     | 2080       | SH | SOLE |
| COLFAX CORP                  | COM            | 194014106 | 17    | 450        | SH | SOLE |
| COLGATE PALMOLIVE CO         | COM            | 194162103 | 18032 | 168166.018 | SH | SOLE |
| COLONIAL PPTYS TR            | COM SH BEN INT | 195872106 | 20    | 943        | SH | SOLE |
| COLONY FINL INC              | COM            | 19624R106 | 1     | 56         | SH | SOLE |
| COLUMBIA LABS INC            | COM            | 197779101 | 0     | 400        | SH | SOLE |
| COLUMBUS MCKINNON CORP N Y   | COM            | 199333105 | 2     | 104        | SH | SOLE |
| COMCAST CORP NEW             | CL A           | 20030N101 | 583   | 17358      | SH | SOLE |
| COMCAST CORP NEW             | CL A           | 20030N101 | 914   | 25539.479  | SH | SOLE |
| COMCAST CORP NEW             | CL A SPL       | 20030N200 | 98    | 2812.97    | SH | SOLE |
| COMERICA INC                 | COM            | 200340107 | 126   | 4059       | SH | SOLE |
| COMERICA INC                 | COM            | 200340107 | 0     | 0          | SH | SOLE |
| COMMERCE BANCSHARES INC      | COM            | 200525103 | 55    | 1375       | SH | SOLE |
| COMMERCIAL METALS CO         | COM            | 201723103 | 6     | 418.429    | SH | SOLE |
| COMMERCIAL VEH GROUP INC     | COM            | 202608105 | 1     | 147        | SH | SOLE |
| COMMONWEALTH REIT            | COM SH BEN INT | 203233101 | 37    | 2509.488   | SH | SOLE |
| COMMUNITY HEALTH SYS INC NEW | COM            | 203668108 | 23    | 791        | SH | SOLE |
| COMMVAULT SYSTEMS INC        | COM            | 204166102 | 1     | 9          | SH | SOLE |
| CGG VERITAS                  | SPONSORED ADR  | 204386106 | 2     | 48         | SH | SOLE |
| COMPANHIA ENERGETICA DE MINA | SP ADR N-V PFD | 204409601 | 7     | 578        | SH | SOLE |
| COMPANHIA SIDERURGICA NACION | SPONSORED ADR  | 20440W105 | 5     | 1100       | SH | SOLE |
| COMPANHIA SIDERURGICA NACION | SPONSORED ADR  | 20440W105 | 16    | 2887.316   | SH | SOLE |
| COMPANHIA DE SANEAMENTO BASI | SPONSORED ADR  | 20441A102 | 104   | 1277       | SH | SOLE |
| COMPANHIA PARANAENSE ENERG C | SPON ADR PFD   | 20441B407 | 8     | 490        | SH | SOLE |
| COMPANHIA DE BEBIDAS DAS AME | SPON ADR PFD   | 20441W203 | 565   | 14771.081  | SH | SOLE |
| COMPASS MINERALS INTL INC    | COM            | 20451N101 | 152   | 2033.369   | SH | SOLE |
| COMPASS DIVERSIFIED HOLDINGS | SH BEN INT     | 20451Q104 | 24    | 1600       | SH | SOLE |
| COMPUREDIT HLDGS CORP        | COM            | 20478T107 | 2     | 636        | SH | SOLE |
| COMPUTER PROGRAMS & SYS INC  | COM            | 205306103 | 8     | 138        | SH | SOLE |
| COMPUTER SCIENCES CORP       | COM            | 205363104 | 2     | 70         | SH | SOLE |
| COMPUWARE CORP               | COM            | 205638109 | 5     | 486        | SH | SOLE |
| COMSTOCK MNG INC             | COM            | 205750102 | 28    | 8410       | SH | SOLE |
| COMSTOCK RES INC             | COM NEW        | 205768203 | 4     | 200        | SH | SOLE |
| COMTECH TELECOMMUNICATIONS C | COM NEW        | 205826209 | 3     | 93         | SH | SOLE |
| COMVERSE TECHNOLOGY INC      | COM PAR \$0.10 | 205862402 | 10    | 1552       | SH | SOLE |
| CONAGRA FOODS INC            | COM            | 205887102 | 1026  | 37188.536  | SH | SOLE |
| CON-WAY INC                  | COM            | 205944101 | 6     | 206.872    | SH | SOLE |
| CONCEPTUS INC                | COM            | 206016107 | 41    | 2000       | SH | SOLE |
| CONCHO RES INC               | COM            | 20605P101 | 64    | 673        | SH | SOLE |
| CONCUR TECHNOLOGIES INC      | COM            | 206708109 | 18    | 240.002    | SH | SOLE |
| CONMED CORP                  | COM            | 207410101 | 2     | 75         | SH | SOLE |
| CONNECTICUT WTR SVC INC      | COM            | 207797101 | 11    | 357.905    | SH | SOLE |
| CONOCOPHILLIPS               | COM            | 20825C104 | 2     | 36.447     | SH | SOLE |
| CONOCOPHILLIPS               | COM            | 20825C104 | 4436  | 77593.329  | SH | SOLE |
| CONOCOPHILLIPS               | COM            | 20825C104 | 49    | 858.185    | SH | SOLE |
| CONSOL ENERGY INC            | COM            | 20854P109 | 10    | 335        | SH | SOLE |
| CONSOLIDATED COMM HLDGS INC  | COM            | 209034107 | 10    | 600        | SH | SOLE |
| CONSOLIDATED EDISON INC      | COM            | 209115104 | 18    | 300        | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |      |            |    |      |
|------------------------------|------------------|-----------|------|------------|----|------|
| CONSOLIDATED EDISON INC      | COM              | 209115104 | 3627 | 60559.32   | SH | SOLE |
| CONSTANT CONTACT INC         | COM              | 210313102 | 14   | 800        | SH | SOLE |
| CONSTELLATION BRANDS INC     | CL A             | 21036P108 | 67   | 2028       | SH | SOLE |
| CONTANGO OIL & GAS COMPANY   | COM NEW          | 21075N204 | 5    | 100        | SH | SOLE |
| CONTINENTAL RESOURCES INC    | COM              | 212015101 | 251  | 3260       | SH | SOLE |
| CONVERGYS CORP               | COM              | 212485106 | 78   | 5000       | SH | SOLE |
| COOPER COS INC               | COM NEW          | 216648402 | 533  | 5636       | SH | SOLE |
| COOPER COS INC               | COM NEW          | 216648402 | 12   | 124.111    | SH | SOLE |
| COOPER TIRE & RUBR CO        | COM              | 216831107 | 190  | 9923.329   | SH | SOLE |
| COPANO ENERGY L L C          | COM UNITS        | 217202100 | 28   | 860        | SH | SOLE |
| COPART INC                   | COM              | 217204106 | 7    | 264        | SH | SOLE |
| CORESITE RLTY CORP           | COM              | 21870Q105 | 1    | 49         | SH | SOLE |
| CORELOGIC INC                | COM              | 21871D103 | 76   | 2880       | SH | SOLE |
| CORNERSTONE TOTAL RTRN FD IN | COM NEW          | 21924U201 | 4    | 565        | SH | SOLE |
| CORNING INC                  | COM              | 219350105 | 4    | 316        | SH | SOLE |
| CORNING INC                  | COM              | 219350105 | 1707 | 129774.396 | SH | SOLE |
| CORPORATE OFFICE PPTYS TR    | SH BEN INT       | 22002T108 | 5    | 214        | SH | SOLE |
| CORRECTIONS CORP AMER NEW    | COM NEW          | 22025Y407 | 20   | 583.369    | SH | SOLE |
| COSI INC                     | COM              | 22122P101 | 0    | 200        | SH | SOLE |
| COSTCO WHSL CORP NEW         | COM              | 22160K105 | 2139 | 21361.084  | SH | SOLE |
| COSTCO WHSL CORP NEW         | COM              | 22160K105 | 0    | 0          | SH | SOLE |
| COTT CORP QUE                | COM              | 22163N106 | 1    | 93         | SH | SOLE |
| COUSINS PPTYS INC            | COM              | 222795106 | 2    | 219        | SH | SOLE |
| COVANCE INC                  | COM              | 222816100 | 31   | 647        | SH | SOLE |
| COVANTA HLDG CORP            | COM              | 22282E102 | 595  | 34701.297  | SH | SOLE |
| COVENTRY HEALTH CARE INC     | COM              | 222862104 | 1    | 22.167     | SH | SOLE |
| COVENTRY HEALTH CARE INC     | COM              | 222862104 | 8    | 191        | SH | SOLE |
| CRACKER BARREL OLD CTRY STOR | COM              | 22410J106 | 359  | 5695       | SH | SOLE |
| CRACKER BARREL OLD CTRY STOR | COM              | 22410J106 | 76   | 1130       | SH | SOLE |
| CRANE CO                     | COM              | 224399105 | 2    | 58         | SH | SOLE |
| CREDIT SUISSE ASSET MGMT INC | COM              | 224916106 | 8    | 2000       | SH | SOLE |
| CRAY INC                     | COM NEW          | 225223304 | 3    | 250        | SH | SOLE |
| CREDIT ACCEP CORP MICH       | COM              | 225310101 | 19   | 219        | SH | SOLE |
| CREDIT ACCEP CORP MICH       | COM              | 225310101 | 15   | 176        | SH | SOLE |
| CREDIT SUISSE GROUP          | SPONSORED ADR    | 225401108 | 84   | 3982       | SH | SOLE |
| CREDIT SUISSE NASSAU BRH     | 3X LONG SILVER   | 22542D662 | 16   | 500        | SH | SOLE |
| CREDIT SUISSE NASSAU BRH     | ETN LKD 30 MLP   | 22542D852 | 22   | 888        | SH | SOLE |
| CREE INC                     | COM              | 225447101 | 0    | 15         | SH | SOLE |
| CREE INC                     | COM              | 225447101 | 262  | 10287      | SH | SOLE |
| CREDIT SUISSE HIGH YLD BND F | SH BEN INT       | 22544F103 | 36   | 11051.132  | SH | SOLE |
| CRESTWOOD MIDSTREAM PRNTRS   | COM UNITS REPSTG | 226372100 | 6    | 250        | SH | SOLE |
| CREXUS INVT CORP             | COM              | 226553105 | 52   | 4810       | SH | SOLE |
| CRIMSON EXPLORATION INC      | COM NEW          | 22662K207 | 1    | 300        | SH | SOLE |
| CROSS TIMBERS RTY TR         | TR UNIT          | 22757R109 | 9    | 250        | SH | SOLE |
| CROSSTEX ENERGY L P          | COM              | 22765U102 | 59   | 3850       | SH | SOLE |
| CROSSTEX ENERGY INC          | COM              | 22765Y104 | 33   | 2335.4     | SH | SOLE |
| CROWN CASTLE INTL CORP       | COM              | 228227104 | 158  | 2400       | SH | SOLE |
| CROWN HOLDINGS INC           | COM              | 228368106 | 34   | 903        | SH | SOLE |
| CTRIIP COM INTL LTD          | AMERICAN DEP SHS | 22943F100 | 6    | 361        | SH | SOLE |
| CUBESMART                    | COM              | 229663109 | 3    | 254        | SH | SOLE |
| CUBIC CORP                   | COM              | 229669106 | 3    | 53         | SH | SOLE |
| CUBIC ENERGY INC             | COM              | 229675103 | 54   | 141000     | SH | SOLE |
| CUBIST PHARMACEUTICALS INC   | COM              | 229678107 | 14   | 300        | SH | SOLE |
| CULLEN FROST BANKERS INC     | COM              | 229899109 | 87   | 1516       | SH | SOLE |
| CUMBERLAND PHARMACEUTICALS I | COM              | 230770109 | 1    | 200        | SH | SOLE |
| CUMMINS INC                  | COM              | 231021106 | 794  | 8606.862   | SH | SOLE |
| CUMMINS INC                  | COM              | 231021106 | 14   | 155.981    | SH | SOLE |
| CURIS INC                    | COM              | 231269101 | 51   | 12305      | SH | SOLE |
| CURRENCYSHARES AUSTRALIAN DL | AUSTRALIAN DOL   | 23129U101 | 51   | 495        | SH | SOLE |
| CURRENCYSHARES AUSTRALIAN DL | AUSTRALIAN DOL   | 23129U101 | 179  | 1722.388   | SH | SOLE |
| CURRENCYSHARES SWISS FRANC T | SWISS FRANC SH   | 23129V109 | 8    | 80         | SH | SOLE |
| CURRENCYSHARES SWISS FRANC T | SWISS FRANC SH   | 23129V109 | 34   | 324        | SH | SOLE |



Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                               |                  |           |      |           |    |      |
|-------------------------------|------------------|-----------|------|-----------|----|------|
| CURRENCYSHARES CDN DLR TR     | CDN DOLLAR SHS   | 23129X105 | 121  | 1194.149  | SH | SOLE |
| CURRENCYSHS JAPANESE YEN TR   | JAPANESE YEN     | 23130A102 | 8    | 61        | SH | SOLE |
| CURRENCYSHARES EURO TR        | EURO SHS         | 23130C108 | 36   | 280.311   | SH | SOLE |
| CURTISS WRIGHT CORP           | COM              | 231561101 | 14   | 423       | SH | SOLE |
| CUSHING MLP TOTAL RETURN FD   | COM SHS          | 231631102 | 36   | 4102      | SH | SOLE |
| CUSHING MLP TOTAL RETURN FD   | COM SHS          | 231631102 | 19   | 2136.007  | SH | SOLE |
| CUSHING MLP TOTAL RETURN FD   | COM SHS          | 231631102 | 877  | 99893.446 | SH | SOLE |
| CUTWATER SELECT INCOME FD     | COM              | 232229104 | 84   | 4115.441  | SH | SOLE |
| CYBEROPTICS CORP              | COM              | 232517102 | 15   | 2016      | SH | SOLE |
| CYBERONICS INC                | COM              | 23251P102 | 10   | 185       | SH | SOLE |
| CYPRESS SEMICONDUCTOR CORP    | COM              | 232806109 | 27   | 2551      | SH | SOLE |
| CYTEC INDS INC                | COM              | 232820100 | 11   | 179       | SH | SOLE |
| CYTRX CORP                    | COM PAR \$.001   | 232828509 | 0    | 101       | SH | SOLE |
| CYTRX CORP                    | COM PAR \$.001   | 232828509 | 10   | 2858      | SH | SOLE |
| CYTOKINETICS INC              | COM              | 23282W100 | 0    | 100       | SH | SOLE |
| CYTORI THERAPEUTICS INC       | COM              | 23283K105 | 42   | 9495      | SH | SOLE |
| DCP MIDSTREAM PARTNERS LP     | COM UT LTD PTN   | 23311P100 | 9    | 200       | SH | SOLE |
| DCT INDUSTRIAL TRUST INC      | COM              | 233153105 | 543  | 83987.014 | SH | SOLE |
| DDR CORP                      | COM              | 23317H102 | 629  | 40920.653 | SH | SOLE |
| DFC GLOBAL CORP               | COM              | 23324T107 | 13   | 750       | SH | SOLE |
| DNP SELECT INCOME FD          | COM              | 23325P104 | 237  | 23999.966 | SH | SOLE |
| DNP SELECT INCOME FD          | RIGHT 99/99/9999 | 23325P112 | 1    | 25312     | SH | SOLE |
| D R HORTON INC                | COM              | 23331A109 | 41   | 2150      | SH | SOLE |
| D R HORTON INC                | COM              | 23331A109 | 63   | 3007.937  | SH | SOLE |
| DST SYS INC DEL               | COM              | 233326107 | 5    | 87        | SH | SOLE |
| DTE ENERGY CO                 | COM              | 233331107 | 353  | 5894.343  | SH | SOLE |
| DTF TAX-FREE INCOME INC       | COM              | 23334J107 | 28   | 1615      | SH | SOLE |
| DSW INC                       | CL A             | 23334L102 | 16   | 242       | SH | SOLE |
| DXP ENTERPRISES INC NEW       | COM NEW          | 233377407 | 25   | 531       | SH | SOLE |
| DWS HIGH INCOME TR            | SHS NEW          | 23337C208 | 2    | 200       | SH | SOLE |
| DWS MUN INCOME TR             | COM              | 23338M106 | 13   | 862       | SH | SOLE |
| DWS STRATEGIC INCOME TR       | SHS              | 23338N104 | 133  | 8935      | SH | SOLE |
| DWS GLOBAL HIGH INCOME FD     | COM              | 23338W104 | 14   | 1626.686  | SH | SOLE |
| DWS HIGH INCOME OPPORT FD IN  | COM NEW          | 23339M204 | 123  | 7728.168  | SH | SOLE |
| DAKTRONICS INC                | COM              | 234264109 | 3    | 300       | SH | SOLE |
| DANA HLDG CORP                | COM              | 235825205 | 177  | 14415.42  | SH | SOLE |
| DANAHER CORP DEL              | COM              | 235851102 | 192  | 3457.11   | SH | SOLE |
| DARDEN RESTAURANTS INC        | COM              | 237194105 | 210  | 3772.153  | SH | SOLE |
| DAVITA INC                    | COM              | 23918K108 | 233  | 2250      | SH | SOLE |
| DAVITA INC                    | COM              | 23918K108 | 351  | 3365      | SH | SOLE |
| DAWSON GEOPHYSICAL CO         | COM              | 239359102 | 48   | 1902      | SH | SOLE |
| DEAN FOODS CO NEW             | COM              | 242370104 | 18   | 1095      | SH | SOLE |
| DECKERS OUTDOOR CORP          | COM              | 243537107 | 10   | 200       | SH | SOLE |
| DECKERS OUTDOOR CORP          | COM              | 243537107 | 20   | 540       | SH | SOLE |
| DEER CONSUMER PRODS INC       | COM NEW          | 24379J200 | 0    | 100       | SH | SOLE |
| DEERE & CO                    | COM              | 244199105 | 2724 | 33030.579 | SH | SOLE |
| DEJOUR ENERGY INC             | COM              | 24486R103 | 0    | 2000      | SH | SOLE |
| DELAWARE INVTS DIV & INCOME   | COM              | 245915103 | 45   | 5495      | SH | SOLE |
| DELAWARE ENHANCED GBL DIV &   | COM              | 246060107 | 12   | 1075      | SH | SOLE |
| DELAWARE INVNT NAT MUNI INC F | SH BEN INT       | 24610T108 | 184  | 12950     | SH | SOLE |
| DELAWARE INV MN MUN INC FD I  | COM              | 24610V103 | 11   | 735       | SH | SOLE |
| DELICATH SYS INC              | COM              | 24661P104 | 2    | 1260      | SH | SOLE |
| DELL INC                      | COM              | 24702R101 | 132  | 13447     | SH | SOLE |
| DELTA AIR LINES INC DEL       | COM NEW          | 247361702 | 57   | 6114      | SH | SOLE |
| DENBURY RES INC               | COM NEW          | 247916208 | 519  | 32130     | SH | SOLE |
| DELUXE CORP                   | COM              | 248019101 | 39   | 1286      | SH | SOLE |
| DENDREON CORP                 | COM              | 24823Q107 | 109  | 22740     | SH | SOLE |
| DENISON MINES CORP            | COM              | 248356107 | 1    | 750       | SH | SOLE |
| DENNYS CORP                   | COM              | 24869P104 | 5    | 1125      | SH | SOLE |
| DENTSPLY INTL INC NEW         | COM              | 249030107 | 863  | 22631.148 | SH | SOLE |
| DESARROLLADORA HOMEX S A DE   | SPONSORED ADR    | 25030W100 | 4    | 267       | SH | SOLE |
| DEUTSCHE BK AG LONDON         | ETN DJ HY 2022   | 25153Q658 | 52   | 4830      | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |      |           |    |      |
|------------------------------|------------------|-----------|------|-----------|----|------|
| DEUTSCHE BK AG LONDON        | ELE MORN TTL22   | 25153Q708 | 25   | 1700      | SH | SOLE |
| DEUTSCHE BK AG LDN BRH       | PS CMDTY SH ETN  | 25154H467 | 6    | 200       | SH | SOLE |
| DEUTSCHE BK AG LDN BRH       | PS GOLD SH ETN   | 25154H731 | 9    | 800       | SH | SOLE |
| DEVON ENERGY CORP NEW        | COM              | 25179M103 | 8    | 137       | SH | SOLE |
| DEVON ENERGY CORP NEW        | COM              | 25179M103 | 1583 | 26175.207 | SH | SOLE |
| DEVON ENERGY CORP NEW        | COM              | 25179M103 | 0    | 0         | SH | SOLE |
| DEVRY INC DEL                | COM              | 251893103 | 1    | 50        | SH | SOLE |
| DEXCOM INC                   | COM              | 252131107 | 1    | 47        | SH | SOLE |
| DIAGEO P L C                 | SPON ADR NEW     | 25243Q205 | 1702 | 15095.283 | SH | SOLE |
| DIAGEO P L C                 | SPON ADR NEW     | 25243Q205 | 0    | 0         | SH | SOLE |
| DIAL GLOBAL INC              | COM              | 25247X107 | 2    | 555       | SH | SOLE |
| DIAMOND FOODS INC            | COM              | 252603105 | 4    | 200       | SH | SOLE |
| DIAMOND OFFSHORE DRILLING IN | COM              | 25271C102 | 211  | 3210.791  | SH | SOLE |
| DIAMOND OFFSHORE DRILLING IN | COM              | 25271C102 | 5    | 73.703    | SH | SOLE |
| DIAMONDROCK HOSPITALITY CO   | COM              | 252784301 | 0    | 1.196     | SH | SOLE |
| DICE HLDGS INC               | COM              | 253017107 | 6    | 725       | SH | SOLE |
| DICKS SPORTING GOODS INC     | COM              | 253393102 | 121  | 2328.216  | SH | SOLE |
| DIEBOLD INC                  | COM              | 253651103 | 56   | 1675      | SH | SOLE |
| DIGI INTL INC                | COM              | 253798102 | 5    | 500       | SH | SOLE |
| DIGITAL RLTY TR INC          | COM              | 253868103 | 81   | 1155.957  | SH | SOLE |
| DIGITAL RLTY TR INC          | COM              | 253868103 | 0    | 0         | SH | SOLE |
| DIGITAL RIV INC              | COM              | 25388B104 | 19   | 1125      | SH | SOLE |
| DIME CMNTY BANCSHARES        | COM              | 253922108 | 13   | 896       | SH | SOLE |
| DIGITAL GENERATION INC       | COM              | 25400B108 | 3    | 240       | SH | SOLE |
| DILLARDS INC                 | CL A             | 254067101 | 33   | 452       | SH | SOLE |
| DINEEQUITY INC               | COM              | 254423106 | 13   | 233       | SH | SOLE |
| DIREXION SHS ETF TR          | DLY SMCAP BEAR3X | 25459W110 | 1    | 66        | SH | SOLE |
| DIREXION SHS ETF TR          | DLY MIDCAP ETF3X | 25459W730 | 574  | 16600.843 | SH | SOLE |
| DIREXION SHS ETF TR          | DLY R/E BULL3X   | 25459W755 | 694  | 9514.012  | SH | SOLE |
| DIREXION SHS ETF TR          | DLY DEV MKT BULL | 25459W789 | 1666 | 46194.872 | SH | SOLE |
| DIREXION SHS ETF TR          | DLY SMCAP BULL3X | 25459W847 | 656  | 10660     | SH | SOLE |
| DIREXION SHS ETF TR          | DRX S&P500BULL   | 25459W862 | 92   | 1100      | SH | SOLE |
| DIREXION SHS ETF TR          | DRX S&P500BULL   | 25459W862 | 1610 | 17847     | SH | SOLE |
| DIREXION SHS ETF TR          | 20YR TRES BEAR   | 25459Y678 | 249  | 4672      | SH | SOLE |
| DIREXION SHS ETF TR          | 20YR TRES BEAR   | 25459Y678 | 0    | 0         | SH | SOLE |
| DIREXION SHS ETF TR          | DLY EMRGMKT BULL | 25459Y686 | 1615 | 18171.294 | SH | SOLE |
| DIREXION SHS ETF TR          | DLY FIN BULL NEW | 25459Y694 | 75   | 714       | SH | SOLE |
| DISCOVERY LABORATORIES INC N | COM NEW          | 254668403 | 10   | 3159      | SH | SOLE |
| DISNEY WALT CO               | COM DISNEY       | 254687106 | 87   | 1750.502  | SH | SOLE |
| DISNEY WALT CO               | COM DISNEY       | 254687106 | 3309 | 63281.962 | SH | SOLE |
| DISNEY WALT CO               | COM DISNEY       | 254687106 | 0    | 0         | SH | SOLE |
| DISCOVER FINL SVCS           | COM              | 254709108 | 267  | 6722.868  | SH | SOLE |
| DISCOVERY COMMUNICATNS NEW   | COM SER A        | 25470F104 | 639  | 11657     | SH | SOLE |
| DISCOVERY COMMUNICATNS NEW   | COM SER A        | 25470F104 | 150  | 2520      | SH | SOLE |
| DISCOVERY COMMUNICATNS NEW   | COM SER C        | 25470F302 | 150  | 2673      | SH | SOLE |
| DISH NETWORK CORP            | CL A             | 25470M109 | 21   | 674       | SH | SOLE |
| DIRECTV                      | COM              | 25490A309 | 436  | 8304      | SH | SOLE |
| DR REDDYS LABS LTD           | ADR              | 256135203 | 31   | 1016      | SH | SOLE |
| DOLBY LABORATORIES INC       | COM              | 25659T107 | 73   | 2219      | SH | SOLE |
| DOLE FOOD CO INC NEW         | COM              | 256603101 | 80   | 5732      | SH | SOLE |
| DOLLAR GEN CORP NEW          | COM              | 256677105 | 81   | 1568      | SH | SOLE |
| DOLLAR TREE INC              | COM              | 256746108 | 545  | 11321     | SH | SOLE |
| DOLLAR TREE INC              | COM              | 256746108 | 81   | 1684      | SH | SOLE |
| DOLLAR TREE INC              | COM              | 256746108 | 39   | 816       | SH | SOLE |
| DOMINION RES BLACK WARRIOR T | UNITS BEN INT    | 25746Q108 | 26   | 5686.172  | SH | SOLE |
| DOMINION RES INC VA NEW      | COM              | 25746U109 | 1812 | 34215.208 | SH | SOLE |
| DOMINOS PIZZA INC            | COM              | 25754A201 | 57   | 1519.749  | SH | SOLE |
| DOMTAR CORP                  | COM NEW          | 257559203 | 45   | 576       | SH | SOLE |
| DONALDSON INC                | COM              | 257651109 | 21   | 603.165   | SH | SOLE |
| DONNELLEY R R & SONS CO      | COM              | 257867101 | 30   | 2835.772  | SH | SOLE |
| DORAL FINL CORP              | COM NEW          | 25811P886 | 0    | 126       | SH | SOLE |
| DORCHESTER MINERALS LP       | COM UNIT         | 25820R105 | 91   | 4107.913  | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |      |           |    |      |
|------------------------------|------------------|-----------|------|-----------|----|------|
| DOUBLE EAGLE PETE CO         | COM PAR \$0.10   | 258570209 | 6    | 1000      | SH | SOLE |
| DOUGLAS EMMETT INC           | COM              | 25960P109 | 5    | 211       | SH | SOLE |
| DOUGLAS DYNAMICS INC         | COM              | 25960R105 | 4    | 300       | SH | SOLE |
| DOVER CORP                   | COM              | 260003108 | 561  | 9432.667  | SH | SOLE |
| DOW 30SM ENHANCED PREM & INC | COM              | 260537105 | 33   | 3000      | SH | SOLE |
| DOW 30SM ENHANCED PREM & INC | COM              | 260537105 | 342  | 30700     | SH | SOLE |
| DOW CHEM CO                  | COM              | 260543103 | 476  | 16426.996 | SH | SOLE |
| DOW CHEM CO                  | COM              | 260543103 | 14   | 475       | SH | SOLE |
| DOW 30 PREMIUM & DIV INC FD  | COM              | 260582101 | 30   | 2150      | SH | SOLE |
| DR PEPPER SNAPPLE GROUP INC  | COM              | 26138E109 | 224  | 5033      | SH | SOLE |
| DRDGOLD LIMITED              | SPON ADR REPSTG  | 26152H301 | 1    | 110       | SH | SOLE |
| DREAMWORKS ANIMATION SKG INC | CL A             | 26153C103 | 0    | 16        | SH | SOLE |
| DRESSER-RAND GROUP INC       | COM              | 261608103 | 21   | 389       | SH | SOLE |
| DREYFUS STRATEGIC MUNS INC   | COM              | 261932107 | 231  | 23500     | SH | SOLE |
| DREYFUS HIGH YIELD STRATEGIE | SH BEN INT       | 26200S101 | 59   | 13500     | SH | SOLE |
| DREYFUS MUN INCOME INC       | COM              | 26201R102 | 16   | 1413      | SH | SOLE |
| DREYFUS STRATEGIC MUN BD FD  | COM              | 26202F107 | 3    | 279       | SH | SOLE |
| DRIL-QUIP INC                | COM              | 262037104 | 25   | 350       | SH | SOLE |
| DU PONT E I DE NEMOURS & CO  | COM              | 263534109 | 16   | 317.279   | SH | SOLE |
| DU PONT E I DE NEMOURS & CO  | COM              | 263534109 | 3361 | 66872.139 | SH | SOLE |
| DU PONT E I DE NEMOURS & CO  | COM              | 263534109 | 0    | 0.714     | SH | SOLE |
| DUFF & PHELPS UTIL CORP BD T | COM              | 26432K108 | 94   | 7376.919  | SH | SOLE |
| DUFF & PHELPS GLB UTL INC FD | COM              | 26433C105 | 112  | 6100      | SH | SOLE |
| DUKE REALTY CORP             | COM NEW          | 264411505 | 171  | 11659.539 | SH | SOLE |
| DUKE ENERGY CORP NEW         | COM NEW          | 26441C204 | 18   | 273.573   | SH | SOLE |
| DUKE ENERGY CORP NEW         | COM NEW          | 26441C204 | 3131 | 48320.123 | SH | SOLE |
| DUN & BRADSTREET CORP DEL NE | COM              | 26483E100 | 13   | 163       | SH | SOLE |
| DUNKIN BRANDS GROUP INC      | COM              | 265504100 | 121  | 4138.804  | SH | SOLE |
| DUPONT FABROS TECHNOLOGY INC | COM              | 26613Q106 | 5    | 210       | SH | SOLE |
| DURECT CORP                  | COM              | 266605104 | 22   | 15004     | SH | SOLE |
| DYCOM INDS INC               | COM              | 267475101 | 20   | 1366      | SH | SOLE |
| DYNEGY INC DEL               | COM              | 26817G300 | 0    | 235       | SH | SOLE |
| DYNEX CAP INC                | COM NEW          | 26817Q506 | 20   | 1902      | SH | SOLE |
| ECA MARCELLUS TR I           | COM UNIT         | 26827L109 | 31   | 1600      | SH | SOLE |
| E-COMMERCE CHINA DANGDANG IN | SPN ADS COM A    | 26833A105 | 67   | 14100     | SH | SOLE |
| EGA EMERGING GLOBAL SHS TR   | LOW VOL EM DIV   | 268461654 | 363  | 19350     | SH | SOLE |
| EGA EMERGING GLOBAL SHS TR   | EGS EMKTCONS ETF | 268461779 | 103  | 4218      | SH | SOLE |
| EGA EMERGING GLOBAL SHS TR   | EGS BRAZ INF ETF | 268461829 | 13   | 600       | SH | SOLE |
| E M C CORP MASS              | COM              | 268648102 | 39   | 1450      | SH | SOLE |
| E M C CORP MASS              | COM              | 268648102 | 2122 | 77827     | SH | SOLE |
| E M C CORP MASS              | COM              | 268648102 | 71   | 2596      | SH | SOLE |
| ENI S P A                    | SPONSORED ADR    | 26874R108 | 13   | 296       | SH | SOLE |
| EOG RES INC                  | COM              | 26875P101 | 49   | 454       | SH | SOLE |
| EOG RES INC                  | COM              | 26875P101 | 357  | 3190.337  | SH | SOLE |
| EPIQ SYS INC                 | COM              | 26882D109 | 3    | 206       | SH | SOLE |
| EQT CORP                     | COM              | 26884L109 | 386  | 6552      | SH | SOLE |
| ETFS PLATINUM TR             | SH BEN INT       | 26922V101 | 56   | 340       | SH | SOLE |
| ETFS PRECIOUS METALS BASKET  | PHYS PM BSKT     | 26922W109 | 380  | 3784      | SH | SOLE |
| ETFS SILVER TR               | SILVER SHS       | 26922X107 | 760  | 22231     | SH | SOLE |
| ETFS GOLD TR                 | SHS              | 26922Y105 | 939  | 5356      | SH | SOLE |
| ETFS PALLADIUM TR            | SH BEN INT       | 26923A106 | 18   | 281       | SH | SOLE |
| E TRADE FINANCIAL CORP       | COM NEW          | 269246401 | 3    | 381       | SH | SOLE |
| EV ENERGY PARTNERS LP        | COM UNITS        | 26926V107 | 19   | 300       | SH | SOLE |
| EXCO RESOURCES INC           | COM              | 269279402 | 40   | 5000      | SH | SOLE |
| EAGLE MATERIALS INC          | COM              | 26969P108 | 35   | 754       | SH | SOLE |
| EAGLE ROCK ENERGY PARTNERS L | UNIT             | 26985R104 | 19   | 2000      | SH | SOLE |
| EAST WEST BANCORP INC        | COM              | 27579R104 | 2    | 96        | SH | SOLE |
| EASTERN AMERN NAT GAS TR     | UNIT 99/99/9999  | 276217106 | 32   | 1500      | SH | SOLE |
| EASTGROUP PPTY INC           | COM              | 277276101 | 10   | 181       | SH | SOLE |
| EASTMAN CHEM CO              | COM              | 277432100 | 283  | 4976      | SH | SOLE |
| EASTMAN CHEM CO              | COM              | 277432100 | 45   | 784       | SH | SOLE |
| EASTMAN CHEM CO              | *W EXP 02/27/201 | 277432118 | 0    | 4         | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |      |            |    |      |
|------------------------------|------------------|-----------|------|------------|----|------|
| EATON CORP                   | COM              | 278058102 | 917  | 19409.291  | SH | SOLE |
| EATON VANCE CORP             | COM NON VTG      | 278265103 | 35   | 1202       | SH | SOLE |
| EATON VANCE MA MUNI INCOME T | SH BEN INT       | 27826E104 | 32   | 2000       | SH | SOLE |
| EATON VANCE CA MUNI INCOME T | SH BEN INT       | 27826F101 | 5    | 350        | SH | SOLE |
| EATON VANCE OH MUNI INCOME T | SH BEN INT       | 27826G109 | 85   | 5328       | SH | SOLE |
| EATON VANCE SR INCOME TR     | SH BEN INT       | 27826S103 | 535  | 70446.095  | SH | SOLE |
| EATON VANCE ENHANCED EQ INC  | COM              | 278274105 | 3    | 227        | SH | SOLE |
| EATON VANCE ENH EQTY INC FD  | COM              | 278277108 | 44   | 3970.568   | SH | SOLE |
| EATON VANCE FLTING RATE INC  | COM              | 278279104 | 3    | 169        | SH | SOLE |
| EATON VANCE FLTING RATE INC  | COM              | 278279104 | 29   | 1737       | SH | SOLE |
| EATON VANCE MUN BD FD II     | COM              | 27827K109 | 138  | 9929       | SH | SOLE |
| EATON VANCE MUN BD FD        | COM              | 27827X101 | 898  | 62116.632  | SH | SOLE |
| EATON VANCE TAX ADVT DIV INC | COM              | 27828G107 | 1036 | 60566.425  | SH | SOLE |
| EATON VANCE LTD DUR INCOME F | COM              | 27828H105 | 6    | 356        | SH | SOLE |
| EATON VANCE LTD DUR INCOME F | COM              | 27828H105 | 65   | 3853.496   | SH | SOLE |
| EATON VANCE TX MGD DIV EQ IN | COM              | 27828N102 | 129  | 13528.158  | SH | SOLE |
| EATON VANCE SR FLTNG RTE TR  | COM              | 27828Q105 | 221  | 13319.504  | SH | SOLE |
| EATON VANCE TX ADV GBL DIV   | COM              | 27828S101 | 14   | 1010.898   | SH | SOLE |
| EATON VANCE SH TM DR DIVR IN | COM              | 27828V104 | 7    | 377        | SH | SOLE |
| EATON VANCE PA MUN BD FD     | COM              | 27828W102 | 63   | 4000       | SH | SOLE |
| EATON VANCE TAX MNGED BUY WR | COM              | 27828X100 | 43   | 2890.828   | SH | SOLE |
| EATON VANCE TX MNG BY WRT OP | COM              | 27828Y108 | 1348 | 103606.832 | SH | SOLE |
| EATON VANCE TXMGD GL BUYWR O | COM              | 27829C105 | 123  | 11072.281  | SH | SOLE |
| EATON VANCE TAX MNGD GBL DV  | COM              | 27829F108 | 198  | 22895      | SH | SOLE |
| EATON VANCE TAX MNGD GBL DV  | COM              | 27829F108 | 326  | 37014.872  | SH | SOLE |
| EATON VANCE RISK MNGD DIV EQ | COM              | 27829G106 | 17   | 1650       | SH | SOLE |
| EATON VANCE NATL MUN OPPORT  | COM SHS          | 27829L105 | 46   | 2000       | SH | SOLE |
| EBAY INC                     | COM              | 278642103 | 15   | 314        | SH | SOLE |
| EBAY INC                     | COM              | 278642103 | 750  | 15503      | SH | SOLE |
| EBIX INC                     | COM NEW          | 278715206 | 3    | 142        | SH | SOLE |
| ECHELON CORP                 | COM              | 27874N105 | 103  | 26800      | SH | SOLE |
| ECHOSTAR CORP                | CL A             | 278768106 | 0    | 10         | SH | SOLE |
| ECOLAB INC                   | COM              | 278865100 | 561  | 8755       | SH | SOLE |
| ECOLAB INC                   | COM              | 278865100 | 238  | 3672       | SH | SOLE |
| ECOLAB INC                   | COM              | 278865100 | 0    | 0          | SH | SOLE |
| ECOPETROL S A                | SPONSORED ADS    | 279158109 | 42   | 710        | SH | SOLE |
| ECOTALITY INC                | COM NEW          | 27922Y202 | 1    | 2112       | SH | SOLE |
| EDISON INTL                  | COM              | 281020107 | 1    | 30         | SH | SOLE |
| EDISON INTL                  | COM              | 281020107 | 696  | 15212.98   | SH | SOLE |
| EDUCATION RLTY TR INC        | COM              | 28140H104 | 11   | 1043       | SH | SOLE |
| EDWARDS LIFESCIENCES CORP    | COM              | 28176E108 | 212  | 1974       | SH | SOLE |
| EGAIN COMMUNICATIONS         | COM NEW          | 28225C806 | 0    | 3          | SH | SOLE |
| 8X8 INC NEW                  | COM              | 282914100 | 15   | 2373       | SH | SOLE |
| EL PASO PIPELINE PARTNERS L  | COM UNIT LPI     | 283702108 | 42   | 1137.043   | SH | SOLE |
| ELAN PLC                     | ADR              | 284131208 | 29   | 2726       | SH | SOLE |
| ELDORADO GOLD CORP NEW       | COM              | 284902103 | 4    | 278        | SH | SOLE |
| ELECTRONIC ARTS INC          | COM              | 285512109 | 1    | 100        | SH | SOLE |
| ELECTRONIC ARTS INC          | COM              | 285512109 | 48   | 3800       | SH | SOLE |
| ELEPHANT TALK COMM CORP      | COM NEW          | 286202205 | 41   | 30012      | SH | SOLE |
| ELIZABETH ARDEN INC          | COM              | 28660G106 | 2    | 38         | SH | SOLE |
| ELIZABETH ARDEN INC          | COM              | 28660G106 | 7    | 159        | SH | SOLE |
| ELLIE MAE INC                | COM              | 28849P100 | 28   | 1030       | SH | SOLE |
| ELLSWORTH FUND LTD           | COM              | 289074106 | 0    | 25         | SH | SOLE |
| EMAGIN CORP                  | COM NEW          | 29076N206 | 0    | 80         | SH | SOLE |
| EMBRAER S A                  | SP ADR REP 4 COM | 29082A107 | 20   | 755        | SH | SOLE |
| EMCOR GROUP INC              | COM              | 29084Q100 | 4    | 124        | SH | SOLE |
| EMERSON ELEC CO              | COM              | 291011104 | 4    | 72         | SH | SOLE |
| EMERSON ELEC CO              | COM              | 291011104 | 1396 | 28926.044  | SH | SOLE |
| EMERSON ELEC CO              | COM              | 291011104 | 0    | 0          | SH | SOLE |
| EMERALD OIL INC              | COM              | 29101U100 | 25   | 30000      | SH | SOLE |
| EMERSON RADIO CORP           | COM NEW          | 291087203 | 0    | 26         | SH | SOLE |
| EMPIRE DIST ELEC CO          | COM              | 291641108 | 34   | 1556.855   | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                |           |      |               |      |
|------------------------------|----------------|-----------|------|---------------|------|
| EMPIRE RESORTS INC           | COM NEW        | 292052206 | 1    | 466 SH        | SOLE |
| EMPLOYERS HOLDINGS INC       | COM            | 292218104 | 6    | 311 SH        | SOLE |
| EMPRESAS ICA S A DE CV       | SPONS ADR NEW  | 292448206 | 3    | 364 SH        | SOLE |
| EMPRESA NACIONAL DE ELCTRCID | SPONSORED ADR  | 29244T101 | 14   | 300 SH        | SOLE |
| EMULEX CORP                  | COM NEW        | 292475209 | 11   | 1568 SH       | SOLE |
| ENCANA CORP                  | COM            | 292505104 | 657  | 29953.989 SH  | SOLE |
| ENCANA CORP                  | COM            | 292505104 | 0    | 0 SH          | SOLE |
| ENBRIDGE INC                 | COM            | 29250N105 | 4    | 106 SH        | SOLE |
| ENBRIDGE INC                 | COM            | 29250N105 | 556  | 14245.877 SH  | SOLE |
| ENBRIDGE ENERGY PARTNERS L P | COM            | 29250R106 | 2342 | 79549.973 SH  | SOLE |
| ENBRIDGE ENERGY MANAGEMENT L | SHS UNITS LLI  | 29250X103 | 115  | 3626 SH       | SOLE |
| ENDEAVOUR SILVER CORP        | COM            | 29258Y103 | 341  | 34200 SH      | SOLE |
| ENDO HEALTH SOLUTIONS INC    | COM            | 29264F205 | 2    | 59 SH         | SOLE |
| ENDO HEALTH SOLUTIONS INC    | COM            | 29264F205 | 25   | 770 SH        | SOLE |
| ENDO HEALTH SOLUTIONS INC    | COM            | 29264F205 | 11   | 350 SH        | SOLE |
| ENERGEN CORP                 | COM            | 29265N108 | 68   | 1307.99 SH    | SOLE |
| ENERGIZER HLDGS INC          | COM            | 29266R108 | 86   | 1155.963 SH   | SOLE |
| ENDOLOGIX INC                | COM            | 29266S106 | 1    | 48 SH         | SOLE |
| ENERGY RECOVERY INC          | COM            | 29270J100 | 13   | 4485 SH       | SOLE |
| ENERGY TRANSFER PRTRNS L P   | UNIT LTD PARTN | 29273R109 | 129  | 3010 SH       | SOLE |
| ENERGY TRANSFER PRTRNS L P   | UNIT LTD PARTN | 29273R109 | 2399 | 56354.806 SH  | SOLE |
| ENERGY TRANSFER EQUITY L P   | COM UT LTD PTN | 29273V100 | 750  | 16582.32 SH   | SOLE |
| ENERSIS S A                  | SPONSORED ADR  | 29274F104 | 11   | 654.154 SH    | SOLE |
| ENERSYS                      | COM            | 29275Y102 | 11   | 299 SH        | SOLE |
| ENERNOC INC                  | COM            | 292764107 | 24   | 1875 SH       | SOLE |
| ENERPLUS CORP                | COM            | 292766102 | 406  | 24428.623 SH  | SOLE |
| ENGILITY HLDGS INC           | COM            | 29285W104 | 7    | 389 SH        | SOLE |
| ENGLOBAL CORP                | COM            | 293306106 | 1    | 1500 SH       | SOLE |
| ENPRO INDS INC               | COM            | 29355X107 | 7    | 206 SH        | SOLE |
| ENSIGN GROUP INC             | COM            | 29358P101 | 15   | 500 SH        | SOLE |
| ENTEGRIS INC                 | COM            | 29362U104 | 12   | 1517 SH       | SOLE |
| ENTERGY CORP NEW             | COM            | 29364G103 | 344  | 4957.037 SH   | SOLE |
| ENTERPRISE PRODS PARTNERS L  | COM            | 293792107 | 327  | 6126 SH       | SOLE |
| ENTERPRISE PRODS PARTNERS L  | COM            | 293792107 | 3819 | 71259.001 SH  | SOLE |
| ENTERTAINMENT PPTYS TR       | COM SH BEN INT | 29380T105 | 3    | 65 SH         | SOLE |
| ENTREMED INC                 | COM NEW        | 29382F202 | 2    | 909 SH        | SOLE |
| ENTREE GOLD INC              | COM            | 29383G100 | 8    | 14200 SH      | SOLE |
| ENTROPIC COMMUNICATIONS INC  | COM            | 29384R105 | 4    | 650 SH        | SOLE |
| EQUAL ENERGY LTD             | COM            | 29390Q109 | 1    | 333 SH        | SOLE |
| ENZO BIOCHEM INC             | COM            | 294100102 | 8    | 3750 SH       | SOLE |
| EPOCH HOLDING CORP           | COM            | 29428R103 | 2    | 65.47 SH      | SOLE |
| EQUIFAX INC                  | COM            | 294429105 | 60   | 1277.103 SH   | SOLE |
| EQUINIX INC                  | COM NEW        | 29444U502 | 80   | 390 SH        | SOLE |
| EQUITY LIFESTYLE PPTYS INC   | COM            | 29472R108 | 2    | 36 SH         | SOLE |
| EQUITY ONE                   | COM            | 294752100 | 41   | 1942 SH       | SOLE |
| EQUUS TOTAL RETURN INC       | COM            | 294766100 | 1    | 405 SH        | SOLE |
| EQUITY RESIDENTIAL           | SH BEN INT     | 29476L107 | 109  | 1901.176 SH   | SOLE |
| EQUITY RESIDENTIAL           | SH BEN INT     | 29476L107 | 0    | 0 SH          | SOLE |
| ERICSSON                     | ADR B SEK 10   | 294821608 | 50   | 5536 SH       | SOLE |
| ERBA DIAGNOSTICS             | COM            | 29490B101 | 0    | 100 SH        | SOLE |
| ERIE INDY CO                 | CL A           | 29530P102 | 14   | 215 SH        | SOLE |
| ESCO TECHNOLOGIES INC        | COM            | 296315104 | 4    | 100 SH        | SOLE |
| ESSEX PPTY TR INC            | COM            | 297178105 | 25   | 170 SH        | SOLE |
| ETABLISSEMENTS DELHAIZE FRER | SPONSORED ADR  | 29759W101 | 3    | 85 SH         | SOLE |
| ETHAN ALLEN INTERIORS INC    | COM            | 297602104 | 3    | 141 SH        | SOLE |
| EURONET WORLDWIDE INC        | COM            | 298736109 | 284  | 15118 SH      | SOLE |
| EVOLUTION PETROLEUM CORP     | COM            | 30049A107 | 0    | 16 SH         | SOLE |
| EXACT SCIENCES CORP          | COM            | 30063P105 | 33   | 3000 SH       | SOLE |
| EXAR CORP                    | COM            | 300645108 | 5    | 646 SH        | SOLE |
| EXCEL TR INC                 | COM            | 30068C109 | 360  | 31516 SH      | SOLE |
| EXELON CORP                  | COM            | 30161N101 | 4234 | 119003.595 SH | SOLE |
| EXELIXIS INC                 | COM            | 30161Q104 | 25   | 5200 SH       | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                |           |       |           |    |      |
|------------------------------|----------------|-----------|-------|-----------|----|------|
| EXELIS INC                   | COM            | 30162A108 | 58    | 5550.247  | SH | SOLE |
| EXIDE TECHNOLOGIES           | COM NEW        | 302051206 | 3     | 1000      | SH | SOLE |
| EXPEDIA INC DEL              | COM NEW        | 30212P303 | 111   | 1923.692  | SH | SOLE |
| EXPEDITORS INTL WASH INC     | COM            | 302130109 | 74    | 2027.032  | SH | SOLE |
| EXPONENT INC                 | COM            | 30214U102 | 26    | 454       | SH | SOLE |
| EXPRESS INC                  | COM            | 30219E103 | 0     | 20        | SH | SOLE |
| EXPRESS SCRIPTS HLDG CO      | COM            | 30219G108 | 237   | 3777      | SH | SOLE |
| EXPRESS SCRIPTS HLDG CO      | COM            | 30219G108 | 1551  | 24640.146 | SH | SOLE |
| EXPRESS SCRIPTS HLDG CO      | COM            | 30219G108 | 27    | 434       | SH | SOLE |
| EXTERRAN PARTNERS LP         | COM UNITS      | 30225N105 | 22    | 1000      | SH | SOLE |
| EXTRA SPACE STORAGE INC      | COM            | 30225T102 | 14    | 435       | SH | SOLE |
| EXTREME NETWORKS INC         | COM            | 30226D106 | 9     | 2800      | SH | SOLE |
| EXXON MOBIL CORP             | COM            | 30231G102 | 541   | 5923.515  | SH | SOLE |
| EXXON MOBIL CORP             | COM            | 30231G102 | 26566 | 290423.67 | SH | SOLE |
| EXXON MOBIL CORP             | COM            | 30231G102 | 76    | 834.26    | SH | SOLE |
| FEI CO                       | COM            | 30241L109 | 31    | 581       | SH | SOLE |
| FLIR SYS INC                 | COM            | 302445101 | 6     | 300       | SH | SOLE |
| F M C CORP                   | COM NEW        | 302491303 | 59    | 1068.672  | SH | SOLE |
| F M C CORP                   | COM NEW        | 302491303 | 30    | 540.761   | SH | SOLE |
| FMC TECHNOLOGIES INC         | COM            | 30249U101 | 56    | 1246      | SH | SOLE |
| F N B UNITED CORP            | COM NEW        | 302519202 | 0     | 5         | SH | SOLE |
| FNB CORP PA                  | COM            | 302520101 | 7     | 580.719   | SH | SOLE |
| FX ENERGY INC                | COM            | 302695101 | 37    | 5000      | SH | SOLE |
| FTI CONSULTING INC           | COM            | 302941109 | 3     | 105       | SH | SOLE |
| FACEBOOK INC                 | CL A           | 30303M102 | 7     | 400       | SH | SOLE |
| FACEBOOK INC                 | CL A           | 30303M102 | 752   | 34712     | SH | SOLE |
| FACTSET RESH SYS INC         | COM            | 303075105 | 9     | 100       | SH | SOLE |
| FACTSET RESH SYS INC         | COM            | 303075105 | 34    | 354       | SH | SOLE |
| FACTSET RESH SYS INC         | COM            | 303075105 | 22    | 232.707   | SH | SOLE |
| FAIR ISAAC CORP              | COM            | 303250104 | 55    | 1250      | SH | SOLE |
| FAIRCHILD SEMICONDUCTOR INTL | COM            | 303726103 | 7     | 546       | SH | SOLE |
| FAMILY DLR STORES INC        | COM            | 307000109 | 83    | 1253      | SH | SOLE |
| FASTENAL CO                  | COM            | 311900104 | 289   | 6708.165  | SH | SOLE |
| FEDERAL AGRIC MTG CORP       | CL C           | 313148306 | 15    | 600       | SH | SOLE |
| FEDERAL REALTY INVT TR       | SH BEN INT NEW | 313747206 | 47    | 441.31    | SH | SOLE |
| FEDERATED INVS INC PA        | CL B           | 314211103 | 15    | 724       | SH | SOLE |
| FEDEX CORP                   | COM            | 31428X106 | 2     | 25.161    | SH | SOLE |
| FEDEX CORP                   | COM            | 31428X106 | 335   | 3961.715  | SH | SOLE |
| FELCOR LODGING TR INC        | COM            | 31430F101 | 34    | 7085      | SH | SOLE |
| FERRELLGAS PARTNERS L.P.     | UNIT LTD PART  | 315293100 | 56    | 2880      | SH | SOLE |
| F5 NETWORKS INC              | COM            | 315616102 | 186   | 1776      | SH | SOLE |
| FIDELITY NATL INFORMATION SV | COM            | 31620M106 | 47    | 1521.853  | SH | SOLE |
| FIDELITY NATIONAL FINANCIAL  | CL A           | 31620R105 | 13    | 620       | SH | SOLE |
| FIDUCIARY CLAYMORE MLP OPP F | COM            | 31647Q106 | 27    | 1181.329  | SH | SOLE |
| FIFTH THIRD BANCORP          | COM            | 316773100 | 289   | 18611.512 | SH | SOLE |
| FIFTH THIRD BANCORP          | COM            | 316773100 | 47    | 3012.274  | SH | SOLE |
| FIFTH STREET FINANCE CORP    | COM            | 31678A103 | 56    | 5073.102  | SH | SOLE |
| FINISH LINE INC              | CL A           | 317923100 | 5     | 204.089   | SH | SOLE |
| FIRST AMERN FINL CORP        | COM            | 31847R102 | 115   | 5282      | SH | SOLE |
| FIRST CASH FINL SVCS INC     | COM            | 31942D107 | 5     | 98        | SH | SOLE |
| FIRST CTZNS BANCSHARES INC N | CL A           | 31946M103 | 1     | 9         | SH | SOLE |
| FIRST FINL BANCORP OH        | COM            | 320209109 | 42    | 2468      | SH | SOLE |
| FIRST FED BANCSHARES ARK     | COM NEW        | 32020F303 | 10    | 1000      | SH | SOLE |
| FIRST FINL CORP IND          | COM            | 320218100 | 25    | 786       | SH | SOLE |
| FIRST HORIZON NATL CORP      | COM            | 320517105 | 27    | 2825.451  | SH | SOLE |
| FIRST INDUSTRIAL REALTY TRUS | COM            | 32054K103 | 6     | 450       | SH | SOLE |
| FIRST MARBLEHEAD CORP        | COM            | 320771108 | 0     | 437       | SH | SOLE |
| FIRST MIDWEST BANCORP DEL    | COM            | 320867104 | 5     | 379       | SH | SOLE |
| FIRST NIAGARA FINL GP INC    | COM            | 33582V108 | 2     | 200       | SH | SOLE |
| FIRST NIAGARA FINL GP INC    | COM            | 33582V108 | 154   | 19063.923 | SH | SOLE |
| FIRST POTOMAC RLTY TR        | COM            | 33610F109 | 18    | 1362      | SH | SOLE |
| FIRST REP BK SAN FRANCISCO C | COM            | 33616C100 | 24    | 697       | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |     |           |    |      |
|------------------------------|------------------|-----------|-----|-----------|----|------|
| FIRST SOLAR INC              | COM              | 336433107 | 42  | 1886      | SH | SOLE |
| 1ST SOURCE CORP              | COM              | 336901103 | 17  | 769       | SH | SOLE |
| FIRST TR MORNINGSTAR DIV LEA | SHS              | 336917109 | 9   | 500       | SH | SOLE |
| FIRST TR MORNINGSTAR DIV LEA | SHS              | 336917109 | 706 | 36733.899 | SH | SOLE |
| FIRST TR DJS MICROCAP INDEX  | COM SHS ANNUAL   | 33718M105 | 18  | 822.542   | SH | SOLE |
| FIRST TR DJS MICROCAP INDEX  | COM SHS ANNUAL   | 33718M105 | 8   | 350       | SH | SOLE |
| FIRST TR ENHANCED EQTY INC F | COM              | 337318109 | 21  | 1703.087  | SH | SOLE |
| FIRST TR ABERDEEN GBLB OPP F | COM SHS          | 337319107 | 279 | 14979     | SH | SOLE |
| FIRST TR/ABERDEEN EMERG OPT  | COM              | 33731K102 | 53  | 2400      | SH | SOLE |
| FIRST TR ISE CHINDIA INDEX F | COM              | 33733A102 | 13  | 700       | SH | SOLE |
| FIRST TR ISE CHINDIA INDEX F | COM              | 33733A102 | 19  | 933       | SH | SOLE |
| FIRST TR EXCHANGE TRADED FD  | NY ARCA BIOTECH  | 33733E203 | 978 | 21072     | SH | SOLE |
| FIRST TR EXCHANGE TRADED FD  | DJ INTERNET IDX  | 33733E302 | 33  | 880       | SH | SOLE |
| FIRST TR EXCHANGE TRADED FD  | NAS CLNEDG GREEN | 33733E500 | 12  | 1300      | SH | SOLE |
| FIRST TRUST SPECIALTY FINANC | COM BEN INTR     | 33733G109 | 97  | 12339.795 | SH | SOLE |
| FIRST TR BICK INDEX FD       | COM SHS          | 33733H107 | 15  | 630       | SH | SOLE |
| FIRST TR ISE GLOBL COPPR IND | COM              | 33733J103 | 83  | 3217      | SH | SOLE |
| FIRST TR ISE GLOBL COPPR IND | COM              | 33733J103 | 21  | 753.72    | SH | SOLE |
| FIRST TR SR FLG RTE INCM FD  | COM              | 33733U108 | 68  | 4306.845  | SH | SOLE |
| FIRST TR NAS100 EQ WEIGHTED  | SHS              | 337344105 | 661 | 25490     | SH | SOLE |
| FIRST TR NASDAQ100 TECH INDE | SHS              | 337345102 | 23  | 905       | SH | SOLE |
| FIRST TR S&P REIT INDEX FD   | COM              | 33734G108 | 766 | 42817.81  | SH | SOLE |
| FIRST TR S&P REIT INDEX FD   | COM              | 33734G108 | 105 | 5996      | SH | SOLE |
| FIRST TR VALUE LINE DIVID IN | SHS              | 33734H106 | 353 | 20313.153 | SH | SOLE |
| FIRST TR ISE REVERE NAT GAS  | COM              | 33734J102 | 257 | 14753     | SH | SOLE |
| FIRST TR ISE REVERE NAT GAS  | COM              | 33734J102 | 3   | 190       | SH | SOLE |
| FIRST TR LRGE CP CORE ALPHA  | COM SHS          | 33734K109 | 818 | 27155.218 | SH | SOLE |
| FIRST TR EXCHANGE TRADED FD  | CONSUMR DISCRE   | 33734X101 | 693 | 32131.483 | SH | SOLE |
| FIRST TR EXCHANGE TRADED FD  | CONSUMR STAPLE   | 33734X119 | 559 | 23252.813 | SH | SOLE |
| FIRST TR EXCHANGE TRADED FD  | CONSUMR STAPLE   | 33734X119 | 258 | 10604.83  | SH | SOLE |
| FIRST TR EXCHANGE TRADED FD  | ENERGY ALPHADX   | 33734X127 | 22  | 1147      | SH | SOLE |
| FIRST TR EXCHANGE TRADED FD  | FINLS ALPHADIX   | 33734X135 | 15  | 983       | SH | SOLE |
| FIRST TR EXCHANGE TRADED FD  | HLTH CARE ALPH   | 33734X143 | 696 | 22353.428 | SH | SOLE |
| FIRST TR EXCHANGE TRADED FD  | HLTH CARE ALPH   | 33734X143 | 100 | 3034.9    | SH | SOLE |
| FIRST TR EXCHANGE TRADED FD  | INDLS PROD DUR   | 33734X150 | 29  | 1573      | SH | SOLE |
| FIRST TR EXCHANGE TRADED FD  | MATERIALS ALPH   | 33734X168 | 71  | 2935      | SH | SOLE |
| FIRST TR EXCHANGE TRADED FD  | TECH ALPHADIX    | 33734X176 | 131 | 6081.107  | SH | SOLE |
| FIRST TR EXCHANGE TRADED FD  | UTILITIES ALPH   | 33734X184 | 16  | 883.112   | SH | SOLE |
| FIRST TR EXCHANGE TRADED FD  | UTILITIES ALPH   | 33734X184 | 42  | 2194      | SH | SOLE |
| FIRST TR EXCHANGE TRADED FD  | ISE CLOUD COMP   | 33734X192 | 64  | 3200      | SH | SOLE |
| FIRST TR EXCHANGE TRADED FD  | DJ GBLB DIVID    | 33734X200 | 669 | 28326.047 | SH | SOLE |
| FIRST TR SML CP CORE ALPHA F | COM SHS          | 33734Y109 | 81  | 2493.231  | SH | SOLE |
| FIRST TR SML CP CORE ALPHA F | COM SHS          | 33734Y109 | 651 | 19393     | SH | SOLE |
| FIRST TR STRATEGIC HIGH INC  | COM SHS NEW      | 337353304 | 7   | 402       | SH | SOLE |
| FIRST TR MID CAP CORE ALPHAD | COM SHS          | 33735B108 | 211 | 5896      | SH | SOLE |
| FIRST TR VALUE LINE 100 ETF  | COM SHS          | 33735G107 | 67  | 5095      | SH | SOLE |
| FIRST TR LRG CP VL ALPHADIX  | COM SHS          | 33735J101 | 88  | 2961      | SH | SOLE |
| FIRST TR LRG CP GRWTH ALPHAD | COM SHS          | 33735K108 | 39  | 1309      | SH | SOLE |
| FIRST TR STOXX EURO DIV FD   | COMMON SHS       | 33735T109 | 448 | 37187.243 | SH | SOLE |
| FIRST TR ISE GLB WIND ENRG E | COM SHS          | 33736G106 | 14  | 2090      | SH | SOLE |
| FIRST TR ISE GLOBAL ENGR & C | COM              | 33736M103 | 213 | 5472.289  | SH | SOLE |
| FIRST TR FTSE EPRA/NAREIT DE | COM              | 33736N101 | 62  | 1648      | SH | SOLE |
| FIRST TR NASDAQ ABA CMNTY BK | UT COM SHS ETF   | 33736Q104 | 29  | 1110      | SH | SOLE |
| FIRST TR EXCH TRD ALPHA FD I | BRAZIL ALPHA     | 33737J133 | 19  | 825       | SH | SOLE |
| FIRST TR EXCH TRD ALPHA FD I | EMERG MKT ALPH   | 33737J182 | 345 | 14455.564 | SH | SOLE |
| FIRST TR NASDAQ SMART PHONE  | COM SHS          | 33737K106 | 7   | 300       | SH | SOLE |
| FIRST TR EXCNGE TRD ALPHADIX | MID CAP VAL FD   | 33737M201 | 29  | 1384      | SH | SOLE |
| FIRST TR EXCNGE TRD ALPHADIX | SML CAP VAL AL   | 33737M409 | 21  | 960       | SH | SOLE |
| FIRST TR EXCHANGE-TRADED FD  | NO AMER ENERGY   | 33738D101 | 482 | 22599.021 | SH | SOLE |
| FIRST TR ENERGY INCOME & GRW | COM              | 33738G104 | 22  | 716       | SH | SOLE |
| FIRST TR ENERGY INCOME & GRW | COM              | 33738G104 | 39  | 1304.468  | SH | SOLE |
| FIRST TR ENERGY INCOME & GRW | COM              | 33738G104 | 631 | 21027     | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                               |                  |           |      |            |    |      |
|-------------------------------|------------------|-----------|------|------------|----|------|
| FIRSTHAND TECH VALUE FD INC   | COM              | 33766Y100 | 288  | 16520.079  | SH | SOLE |
| FISERV INC                    | COM              | 337738108 | 220  | 2977       | SH | SOLE |
| FIRSTMERIT CORP               | COM              | 337915102 | 5    | 341        | SH | SOLE |
| FLAGSTAR BANCORP INC          | COM NEW          | 337930507 | 1    | 510        | SH | SOLE |
| FIRSTENERGY CORP              | COM              | 337932107 | 993  | 22523.509  | SH | SOLE |
| FIVE BELOW INC                | COM              | 33829M101 | 58   | 1490       | SH | SOLE |
| FIVE STAR QUALITY CARE INC    | COM              | 33832D106 | 0    | 5          | SH | SOLE |
| FLAHERTY & CRMN/CLYMR PFD SE  | COM SHS          | 338478100 | 641  | 32070.599  | SH | SOLE |
| FLAHERTY & CRMRN CLYMRE T R   | COM              | 338479108 | 5    | 256        | SH | SOLE |
| FLAHERTY & CRUMRINE PFD INC   | COM              | 338480106 | 19   | 1220.506   | SH | SOLE |
| FLEETCOR TECHNOLOGIES INC     | COM              | 339041105 | 72   | 1602       | SH | SOLE |
| FLEXSTEEL INDS INC            | COM              | 339382103 | 0    | 4          | SH | SOLE |
| FLOTEK INDS INC DEL           | COM              | 343389102 | 5    | 400        | SH | SOLE |
| FLUOR CORP NEW                | COM              | 343412102 | 1151 | 20443.159  | SH | SOLE |
| FLOWERS FOODS INC             | COM              | 343498101 | 531  | 26308.59   | SH | SOLE |
| FLOWSERVE CORP                | COM              | 34354P105 | 53   | 413        | SH | SOLE |
| FLY LEASING LTD               | SPONSORED ADR    | 34407D109 | 14   | 1000       | SH | SOLE |
| FOCUS MEDIA HLDG LTD          | SPONSORED ADR    | 34415V109 | 13   | 553        | SH | SOLE |
| FOMENTO ECONOMICO MEXICANO S  | SPON ADR UNITS   | 344419106 | 15   | 165        | SH | SOLE |
| FONAR CORP                    | COM NEW          | 344437405 | 2    | 528        | SH | SOLE |
| FOOT LOCKER INC               | COM              | 344849104 | 24   | 683        | SH | SOLE |
| FOOT LOCKER INC               | COM              | 344849104 | 61   | 1733.358   | SH | SOLE |
| FORD MTR CO DEL               | *W EXP 01/01/201 | 345370134 | 1    | 1375       | SH | SOLE |
| FORD MTR CO DEL               | COM PAR \$0.01   | 345370860 | 78   | 8394.25    | SH | SOLE |
| FORD MTR CO DEL               | COM PAR \$0.01   | 345370860 | 3170 | 321505.232 | SH | SOLE |
| FORD MTR CO DEL               | COM PAR \$0.01   | 345370860 | 19   | 2000       | SH | SOLE |
| FOREST CITY ENTERPRISES INC   | CL A             | 345550107 | 162  | 10237.57   | SH | SOLE |
| FOREST LABS INC               | COM              | 345838106 | 1    | 35         | SH | SOLE |
| FOREST LABS INC               | COM              | 345838106 | 221  | 6184       | SH | SOLE |
| FOREST OIL CORP               | COM PAR \$0.01   | 346091705 | 2    | 307        | SH | SOLE |
| FORESTAR GROUP INC            | COM              | 346233109 | 2    | 100        | SH | SOLE |
| FORTRESS INVESTMENT GROUP LL  | CL A             | 34958B106 | 3    | 722        | SH | SOLE |
| FORTINET INC                  | COM              | 34959E109 | 51   | 2124       | SH | SOLE |
| FORTUNE BRANDS HOME & SEC IN  | COM              | 34964C106 | 49   | 1822       | SH | SOLE |
| FORWARD AIR CORP              | COM              | 349853101 | 15   | 492.513    | SH | SOLE |
| FOSSIL INC                    | COM              | 349882100 | 55   | 643        | SH | SOLE |
| FOSSIL INC                    | COM              | 349882100 | 20   | 227        | SH | SOLE |
| FOX CHASE BANCORP INC NEW     | COM              | 35137T108 | 1    | 53.809     | SH | SOLE |
| FRANCE TELECOM                | SPONSORED ADR    | 35177Q105 | 83   | 6774.096   | SH | SOLE |
| FRANCO NEVADA CORP            | COM              | 351858105 | 169  | 2869.962   | SH | SOLE |
| FRANKLIN RES INC              | COM              | 354613101 | 2    | 12         | SH | SOLE |
| FRANKLIN RES INC              | COM              | 354613101 | 73   | 585.161    | SH | SOLE |
| FRANKLIN RES INC              | COM              | 354613101 | 0    | 0          | SH | SOLE |
| FRANKLIN TEMPLETON LTD DUR I  | COM              | 35472T101 | 110  | 7485.762   | SH | SOLE |
| FRANKLIN UNVL TR              | SH BEN INT       | 355145103 | 59   | 8000       | SH | SOLE |
| FREEMPORT-MCMORAN COPPER & GO | COM              | 35671D857 | 2817 | 71172.887  | SH | SOLE |
| FREIGHTCAR AMER INC           | COM              | 357023100 | 0    | 12         | SH | SOLE |
| FREQUENCY ELECTRS INC         | COM              | 358010106 | 9    | 1000       | SH | SOLE |
| FRESENIUS MED CARE AG&CO KGA  | SPONSORED ADR    | 358029106 | 124  | 1686.186   | SH | SOLE |
| FRESH MKT INC                 | COM              | 35804H106 | 2    | 41         | SH | SOLE |
| FRONTIER COMMUNICATIONS CORP  | COM              | 35906A108 | 2    | 410        | SH | SOLE |
| FRONTIER COMMUNICATIONS CORP  | COM              | 35906A108 | 301  | 61560.662  | SH | SOLE |
| FUEL TECH INC                 | COM              | 359523107 | 1    | 220        | SH | SOLE |
| FUELCELL ENERGY INC           | COM              | 35952H106 | 6    | 6900       | SH | SOLE |
| FULLER H B CO                 | COM              | 359694106 | 50   | 1641.452   | SH | SOLE |
| FULLER H B CO                 | COM              | 359694106 | 20   | 645.235    | SH | SOLE |
| FULTON FINL CORP PA           | COM              | 360271100 | 151  | 15298.337  | SH | SOLE |
| FURIEX PHARMACEUTICALS INC    | COM              | 36106P101 | 0    | 7          | SH | SOLE |
| FUSION-IO INC                 | COM              | 36112J107 | 10   | 325        | SH | SOLE |
| FUSHI COPPERWELD INC          | COM              | 36113E107 | 5    | 500        | SH | SOLE |
| FUTUREFUEL CORPORATION        | COM              | 36116M106 | 2    | 164        | SH | SOLE |
| G & K SVCS INC                | CL A             | 361268105 | 4    | 133        | SH | SOLE |



Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                   |           |       |            |    |      |
|------------------------------|-------------------|-----------|-------|------------|----|------|
| GATX CORP                    | COM               | 361448103 | 6     | 136        | SH | SOLE |
| GDL FUND                     | COM SH BEN IT     | 361570104 | 141   | 11790      | SH | SOLE |
| GDL FUND                     | COM SH BEN IT     | 361570104 | 94    | 7935       | SH | SOLE |
| GEO GROUP INC                | COM               | 36159R103 | 12    | 423.166    | SH | SOLE |
| GSi GROUP INC CDA NEW        | COM NEW           | 36191C205 | 3     | 375        | SH | SOLE |
| GNC HLDGS INC                | COM CL A          | 36191G107 | 145   | 3720.164   | SH | SOLE |
| GSV CAP CORP                 | COM               | 36191J101 | 39    | 4560       | SH | SOLE |
| GT ADVANCED TECHNOLOGIES INC | COM               | 36191U106 | 0     | 50         | SH | SOLE |
| G-III APPAREL GROUP LTD      | COM               | 36237H101 | 4     | 99         | SH | SOLE |
| GABELLI EQUITY TR INC        | COM               | 362397101 | 100   | 17773.702  | SH | SOLE |
| GABELLI UTIL TR              | COM               | 36240A101 | 5     | 644        | SH | SOLE |
| GABELLI DIVD & INCOME TR     | COM               | 36242H104 | 3     | 163        | SH | SOLE |
| GABELLI DIVD & INCOME TR     | COM               | 36242H104 | 234   | 13807.008  | SH | SOLE |
| GABELLI GLOBL UTIL & INCOME  | COM SH BEN INT    | 36242L105 | 2     | 110        | SH | SOLE |
| GABELLI HLTHCARE & WELLNESS  | SHS               | 36246K103 | 4     | 411.745    | SH | SOLE |
| GAIAM INC                    | CL A              | 36268Q103 | 4     | 1222       | SH | SOLE |
| GALECTIN THERAPEUTICS INC    | COM NEW           | 363225202 | 2     | 1000       | SH | SOLE |
| GALENA BIOPHARMA             | COM               | 363256108 | 20    | 11298      | SH | SOLE |
| GALLAGHER ARTHUR J & CO      | COM               | 363576109 | 1139  | 31789.85   | SH | SOLE |
| GAMCO GLOBAL GOLD NAT RES &  | COM SH BEN INT    | 36465A109 | 287   | 19919.055  | SH | SOLE |
| GAMCO NAT RES GOLD & INCOME  | SH BEN INT        | 36465E101 | 323   | 20200      | SH | SOLE |
| GAMING PARTNERS INTL CORP    | COM               | 36467A107 | 1     | 109        | SH | SOLE |
| GAMESTOP CORP NEW            | CL A              | 36467W109 | 26    | 1217.667   | SH | SOLE |
| GANNETT INC                  | COM               | 364730101 | 171   | 9601.866   | SH | SOLE |
| GAP INC DEL                  | COM               | 364760108 | 188   | 5238.349   | SH | SOLE |
| GARDNER DENVER INC           | COM               | 365558105 | 12    | 204        | SH | SOLE |
| GARTNER INC                  | COM               | 366651107 | 50    | 1087       | SH | SOLE |
| GENCORP INC                  | COM               | 368682100 | 1     | 100        | SH | SOLE |
| GENERAC HLDGS INC            | COM               | 368736104 | 2     | 100        | SH | SOLE |
| GENERAL AMERN INVS INC       | COM               | 368802104 | 33    | 1126.203   | SH | SOLE |
| GENERAL CABLE CORP DEL NEW   | COM               | 369300108 | 431   | 14670      | SH | SOLE |
| GENERAL DYNAMICS CORP        | COM               | 369550108 | 227   | 3438.75    | SH | SOLE |
| GENERAL DYNAMICS CORP        | COM               | 369550108 | 0     | 0          | SH | SOLE |
| GENERAL ELECTRIC CO          | COM               | 369604103 | 58    | 2793.028   | SH | SOLE |
| GENERAL ELECTRIC CO          | COM               | 369604103 | 15689 | 690713.892 | SH | SOLE |
| GENERAL ELECTRIC CO          | COM               | 369604103 | 65    | 2874.983   | SH | SOLE |
| GENERAL GROWTH PPTYS INC NEW | COM               | 370023103 | 18    | 914        | SH | SOLE |
| GENERAL MLS INC              | COM               | 370334104 | 16    | 400        | SH | SOLE |
| GENERAL MLS INC              | COM               | 370334104 | 1425  | 35740.631  | SH | SOLE |
| GENERAL MOLY INC             | COM               | 370373102 | 3     | 1000       | SH | SOLE |
| GENERAL MTRS CO              | COM               | 37045V100 | 411   | 18041      | SH | SOLE |
| GENERAL MTRS CO              | COM               | 37045V100 | 12    | 507        | SH | SOLE |
| GENERAL MTRS CO              | *W EXP 07/10/201  | 37045V118 | 69    | 4998       | SH | SOLE |
| GENERAL MTRS CO              | *W EXP 07/10/201  | 37045V118 | 0     | 33         | SH | SOLE |
| GENERAL MTRS CO              | *W EXP 07/10/201  | 37045V126 | 41    | 4998       | SH | SOLE |
| GENERAL MTRS CO              | *W EXP 07/10/201  | 37045V126 | 0     | 33         | SH | SOLE |
| GENESCO INC                  | COM               | 371532102 | 23    | 361        | SH | SOLE |
| GENESCO INC                  | COM               | 371532102 | 18    | 277        | SH | SOLE |
| GENESEE& WYO INC             | CL A              | 371559105 | 34    | 503        | SH | SOLE |
| GENTEX CORP                  | COM               | 371901109 | 179   | 10538      | SH | SOLE |
| GENESIS ENERGY L P           | UNIT LTD PARTN    | 371927104 | 71    | 2100       | SH | SOLE |
| GENIE ENERGY LTD             | CL B              | 372284208 | 9     | 1271       | SH | SOLE |
| GENOMIC HEALTH INC           | COM               | 37244C101 | 7     | 196        | SH | SOLE |
| GENON ENERGY INC             | COM               | 37244E107 | 21    | 8107       | SH | SOLE |
| GENUINE PARTS CO             | COM               | 372460105 | 13    | 200        | SH | SOLE |
| GENUINE PARTS CO             | COM               | 372460105 | 206   | 3378.586   | SH | SOLE |
| GENVEC INC                   | COM NEW           | 37246C307 | 1     | 350        | SH | SOLE |
| GENWORTH FINL INC            | COM CL A          | 37247D106 | 112   | 21460      | SH | SOLE |
| GEOEYE INC                   | COM               | 37250W108 | 38    | 1429       | SH | SOLE |
| GENTHERM INC                 | COM               | 37253A103 | 7     | 600        | SH | SOLE |
| GEORGIA GULF CORP            | COM PAR\$0.01 NEW | 373200302 | 1     | 21         | SH | SOLE |
| GERDAU S A                   | SPONSORED ADR     | 373737105 | 9     | 979        | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                 |           |      |           |    |      |
|------------------------------|-----------------|-----------|------|-----------|----|------|
| GERMAN AMERN BANCORP INC     | COM             | 373865104 | 91   | 3761      | SH | SOLE |
| GERON CORP                   | COM             | 374163103 | 14   | 8400      | SH | SOLE |
| GETTY RLTY CORP NEW          | COM             | 374297109 | 31   | 1712      | SH | SOLE |
| GEVO INC                     | COM             | 374396109 | 1    | 400       | SH | SOLE |
| GIANT INTERACTIVE GROUP INC  | ADR             | 374511103 | 7    | 1366      | SH | SOLE |
| GILEAD SCIENCES INC          | COM             | 375558103 | 3869 | 58309     | SH | SOLE |
| GLACIER BANCORP INC NEW      | COM             | 37637Q105 | 10   | 626       | SH | SOLE |
| GLADSTONE CAPITAL CORP       | COM             | 376535100 | 179  | 20438     | SH | SOLE |
| GLADSTONE COML CORP          | COM             | 376536108 | 7    | 400       | SH | SOLE |
| GLATFELTER                   | COM             | 377316104 | 10   | 588       | SH | SOLE |
| GLAXOSMITHKLINE PLC          | SPONSORED ADR   | 37733W105 | 1    | 30.603    | SH | SOLE |
| GLAXOSMITHKLINE PLC          | SPONSORED ADR   | 37733W105 | 2656 | 57427.984 | SH | SOLE |
| GLAXOSMITHKLINE PLC          | SPONSORED ADR   | 37733W105 | 0    | 0         | SH | SOLE |
| GLEACHER & CO INC            | COM             | 377341102 | 1    | 2000      | SH | SOLE |
| GLOBAL CASH ACCESS HLDGS INC | COM             | 378967103 | 2    | 300       | SH | SOLE |
| GLOBAL INCOME&CURRENCY FD IN | COM             | 378968101 | 19   | 1396      | SH | SOLE |
| GLOBALSTAR INC               | COM             | 378973408 | 0    | 60        | SH | SOLE |
| GLOBAL HIGH INCOME FUND INC  | COM             | 37933G108 | 1    | 75        | SH | SOLE |
| GLOBAL PMTS INC              | COM             | 37940X102 | 5    | 127       | SH | SOLE |
| GLOBAL PMTS INC              | COM             | 37940X102 | 8    | 195       | SH | SOLE |
| GLOBAL X FDS                 | FTSE NORDIC REG | 37950E101 | 7    | 360       | SH | SOLE |
| GLOBAL X FDS                 | FTSE COLOMBIA20 | 37950E200 | 3552 | 167402    | SH | SOLE |
| GLOBAL X FDS                 | SOCIAL MED ETF  | 37950E416 | 54   | 3950      | SH | SOLE |
| GLOBAL X FDS                 | GLB X FERTIL    | 37950E499 | 6    | 400       | SH | SOLE |
| GLOBAL X FDS                 | GLOB X NOR ETF  | 37950E747 | 114  | 7550      | SH | SOLE |
| GLOBAL X FDS                 | GLB X LITHIUM   | 37950E762 | 2    | 100       | SH | SOLE |
| GLOBAL X FDS                 | SILVER MNR ETF  | 37950E853 | 364  | 14608.433 | SH | SOLE |
| GLU MOBILE INC               | COM             | 379890106 | 22   | 4700      | SH | SOLE |
| GMX RES INC                  | COM             | 38011M108 | 5    | 6599      | SH | SOLE |
| GMX RES INC                  | NOTE 4.500% 5/0 | 38011MAJ7 | 2    | 4000      | SH | SOLE |
| GOL LINHAS AEREAS INTLG S A  | SP ADR REP PFD  | 38045R107 | 0    | 67        | SH | SOLE |
| GOLD FIELDS LTD NEW          | SPONSORED ADR   | 38059T106 | 16   | 1275      | SH | SOLE |
| GOLD RESV INC                | NOTE 5.500% 6/1 | 38068NAB4 | 1    | 1000      | SH | SOLE |
| GOLDCORP INC NEW             | COM             | 380956409 | 1412 | 30797.326 | SH | SOLE |
| GOLDEN STAR RES LTD CDA      | COM             | 38119T104 | 0    | 250       | SH | SOLE |
| GOLDMAN SACHS GROUP INC      | COM             | 38141G104 | 2    | 18        | SH | SOLE |
| GOLDMAN SACHS GROUP INC      | COM             | 38141G104 | 1317 | 11566.276 | SH | SOLE |
| GOLDMAN SACHS GROUP INC      | COM             | 38141G104 | 0    | 0         | SH | SOLE |
| GOLDMAN SACHS GROUP INC      | SP ENHCMD37ETN  | 38144L852 | 5    | 100       | SH | SOLE |
| GOODRICH PETE CORP           | COM NEW         | 382410405 | 13   | 1042      | SH | SOLE |
| GOODYEAR TIRE & RUBR CO      | COM             | 382550101 | 321  | 26356     | SH | SOLE |
| GOOGLE INC                   | CL A            | 38259P508 | 10   | 14        | SH | SOLE |
| GOOGLE INC                   | CL A            | 38259P508 | 4115 | 5450      | SH | SOLE |
| GORDMANS STORES INC          | COM             | 38269P100 | 485  | 26306     | SH | SOLE |
| GORMAN RUPP CO               | COM             | 383082104 | 70   | 2609.783  | SH | SOLE |
| GOVERNMENT PPTYS INCOME TR   | COM SHS BEN INT | 38376A103 | 50   | 2117.393  | SH | SOLE |
| GRACE W R & CO DEL NEW       | COM             | 38388F108 | 24   | 410       | SH | SOLE |
| GRACO INC                    | COM             | 384109104 | 18   | 355       | SH | SOLE |
| GRAINGER W W INC             | COM             | 384802104 | 129  | 619.623   | SH | SOLE |
| GRAINGER W W INC             | COM             | 384802104 | 221  | 1058.401  | SH | SOLE |
| GRAMERCY CAP CORP            | COM             | 384871109 | 1    | 263       | SH | SOLE |
| GRANITE CITY FOOD & BREWERY  | COM NEW         | 38724Q404 | 0    | 166       | SH | SOLE |
| GRANITE CONSTR INC           | COM             | 387328107 | 0    | 7         | SH | SOLE |
| GRAPHIC PACKAGING HLDG CO    | COM             | 388689101 | 12   | 2000      | SH | SOLE |
| GREAT LAKES DREDGE & DOCK CO | COM             | 390607109 | 17   | 2220      | SH | SOLE |
| GREAT NORTHN IRON ORE PPTYS  | CTFS BEN INT    | 391064102 | 8    | 100       | SH | SOLE |
| GREAT PLAINS ENERGY INC      | COM             | 391164100 | 174  | 7824.984  | SH | SOLE |
| GREATBATCH INC               | COM             | 39153L106 | 5    | 200       | SH | SOLE |
| GREATER CHINA FD INC         | COM             | 39167B102 | 10   | 879       | SH | SOLE |
| GREEN MTN COFFEE ROASTERS IN | COM             | 393122106 | 49   | 2000      | SH | SOLE |
| GREEN MTN COFFEE ROASTERS IN | COM             | 393122106 | 178  | 7505      | SH | SOLE |
| GREEN PLAINS RENEWABLE ENERG | COM             | 393222104 | 21   | 3500      | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |      |           |    |      |
|------------------------------|------------------|-----------|------|-----------|----|------|
| GREENHAVEN CONT CMDTY INDEX  | UNIT BEN INT     | 395258106 | 104  | 3405.604  | SH | SOLE |
| GREENHILL & CO INC           | COM              | 395259104 | 1    | 16        | SH | SOLE |
| GREIF INC                    | CL A             | 397624107 | 19   | 442       | SH | SOLE |
| GREIF INC                    | CL B             | 397624206 | 33   | 665.449   | SH | SOLE |
| GRIFFON CORP                 | COM              | 398433102 | 6    | 600       | SH | SOLE |
| GROUPE CGI INC               | CL A SUB VTG     | 39945C109 | 3    | 100       | SH | SOLE |
| GRUPO SIMEC S A B DE C V     | ADR              | 400491106 | 2    | 203       | SH | SOLE |
| GRUPO RADIO CENTRO S A B DE  | SPONSORED ADR    | 40049C102 | 2    | 200       | SH | SOLE |
| GRUPO TELEVISIA SA           | SPON ADR REP ORD | 40049J206 | 38   | 1597.315  | SH | SOLE |
| GPO AEROPORTUARIO DEL PAC SA | SPON ADR B       | 400506101 | 5    | 114       | SH | SOLE |
| GRUPO AEROPORTUARIO DEL SURE | SPON ADR SER B   | 40051E202 | 12   | 130       | SH | SOLE |
| GUANGSHEN RY LTD             | SPONSORED ADR    | 40065W107 | 17   | 1100      | SH | SOLE |
| GUANGSHEN RY LTD             | SPONSORED ADR    | 40065W107 | 0    | 0         | SH | SOLE |
| GUESS INC                    | COM              | 401617105 | 3    | 99        | SH | SOLE |
| GUGGENHEIM STRATEGIC OPP FD  | COM SBI          | 40167F101 | 99   | 4500      | SH | SOLE |
| GUIDEWIRE SOFTWARE INC       | COM              | 40171V100 | 9    | 300       | SH | SOLE |
| GULF RESOURCES INC           | COM PAR \$0.0005 | 40251W309 | 1    | 500       | SH | SOLE |
| GULFPORT ENERGY CORP         | COM NEW          | 402635304 | 1    | 57        | SH | SOLE |
| GULFPORT ENERGY CORP         | COM NEW          | 402635304 | 68   | 2182      | SH | SOLE |
| H & Q HEALTHCARE INVESTORS   | SH BEN INT       | 404052102 | 1237 | 67395.282 | SH | SOLE |
| H & Q LIFE SCIENCES INVS     | SH BEN INT       | 404053100 | 84   | 5451      | SH | SOLE |
| HCA HOLDINGS INC             | COM              | 40412C101 | 11   | 339       | SH | SOLE |
| HCC INS HLDGS INC            | COM              | 404132102 | 792  | 23366.264 | SH | SOLE |
| HCC INS HLDGS INC            | COM              | 404132102 | 0    | 0         | SH | SOLE |
| HCP INC                      | COM              | 40414L109 | 971  | 21822.054 | SH | SOLE |
| HDFC BANK LTD                | ADR REPS 3 SHS   | 40415F101 | 68   | 1801      | SH | SOLE |
| HFF INC                      | CL A             | 40418F108 | 2    | 124       | SH | SOLE |
| HKN INC                      | COM              | 40420K103 | 0    | 44        | SH | SOLE |
| HNI CORP                     | COM              | 404251100 | 8    | 300       | SH | SOLE |
| HMS HLDGS CORP               | COM              | 40425J101 | 153  | 4581      | SH | SOLE |
| HSBC HLDGS PLC               | SPON ADR NEW     | 404280406 | 5    | 110       | SH | SOLE |
| HSBC HLDGS PLC               | SPON ADR NEW     | 404280406 | 135  | 2885.697  | SH | SOLE |
| HSBC HLDGS PLC               | SPON ADR NEW     | 404280406 | 0    | 0         | SH | SOLE |
| HSBC HLDGS PLC               | ADR A 1/40PF A   | 404280604 | 164  | 6504      | SH | SOLE |
| HSN INC                      | COM              | 404303109 | 3    | 64.214    | SH | SOLE |
| HAEMONETICS CORP             | COM              | 405024100 | 707  | 8811      | SH | SOLE |
| HAIN CELESTIAL GROUP INC     | COM              | 405217100 | 41   | 647       | SH | SOLE |
| HALCON RES CORP              | COM NEW          | 40537Q209 | 14   | 1932      | SH | SOLE |
| HALLIBURTON CO               | COM              | 406216101 | 1175 | 34901.909 | SH | SOLE |
| HALOZYME THERAPEUTICS INC    | COM              | 40637H109 | 1    | 100       | SH | SOLE |
| JOHN HANCOCK BK &THRIFT OPP  | SH BEN INT NEW   | 409735206 | 7    | 375       | SH | SOLE |
| HANCOCK HLDG CO              | COM              | 410120109 | 7    | 234       | SH | SOLE |
| HANCOCK JOHN INCOME SECS TR  | COM              | 410123103 | 22   | 1250      | SH | SOLE |
| HANCOCK JOHN PREMUIM DIV FD  | COM SH BEN INT   | 41013T105 | 48   | 3373.91   | SH | SOLE |
| HANCOCK JOHN TAX-ADV DIV INC | COM              | 41013V100 | 24   | 1226.96   | SH | SOLE |
| HANCOCK JOHN PFD INCOME FD   | SH BEN INT       | 41013W108 | 124  | 5430      | SH | SOLE |
| HANCOCK JOHN PFD INCOME FD I | COM              | 41013X106 | 115  | 4919      | SH | SOLE |
| HANCOCK JOHN PFD INCOME FD I | COM              | 41013X106 | 154  | 6625      | SH | SOLE |
| HANCOCK JOHN INVS TR         | COM              | 410142103 | 39   | 1600      | SH | SOLE |
| HANCOCK JOHN PFD INCOME FD I | COM              | 41021P103 | 51   | 2540.672  | SH | SOLE |
| HANESBRANDS INC              | COM              | 410345102 | 69   | 2155      | SH | SOLE |
| HANGER INC                   | COM NEW          | 41043F208 | 7    | 259       | SH | SOLE |
| HANMI FINL CORP              | COM NEW          | 410495204 | 8    | 619       | SH | SOLE |
| HANOVER INS GROUP INC        | COM              | 410867105 | 3    | 71        | SH | SOLE |
| HANSEN MEDICAL INC           | COM              | 411307101 | 5    | 2350      | SH | SOLE |
| HANWHA SOLARONE CO LTD       | SPONSORED ADR    | 41135V103 | 0    | 200       | SH | SOLE |
| HARLEY DAVIDSON INC          | COM              | 412822108 | 265  | 6270.738  | SH | SOLE |
| HARMAN INTL INDS INC         | COM              | 413086109 | 196  | 4247.556  | SH | SOLE |
| HARMONIC INC                 | COM              | 413160102 | 25   | 5570      | SH | SOLE |
| HARRIS & HARRIS GROUP INC    | COM              | 413833104 | 0    | 100       | SH | SOLE |
| HARRIS CORP DEL              | COM              | 413875105 | 3    | 54.663    | SH | SOLE |
| HARRIS CORP DEL              | COM              | 413875105 | 231  | 4509.813  | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                |           |      |           |    |      |
|------------------------------|----------------|-----------|------|-----------|----|------|
| HARRIS TEETER SUPERMARKETS I | COM            | 414585109 | 38   | 985       | SH | SOLE |
| HARSCO CORP                  | COM            | 415864107 | 9    | 433       | SH | SOLE |
| HARTFORD FINL SVCS GROUP INC | COM            | 416515104 | 1    | 35.811    | SH | SOLE |
| HARTFORD FINL SVCS GROUP INC | COM            | 416515104 | 450  | 23120.87  | SH | SOLE |
| HARTFORD FINL SVCS GROUP INC | DEP CONV PFD   | 416515708 | 246  | 12880     | SH | SOLE |
| HASBRO INC                   | COM            | 418056107 | 232  | 6100      | SH | SOLE |
| HATTERAS FINL CORP           | COM            | 41902R103 | 656  | 23273.83  | SH | SOLE |
| HATTERAS FINL CORP           | COM            | 41902R103 | 361  | 12800     | SH | SOLE |
| HAWAIIAN ELEC INDUSTRIES     | COM            | 419870100 | 237  | 9020      | SH | SOLE |
| HAWAIIAN HOLDINGS INC        | COM            | 419879101 | 16   | 2800      | SH | SOLE |
| HAWKINS INC                  | COM            | 420261109 | 21   | 500       | SH | SOLE |
| HAYNES INTERNATIONAL INC     | COM NEW        | 420877201 | 23   | 439       | SH | SOLE |
| HEALTHCARE SVCS GRP INC      | COM            | 421906108 | 29   | 1260.74   | SH | SOLE |
| HEALTHSOUTH CORP             | COM NEW        | 421924309 | 91   | 3800      | SH | SOLE |
| HEALTH MGMT ASSOC INC NEW    | CL A           | 421933102 | 4    | 503       | SH | SOLE |
| HEALTHCARE RLTY TR           | COM            | 421946104 | 62   | 2686.515  | SH | SOLE |
| HEADWATERS INC               | COM            | 42210P102 | 10   | 1444      | SH | SOLE |
| HEALTH CARE REIT INC         | COM            | 42217K106 | 1572 | 27218.608 | SH | SOLE |
| HEALTH NET INC               | COM            | 42222G108 | 31   | 1341      | SH | SOLE |
| HEALTHSTREAM INC             | COM            | 42222N103 | 371  | 13057     | SH | SOLE |
| HEALTHSTREAM INC             | COM            | 42222N103 | 14   | 500       | SH | SOLE |
| HEALTHCARE TR AMER INC       | CL A           | 42225P105 | 2912 | 297715    | SH | SOLE |
| HEARTLAND EXPRESS INC        | COM            | 422347104 | 16   | 1185      | SH | SOLE |
| HEARTLAND PMT SYS INC        | COM            | 42235N108 | 50   | 1578.774  | SH | SOLE |
| HECKMANN CORP                | COM            | 422680108 | 80   | 19000     | SH | SOLE |
| HECLA MNG CO                 | COM            | 422704106 | 7    | 1100      | SH | SOLE |
| HEICO CORP NEW               | COM            | 422806109 | 3    | 75.278    | SH | SOLE |
| HEINZ H J CO                 | COM            | 423074103 | 4    | 77.821    | SH | SOLE |
| HEINZ H J CO                 | COM            | 423074103 | 1321 | 23608.393 | SH | SOLE |
| HELIOS TOTAL RETURN FD INC   | COM NEW        | 42327V208 | 25   | 1017      | SH | SOLE |
| HELIOS ADVANTAGE INCOME FD I | COM NEW        | 42327W206 | 1    | 153       | SH | SOLE |
| HELIOS HIGH INCOME FD INC    | COM NEW        | 42327X204 | 2    | 275       | SH | SOLE |
| HELIOS MULTI SEC HI INC FD I | COM NEW        | 42327Y202 | 39   | 6107      | SH | SOLE |
| HELIOS STRATEGIC INCOME FD I | COM NEW        | 42328A203 | 1    | 152       | SH | SOLE |
| HELIOS HIGH YIELD FD         | COM            | 42328Q109 | 29   | 2700      | SH | SOLE |
| HELIX ENERGY SOLUTIONS GRP I | COM            | 42330P107 | 43   | 2333      | SH | SOLE |
| HELMERICH & PAYNE INC        | COM            | 423452101 | 94   | 1983      | SH | SOLE |
| HENRY JACK & ASSOC INC       | COM            | 426281101 | 61   | 1612.71   | SH | SOLE |
| HERCULES OFFSHORE INC        | COM            | 427093109 | 20   | 4000      | SH | SOLE |
| HERCULES TECH GROWTH CAP INC | COM            | 427096508 | 47   | 4258      | SH | SOLE |
| HERITAGE CRYSTAL CLEAN INC   | COM            | 42726M106 | 17   | 871       | SH | SOLE |
| HERSHA HOSPITALITY TR        | SH BEN INT A   | 427825104 | 3    | 650       | SH | SOLE |
| HERSHEY CO                   | COM            | 427866108 | 713  | 9927.823  | SH | SOLE |
| HERSHEY CO                   | COM            | 427866108 | 587  | 8276.893  | SH | SOLE |
| HERTZ GLOBAL HOLDINGS INC    | COM            | 42805T105 | 38   | 2734      | SH | SOLE |
| HESS CORP                    | COM            | 42809H107 | 1216 | 22641.721 | SH | SOLE |
| HEWLETT PACKARD CO           | COM            | 428236103 | 1    | 66        | SH | SOLE |
| HEWLETT PACKARD CO           | COM            | 428236103 | 713  | 41954.046 | SH | SOLE |
| HEWLETT PACKARD CO           | COM            | 428236103 | 5    | 303.512   | SH | SOLE |
| HEXCEL CORP NEW              | COM            | 428291108 | 762  | 31731     | SH | SOLE |
| HHGREGG INC                  | COM            | 42833L108 | 4    | 600       | SH | SOLE |
| HI-TECH PHARMACAL INC        | COM            | 42840B101 | 6    | 175       | SH | SOLE |
| HIBBETT SPORTS INC           | COM            | 428567101 | 37   | 623       | SH | SOLE |
| HIGHWOODS PPTYS INC          | COM            | 431284108 | 3    | 81        | SH | SOLE |
| HILL ROM HLDGS INC           | COM            | 431475102 | 8    | 274       | SH | SOLE |
| HILLENBRAND INC              | COM            | 431571108 | 2    | 100       | SH | SOLE |
| HILLSHIRE BRANDS CO          | COM            | 432589109 | 105  | 3907      | SH | SOLE |
| HILLSHIRE BRANDS CO          | COM            | 432589109 | 1    | 31        | SH | SOLE |
| HITTITE MICROWAVE CORP       | COM            | 43365Y104 | 21   | 380       | SH | SOLE |
| HOLLY ENERGY PARTNERS L P    | COM UT LTD PTN | 435763107 | 63   | 950       | SH | SOLE |
| HOLLYFRONTIER CORP           | COM            | 436106108 | 64   | 1583.142  | SH | SOLE |
| HOLLYFRONTIER CORP           | COM            | 436106108 | 42   | 1001.813  | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                |           |      |           |    |      |
|------------------------------|----------------|-----------|------|-----------|----|------|
| HOLOGIC INC                  | COM            | 436440101 | 74   | 3609      | SH | SOLE |
| HOME BANCSHARES INC          | COM            | 436893200 | 39   | 1152      | SH | SOLE |
| HOME DEPOT INC               | COM            | 437076102 | 679  | 11973     | SH | SOLE |
| HOME DEPOT INC               | COM            | 437076102 | 3883 | 64291.791 | SH | SOLE |
| HOME DEPOT INC               | COM            | 437076102 | 78   | 1289.876  | SH | SOLE |
| HOME FED BANCORP INC LA NEW  | COM            | 43708L108 | 32   | 1820      | SH | SOLE |
| HOME FED BANCORP INC MD      | COM            | 43710G105 | 8    | 700       | SH | SOLE |
| HOME PROPERTIES INC          | COM            | 437306103 | 37   | 603       | SH | SOLE |
| HONDA MOTOR LTD              | AMERN SHS      | 438128308 | 163  | 5280.663  | SH | SOLE |
| HONDA MOTOR LTD              | AMERN SHS      | 438128308 | 0    | 0         | SH | SOLE |
| HONEYWELL INTL INC           | COM            | 438516106 | 1    | 22.749    | SH | SOLE |
| HONEYWELL INTL INC           | COM            | 438516106 | 1772 | 29626.561 | SH | SOLE |
| HOPFED BANCORP INC           | COM            | 439734104 | 15   | 2040      | SH | SOLE |
| HORACE MANN EDUCATORS CORP N | COM            | 440327104 | 7    | 364       | SH | SOLE |
| HORMEL FOODS CORP            | COM            | 440452100 | 83   | 2819.891  | SH | SOLE |
| HORIZON TECHNOLOGY FIN CORP  | COM            | 44045A102 | 26   | 1625      | SH | SOLE |
| HORNBECK OFFSHORE SVCS INC N | COM            | 440543106 | 0    | 7         | SH | SOLE |
| HOSPIRA INC                  | COM            | 441060100 | 46   | 1392      | SH | SOLE |
| HOSPITALITY PPTYS TR         | COM SH BEN INT | 44106M102 | 68   | 2852.796  | SH | SOLE |
| HOST HOTELS & RESORTS INC    | COM            | 44107P104 | 0    | 15        | SH | SOLE |
| HOST HOTELS & RESORTS INC    | COM            | 44107P104 | 685  | 42766.27  | SH | SOLE |
| HOUSTON WIRE & CABLE CO      | COM            | 44244K109 | 2    | 165       | SH | SOLE |
| HOVNIANIAN ENTERPRISES INC   | CL A           | 442487203 | 8    | 2260      | SH | SOLE |
| HOWARD HUGHES CORP           | COM            | 44267D107 | 1    | 16        | SH | SOLE |
| HUANENG PWR INTL INC         | SPON ADR H SHS | 443304100 | 17   | 575       | SH | SOLE |
| HUB GROUP INC                | CL A           | 443320106 | 13   | 440       | SH | SOLE |
| HUBBELL INC                  | CL A           | 443510102 | 10   | 133       | SH | SOLE |
| HUBBELL INC                  | CL B           | 443510201 | 8    | 105       | SH | SOLE |
| HUDSON CITY BANCORP          | COM            | 443683107 | 95   | 11941.871 | SH | SOLE |
| HUDSON PAC PPTYS INC         | COM            | 444097109 | 2    | 102       | SH | SOLE |
| HUGOTON RTY TR TEX           | UNIT BEN INT   | 444717102 | 10   | 1480      | SH | SOLE |
| HUMANA INC                   | COM            | 444859102 | 616  | 8761      | SH | SOLE |
| HUNT J B TRANS SVCS INC      | COM            | 445658107 | 119  | 2284.92   | SH | SOLE |
| HUNTINGTON BANCSHARES INC    | COM            | 446150104 | 66   | 9548.772  | SH | SOLE |
| HUNTINGTON INGALLS INDS INC  | COM            | 446413106 | 36   | 861       | SH | SOLE |
| HUNTSMAN CORP                | COM            | 447011107 | 166  | 11135.508 | SH | SOLE |
| HURON CONSULTING GROUP INC   | COM            | 447462102 | 1    | 15        | SH | SOLE |
| HYATT HOTELS CORP            | COM CL A       | 448579102 | 5    | 131       | SH | SOLE |
| HYDROGENICS CORP NEW         | COM NEW        | 448883207 | 4    | 800       | SH | SOLE |
| HYPERDYNAMICS CORP           | COM            | 448954107 | 3    | 3500      | SH | SOLE |
| IAC INTERACTIVECORP          | COM PAR \$.001 | 44919P508 | 255  | 4851.586  | SH | SOLE |
| ICG GROUP INC                | COM            | 44928D108 | 0    | 33        | SH | SOLE |
| ING PRIME RATE TR            | SH BEN INT     | 44977W106 | 54   | 8685.586  | SH | SOLE |
| IPG PHOTONICS CORP           | COM            | 44980X109 | 22   | 381       | SH | SOLE |
| ING RISK MANAGED NAT RES FD  | COM            | 449810100 | 1    | 107       | SH | SOLE |
| ING GLBL ADV & PREM OPP FUND | COM            | 44982N109 | 99   | 8369      | SH | SOLE |
| ING ASIA PAC HGH DIVID EQ IN | COM            | 44983J107 | 24   | 1462      | SH | SOLE |
| IPC THE HOSPITALIST CO INC   | COM            | 44984A105 | 9    | 200       | SH | SOLE |
| ISTAR FINL INC               | COM            | 45031U101 | 4    | 496       | SH | SOLE |
| ITT EDUCATIONAL SERVICES INC | COM            | 45068B109 | 4    | 121       | SH | SOLE |
| IBERIABANK CORP              | COM            | 450828108 | 21   | 449       | SH | SOLE |
| ITT CORP NEW                 | COM NEW        | 450911201 | 55   | 2724.739  | SH | SOLE |
| IAMGOLD CORP                 | COM            | 450913108 | 17   | 1092      | SH | SOLE |
| ICON PUB LTD CO              | SPONSORED ADR  | 45103T107 | 3    | 132       | SH | SOLE |
| ICICI BK LTD                 | ADR            | 45104G104 | 31   | 769       | SH | SOLE |
| ICAHN ENTERPRISES LP/CORP    | FRNT 8/1       | 451102AB3 | 8    | 8000      | SH | SOLE |
| IDACORP INC                  | COM            | 451107106 | 43   | 993       | SH | SOLE |
| IDENIX PHARMACEUTICALS INC   | COM            | 45166R204 | 1    | 200       | SH | SOLE |
| IDEX CORP                    | COM            | 45167R104 | 503  | 12049.553 | SH | SOLE |
| IDEXX LABS INC               | COM            | 45168D104 | 670  | 6748      | SH | SOLE |
| IGATE CORP                   | COM            | 45169U105 | 0    | 20        | SH | SOLE |
| IHS INC                      | CL A           | 451734107 | 31   | 320       | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                 |           |       |            |    |      |
|------------------------------|-----------------|-----------|-------|------------|----|------|
| ILLINOIS TOOL WKS INC        | COM             | 452308109 | 594   | 9979.135   | SH | SOLE |
| ILLUMINA INC                 | COM             | 452327109 | 46    | 957        | SH | SOLE |
| IMATION CORP                 | COM             | 45245A107 | 3     | 455        | SH | SOLE |
| IMAX CORP                    | COM             | 45245E109 | 4     | 216        | SH | SOLE |
| IMMUNOGEN INC                | COM             | 45253H101 | 47    | 3202       | SH | SOLE |
| IMPAX LABORATORIES INC       | COM             | 45256B101 | 3     | 110        | SH | SOLE |
| IMPERIAL OIL LTD             | COM NEW         | 453038408 | 79    | 1723       | SH | SOLE |
| IMPERVA INC                  | COM             | 45321L100 | 1     | 16         | SH | SOLE |
| INCYTE CORP                  | COM             | 45337C102 | 42    | 2314       | SH | SOLE |
| INDEPENDENT BANK CORP MASS   | COM             | 453836108 | 12    | 383        | SH | SOLE |
| INDEPENDENT BANK CORP MICH   | COM NEW         | 453838609 | 1     | 550        | SH | SOLE |
| INDIA FD INC                 | COM             | 454089103 | 1     | 50         | SH | SOLE |
| INDIA FD INC                 | COM             | 454089103 | 61    | 2627.984   | SH | SOLE |
| INDIA FD INC                 | COM             | 454089103 | 0     | 6          | SH | SOLE |
| INDEXIQ ETF TR               | HEDGE MLTI ETF  | 45409B107 | 93    | 3300       | SH | SOLE |
| INDEXIQ ETF TR               | CAN SML CP ETF  | 45409B867 | 4     | 140        | SH | SOLE |
| INDEXIQ ETF TR               | IQ GLB RES ETF  | 45409B883 | 17    | 600        | SH | SOLE |
| INDUSTRIAS BACHOCO S A B DE  | SPON ADR B      | 456463108 | 2     | 93         | SH | SOLE |
| INERGY L P                   | UNIT LTD PTNR   | 456615103 | 105   | 5498.134   | SH | SOLE |
| INFINITY PPTY & CAS CORP     | COM             | 45665Q103 | 6     | 102        | SH | SOLE |
| INFORMATICA CORP             | COM             | 45666Q102 | 34    | 992        | SH | SOLE |
| INFOSYS LTD                  | SPONSORED ADR   | 456788108 | 97    | 1993.23    | SH | SOLE |
| ING GROEP N V                | SPONSORED ADR   | 456837103 | 0     | 35         | SH | SOLE |
| ING GROEP N V                | SPONSORED ADR   | 456837103 | 26    | 3282       | SH | SOLE |
| ING GLOBAL EQTY DIV & PREM O | COM             | 45684E107 | 448   | 48460.834  | SH | SOLE |
| ING INFRASTRUCTURE INDLS & M | COM             | 45685U100 | 9     | 521        | SH | SOLE |
| INGLES MKTS INC              | CL A            | 457030104 | 4     | 242        | SH | SOLE |
| INGRAM MICRO INC             | CL A            | 457153104 | 9     | 596        | SH | SOLE |
| INGREDION INC                | COM             | 457187102 | 88    | 1588.716   | SH | SOLE |
| INGREDION INC                | COM             | 457187102 | 45    | 819        | SH | SOLE |
| INLAND REAL ESTATE CORP      | COM NEW         | 457461200 | 5     | 655.194    | SH | SOLE |
| INLAND REAL ESTATE CORP      | COM NEW         | 457461200 | 96    | 11639.952  | SH | SOLE |
| INSIGHT ENTERPRISES INC      | COM             | 45765U103 | 5     | 280        | SH | SOLE |
| INSIGNIA SYS INC             | COM             | 45765Y105 | 444   | 257890.695 | SH | SOLE |
| INNOSPEC INC                 | COM             | 45768S105 | 14    | 400        | SH | SOLE |
| INNERWORKINGS INC            | COM             | 45773Y105 | 8     | 604        | SH | SOLE |
| INNOPHOS HOLDINGS INC        | COM             | 45774N108 | 5     | 98         | SH | SOLE |
| INSTEEL INDUSTRIES INC       | COM             | 45774W108 | 0     | 40         | SH | SOLE |
| INSULET CORP                 | COM             | 45784P101 | 1     | 54         | SH | SOLE |
| INTEGRA LIFESCIENCES HLDGS C | COM NEW         | 457985208 | 24    | 567        | SH | SOLE |
| INTEGRATED DEVICE TECHNOLOGY | COM             | 458118106 | 1     | 258        | SH | SOLE |
| INTEGRATED SILICON SOLUTION  | COM             | 45812P107 | 3     | 292        | SH | SOLE |
| INTEL CORP                   | COM             | 458140100 | 30    | 1294.034   | SH | SOLE |
| INTEL CORP                   | COM             | 458140100 | 6777  | 299177.917 | SH | SOLE |
| INTEL CORP                   | COM             | 458140100 | 0     | 0.972      | SH | SOLE |
| INTEL CORP                   | SDCV 2.950%12/1 | 458140AD2 | 1     | 800        | SH | SOLE |
| INTELLIPHARMACEUTICS INTL IN | COM             | 458173101 | 1     | 306        | SH | SOLE |
| INTEGRYS ENERGY GROUP INC    | COM             | 45822P105 | 141   | 2680.812   | SH | SOLE |
| INTER PARFUMS INC            | COM             | 458334109 | 3     | 152        | SH | SOLE |
| INTERACTIVE BROKERS GROUP IN | COM             | 45841N107 | 1     | 53         | SH | SOLE |
| INTERCONTINENTAL HTLS GRP PL | SPONS ADR NEW   | 45857P301 | 6     | 246        | SH | SOLE |
| INTERCONTINENTALEXCHANGE INC | COM             | 45865V100 | 231   | 1741       | SH | SOLE |
| INTERFACE INC                | COM             | 458665304 | 3     | 212        | SH | SOLE |
| INTERDIGITAL INC             | COM             | 45867G101 | 99    | 2650       | SH | SOLE |
| INTERMEC INC                 | COM             | 458786100 | 1     | 241        | SH | SOLE |
| INTERMUNE INC                | COM             | 45884X103 | 3     | 283        | SH | SOLE |
| INTERNATIONAL BUSINESS MACHS | COM             | 459200101 | 616   | 3162.672   | SH | SOLE |
| INTERNATIONAL BUSINESS MACHS | COM             | 459200101 | 11877 | 57236.561  | SH | SOLE |
| INTERNATIONAL BUSINESS MACHS | COM             | 459200101 | 93    | 447.185    | SH | SOLE |
| INTERNATIONAL FLAVORS&FRAGRA | COM             | 459506101 | 5     | 80         | SH | SOLE |
| INTERNATIONAL GAME TECHNOLOG | COM             | 459902102 | 10    | 775        | SH | SOLE |
| INTL PAPER CO                | COM             | 460146103 | 310   | 8546.652   | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |       |            |    |      |
|------------------------------|------------------|-----------|-------|------------|----|------|
| INTL PAPER CO                | COM              | 460146103 | 45    | 1253.358   | SH | SOLE |
| INTERNATIONAL RECTIFIER CORP | COM              | 460254105 | 9     | 534        | SH | SOLE |
| INTERNATIONAL SPEEDWAY CORP  | CL A             | 460335201 | 8     | 275        | SH | SOLE |
| INTERNATIONAL TOWER HILL MIN | COM              | 46050R102 | 19    | 6563       | SH | SOLE |
| INTERPUBLIC GROUP COS INC    | COM              | 460690100 | 360   | 32380      | SH | SOLE |
| INTERSIL CORP                | CL A             | 46069S109 | 7     | 837        | SH | SOLE |
| INTEROIL CORP                | COM              | 460951106 | 1170  | 15143      | SH | SOLE |
| INTERSECTIONS INC            | COM              | 460981301 | 1     | 108.63     | SH | SOLE |
| INTERVAL LEISURE GROUP INC   | COM              | 46113M108 | 1     | 64.397     | SH | SOLE |
| INTRALINKS HLDGS INC         | COM              | 46118H104 | 2     | 290        | SH | SOLE |
| INTUIT                       | COM              | 461202103 | 119   | 1979       | SH | SOLE |
| INTUITIVE SURGICAL INC       | COM NEW          | 46120E602 | 600   | 1207       | SH | SOLE |
| INVENTURE FOODS INC          | COM              | 461212102 | 4     | 750        | SH | SOLE |
| INTREPID POTASH INC          | COM              | 46121Y102 | 22    | 1052       | SH | SOLE |
| INVENSENSE INC               | COM              | 46123D205 | 72    | 6050       | SH | SOLE |
| INVESCO VALUE MUN BD TR      | COM              | 46130Y101 | 16    | 1000       | SH | SOLE |
| INVESCO MORTGAGE CAPITAL INC | COM              | 46131B100 | 422   | 20986.44   | SH | SOLE |
| INVESCO VAN KAMPEN HIGH INCO | COM              | 46131F101 | 6     | 335.538    | SH | SOLE |
| INVESCO VAN KAMPEN HIGH INCO | COM              | 46131F101 | 19    | 1129       | SH | SOLE |
| INVESCO VAN KAMPEN SR INC TR | COM              | 46131H107 | 1701  | 339563.226 | SH | SOLE |
| INVESCO VAN KAMPEN MUNI TRST | COM              | 46131J103 | 7     | 442        | SH | SOLE |
| INVESCO VAN KAMPEN OHIO QUAL | COM              | 46131K100 | 6     | 357        | SH | SOLE |
| INVESCO VAN KAMPEN TR INV MU | COM              | 46131M106 | 115   | 7044.403   | SH | SOLE |
| INVESCO VAN KAMPEN TR NY MUN | COM              | 46131T101 | 18    | 1065       | SH | SOLE |
| INVESCO VAN KAMPEN MUNI OPP  | COM              | 46132C107 | 4     | 230        | SH | SOLE |
| INVESCO VAN KAMPEN ADVANTAGE | SH BEN INT       | 46132E103 | 10    | 701        | SH | SOLE |
| INVESCO VAN KAMPEN SELECT MU | SH BEN INT       | 46132G108 | 95    | 7009.495   | SH | SOLE |
| INVESCO VAN KAMPEN CALIF VAL | COM              | 46132H106 | 35    | 2457.919   | SH | SOLE |
| INVESCO VAN KAMP BOND FND    | COM              | 46132L107 | 11    | 517.084    | SH | SOLE |
| INVESCO VALUE MUN INCOME TR  | COM              | 46132P108 | 5     | 272        | SH | SOLE |
| INVESCO VAN KAMP DYN CRDT OP | COM              | 46132R104 | 37    | 3000       | SH | SOLE |
| INVESCO VAN KAMP DYN CRDT OP | COM              | 46132R104 | 8     | 665.131    | SH | SOLE |
| INVESCO VALUE MUN TR         | COM              | 46132W103 | 100   | 6297.708   | SH | SOLE |
| INVESCO MUNI INCOME OPP TRST | COM              | 46132X101 | 126   | 16812      | SH | SOLE |
| INVESCO QUALITY MUNI INC TRS | COM              | 46133G107 | 7     | 479        | SH | SOLE |
| INVESCO QUALITY MUNI INVT TR | COM              | 46133H105 | 7     | 475        | SH | SOLE |
| INVESCO QUALITY MUN SECS     | COM              | 46133J101 | 49    | 3000       | SH | SOLE |
| INVESTORS REAL ESTATE TR     | SH BEN INT       | 461730103 | 458   | 55254.014  | SH | SOLE |
| ION GEOPHYSICAL CORP         | COM              | 462044108 | 43    | 6181       | SH | SOLE |
| IPASS INC                    | COM              | 46261V108 | 2     | 1000       | SH | SOLE |
| IRELAND BK                   | SPNSRD ADR NEW   | 46267Q202 | 0     | 2          | SH | SOLE |
| IROBOT CORP                  | COM              | 462726100 | 9     | 400        | SH | SOLE |
| IRON MTN INC                 | COM              | 462846106 | 949   | 27817.26   | SH | SOLE |
| ISHARES GOLD TRUST           | ISHARES          | 464285105 | 114   | 6717       | SH | SOLE |
| ISHARES GOLD TRUST           | ISHARES          | 464285105 | 13088 | 757840     | SH | SOLE |
| ISHARES GOLD TRUST           | ISHARES          | 464285105 | 262   | 15156      | SH | SOLE |
| ISHARES INC                  | MSCI AUSTRALIA   | 464286103 | 1358  | 57083.092  | SH | SOLE |
| ISHARES INC                  | MSCI BELG INVEST | 464286301 | 0     | 5          | SH | SOLE |
| ISHARES INC                  | EMRGMKT DIVIDX   | 464286319 | 10    | 185        | SH | SOLE |
| ISHARES INC                  | MSCI GLB ENERG   | 464286343 | 60    | 2500       | SH | SOLE |
| ISHARES INC                  | MSCI BRAZIL      | 464286400 | 7     | 126        | SH | SOLE |
| ISHARES INC                  | MSCI BRAZIL      | 464286400 | 1178  | 21788.881  | SH | SOLE |
| ISHARES INC                  | EMKTS VALU IDX   | 464286459 | 154   | 3151       | SH | SOLE |
| ISHARES INC                  | MSCI EM SML CP   | 464286475 | 42    | 909.762    | SH | SOLE |
| ISHARES INC                  | MSCI CDA INDEX   | 464286509 | 1870  | 65622.915  | SH | SOLE |
| ISHARES INC                  | MSCI EMU INDEX   | 464286608 | 123   | 4100       | SH | SOLE |
| ISHARES INC                  | MSCI THAILAND    | 464286624 | 385   | 5448.297   | SH | SOLE |
| ISHARES INC                  | MSCI THAILAND    | 464286624 | 207   | 2726.018   | SH | SOLE |
| ISHARES INC                  | MSCI ISRAEL FD   | 464286632 | 19    | 457.252    | SH | SOLE |
| ISHARES INC                  | MSCI CHILE INVES | 464286640 | 5     | 76.224     | SH | SOLE |
| ISHARES INC                  | MSCI BRIC INDX   | 464286657 | 506   | 13399.733  | SH | SOLE |
| ISHARES INC                  | MSCI BRIC INDX   | 464286657 | 42    | 1119.755   | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|             |                  |           |       |            |    |      |
|-------------|------------------|-----------|-------|------------|----|------|
| ISHARESINC  | MSCI PAC J IDX   | 464286665 | 980   | 21975.494  | SH | SOLE |
| ISHARESINC  | MSCI SINGAPORE   | 464286673 | 3708  | 276527.189 | SH | SOLE |
| ISHARESINC  | MSCI UTD KINGD   | 464286699 | 794   | 45656.168  | SH | SOLE |
| ISHARESINC  | MSCI UTD KINGD   | 464286699 | 0     | 0          | SH | SOLE |
| ISHARESINC  | MSCI FRANCE      | 464286707 | 0     | 8          | SH | SOLE |
| ISHARES INC | MSCI TURKEY FD   | 464286715 | 17    | 300        | SH | SOLE |
| ISHARESINC  | MSCI TAIWAN      | 464286731 | 37    | 2800       | SH | SOLE |
| ISHARESINC  | MSCI SWITZERLD   | 464286749 | 9     | 394        | SH | SOLE |
| ISHARESINC  | MSCI SWITZERLD   | 464286749 | 572   | 23265.71   | SH | SOLE |
| ISHARESINC  | MSCI SWEDEN      | 464286756 | 90    | 3176       | SH | SOLE |
| ISHARESINC  | MSCI SPAIN       | 464286764 | 816   | 29475.275  | SH | SOLE |
| ISHARESINC  | MSCI SPAIN       | 464286764 | 0     | 0          | SH | SOLE |
| ISHARESINC  | MSCI S KOREA     | 464286772 | 34    | 608.681    | SH | SOLE |
| ISHARESINC  | MSCI S KOREA     | 464286772 | 62    | 1051.584   | SH | SOLE |
| ISHARESINC  | MSCI STH AFRCA   | 464286780 | 3589  | 53760.542  | SH | SOLE |
| ISHARESINC  | MSCI GERMANY     | 464286806 | 12    | 532        | SH | SOLE |
| ISHARESINC  | MSCI MEX INVEST  | 464286822 | 11    | 183        | SH | SOLE |
| ISHARESINC  | MSCI MEX INVEST  | 464286822 | 49    | 754        | SH | SOLE |
| ISHARESINC  | MSCI MALAYSIA    | 464286830 | 105   | 7171.046   | SH | SOLE |
| ISHARESINC  | MSCI JAPAN       | 464286848 | 8     | 893        | SH | SOLE |
| ISHARESINC  | MSCI JAPAN       | 464286848 | 71    | 7762.086   | SH | SOLE |
| ISHARESINC  | MSCI ITALY       | 464286855 | 286   | 23740.808  | SH | SOLE |
| ISHARESINC  | MSCI ITALY       | 464286855 | 0     | 0          | SH | SOLE |
| ISHARESINC  | MSCI HONG KONG   | 464286871 | 3847  | 211347.087 | SH | SOLE |
| ISHARESTR   | S&P 100 IDX FD   | 464287101 | 21279 | 320177.935 | SH | SOLE |
| ISHARES TR  | LRGE GRW INDX    | 464287119 | 941   | 11893.925  | SH | SOLE |
| ISHARES TR  | LRGE CORE INDX   | 464287127 | 330   | 4035.288   | SH | SOLE |
| ISHARESTR   | NYSE COMP INDX   | 464287143 | 11    | 150        | SH | SOLE |
| ISHARES TR  | S&P 1500 INDEX   | 464287150 | 8     | 128.581    | SH | SOLE |
| ISHARESTR   | DJ SEL DIV INX   | 464287168 | 29    | 515.9      | SH | SOLE |
| ISHARESTR   | DJ SEL DIV INX   | 464287168 | 5150  | 89287.056  | SH | SOLE |
| ISHARESTR   | DJ SEL DIV INX   | 464287168 | 2551  | 44197.379  | SH | SOLE |
| ISHARESTR   | BARCLYS TIPS BD  | 464287176 | 966   | 7970.21    | SH | SOLE |
| ISHARESTR   | BARCLYS TIPS BD  | 464287176 | 44239 | 363333.007 | SH | SOLE |
| ISHARESTR   | FTSE CHINA25 IDX | 464287184 | 10    | 312        | SH | SOLE |
| ISHARESTR   | FTSE CHINA25 IDX | 464287184 | 829   | 23946.374  | SH | SOLE |
| ISHARESTR   | FTSE CHINA25 IDX | 464287184 | 0     | 0          | SH | SOLE |
| ISHARESTR   | TRANSP AVE IDX   | 464287192 | 189   | 2166.402   | SH | SOLE |
| ISHARESTR   | S&P 500 INDEX    | 464287200 | 262   | 1845.433   | SH | SOLE |
| ISHARESTR   | S&P 500 INDEX    | 464287200 | 18703 | 129524.328 | SH | SOLE |
| ISHARESTR   | S&P 500 INDEX    | 464287200 | 117   | 809.578    | SH | SOLE |
| ISHARESTR   | BARCLY USAGG B   | 464287226 | 241   | 2142.686   | SH | SOLE |
| ISHARESTR   | BARCLY USAGG B   | 464287226 | 13302 | 118291.367 | SH | SOLE |
| ISHARESTR   | BARCLY USAGG B   | 464287226 | 179   | 1597.368   | SH | SOLE |
| ISHARESTR   | MSCI EMERG MKT   | 464287234 | 14    | 355.005    | SH | SOLE |
| ISHARESTR   | MSCI EMERG MKT   | 464287234 | 11603 | 280778.26  | SH | SOLE |
| ISHARESTR   | IBOXX INV CPBD   | 464287242 | 123   | 1020       | SH | SOLE |
| ISHARESTR   | IBOXX INV CPBD   | 464287242 | 13500 | 110862.08  | SH | SOLE |
| ISHARESTR   | IBOXX INV CPBD   | 464287242 | 5     | 42         | SH | SOLE |
| ISHARESTR   | S&P GBL TELCM    | 464287275 | 919   | 15120      | SH | SOLE |
| ISHARESTR   | S&P GBL INF      | 464287291 | 2341  | 33858.347  | SH | SOLE |
| ISHARESTR   | S&P500 GRW       | 464287309 | 4868  | 62533.769  | SH | SOLE |
| ISHARESTR   | S&P500 GRW       | 464287309 | 17    | 223        | SH | SOLE |
| ISHARESTR   | S&P GBL HLTHCR   | 464287325 | 2188  | 34098.142  | SH | SOLE |
| ISHARESTR   | S&P GBL FIN      | 464287333 | 189   | 4520.482   | SH | SOLE |
| ISHARESTR   | S&P GBL ENER     | 464287341 | 2145  | 54548.282  | SH | SOLE |
| ISHARESTR   | S&P GBL ENER     | 464287341 | 0     | 0          | SH | SOLE |
| ISHARESTR   | S&P NA NAT RES   | 464287374 | 0     | 0          | SH | SOLE |
| ISHARESTR   | S&P NA NAT RES   | 464287374 | 1647  | 41695.694  | SH | SOLE |
| ISHARESTR   | S&P/TOPIX 150    | 464287382 | 24    | 605.721    | SH | SOLE |
| ISHARESTR   | S&P LTN AM 40    | 464287390 | 735   | 17260.817  | SH | SOLE |
| ISHARESTR   | S&P 500 VALUE    | 464287408 | 4479  | 68076.87   | SH | SOLE |



Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|           |                 |           |       |            |    |      |
|-----------|-----------------|-----------|-------|------------|----|------|
| ISHARESTR | S&P 500 VALUE   | 464287408 | 7     | 106        | SH | SOLE |
| ISHARESTR | BARCLYS 20+ YR  | 464287432 | 226   | 1770.894   | SH | SOLE |
| ISHARESTR | BARCLYS 20+ YR  | 464287432 | 2847  | 22918.709  | SH | SOLE |
| ISHARESTR | BARCLYS 7-10 YR | 464287440 | 275   | 2525.708   | SH | SOLE |
| ISHARESTR | BARCLYS 7-10 YR | 464287440 | 4801  | 44261.587  | SH | SOLE |
| ISHARESTR | BARCLYS 7-10 YR | 464287440 | 11827 | 108929.64  | SH | SOLE |
| ISHARESTR | BARCLYS 1-3 YR  | 464287457 | 125   | 1484.06    | SH | SOLE |
| ISHARESTR | BARCLYS 1-3 YR  | 464287457 | 6111  | 72323.412  | SH | SOLE |
| ISHARESTR | BARCLYS 1-3 YR  | 464287457 | 117   | 1388.209   | SH | SOLE |
| ISHARESTR | MSCI EAFE INDEX | 464287465 | 366   | 7084.287   | SH | SOLE |
| ISHARESTR | MSCI EAFE INDEX | 464287465 | 15776 | 297658.29  | SH | SOLE |
| ISHARESTR | MSCI EAFE INDEX | 464287465 | 721   | 13490.355  | SH | SOLE |
| ISHARESTR | RUSSELL MCP VL  | 464287473 | 3     | 61.574     | SH | SOLE |
| ISHARESTR | RUSSELL MCP VL  | 464287473 | 3276  | 67243.22   | SH | SOLE |
| ISHARESTR | RUSSELL MCP VL  | 464287473 | 20126 | 414786.798 | SH | SOLE |
| ISHARESTR | RUSSELL MCP GR  | 464287481 | 2738  | 44061.645  | SH | SOLE |
| ISHARESTR | RUSSELL MCP GR  | 464287481 | 20480 | 330721.263 | SH | SOLE |
| ISHARESTR | RUSSELL MIDCAP  | 464287499 | 3     | 30.589     | SH | SOLE |
| ISHARESTR | RUSSELL MIDCAP  | 464287499 | 7164  | 64689.717  | SH | SOLE |
| ISHARESTR | RUSSELL MIDCAP  | 464287499 | 8     | 72         | SH | SOLE |
| ISHARESTR | S&P MIDCAP 400  | 464287507 | 21623 | 219120.966 | SH | SOLE |
| ISHARESTR | S&P MIDCAP 400  | 464287507 | 383   | 3895       | SH | SOLE |
| ISHARESTR | S&P NA SOFTWR   | 464287515 | 6     | 97         | SH | SOLE |
| ISHARESTR | S&P NA MULTIMD  | 464287531 | 23    | 852        | SH | SOLE |
| ISHARESTR | S&P NA TECH FD  | 464287549 | 951   | 13730      | SH | SOLE |
| ISHARESTR | NASDQ BIO INDX  | 464287556 | 1403  | 9835.904   | SH | SOLE |
| ISHARESTR | COHEN&ST RLTY   | 464287564 | 1126  | 14457.402  | SH | SOLE |
| ISHARESTR | S&P GLB100INDX  | 464287572 | 48    | 775        | SH | SOLE |
| ISHARESTR | CONS SRVC IDX   | 464287580 | 9     | 103.328    | SH | SOLE |
| ISHARESTR | RUSSELL1000VAL  | 464287598 | 420   | 5972.486   | SH | SOLE |
| ISHARESTR | RUSSELL1000VAL  | 464287598 | 5338  | 73949.838  | SH | SOLE |
| ISHARESTR | RUSSELL1000VAL  | 464287598 | 25377 | 353523.555 | SH | SOLE |
| ISHARESTR | S&P MC 400 GRW  | 464287606 | 22    | 200.901    | SH | SOLE |
| ISHARESTR | S&P MC 400 GRW  | 464287606 | 869   | 7764       | SH | SOLE |
| ISHARESTR | RUSSELL1000GRW  | 464287614 | 395   | 5980.736   | SH | SOLE |
| ISHARESTR | RUSSELL1000GRW  | 464287614 | 5863  | 87905.769  | SH | SOLE |
| ISHARESTR | RUSSELL1000GRW  | 464287614 | 28210 | 423867.63  | SH | SOLE |
| ISHARESTR | RUSSELL 1000    | 464287622 | 667   | 8388.428   | SH | SOLE |
| ISHARESTR | RUSL 2000 VALU  | 464287630 | 42    | 589.391    | SH | SOLE |
| ISHARESTR | RUSL 2000 VALU  | 464287630 | 1059  | 14328.777  | SH | SOLE |
| ISHARESTR | RUSL 2000 GROW  | 464287648 | 1029  | 10758.842  | SH | SOLE |
| ISHARESTR | RUSSELL 2000    | 464287655 | 117   | 1447.951   | SH | SOLE |
| ISHARESTR | RUSSELL 2000    | 464287655 | 26825 | 321487.059 | SH | SOLE |
| ISHARESTR | RUSL 3000 VALU  | 464287663 | 127   | 1347       | SH | SOLE |
| ISHARESTR | RUSL 3000 GROW  | 464287671 | 156   | 2865.197   | SH | SOLE |
| ISHARESTR | RUSSELL 3000    | 464287689 | 1387  | 16343.196  | SH | SOLE |
| ISHARESTR | RUSSELL 3000    | 464287689 | 72    | 848        | SH | SOLE |
| ISHARESTR | DJ US UTILS     | 464287697 | 333   | 3723.838   | SH | SOLE |
| ISHARESTR | S&P MIDCP VALU  | 464287705 | 988   | 11625.966  | SH | SOLE |
| ISHARESTR | DJ US TELECOMM  | 464287713 | 192   | 7510       | SH | SOLE |
| ISHARESTR | DJ US TECH SEC  | 464287721 | 309   | 4073.131   | SH | SOLE |
| ISHARESTR | DJ US REAL EST  | 464287739 | 1446  | 22463.589  | SH | SOLE |
| ISHARESTR | DJ US INDUSTRL  | 464287754 | 316   | 4475       | SH | SOLE |
| ISHARESTR | DJ US HEALTHCR  | 464287762 | 4525  | 53488.346  | SH | SOLE |
| ISHARESTR | DJ US FINL SVC  | 464287770 | 10    | 184        | SH | SOLE |
| ISHARESTR | DJ US FINL SEC  | 464287788 | 4     | 64         | SH | SOLE |
| ISHARESTR | DJ US FINL SEC  | 464287788 | 190   | 3265.856   | SH | SOLE |
| ISHARESTR | DJ US ENERGY    | 464287796 | 792   | 18780.186  | SH | SOLE |
| ISHARESTR | S&P SMLCAP 600  | 464287804 | 2357  | 30587.154  | SH | SOLE |
| ISHARESTR | S&P SMLCAP 600  | 464287804 | 309   | 3991.825   | SH | SOLE |
| ISHARESTR | CONS GOODS IDX  | 464287812 | 238   | 3188.9     | SH | SOLE |
| ISHARESTR | DJ US BAS MATL  | 464287838 | 414   | 6119.803   | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|            |                  |           |       |            |    |      |
|------------|------------------|-----------|-------|------------|----|------|
| ISHARESTR  | DJ US INDEX FD   | 464287846 | 89    | 1242.018   | SH | SOLE |
| ISHARESTR  | S&P EURO PLUS    | 464287861 | 218   | 5961       | SH | SOLE |
| ISHARESTR  | S&P SMLCP VALU   | 464287879 | 227   | 2877.162   | SH | SOLE |
| ISHARESTR  | S&P SMLCP GROW   | 464287887 | 344   | 4114.671   | SH | SOLE |
| ISHARES TR | LARGE VAL INDX   | 464288109 | 347   | 5307.271   | SH | SOLE |
| ISHARES TR | S&P CITINT TBD   | 464288117 | 18    | 171        | SH | SOLE |
| ISHARES TR | S&PCITII1-3YRTB  | 464288125 | 1     | 6.01       | SH | SOLE |
| ISHARES TR | S&P SH NTL AMTFR | 464288158 | 362   | 3388.996   | SH | SOLE |
| ISHARES TR | AGENCY BD FD     | 464288166 | 309   | 2712       | SH | SOLE |
| ISHARES TR | S&P GTFIDX ETF   | 464288174 | 54    | 1339       | SH | SOLE |
| ISHARESTR  | MSCI ACJPN IDX   | 464288182 | 31    | 550        | SH | SOLE |
| ISHARES TR | MID CORE INDEX   | 464288208 | 83    | 869.368    | SH | SOLE |
| ISHARESTR  | S&P EMRG INFERS  | 464288216 | 71    | 2190       | SH | SOLE |
| ISHARES TR | S&P GLBIDX ETF   | 464288224 | 30    | 4403       | SH | SOLE |
| ISHARES TR | S&P NUCIDX ETF   | 464288232 | 35    | 1057       | SH | SOLE |
| ISHARESTR  | MSCI ACWI EX     | 464288240 | 584   | 14831      | SH | SOLE |
| ISHARESTR  | MSCI ACWI INDX   | 464288257 | 96    | 2047       | SH | SOLE |
| ISHARESTR  | MSCI SMALL CAP   | 464288273 | 36    | 979.008    | SH | SOLE |
| ISHARESTR  | MSCI SMALL CAP   | 464288273 | 1904  | 49211.283  | SH | SOLE |
| ISHARESTR  | JPMORGAN USD     | 464288281 | 6     | 51.182     | SH | SOLE |
| ISHARESTR  | JPMORGAN USD     | 464288281 | 17054 | 140640.459 | SH | SOLE |
| ISHARESTR  | JPMORGAN USD     | 464288281 | 3     | 21         | SH | SOLE |
| ISHARES TR | MID GRWTH INDX   | 464288307 | 56    | 522.921    | SH | SOLE |
| ISHARESTR  | S&P AMTFREE MUNI | 464288323 | 41    | 364.211    | SH | SOLE |
| ISHARESTR  | S&P CAL AMTFR MN | 464288356 | 63    | 542        | SH | SOLE |
| ISHARES TR | S&P GLO INFERS   | 464288372 | 1696  | 48115.322  | SH | SOLE |
| ISHARES TR | MID VAL INDEX    | 464288406 | 128   | 1608.643   | SH | SOLE |
| ISHARESTR  | S&P NTL AMTFREE  | 464288414 | 1902  | 16978.613  | SH | SOLE |
| ISHARESTR  | S&P NTL AMTFREE  | 464288414 | 3     | 23         | SH | SOLE |
| ISHARES TR | S&P DEV EX-US    | 464288422 | 29    | 861        | SH | SOLE |
| ISHARES TR | S&P ASIA 50 IN   | 464288430 | 226   | 5081.1     | SH | SOLE |
| ISHARESTR  | DJ INTL SEL DIVD | 464288448 | 264   | 8345.769   | SH | SOLE |
| ISHARES TR | EPRA/NAR DEV ASI | 464288463 | 30    | 969        | SH | SOLE |
| ISHARES TR | EPRA/NAR DEV EUR | 464288471 | 59    | 2062       | SH | SOLE |
| ISHARESTR  | EPRA/NAR DEV R/E | 464288489 | 47    | 1504.349   | SH | SOLE |
| ISHARES TR | SMLL CORE INDX   | 464288505 | 67    | 717.469    | SH | SOLE |
| ISHARESTR  | HIGH YLD CORP    | 464288513 | 241   | 2610.332   | SH | SOLE |
| ISHARESTR  | HIGH YLD CORP    | 464288513 | 73232 | 793410.075 | SH | SOLE |
| ISHARESTR  | HIGH YLD CORP    | 464288513 | 3     | 28         | SH | SOLE |
| ISHARES TR | REAL EST 50 IN   | 464288521 | 31    | 780        | SH | SOLE |
| ISHARESTR  | MRTG PLS CAP IDX | 464288539 | 1164  | 77432.313  | SH | SOLE |
| ISHARES TR | RESIDENT PLS CAP | 464288562 | 19    | 411        | SH | SOLE |
| ISHARESTR  | MSCI KLD400 SOC  | 464288570 | 8     | 146        | SH | SOLE |
| ISHARESTR  | BARCLYS MBS BD   | 464288588 | 54    | 491.741    | SH | SOLE |
| ISHARESTR  | BARCLYS MBS BD   | 464288588 | 1633  | 14961.826  | SH | SOLE |
| ISHARESTR  | BARCLYS MBS BD   | 464288588 | 8     | 70         | SH | SOLE |
| ISHARES TR | BARCLYS GOVT CR  | 464288596 | 44    | 376.173    | SH | SOLE |
| ISHARES TR | SMLL GRWTH INDX  | 464288604 | 196   | 2059.617   | SH | SOLE |
| ISHARES TR | BARCLYS INTER GV | 464288612 | 339   | 2998.638   | SH | SOLE |
| ISHARES TR | BARCLYS CR BD    | 464288620 | 1032  | 9059.101   | SH | SOLE |
| ISHARESTR  | BARCLYS INTER CR | 464288638 | 3352  | 30080.84   | SH | SOLE |
| ISHARESTR  | BARCLYS INTER CR | 464288638 | 39004 | 350245.949 | SH | SOLE |
| ISHARESTR  | BARCLYS 1-3YR CR | 464288646 | 175   | 1654.375   | SH | SOLE |
| ISHARESTR  | BARCLYS 1-3YR CR | 464288646 | 3991  | 37733.365  | SH | SOLE |
| ISHARESTR  | BARCLYS 1-3YR CR | 464288646 | 16496 | 156057.11  | SH | SOLE |
| ISHARESTR  | BARCLYS 10-20YR  | 464288653 | 268   | 1935.668   | SH | SOLE |
| ISHARESTR  | BARCLYS 10-20YR  | 464288653 | 168   | 1227       | SH | SOLE |
| ISHARESTR  | BARCLYS 10-20YR  | 464288653 | 3983  | 29066.574  | SH | SOLE |
| ISHARESTR  | BARCLYS 3-7 YR   | 464288661 | 21    | 169.042    | SH | SOLE |
| ISHARESTR  | BARCLYS 3-7 YR   | 464288661 | 1356  | 10962.27   | SH | SOLE |
| ISHARES TR | BARCLYS SH TREA  | 464288679 | 7     | 67         | SH | SOLE |
| ISHARES TR | BARCLYS SH TREA  | 464288679 | 1872  | 16988.623  | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                             |                  |           |       |            |    |      |
|-----------------------------|------------------|-----------|-------|------------|----|------|
| ISHAREST                    | US PFD STK IDX   | 464288687 | 736   | 18494.773  | SH | SOLE |
| ISHAREST                    | US PFD STK IDX   | 464288687 | 19122 | 479738.887 | SH | SOLE |
| ISHAREST                    | US PFD STK IDX   | 464288687 | 1719  | 43174.212  | SH | SOLE |
| ISHARES TR                  | S&P GLB MTRLS    | 464288695 | 2062  | 34774.179  | SH | SOLE |
| ISHARES TR                  | SMLL VAL INDX    | 464288703 | 328   | 3721.972   | SH | SOLE |
| ISHARES TR                  | S&P GL UTILITI   | 464288711 | 1289  | 30565      | SH | SOLE |
| ISHARES TR                  | S&P GL INDUSTR   | 464288729 | 107   | 2059.644   | SH | SOLE |
| ISHARES TR                  | S&P GL C STAPL   | 464288737 | 2722  | 36826.31   | SH | SOLE |
| ISHARES TR                  | S&P GL CONSUME   | 464288745 | 169   | 2920       | SH | SOLE |
| ISHAREST                    | DJ HOME CONSTN   | 464288752 | 212   | 10947.811  | SH | SOLE |
| ISHARES TR                  | DJ AEROSPACE     | 464288760 | 7     | 114        | SH | SOLE |
| ISHARES TR                  | DJ INS INDX FD   | 464288786 | 6     | 200        | SH | SOLE |
| ISHAREST                    | MSCI ESG SEL SOC | 464288802 | 125   | 2086.941   | SH | SOLE |
| ISHAREST                    | DJ MED DEVICES   | 464288810 | 40    | 580.474    | SH | SOLE |
| ISHAREST                    | DJ HEALTH CARE   | 464288828 | 123   | 1795       | SH | SOLE |
| ISHARES TR                  | DJ PHARMA INDX   | 464288836 | 110   | 1222.92    | SH | SOLE |
| ISHAREST                    | DJ OIL EQUIP     | 464288844 | 705   | 13563.121  | SH | SOLE |
| ISHAREST                    | DJ OIL&GAS EXP   | 464288851 | 294   | 4593.965   | SH | SOLE |
| ISHAREST                    | RSSL MCRCP IDX   | 464288869 | 214   | 4055.889   | SH | SOLE |
| ISHAREST                    | MSCI VAL IDX     | 464288877 | 103   | 2333.419   | SH | SOLE |
| ISHAREST                    | MSCI VAL IDX     | 464288877 | 1376  | 30302.121  | SH | SOLE |
| ISHAREST                    | MSCI GRW IDX     | 464288885 | 466   | 8251.21    | SH | SOLE |
| ISHARES TR                  | MSCI ACWI EX     | 464289164 | 10    | 456        | SH | SOLE |
| ISHARES TR                  | MSCI EM MK FNL   | 464289172 | 6     | 260        | SH | SOLE |
| ISHARES TR                  | S&P TARGET 10    | 464289206 | 7     | 200        | SH | SOLE |
| ISHARES TR                  | 2015 S&P AMTFR   | 464289339 | 13    | 250        | SH | SOLE |
| ISHARES TR                  | S&P TARGET 20    | 464289404 | 49    | 1425       | SH | SOLE |
| ISHARES TR                  | RUS200 IDX ETF   | 464289446 | 3     | 100        | SH | SOLE |
| ISHARES TR                  | 10+YR GOVT/CR    | 464289479 | 258   | 3959.566   | SH | SOLE |
| ISHARES TR                  | 10+YR GOVT/CR    | 464289479 | 1014  | 15674      | SH | SOLE |
| ISHARES TR                  | 10+ YR CR BD     | 464289511 | 28    | 449        | SH | SOLE |
| ISHARES TR                  | 10+ YR CR BD     | 464289511 | 1370  | 21744      | SH | SOLE |
| ISHARES TR                  | S&P INDIA 50     | 464289529 | 2     | 100        | SH | SOLE |
| ISHARES TR                  | S&P AGGR ALL     | 464289859 | 48    | 1300       | SH | SOLE |
| ISHARES TR                  | S&P GRWTH ALL    | 464289867 | 910   | 27000      | SH | SOLE |
| ISHARES TR                  | S&P MODERATE     | 464289875 | 2987  | 94580.268  | SH | SOLE |
| ISHARES TR                  | S&P CONSR ALL    | 464289883 | 403   | 13206.831  | SH | SOLE |
| ISHARESSILVER TRUST         | ISHARES          | 46428Q109 | 107   | 3464       | SH | SOLE |
| ISHARESSILVER TRUST         | ISHARES          | 46428Q109 | 8834  | 263855     | SH | SOLE |
| ISHARESSILVER TRUST         | ISHARES          | 46428Q109 | 6     | 194        | SH | SOLE |
| ISHARESS&P GSCI COMMODITY I | UNIT BEN INT     | 46428R107 | 8     | 235        | SH | SOLE |
| ISHARESS&P GSCI COMMODITY I | UNIT BEN INT     | 46428R107 | 2281  | 67494      | SH | SOLE |
| ISHARES DIVERSIFIED ALTR TR | SH BEN INT       | 464294107 | 52    | 1034       | SH | SOLE |
| ISHARES TR                  | INT PFD STK FD   | 46429B135 | 158   | 5931       | SH | SOLE |
| ISHARES TR                  | US TREASURY BD   | 46429B267 | 31    | 1213       | SH | SOLE |
| ISHARES TR                  | US TREASURY BD   | 46429B267 | 12    | 458        | SH | SOLE |
| ISHARES TR                  | AAA A RATED CP   | 46429B291 | 58    | 1100       | SH | SOLE |
| ISHARES TR                  | INDONE INVS MRKT | 46429B309 | 12    | 408.796    | SH | SOLE |
| ISHARES TR                  | POLND INVT MKT   | 46429B606 | 11    | 429        | SH | SOLE |
| ISHARES TR                  | FLTG RATE NT     | 46429B655 | 5161  | 102277.33  | SH | SOLE |
| ISHARES TR                  | HGH DIV EQT FD   | 46429B663 | 2166  | 35545.138  | SH | SOLE |
| ISHARES TR                  | EAFE MIN VOLAT   | 46429B689 | 11    | 200        | SH | SOLE |
| ISHARES TR                  | USA MIN VOL ID   | 46429B697 | 66    | 2230.882   | SH | SOLE |
| ISHARES TR                  | BRC 0-5 YR TIP   | 46429B747 | 20    | 198.564    | SH | SOLE |
| ISIS PHARMACEUTICALS INC    | COM              | 464330109 | 95    | 6787       | SH | SOLE |
| ITAU UNIBANCO HLDG SA       | SPON ADR REP PFD | 465562106 | 7     | 487        | SH | SOLE |
| ITC HLDGS CORP              | COM              | 465685105 | 115   | 1520       | SH | SOLE |
| ITRON INC                   | COM              | 465741106 | 3     | 81         | SH | SOLE |
| IVANHOE ENERGY INC          | COM              | 465790103 | 3     | 5100       | SH | SOLE |
| J & J SNACK FOODS CORP      | COM              | 466032109 | 3     | 59         | SH | SOLE |
| JA SOLAR HOLDINGS CO LTD    | NOTE 4.500% 5/1  | 466090AA5 | 4     | 4000       | SH | SOLE |
| JA SOLAR HOLDINGS CO LTD    | NOTE 4.500% 5/1  | 466090AA5 | 8     | 8000       | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                 |           |       |               |      |
|------------------------------|-----------------|-----------|-------|---------------|------|
| JDS UNIPHASE CORP            | COM PAR \$0.001 | 46612J507 | 94    | 7599 SH       | SOLE |
| JDA SOFTWARE GROUP INC       | COM             | 46612K108 | 0     | 7 SH          | SOLE |
| JPMORGAN CHASE & CO          | COM             | 46625H100 | 38    | 1025 SH       | SOLE |
| JPMORGAN CHASE & CO          | COM             | 46625H100 | 4298  | 106138.441 SH | SOLE |
| JPMORGAN CHASE & CO          | COM             | 46625H100 | 17    | 426.698 SH    | SOLE |
| JPMORGAN CHASE & CO          | ALERIAN ML ETN  | 46625H365 | 2242  | 55440 SH      | SOLE |
| JPMORGAN CHASE & CO          | ALERIAN ML ETN  | 46625H365 | 10052 | 250095.076 SH | SOLE |
| JABIL CIRCUIT INC            | COM             | 466313103 | 49    | 2666 SH       | SOLE |
| JACOBS ENGR GROUP INC DEL    | COM             | 469814107 | 618   | 15296 SH      | SOLE |
| JAGUAR MNG INC               | COM             | 47009M103 | 9     | 9500 SH       | SOLE |
| JAGUAR MNG INC               | COM             | 47009M103 | 7     | 6150 SH       | SOLE |
| JAMES RIVER COAL CO          | COM NEW         | 470355207 | 14    | 5025 SH       | SOLE |
| JANUS CAP GROUP INC          | COM             | 47102X105 | 58    | 6174 SH       | SOLE |
| JAPAN EQUITY FD INC          | COM             | 471057109 | 8     | 1500 SH       | SOLE |
| JAPAN SMALLER CAPTLZTN FD IN | COM             | 47109U104 | 489   | 67766 SH      | SOLE |
| JARDEN CORP                  | COM             | 471109108 | 58    | 1089 SH       | SOLE |
| JEFFERIES GROUP INC NEW      | COM             | 472319102 | 16    | 1161 SH       | SOLE |
| JINKOSOLAR HLDG CO LTD       | SPONSORED ADR   | 47759T100 | 4     | 1100 SH       | SOLE |
| JIVE SOFTWARE INC            | COM             | 47760A108 | 15    | 934 SH        | SOLE |
| JOHN BEAN TECHNOLOGIES CORP  | COM             | 477839104 | 0     | 14 SH         | SOLE |
| JOHNSON & JOHNSON            | COM             | 478160104 | 72    | 1056.223 SH   | SOLE |
| JOHNSON & JOHNSON            | COM             | 478160104 | 11296 | 163917.373 SH | SOLE |
| JOHNSON & JOHNSON            | COM             | 478160104 | 0     | 0 SH          | SOLE |
| JOHNSON CTLS INC             | COM             | 478366107 | 651   | 23772.671 SH  | SOLE |
| JOHNSON CTLS INC             | COM             | 478366107 | 0     | 0 SH          | SOLE |
| JOHNSON OUTDOORS INC         | CL A            | 479167108 | 7     | 334 SH        | SOLE |
| JONES LANG LASALLE INC       | COM             | 48020Q107 | 10    | 130 SH        | SOLE |
| JONES GROUP INC              | COM             | 48020T101 | 1     | 81 SH         | SOLE |
| JONES SODA CO                | COM             | 48023P106 | 0     | 1000 SH       | SOLE |
| JOY GLOBAL INC               | COM             | 481165108 | 743   | 13248.478 SH  | SOLE |
| JUNIPER NETWORKS INC         | COM             | 48203R104 | 23    | 1381 SH       | SOLE |
| JUST ENERGY GROUP INC        | COM             | 48213W101 | 17    | 1600 SH       | SOLE |
| KAR AUCTION SVCS INC         | COM             | 48238T109 | 7     | 345 SH        | SOLE |
| KB FINANCIAL GROUP INC       | SPONSORED ADR   | 48241A105 | 4     | 104 SH        | SOLE |
| KBR INC                      | COM             | 48242W106 | 24    | 796.09 SH     | SOLE |
| KLA-TENCOR CORP              | COM             | 482480100 | 145   | 3037 SH       | SOLE |
| KLA-TENCOR CORP              | COM             | 482480100 | 43    | 902.412 SH    | SOLE |
| KKR FINANCIAL HLDGS LLC      | COM             | 48248A306 | 208   | 20689.257 SH  | SOLE |
| KKR & CO L P DEL             | COM UNITS       | 48248M102 | 565   | 37400 SH      | SOLE |
| K V PHARMACEUTICAL CO        | CL A            | 482740206 | 0     | 150 SH        | SOLE |
| KADANT INC                   | COM             | 48282T104 | 106   | 4572 SH       | SOLE |
| KANSAS CITY SOUTHERN         | COM NEW         | 485170302 | 857   | 11321.451 SH  | SOLE |
| KAPSTONE PAPER & PACKAGING C | COM             | 48562P103 | 8     | 342 SH        | SOLE |
| KAYDON CORP                  | COM             | 486587108 | 1     | 62 SH         | SOLE |
| KAYNE ANDERSON MLP INVSMNT C | COM             | 486606106 | 546   | 17477.289 SH  | SOLE |
| KAYNE ANDERSON MLP INVSMNT C | COM             | 486606106 | 764   | 24440 SH      | SOLE |
| KAYNE ANDERSON ENRGY TTL RT  | COM             | 48660P104 | 20    | 727 SH        | SOLE |
| KAYNE ANDERSON ENRGY TTL RT  | COM             | 48660P104 | 116   | 4210.403 SH   | SOLE |
| KAYNE ANDERSON ENRGY TTL RT  | COM             | 48660P104 | 769   | 27841 SH      | SOLE |
| KAYNE ANDERSON ENERGY DEV CO | COM             | 48660Q102 | 1344  | 50491.023 SH  | SOLE |
| KB HOME                      | COM             | 48666K109 | 50    | 4533 SH       | SOLE |
| KB HOME                      | COM             | 48666K109 | 232   | 16147.294 SH  | SOLE |
| KCAP FINL INC                | COM             | 48668E101 | 10    | 1046 SH       | SOLE |
| KELLOGG CO                   | COM             | 487836108 | 556   | 10765.486 SH  | SOLE |
| KEMET CORP                   | COM NEW         | 488360207 | 0     | 83 SH         | SOLE |
| KEMPER CORP DEL              | COM             | 488401100 | 3     | 97 SH         | SOLE |
| KENEXA CORP                  | COM             | 488879107 | 2     | 50 SH         | SOLE |
| KENNAMETAL INC               | COM             | 489170100 | 9     | 234.376 SH    | SOLE |
| KERYX BIOPHARMACEUTICALS INC | COM             | 492515101 | 28    | 10000 SH      | SOLE |
| KEY ENERGY SVCS INC          | COM             | 492914106 | 134   | 19228 SH      | SOLE |
| KEY ENERGY SVCS INC          | COM             | 492914106 | 2     | 200 SH        | SOLE |
| KEYCORP NEW                  | COM             | 493267108 | 230   | 26291.483 SH  | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |      |            |    |      |
|------------------------------|------------------|-----------|------|------------|----|------|
| KEYCORP NEW                  | PFD 7.75% SR A   | 493267405 | 118  | 950        | SH | SOLE |
| KILROY RLTY CORP             | COM              | 49427F108 | 10   | 218        | SH | SOLE |
| KIMBERLY CLARK CORP          | COM              | 494368103 | 4    | 46.754     | SH | SOLE |
| KIMBERLY CLARK CORP          | COM              | 494368103 | 3852 | 44895.976  | SH | SOLE |
| KIMBERLY CLARK CORP          | COM              | 494368103 | 44   | 511        | SH | SOLE |
| KIMCO RLTY CORP              | COM              | 49446R109 | 82   | 4065.953   | SH | SOLE |
| KINDER MORGAN ENERGY PARTNER | UT LTD PARTNER   | 494550106 | 2166 | 26164.632  | SH | SOLE |
| KINDER MORGAN ENERGY PARTNER | UT LTD PARTNER   | 494550106 | 6984 | 84653.991  | SH | SOLE |
| KINDER MORGAN MANAGEMENT LLC | SHS              | 49455U100 | 1056 | 13821.826  | SH | SOLE |
| KINDER MORGAN INC DEL        | COM              | 49456B101 | 235  | 6566.877   | SH | SOLE |
| KINDER MORGAN INC DEL        | *W EXP 05/25/201 | 49456B119 | 13   | 3849       | SH | SOLE |
| KINROSS GOLD CORP            | COM NO PAR       | 496902404 | 5    | 530        | SH | SOLE |
| KINROSS GOLD CORP            | COM NO PAR       | 496902404 | 97   | 9526       | SH | SOLE |
| KIOR INC                     | CL A             | 497217109 | 9    | 1000       | SH | SOLE |
| KIRBY CORP                   | COM              | 497266106 | 24   | 426        | SH | SOLE |
| KITE RLTY GROUP TR           | COM              | 49803T102 | 1    | 228        | SH | SOLE |
| KNOLL INC                    | COM NEW          | 498904200 | 4    | 255        | SH | SOLE |
| KNIGHT CAP GROUP INC         | CL A COM         | 499005106 | 0    | 150        | SH | SOLE |
| KNIGHT TRANSN INC            | COM              | 499064103 | 2    | 111        | SH | SOLE |
| KODIAK OIL & GAS CORP        | COM              | 50015Q100 | 276  | 29487      | SH | SOLE |
| KOHL'S CORP                  | COM              | 500255104 | 3    | 62.51      | SH | SOLE |
| KOHL'S CORP                  | COM              | 500255104 | 71   | 1374.677   | SH | SOLE |
| KONAMI CORP                  | SPONSORED ADR    | 50046R101 | 1    | 39         | SH | SOLE |
| KONINKLIJKE PHILIPS ELECTRS  | NY REG SH NEW    | 500472303 | 33   | 1397.244   | SH | SOLE |
| KONINKLIJKE PHILIPS ELECTRS  | NY REG SH NEW    | 500472303 | 0    | 2          | SH | SOLE |
| KOPIN CORP                   | COM              | 500600101 | 2    | 590        | SH | SOLE |
| KOREA ELECTRIC PWR           | SPONSORED ADR    | 500631106 | 6    | 471        | SH | SOLE |
| KOREA FD                     | COM NEW          | 500634209 | 8    | 211        | SH | SOLE |
| KOREA EQUITY FD INC          | COM              | 50063B104 | 38   | 3797       | SH | SOLE |
| KRAFT FOODS INC              | CL A             | 50075N104 | 18   | 421.846    | SH | SOLE |
| KRAFT FOODS INC              | CL A             | 50075N104 | 4804 | 116637.987 | SH | SOLE |
| KRAFT FOODS INC              | CL A             | 50075N104 | 0    | 0.029      | SH | SOLE |
| KRATOS DEFENSE & SEC SOLUTIO | COM NEW          | 50077B207 | 1    | 250        | SH | SOLE |
| KRATON PERFORMANCE POLYMERS  | COM              | 50077C106 | 1    | 24         | SH | SOLE |
| KRISPY KREME DOUGHNUTS INC   | COM              | 501014104 | 16   | 2025       | SH | SOLE |
| KROGER CO                    | COM              | 501044101 | 885  | 37580.893  | SH | SOLE |
| KROGER CO                    | COM              | 501044101 | 45   | 1911.823   | SH | SOLE |
| KRONOS WORLDWIDE INC         | COM              | 50105F105 | 11   | 751        | SH | SOLE |
| KUBOTA CORP                  | ADR              | 501173207 | 4    | 82         | SH | SOLE |
| KULICKE & SOFFA INDS INC     | COM              | 501242101 | 20   | 1949       | SH | SOLE |
| KYOCERA CORP                 | ADR              | 501556203 | 10   | 115        | SH | SOLE |
| KYOCERA CORP                 | ADR              | 501556203 | 0    | 0          | SH | SOLE |
| LCA-VISION INC               | COM PAR \$.001   | 501803308 | 2    | 487        | SH | SOLE |
| LG DISPLAY CO LTD            | SPONS ADR REP    | 50186V102 | 16   | 1306       | SH | SOLE |
| LG DISPLAY CO LTD            | SPONS ADR REP    | 50186V102 | 0    | 0          | SH | SOLE |
| LHC GROUP INC                | COM              | 50187A107 | 157  | 8520       | SH | SOLE |
| LKQ CORP                     | COM              | 501889208 | 142  | 7700       | SH | SOLE |
| LMP REAL ESTATE INCOME FD IN | COM              | 50208C108 | 1    | 100        | SH | SOLE |
| LPL FINL HLDGS INC           | COM              | 50212V100 | 3    | 102        | SH | SOLE |
| LSB INDS INC                 | COM              | 502160104 | 67   | 1521       | SH | SOLE |
| LSI CORPORATION              | COM              | 502161102 | 0    | 43         | SH | SOLE |
| LSI CORPORATION              | COM              | 502161102 | 107  | 15565      | SH | SOLE |
| LTC PPTYS INC                | COM              | 502175102 | 164  | 5154.725   | SH | SOLE |
| LTX-CREDENCE CORP            | COM NEW          | 502403207 | 0    | 40         | SH | SOLE |
| L-3 COMMUNICATIONS HLDGS INC | COM              | 502424104 | 279  | 3889.224   | SH | SOLE |
| L-3 COMMUNICATIONS HLDGS INC | COM              | 502424104 | 0    | 0          | SH | SOLE |
| LABORATORY CORP AMER HLDGS   | COM NEW          | 50540R409 | 133  | 1434       | SH | SOLE |
| LACLEDE GROUP INC            | COM              | 505597104 | 62   | 1436.931   | SH | SOLE |
| LADENBURG THALMAN FIN SVCS I | COM              | 50575Q102 | 80   | 60600      | SH | SOLE |
| LAM RESEARCH CORP            | COM              | 512807108 | 295  | 9287       | SH | SOLE |
| LAMAR ADVERTISING CO         | CL A             | 512815101 | 6    | 172        | SH | SOLE |
| LANCASTER COLONY CORP        | COM              | 513847103 | 2    | 28         | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |      |           |    |      |
|------------------------------|------------------|-----------|------|-----------|----|------|
| LANDAUER INC                 | COM              | 51476K103 | 4    | 64        | SH | SOLE |
| LANDSTAR SYS INC             | COM              | 515098101 | 37   | 777       | SH | SOLE |
| LAS VEGAS SANDS CORP         | COM              | 517834107 | 637  | 13735.637 | SH | SOLE |
| LATIN AMERN DISCOVERY FD INC | COM              | 51828C106 | 1    | 100       | SH | SOLE |
| LAUDER ESTEE COS INC         | CL A             | 518439104 | 12   | 200       | SH | SOLE |
| LAUDER ESTEE COS INC         | CL A             | 518439104 | 93   | 1491.192  | SH | SOLE |
| LAZARD WORLD DIVID & INCOME  | COM              | 521076109 | 2    | 124       | SH | SOLE |
| LEAP WIRELESS INTL INC       | COM NEW          | 521863308 | 1    | 200       | SH | SOLE |
| LEAR CORP                    | COM NEW          | 521865204 | 11   | 291       | SH | SOLE |
| LEAPFROG ENTERPRISES INC     | CL A             | 52186N106 | 6    | 650       | SH | SOLE |
| LEGGETT & PLATT INC          | COM              | 524660107 | 66   | 2638.876  | SH | SOLE |
| LEGACY RESERVES LP           | UNIT LP INT      | 524707304 | 59   | 2044.088  | SH | SOLE |
| LEGG MASON INC               | COM              | 524901105 | 80   | 3224      | SH | SOLE |
| LENDER PROCESSING SVCS INC   | COM              | 52602E102 | 33   | 1185      | SH | SOLE |
| LENNAR CORP                  | CL A             | 526057104 | 619  | 19088     | SH | SOLE |
| LENNAR CORP                  | CL A             | 526057104 | 587  | 16872.529 | SH | SOLE |
| LENNAR CORP                  | CL B             | 526057302 | 0    | 10        | SH | SOLE |
| LENNOX INTL INC              | COM              | 526107107 | 2    | 44        | SH | SOLE |
| LEUCADIA NATL CORP           | COM              | 527288104 | 23   | 1024      | SH | SOLE |
| LEVEL 3 COMMUNICATIONS INC   | COM NEW          | 52729N308 | 105  | 4611      | SH | SOLE |
| LEXICON PHARMACEUTICALS INC  | COM              | 528872104 | 139  | 60000     | SH | SOLE |
| LEXINGTON REALTY TRUST       | COM              | 529043101 | 11   | 1141      | SH | SOLE |
| LEXINGTON REALTY TRUST       | COM              | 529043101 | 7    | 678       | SH | SOLE |
| LEXMARK INTL NEW             | CL A             | 529771107 | 4    | 181       | SH | SOLE |
| LIBERTY ALL STAR EQUITY FD   | SH BEN INT       | 530158104 | 76   | 15765.008 | SH | SOLE |
| LIBERTY MEDIA CORPORATION    | LIB CAP COM A    | 530322106 | 84   | 805       | SH | SOLE |
| LIBERTY GLOBAL INC           | COM SER A        | 530555101 | 10   | 159       | SH | SOLE |
| LIBERTY GLOBAL INC           | COM SER C        | 530555309 | 4    | 70        | SH | SOLE |
| LIBERTY MEDIA CORP           | DEB 3.250% 3/1   | 530715AR2 | 3    | 3000      | SH | SOLE |
| LIBERTY INTERACTIVE CORP     | INT COM SER A    | 53071M104 | 81   | 4308      | SH | SOLE |
| LIBERTY INTERACTIVE CORP     | RIGHT 99/99/9999 | 53071M112 | 0    | 35        | SH | SOLE |
| LIBERTY INTERACTIVE CORP     | LBT VENT COM A   | 53071M880 | 5    | 98        | SH | SOLE |
| LIBERTY PPTY TR              | SH BEN INT       | 531172104 | 45   | 1232.266  | SH | SOLE |
| LIFE TIME FITNESS INC        | COM              | 53217R207 | 9    | 203       | SH | SOLE |
| LIFE TECHNOLOGIES CORP       | COM              | 53217V109 | 22   | 444.066   | SH | SOLE |
| LIFEPOINT HOSPITALS INC      | COM              | 53219L109 | 24   | 561       | SH | SOLE |
| LIFEVANTAGE CORP             | COM              | 53222K106 | 30   | 8693      | SH | SOLE |
| LIHUA INTL INC               | COM              | 532352101 | 4    | 1207.737  | SH | SOLE |
| LILLY ELI & CO               | COM              | 532457108 | 4661 | 98178.721 | SH | SOLE |
| LIMELIGHT NETWORKS INC       | COM              | 53261M104 | 1    | 635       | SH | SOLE |
| LIME ENERGY CO               | COM NEW          | 53261U205 | 0    | 10        | SH | SOLE |
| LIMITED BRANDS INC           | COM              | 532716107 | 10   | 197       | SH | SOLE |
| LIMITED BRANDS INC           | COM              | 532716107 | 35   | 700       | SH | SOLE |
| LIMITED BRANDS INC           | COM              | 532716107 | 43   | 860.79    | SH | SOLE |
| LIN TV CORP                  | CL A             | 532774106 | 15   | 3344      | SH | SOLE |
| LINCOLN ELEC HLDGS INC       | COM              | 533900106 | 971  | 24859.018 | SH | SOLE |
| LINCOLN NATL CORP IND        | COM              | 534187109 | 114  | 4721.617  | SH | SOLE |
| LINDSAY CORP                 | COM              | 535555106 | 29   | 406.887   | SH | SOLE |
| LINDSAY CORP                 | COM              | 535555106 | 20   | 281.494   | SH | SOLE |
| LINEAR TECHNOLOGY CORP       | COM              | 535678106 | 16   | 515       | SH | SOLE |
| LINKEDIN CORP                | COM CL A         | 53578A108 | 79   | 657       | SH | SOLE |
| LIONS GATE ENTMNT CORP       | COM NEW          | 535919203 | 12   | 756       | SH | SOLE |
| LINN ENERGY LLC              | UNIT LTD LIAB    | 536020100 | 1468 | 35598.092 | SH | SOLE |
| LINN ENERGY LLC              | UNIT LTD LIAB    | 536020100 | 351  | 8500      | SH | SOLE |
| LIQUIDITY SERVICES INC       | COM              | 53635B107 | 32   | 642       | SH | SOLE |
| LITHIA MTRS INC              | CL A             | 536797103 | 5    | 147       | SH | SOLE |
| LIVE NATION ENTERTAINMENT IN | COM              | 538034109 | 1    | 136       | SH | SOLE |
| LLOYDS BANKING GROUP PLC     | SPONSORED ADR    | 539439109 | 5    | 1813      | SH | SOLE |
| LOCKHEED MARTIN CORP         | COM              | 539830109 | 1799 | 19264.88  | SH | SOLE |
| LOCKHEED MARTIN CORP         | COM              | 539830109 | 64   | 683.387   | SH | SOLE |
| LOEWS CORP                   | COM              | 540424108 | 1060 | 25696.969 | SH | SOLE |
| LOGMEIN INC                  | COM              | 54142L109 | 7    | 300       | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                 |           |      |           |    |      |
|------------------------------|-----------------|-----------|------|-----------|----|------|
| LONE PINE RES INC            | COM             | 54222A106 | 0    | 162       | SH | SOLE |
| LORILLARD INC                | COM             | 544147101 | 637  | 5459.383  | SH | SOLE |
| LOUISIANA PAC CORP           | COM             | 546347105 | 488  | 39000     | SH | SOLE |
| LOWES COS INC                | COM             | 548661107 | 1    | 28        | SH | SOLE |
| LOWES COS INC                | COM             | 548661107 | 650  | 21474.512 | SH | SOLE |
| LUCENT TECHNOLOGIES INC      | DBCV 2.875% 6/1 | 549463AH0 | 4    | 4000      | SH | SOLE |
| LUFKIN INDS INC              | COM             | 549764108 | 4    | 74        | SH | SOLE |
| LULULEMON ATHLETICA INC      | COM             | 550021109 | 91   | 1225      | SH | SOLE |
| LUXOTTICA GROUP S P A        | SPONSORED ADR   | 55068R202 | 6    | 166       | SH | SOLE |
| M & T BK CORP                | COM             | 55261F104 | 536  | 5625.508  | SH | SOLE |
| MBIA INC                     | COM             | 55262C100 | 1    | 111       | SH | SOLE |
| MB FINANCIAL INC NEW         | COM             | 55264U108 | 21   | 1056      | SH | SOLE |
| M D C HLDGS INC              | COM             | 552676108 | 51   | 1311.412  | SH | SOLE |
| MDU RES GROUP INC            | COM             | 552690109 | 253  | 11476.697 | SH | SOLE |
| MEMC ELECTR MATLS INC        | COM             | 552715104 | 1    | 300       | SH | SOLE |
| MEMC ELECTR MATLS INC        | COM             | 552715104 | 124  | 44920     | SH | SOLE |
| MFS CHARTER INCOME TR        | SH BEN INT      | 552727109 | 7    | 699       | SH | SOLE |
| MFS CHARTER INCOME TR        | SH BEN INT      | 552727109 | 21   | 2100      | SH | SOLE |
| MFA FINANCIAL INC            | COM             | 55272X102 | 266  | 31279.843 | SH | SOLE |
| MFS MULTIMARKET INCOME TR    | SH BEN INT      | 552737108 | 7    | 1003      | SH | SOLE |
| MFS MUN INCOME TR            | SH BEN INT      | 552738106 | 4    | 500       | SH | SOLE |
| MFS INTER INCOME TR          | SH BEN INT      | 55273C107 | 4    | 585       | SH | SOLE |
| MGE ENERGY INC               | COM             | 55277P104 | 224  | 4224      | SH | SOLE |
| MGIC INVT CORP WIS           | COM             | 552848103 | 0    | 50        | SH | SOLE |
| MFS GOVT MKTS INCOME TR      | SH BEN INT      | 552939100 | 25   | 3500      | SH | SOLE |
| MGM RESORTS INTERNATIONAL    | COM             | 552953101 | 27   | 2469      | SH | SOLE |
| MKS INSTRUMENT INC           | COM             | 55306N104 | 1    | 30        | SH | SOLE |
| MPG OFFICE TR INC            | COM             | 553274101 | 4    | 1248      | SH | SOLE |
| MSCI INC                     | COM             | 55354G100 | 0    | 5         | SH | SOLE |
| MWI VETERINARY SUPPLY INC    | COM             | 55402X105 | 3    | 26        | SH | SOLE |
| MACATAWA BK CORP             | COM             | 554225102 | 4    | 1271.228  | SH | SOLE |
| MACERICH CO                  | COM             | 554382101 | 8    | 129.256   | SH | SOLE |
| MACERICH CO                  | COM             | 554382101 | 21   | 363       | SH | SOLE |
| MACK CALI RLTY CORP          | COM             | 554489104 | 85   | 3195.125  | SH | SOLE |
| MACQUARIE FT TR GB INF UT DI | COM             | 55607W100 | 821  | 51913.033 | SH | SOLE |
| MACQUARIE INFRASTR CO LLC    | MEMBERSHIP INT  | 55608B105 | 6    | 150       | SH | SOLE |
| MACQUARIE GLBL INFRA TOTL RE | COM             | 55608D101 | 27   | 1426      | SH | SOLE |
| MACYS INC                    | COM             | 55616P104 | 72   | 1863      | SH | SOLE |
| MACYS INC                    | COM             | 55616P104 | 43   | 1120.473  | SH | SOLE |
| MADDEN STEVEN LTD            | COM             | 556269108 | 19   | 433       | SH | SOLE |
| MADISON SQUARE GARDEN CO     | CL A            | 55826P100 | 0    | 5         | SH | SOLE |
| MADISON SQUARE GARDEN CO     | CL A            | 55826P100 | 28   | 690       | SH | SOLE |
| MAG SILVER CORP              | COM             | 55903Q104 | 12   | 1000      | SH | SOLE |
| MAGELLAN HEALTH SVCS INC     | COM NEW         | 559079207 | 1    | 28        | SH | SOLE |
| MAGELLAN MIDSTREAM PRTRNS LP | COM UNIT RP LP  | 559080106 | 2045 | 23387.058 | SH | SOLE |
| MAGNA INTL INC               | COM             | 559222401 | 834  | 19270.676 | SH | SOLE |
| MAGNA INTL INC               | COM             | 559222401 | 0    | 0         | SH | SOLE |
| MAGNACHIP SEMICONDUCTOR CORP | COM             | 55933J203 | 0    | 30        | SH | SOLE |
| MAGNUM HUNTER RES CORP DEL   | COM             | 55973B102 | 32   | 7132      | SH | SOLE |
| MAIDENFORM BRANDS INC        | COM             | 560305104 | 1    | 35        | SH | SOLE |
| MAIN STREET CAPITAL CORP     | COM             | 56035L104 | 86   | 2913      | SH | SOLE |
| MAIN STREET CAPITAL CORP     | COM             | 56035L104 | 12   | 400       | SH | SOLE |
| MAKITA CORP                  | ADR NEW         | 560877300 | 10   | 260       | SH | SOLE |
| MAKO SURGICAL CORP           | COM             | 560879108 | 253  | 14525     | SH | SOLE |
| MANAGED HIGH YIELD PLUS FD I | COM             | 561911108 | 82   | 36940.17  | SH | SOLE |
| MANHATTAN ASSOCS INC         | COM             | 562750109 | 7    | 117       | SH | SOLE |
| MANITOWOC INC                | COM             | 563571108 | 111  | 8308      | SH | SOLE |
| MANNATECH INC                | COM NEW         | 563771203 | 1    | 209       | SH | SOLE |
| MANNING & NAPIER INC         | CL A            | 56382Q102 | 12   | 1003      | SH | SOLE |
| MANNKIND CORP                | COM             | 56400P201 | 5    | 1900      | SH | SOLE |
| MANPOWERGROUP INC            | COM             | 56418H100 | 1    | 37.867    | SH | SOLE |
| MANPOWERGROUP INC            | COM             | 56418H100 | 4    | 118       | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |       |            |    |      |
|------------------------------|------------------|-----------|-------|------------|----|------|
| MANULIFE FINL CORP           | COM              | 56501R106 | 377   | 31309      | SH | SOLE |
| MAP PHARMACEUTICALS INC      | COM              | 56509R108 | 7     | 463        | SH | SOLE |
| MARATHON OIL CORP            | COM              | 565849106 | 1     | 32         | SH | SOLE |
| MARATHON OIL CORP            | COM              | 565849106 | 1533  | 51855.211  | SH | SOLE |
| MARATHON PETE CORP           | COM              | 56585A102 | 1     | 16         | SH | SOLE |
| MARATHON PETE CORP           | COM              | 56585A102 | 1027  | 18810.734  | SH | SOLE |
| MARATHON PETE CORP           | COM              | 56585A102 | 0     | 0          | SH | SOLE |
| MARCUS CORP                  | COM              | 566330106 | 0     | 9          | SH | SOLE |
| MARKEL CORP                  | COM              | 570535104 | 12    | 26         | SH | SOLE |
| MARKETAXESS HLDGS INC        | COM              | 57060D108 | 4     | 119        | SH | SOLE |
| MARKET VECTORS ETF TR        | GOLD MINER ETF   | 57060U100 | 3385  | 63066.021  | SH | SOLE |
| MARKET VECTORS ETF TR        | UNCVTL OIL GAS   | 57060U159 | 67    | 2838       | SH | SOLE |
| MARKET VECTORS ETF TR        | OIL SVCS ETF     | 57060U191 | 181   | 4490       | SH | SOLE |
| MARKET VECTORS ETF TR        | PHARMACEUTICAL   | 57060U217 | 16    | 400        | SH | SOLE |
| MARKET VECTORS ETF TR        | SEMICONDUCTOR    | 57060U233 | 9     | 300        | SH | SOLE |
| MARKET VECTORS ETF TR        | MV STEEL INDEX   | 57060U308 | 24    | 565        | SH | SOLE |
| MARKET VECTORS ETF TR        | MV STEEL INDEX   | 57060U308 | 86    | 1959       | SH | SOLE |
| MARKET VECTORS ETF TR        | MTG REIT ETF     | 57060U324 | 17    | 600        | SH | SOLE |
| MARKET VECTORS ETF TR        | GBL ALTER ENRG   | 57060U407 | 17    | 1620       | SH | SOLE |
| MARKET VECTORS ETF TR        | MINOR METALS     | 57060U472 | 42    | 3200       | SH | SOLE |
| MARKET VECTORS ETF TR        | RUSSIA ETF       | 57060U506 | 3582  | 124425     | SH | SOLE |
| MARKET VECTORS ETF TR        | EM LC CURR DBT   | 57060U522 | 13873 | 523110.706 | SH | SOLE |
| MARKET VECTORS ETF TR        | INDIA SMALL CP   | 57060U563 | 5     | 450        | SH | SOLE |
| MARKET VECTORS ETF TR        | JR GOLD MINERS E | 57060U589 | 165   | 6685       | SH | SOLE |
| MARKET VECTORS ETF TR        | CHINA A SHS ETF  | 57060U597 | 17    | 555        | SH | SOLE |
| MARKET VECTORS ETF TR        | AGRIBUS ETF      | 57060U605 | 401   | 7750.111   | SH | SOLE |
| MARKET VECTORS ETF TR        | BRAZL SMCP ETF   | 57060U613 | 56    | 1375       | SH | SOLE |
| MARKET VECTORS ETF TR        | URAN NUCLR ENRGY | 57060U704 | 1242  | 84170.47   | SH | SOLE |
| MARKET VECTORS ETF TR        | INDONESIA ETF    | 57060U753 | 62    | 2156.537   | SH | SOLE |
| MARKET VECTORS ETF TR        | VIETNAM ETF      | 57060U761 | 3     | 200        | SH | SOLE |
| MARKET VECTORS ETF TR        | AFRICA ETF       | 57060U787 | 29    | 930        | SH | SOLE |
| MARKET VECTORS ETF TR        | RVE HARD ETF     | 57060U795 | 26    | 730        | SH | SOLE |
| MARKET VECTORS ETF TR        | MKTVEC SMUNETF   | 57060U803 | 72    | 4000       | SH | SOLE |
| MARKET VECTORS ETF TR        | GAMING ETF       | 57060U829 | 10    | 307        | SH | SOLE |
| MARKET VECTORS ETF TR        | COAL ETF         | 57060U837 | 11    | 455        | SH | SOLE |
| MARKET VECTORS ETF TR        | MKTVEC INTMUETF  | 57060U845 | 264   | 11130.858  | SH | SOLE |
| MARKET VECTORS ETF TR        | HG YLD MUN ETF   | 57060U878 | 537   | 16324      | SH | SOLE |
| MARKET VECTORS ETF TR        | HG YLD MUN ETF   | 57060U878 | 591   | 17910.774  | SH | SOLE |
| MARKET VECTORS ETF TR        | SOLAR ENRG NEW   | 57061R783 | 1     | 38         | SH | SOLE |
| MARKWEST ENERGY PARTNERS L P | UNIT LTD PARTN   | 570759100 | 33    | 601.415    | SH | SOLE |
| MARRIOTT VACATIONS WRLDWDE C | COM              | 57164Y107 | 3     | 85         | SH | SOLE |
| MARSH & MCLENNAN COS INC     | COM              | 571748102 | 33    | 957.712    | SH | SOLE |
| MARRIOTT INTL INC NEW        | CL A             | 571903202 | 146   | 3740       | SH | SOLE |
| MARRIOTT INTL INC NEW        | CL A             | 571903202 | 18    | 450        | SH | SOLE |
| MARTEN TRANS LTD             | COM              | 573075108 | 24    | 1375       | SH | SOLE |
| MARTHA STEWART LIVING OMNIME | CL A             | 573083102 | 1     | 340        | SH | SOLE |
| MARTIN MARIETTA MATLS INC    | COM              | 573284106 | 12    | 145        | SH | SOLE |
| MARTIN MIDSTREAM PRTNRS L P  | UNIT L P INT     | 573331105 | 61    | 1800       | SH | SOLE |
| MARTIN MIDSTREAM PRTNRS L P  | UNIT L P INT     | 573331105 | 147   | 4271.334   | SH | SOLE |
| MASCO CORP                   | COM              | 574599106 | 1538  | 102222.759 | SH | SOLE |
| MASSEY ENERGY CO             | NOTE 3.250% 8/0  | 576203AJ2 | 2     | 2000       | SH | SOLE |
| MASTERCARD INC               | CL A             | 57636Q104 | 235   | 520        | SH | SOLE |
| MASTERCARD INC               | CL A             | 57636Q104 | 1406  | 3100.244   | SH | SOLE |
| MATRIX SVC CO                | COM              | 576853105 | 1     | 50         | SH | SOLE |
| MATSON INC                   | COM              | 57686G105 | 0     | 3          | SH | SOLE |
| MATTEL INC                   | COM              | 577081102 | 137   | 3863.303   | SH | SOLE |
| MATTEL INC                   | COM              | 577081102 | 43    | 1232.519   | SH | SOLE |
| MATTHEWS INTL CORP           | CL A             | 577128101 | 4     | 142        | SH | SOLE |
| MAXIM INTEGRATED PRODS INC   | COM              | 57772K101 | 83    | 3106       | SH | SOLE |
| MBT FINL CORP                | COM              | 578877102 | 42    | 16000      | SH | SOLE |
| MCCORMICK & CO INC           | COM NON VTG      | 579780206 | 335   | 5385.137   | SH | SOLE |
| MCDERMOTT INTL INC           | COM              | 580037109 | 46    | 3872       | SH | SOLE |



Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |               |           |       |            |    |      |
|------------------------------|---------------|-----------|-------|------------|----|------|
| MCDONALDS CORP               | COM           | 580135101 | 562   | 6278.106   | SH | SOLE |
| MCDONALDS CORP               | COM           | 580135101 | 8563  | 93362.719  | SH | SOLE |
| MCDONALDS CORP               | COM           | 580135101 | 33    | 360.339    | SH | SOLE |
| MCG CAPITAL CORP             | COM           | 58047P107 | 35    | 7667.89    | SH | SOLE |
| MCGRAW HILL COS INC          | COM           | 580645109 | 56    | 1020       | SH | SOLE |
| MCKESSON CORP                | COM           | 58155Q103 | 208   | 2420.119   | SH | SOLE |
| MCMORAN EXPLORATION CO       | COM           | 582411104 | 58    | 4926       | SH | SOLE |
| MEAD JOHNSON NUTRITION CO    | COM           | 582839106 | 83    | 1136.571   | SH | SOLE |
| MEADOWBROOK INS GROUP INC    | COM           | 58319P108 | 1     | 160        | SH | SOLE |
| MEADWESTVACO CORP            | COM           | 583334107 | 228   | 7439.065   | SH | SOLE |
| MECHEL OAO                   | SPONSORED ADR | 583840103 | 2     | 215        | SH | SOLE |
| MEDALLION FINL CORP          | COM           | 583928106 | 131   | 11112.475  | SH | SOLE |
| MEDASSETS INC                | COM           | 584045108 | 6     | 375        | SH | SOLE |
| MEDICAL PPTYS TRUST INC      | COM           | 58463J304 | 83    | 7968       | SH | SOLE |
| MEDICINES CO                 | COM           | 584688105 | 1     | 27         | SH | SOLE |
| MEDICINES CO                 | COM           | 584688105 | 13    | 518        | SH | SOLE |
| MEDICIS PHARMACEUTICAL CORP  | CL A NEW      | 584690309 | 9     | 200        | SH | SOLE |
| MEDIFAST INC                 | COM           | 58470H101 | 50    | 1892       | SH | SOLE |
| MEDIDATA SOLUTIONS INC       | COM           | 58471A105 | 20    | 475        | SH | SOLE |
| MEDIVATION INC               | COM           | 58501N101 | 6     | 105        | SH | SOLE |
| MEDNAX INC                   | COM           | 58502B106 | 68    | 909        | SH | SOLE |
| MEDTRONIC INC                | COM           | 585055106 | 3     | 77.533     | SH | SOLE |
| MEDTRONIC INC                | COM           | 585055106 | 847   | 19628.598  | SH | SOLE |
| MEETME INC                   | COM           | 585141104 | 44    | 15585      | SH | SOLE |
| MELCO CROWN ENTMT LTD        | ADR           | 585464100 | 84    | 6226       | SH | SOLE |
| MENS WEARHOUSE INC           | COM           | 587118100 | 4     | 103        | SH | SOLE |
| MENTOR GRAPHICS CORP         | COM           | 587200106 | 17    | 1116       | SH | SOLE |
| MERCADOLIBRE INC             | COM           | 58733R102 | 26    | 320        | SH | SOLE |
| MERCER INTL INC              | COM           | 588056101 | 12    | 1650       | SH | SOLE |
| MERCK & CO INC NEW           | COM           | 58933Y105 | 1055  | 24498.851  | SH | SOLE |
| MERCK & CO INC NEW           | COM           | 58933Y105 | 4840  | 107199.864 | SH | SOLE |
| MERCK & CO INC NEW           | COM           | 58933Y105 | 56    | 1238.045   | SH | SOLE |
| MERCURY GENL CORP NEW        | COM           | 589400100 | 42    | 1075       | SH | SOLE |
| MEREDITH CORP                | COM           | 589433101 | 16    | 450        | SH | SOLE |
| MERGE HEALTHCARE INC         | COM           | 589499102 | 4     | 1100       | SH | SOLE |
| MERIDIAN BIOSCIENCE INC      | COM           | 589584101 | 73    | 3805       | SH | SOLE |
| MERIT MED SYS INC            | COM           | 589889104 | 14    | 950        | SH | SOLE |
| MERITOR INC                  | COM           | 59001K100 | 0     | 15         | SH | SOLE |
| MESA RTY TR                  | UNIT BEN INT  | 590660106 | 14    | 569        | SH | SOLE |
| MESABI TR                    | CTF BEN INT   | 590672101 | 73    | 2715       | SH | SOLE |
| METABOLIX INC                | COM           | 591018809 | 0     | 100        | SH | SOLE |
| METALS USA HLDGS CORP        | COM           | 59132A104 | 4     | 283        | SH | SOLE |
| METLIFE INC                  | COM           | 59156R108 | 579   | 16797.537  | SH | SOLE |
| METLIFE INC                  | COM           | 59156R108 | 14    | 417.521    | SH | SOLE |
| METROPCS COMMUNICATIONS INC  | COM           | 591708102 | 4     | 307        | SH | SOLE |
| METTLER TOLEDO INTERNATIONAL | COM           | 592688105 | 6     | 37         | SH | SOLE |
| MFS INVT GRADE MUN TR        | SH BEN INT    | 59318B108 | 11    | 1000       | SH | SOLE |
| MFS HIGH YIELD MUN TR        | SH BEN INT    | 59318E102 | 6     | 1123       | SH | SOLE |
| MFS INTERMEDIATE HIGH INC FD | SH BEN INT    | 59318T109 | 121   | 37200      | SH | SOLE |
| MICREL INC                   | COM           | 594793101 | 2     | 158        | SH | SOLE |
| MICROS SYS INC               | COM           | 594901100 | 39    | 799        | SH | SOLE |
| MICROS SYS INC               | COM           | 594901100 | 14    | 293        | SH | SOLE |
| MICROSOFT CORP               | COM           | 594918104 | 174   | 5648.033   | SH | SOLE |
| MICROSOFT CORP               | COM           | 594918104 | 12419 | 417286.879 | SH | SOLE |
| MICROSOFT CORP               | COM           | 594918104 | 58    | 1977.164   | SH | SOLE |
| MICROCHIP TECHNOLOGY INC     | COM           | 595017104 | 434   | 13251      | SH | SOLE |
| MICRON TECHNOLOGY INC        | COM           | 595112103 | 149   | 24929      | SH | SOLE |
| MID-AMER APT CMNTYS INC      | COM           | 59522J103 | 48    | 731        | SH | SOLE |
| MIDDLEBY CORP                | COM           | 596278101 | 37    | 321        | SH | SOLE |
| MIDDLESEX WATER CO           | COM           | 596680108 | 550   | 28730      | SH | SOLE |
| MIDWAY GOLD CORP             | COM           | 598153104 | 3     | 2000       | SH | SOLE |
| MILLER HERMAN INC            | COM           | 600544100 | 10    | 505        | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                |           |      |           |    |      |
|------------------------------|----------------|-----------|------|-----------|----|------|
| MINDSPEED TECHNOLOGIES INC   | COM NEW        | 602682205 | 1    | 224       | SH | SOLE |
| MINE SAFETY APPLIANCES CO    | COM            | 602720104 | 7    | 176       | SH | SOLE |
| MIPS TECHNOLOGIES INC        | COM            | 604567107 | 10   | 1385      | SH | SOLE |
| MITCHAM INDS INC             | COM            | 606501104 | 5    | 300       | SH | SOLE |
| MITEK SYS INC                | COM NEW        | 606710200 | 6    | 2000      | SH | SOLE |
| MITSUBISHI UFJ FINL GROUP IN | SPONSORED ADR  | 606822104 | 41   | 8813.382  | SH | SOLE |
| MITSUBISHI UFJ FINL GROUP IN | SPONSORED ADR  | 606822104 | 0    | 0         | SH | SOLE |
| MIZUHO FINL GROUP INC        | SPONSORED ADR  | 60687Y109 | 1    | 163       | SH | SOLE |
| MOBILE MINI INC              | COM            | 60740F105 | 15   | 911       | SH | SOLE |
| MOCON INC                    | COM            | 607494101 | 2    | 120       | SH | SOLE |
| MOD PAC CORP                 | COM            | 607495108 | 1    | 308       | SH | SOLE |
| MODUSLINK GLOBAL SOLUTIONS I | COM            | 60786L107 | 0    | 75        | SH | SOLE |
| MOHAWK INDS INC              | COM            | 608190104 | 23   | 285       | SH | SOLE |
| MOLEX INC                    | COM            | 608554101 | 27   | 1034      | SH | SOLE |
| MOLINA HEALTHCARE INC        | COM            | 60855R100 | 3    | 105       | SH | SOLE |
| MOLSON COORS BREWING CO      | CL B           | 60871R209 | 144  | 3214.052  | SH | SOLE |
| MOLSON COORS BREWING CO      | CL B           | 60871R209 | 0    | 0         | SH | SOLE |
| MOLYCORP INC DEL             | COM            | 608753109 | 12   | 1000      | SH | SOLE |
| MOLYCORP INC DEL             | COM            | 608753109 | 332  | 28832     | SH | SOLE |
| MONEYGRAM INTL INC           | COM NEW        | 60935Y208 | 0    | 33        | SH | SOLE |
| MONRO MUFFLER BRAKE INC      | COM            | 610236101 | 10   | 296       | SH | SOLE |
| MONRO MUFFLER BRAKE INC      | COM            | 610236101 | 12   | 351       | SH | SOLE |
| MONSANTO CO NEW              | COM            | 61166W101 | 2    | 18        | SH | SOLE |
| MONSANTO CO NEW              | COM            | 61166W101 | 2232 | 24528.818 | SH | SOLE |
| MONSTER BEVERAGE CORP        | COM            | 611740101 | 3    | 50        | SH | SOLE |
| MONSTER BEVERAGE CORP        | COM            | 611740101 | 75   | 1389      | SH | SOLE |
| MONSTER BEVERAGE CORP        | COM            | 611740101 | 43   | 789       | SH | SOLE |
| MOODYS CORP                  | COM            | 615369105 | 703  | 15909.266 | SH | SOLE |
| MORGAN STANLEY EASTN EUR FD  | COM            | 616988101 | 2    | 100       | SH | SOLE |
| MORGAN STANLEY               | COM NEW        | 617446448 | 1    | 50.766    | SH | SOLE |
| MORGAN STANLEY               | COM NEW        | 617446448 | 232  | 13857.5   | SH | SOLE |
| MORGAN STANLEY EMER MKTS FD  | COM            | 61744G107 | 7    | 500       | SH | SOLE |
| MORGAN STANLEY EMER MKTS DEB | COM            | 61744H105 | 31   | 2603      | SH | SOLE |
| MORGAN STANLEY INDIA INVS FD | COM            | 61745C105 | 35   | 2000      | SH | SOLE |
| MORGAN STANLEY               | INCOME SEC INC | 61745P874 | 3    | 150       | SH | SOLE |
| MORGAN STANLEY EM MKTS DM DE | COM            | 617477104 | 160  | 9575.861  | SH | SOLE |
| MORGAN STANLEY EM MKTS DM DE | COM            | 617477104 | 699  | 42446.505 | SH | SOLE |
| MORGAN STANLEY               | RENMIN/USD ETN | 61747W257 | 21   | 500       | SH | SOLE |
| MORNINGSTAR INC              | COM            | 617700109 | 5    | 72        | SH | SOLE |
| MOSAIC CO NEW                | COM            | 61945C103 | 1555 | 26993.122 | SH | SOLE |
| MOTOROLA SOLUTIONS INC       | COM NEW        | 620076307 | 206  | 4071.158  | SH | SOLE |
| MOTRICITY INC                | COM            | 620107102 | 1    | 1500      | SH | SOLE |
| MOVADO GROUP INC             | COM            | 624580106 | 60   | 1789      | SH | SOLE |
| MUELLER INDS INC             | COM            | 624756102 | 13   | 278.937   | SH | SOLE |
| MULTIMEDIA GAMES HLDG CO INC | COM            | 625453105 | 0    | 22        | SH | SOLE |
| MURPHY OIL CORP              | COM            | 626717102 | 59   | 1072.305  | SH | SOLE |
| MYERS INDS INC               | COM            | 628464109 | 1    | 78        | SH | SOLE |
| MYLAN INC                    | COM            | 628530107 | 7    | 283       | SH | SOLE |
| MYLAN INC                    | COM            | 628530107 | 177  | 7267      | SH | SOLE |
| MYRIAD GENETICS INC          | COM            | 62855J104 | 70   | 2577      | SH | SOLE |
| MYREXIS INC                  | COM            | 62856H107 | 0    | 20        | SH | SOLE |
| NCR CORP NEW                 | COM            | 62886E108 | 11   | 481       | SH | SOLE |
| NGP CAP RES CO               | COM            | 62912R107 | 0    | 10.894    | SH | SOLE |
| NII HLDGS INC                | CL B NEW       | 62913F201 | 0    | 27        | SH | SOLE |
| NII HLDGS INC                | CL B NEW       | 62913F201 | 24   | 3034      | SH | SOLE |
| NGL ENERGY PARTNERS LP       | COM UNIT REPST | 62913M107 | 10   | 400       | SH | SOLE |
| NIC INC                      | COM            | 62914B100 | 5    | 312       | SH | SOLE |
| NPS PHARMACEUTICALS INC      | COM            | 62936P103 | 222  | 24003     | SH | SOLE |
| NRG ENERGY INC               | COM NEW        | 629377508 | 13   | 580.436   | SH | SOLE |
| NTT DOCOMO INC               | SPONS ADR      | 62942M201 | 3    | 184       | SH | SOLE |
| NVE CORP                     | COM NEW        | 629445206 | 3    | 45        | SH | SOLE |
| NVR INC                      | COM            | 62944T105 | 6    | 7         | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |      |           |    |      |
|------------------------------|------------------|-----------|------|-----------|----|------|
| NYSE EURONEXT                | COM              | 629491101 | 0    | 12        | SH | SOLE |
| NYSE EURONEXT                | COM              | 629491101 | 282  | 11422.934 | SH | SOLE |
| NABI BIOPHARMACEUTICALS      | COM              | 629519109 | 2    | 1000      | SH | SOLE |
| NACCO INDS INC               | CL A             | 629579103 | 125  | 1000      | SH | SOLE |
| NASDAQ OMX GROUP INC         | COM              | 631103108 | 3    | 120       | SH | SOLE |
| NASDAQ OMX GROUP INC         | NOTE 2.500% 8/1  | 631103AA6 | 25   | 25000     | SH | SOLE |
| NASDAQ PREM INCM & GRW FD IN | COM              | 63110R105 | 2532 | 157336.16 | SH | SOLE |
| NATIONAL BK GREECE S A       | SPN ADR REP 1 SH | 633643606 | 0    | 15        | SH | SOLE |
| NATIONAL BANKSHARES INC VA   | COM              | 634865109 | 13   | 393.041   | SH | SOLE |
| NATIONAL BEVERAGE CORP       | COM              | 635017106 | 1    | 71        | SH | SOLE |
| NATIONAL HEALTHCARE CORP     | PFD CONV SER A   | 635906209 | 3    | 200       | SH | SOLE |
| NATIONAL FINL PARTNERS CORP  | COM              | 63607P208 | 9    | 529       | SH | SOLE |
| NATIONAL FUEL GAS CO N J     | COM              | 636180101 | 187  | 3464.761  | SH | SOLE |
| NATIONAL GRID PLC            | SPON ADR NEW     | 636274300 | 1925 | 34776.944 | SH | SOLE |
| NATIONAL GRID PLC            | SPON ADR NEW     | 636274300 | 0    | 0         | SH | SOLE |
| NATIONAL HEALTH INVS INC     | COM              | 63633D104 | 41   | 803       | SH | SOLE |
| NATIONAL INSTRS CORP         | COM              | 636518102 | 27   | 1080      | SH | SOLE |
| NATIONAL OILWELL VARCO INC   | COM              | 637071101 | 852  | 10633.914 | SH | SOLE |
| NATIONAL PENN BANCSHARES INC | COM              | 637138108 | 109  | 11950     | SH | SOLE |
| NATIONAL PRESTO INDS INC     | COM              | 637215104 | 3    | 42        | SH | SOLE |
| NATIONAL RESEARCH CORP       | COM              | 637372103 | 3    | 67        | SH | SOLE |
| NATIONAL RETAIL PPTYS INC    | COM              | 637417106 | 2    | 51        | SH | SOLE |
| NATIONAL RETAIL PPTYS INC    | COM              | 637417106 | 127  | 4151      | SH | SOLE |
| NATIONSTAR MTG HLDGS INC     | COM              | 63861C109 | 68   | 2058      | SH | SOLE |
| NATURAL RESOURCE PARTNERS L  | COM UNIT L P     | 63900P103 | 4    | 200       | SH | SOLE |
| NATURES SUNSHINE PRODUCTS IN | COM              | 639027101 | 10   | 600       | SH | SOLE |
| NAVISTAR INTL CORP NEW       | COM              | 63934E108 | 13   | 640       | SH | SOLE |
| NEKTAR THERAPEUTICS          | COM              | 640268108 | 6    | 600       | SH | SOLE |
| NELNET INC                   | CL A             | 64031N108 | 15   | 650       | SH | SOLE |
| NEOGEN CORP                  | COM              | 640491106 | 2    | 46        | SH | SOLE |
| NETAPP INC                   | COM              | 64110D104 | 169  | 5188      | SH | SOLE |
| NETFLIX INC                  | COM              | 64110L106 | 166  | 3051      | SH | SOLE |
| NETEASE INC                  | SPONSORED ADR    | 64110W102 | 7    | 118       | SH | SOLE |
| NETEASE INC                  | SPONSORED ADR    | 64110W102 | 13   | 228       | SH | SOLE |
| NETGEAR INC                  | COM              | 64111Q104 | 24   | 632       | SH | SOLE |
| NETSUITE INC                 | COM              | 64118Q107 | 236  | 3700      | SH | SOLE |
| NQ MOBILE INC                | ADR REPSTG CL A  | 64118U108 | 97   | 12150     | SH | SOLE |
| NETSPEND HLDGS INC           | COM              | 64118V106 | 3    | 335       | SH | SOLE |
| NEUROCRINE BIOSCIENCES INC   | COM              | 64125C109 | 0    | 13        | SH | SOLE |
| NEUSTAR INC                  | CL A             | 64126X201 | 1001 | 25003     | SH | SOLE |
| NEUBERGER BERMAN HGH YLD FD  | COM              | 64128C106 | 40   | 2650      | SH | SOLE |
| NEUBERGER BERMAN RE ES SEC F | COM              | 64190A103 | 0    | 14        | SH | SOLE |
| NEW ENGLAND BANCSHARES INC C | COM NEW          | 643863202 | 16   | 1184      | SH | SOLE |
| NEW GERMANY FD INC           | COM              | 644465106 | 1    | 65        | SH | SOLE |
| NEW GOLD INC CDA             | COM              | 644535106 | 70   | 5754      | SH | SOLE |
| NEW HAMPSHIRE THRIFT BANCSHS | COM              | 644722100 | 26   | 2000      | SH | SOLE |
| NEW IRELAND FUND INC         | COM              | 645673104 | 54   | 6323.461  | SH | SOLE |
| NEW JERSEY RES               | COM              | 646025106 | 17   | 378       | SH | SOLE |
| NEW ORIENTAL ED & TECH GRP I | SPON ADR         | 647581107 | 282  | 20308     | SH | SOLE |
| NEW ORIENTAL ED & TECH GRP I | SPON ADR         | 647581107 | 1    | 84        | SH | SOLE |
| NEW YORK CMNTY BANCORP INC   | COM              | 649445103 | 576  | 40673.955 | SH | SOLE |
| NEW YORK TIMES CO            | CL A             | 650111107 | 4    | 434       | SH | SOLE |
| NEWBRIDGE BANCORP            | COM              | 65080T102 | 1    | 166       | SH | SOLE |
| NEWELL RUBBERMAID INC        | COM              | 651229106 | 36   | 1910.393  | SH | SOLE |
| NEWFIELD EXPL CO             | COM              | 651290108 | 21   | 689       | SH | SOLE |
| NEWMARKET CORP               | COM              | 651587107 | 35   | 141       | SH | SOLE |
| NEWMARKET CORP               | COM              | 651587107 | 23   | 94.691    | SH | SOLE |
| NEWMONT MINING CORP          | COM              | 651639106 | 10   | 195.38    | SH | SOLE |
| NEWMONT MINING CORP          | COM              | 651639106 | 541  | 9665.689  | SH | SOLE |
| NEWPARK RES INC              | COM PAR \$.01NEW | 651718504 | 5    | 700       | SH | SOLE |
| NEWPORT CORP                 | COM              | 651824104 | 0    | 13        | SH | SOLE |
| NEWS CORP                    | CL A             | 65248E104 | 52   | 2113.012  | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                |           |      |            |    |      |
|------------------------------|----------------|-----------|------|------------|----|------|
| NEWS CORP                    | CL B           | 65248E203 | 25   | 1028.575   | SH | SOLE |
| NEXEN INC                    | COM            | 65334H102 | 22   | 857        | SH | SOLE |
| NFJ DIVID INT & PREM STRTGY  | COM SHS        | 65337H109 | 369  | 21957      | SH | SOLE |
| NEXTERA ENERGY INC           | COM            | 65339F101 | 2    | 30         | SH | SOLE |
| NEXTERA ENERGY INC           | COM            | 65339F101 | 1754 | 24937.288  | SH | SOLE |
| NEXTERA ENERGY INC           | COM            | 65339F101 | 79   | 1122.918   | SH | SOLE |
| NEXPOINT CR STRATEGIES FD    | COM            | 65340G106 | 495  | 71623.722  | SH | SOLE |
| NICE SYS LTD                 | SPONSORED ADR  | 653656108 | 8    | 235        | SH | SOLE |
| NIDEC CORP                   | SPONSORED ADR  | 654090109 | 13   | 705        | SH | SOLE |
| NIDEC CORP                   | SPONSORED ADR  | 654090109 | 0    | 0          | SH | SOLE |
| NIKE INC                     | CL B           | 654106103 | 1708 | 18007.531  | SH | SOLE |
| NIKE INC                     | CL B           | 654106103 | 0    | 0          | SH | SOLE |
| NIPPON TELEG & TEL CORP      | SPONSORED ADR  | 654624105 | 51   | 2166.033   | SH | SOLE |
| NIPPON TELEG & TEL CORP      | SPONSORED ADR  | 654624105 | 0    | 0          | SH | SOLE |
| NISKA GAS STORAGE PARTNERS L | UNIT LTD LIABI | 654678101 | 91   | 7238.855   | SH | SOLE |
| NISOURCE INC                 | COM            | 65473P105 | 228  | 8936.422   | SH | SOLE |
| NOKIA CORP                   | SPONSORED ADR  | 654902204 | 161  | 62417.253  | SH | SOLE |
| NOBLE ENERGY INC             | COM            | 655044105 | 237  | 2550.943   | SH | SOLE |
| NOMURA HLDGS INC             | SPONSORED ADR  | 65535H208 | 4    | 1237.54    | SH | SOLE |
| NORDSON CORP                 | COM            | 655663102 | 31   | 530        | SH | SOLE |
| NORDSTROM INC                | COM            | 655664100 | 139  | 2519.433   | SH | SOLE |
| NORDSTROM INC                | COM            | 655664100 | 17   | 298.376    | SH | SOLE |
| NORFOLK SOUTHERN CORP        | COM            | 655844108 | 553  | 8682.375   | SH | SOLE |
| NORFOLK SOUTHERN CORP        | COM            | 655844108 | 0    | 0          | SH | SOLE |
| NORTH AMERN ENERGY PARTNERS  | COM            | 656844107 | 5    | 1724       | SH | SOLE |
| NORTH AMERN PALLADIUM LTD    | COM            | 656912102 | 13   | 6910       | SH | SOLE |
| NORTH EUROPEAN OIL RTY TR    | SH BEN INT     | 659310106 | 73   | 2552.896   | SH | SOLE |
| NORTHEAST UTILS              | COM            | 664397106 | 1086 | 28386.681  | SH | SOLE |
| NORTHERN LTS ETF TR          | ARROW DJ GLOBL | 66537H105 | 892  | 34192      | SH | SOLE |
| NORTHERN OIL & GAS INC NEV   | COM            | 665531109 | 197  | 11620      | SH | SOLE |
| NORTHERN TR CORP             | COM            | 665859104 | 698  | 15037.332  | SH | SOLE |
| NORTHROP GRUMMAN CORP        | COM            | 666807102 | 431  | 6469.633   | SH | SOLE |
| NORTHSTAR RLTY FIN CORP      | COM            | 66704R100 | 664  | 104337.131 | SH | SOLE |
| NORTHWEST NAT GAS CO         | COM            | 667655104 | 44   | 900        | SH | SOLE |
| NORTHWESTERN CORP            | COM NEW        | 668074305 | 2    | 45         | SH | SOLE |
| NORTHWESTERN CORP            | COM NEW        | 668074305 | 399  | 11025      | SH | SOLE |
| NOVAGOLD RES INC             | COM NEW        | 66987E206 | 78   | 13874      | SH | SOLE |
| NOVARTIS A G                 | SPONSORED ADR  | 66987V109 | 6    | 103.853    | SH | SOLE |
| NOVARTIS A G                 | SPONSORED ADR  | 66987V109 | 1685 | 27488.486  | SH | SOLE |
| NOVARTIS A G                 | SPONSORED ADR  | 66987V109 | 31   | 502        | SH | SOLE |
| NOVACOPPER INC               | COM            | 66988K102 | 13   | 5887       | SH | SOLE |
| NOVAVAX INC                  | COM            | 670002104 | 43   | 20001      | SH | SOLE |
| NOVO-NORDISK A S             | ADR            | 670100205 | 431  | 2725.508   | SH | SOLE |
| NU SKIN ENTERPRISES INC      | CL A           | 67018T105 | 96   | 2311       | SH | SOLE |
| NU SKIN ENTERPRISES INC      | CL A           | 67018T105 | 86   | 2201       | SH | SOLE |
| NU SKIN ENTERPRISES INC      | CL A           | 67018T105 | 17   | 413.891    | SH | SOLE |
| NUANCE COMMUNICATIONS INC    | COM            | 67020Y100 | 191  | 7691       | SH | SOLE |
| NUCOR CORP                   | COM            | 670346105 | 2113 | 55230.498  | SH | SOLE |
| NUSTAR ENERGY LP             | UNIT COM       | 67058H102 | 670  | 13160.586  | SH | SOLE |
| NUSTAR GP HOLDINGS LLC       | UNIT RESTG LLC | 67059L102 | 54   | 1710       | SH | SOLE |
| NUPATHE INC                  | COM            | 67059M100 | 85   | 23800      | SH | SOLE |
| NUPATHE INC                  | COM            | 67059M100 | 32   | 8982       | SH | SOLE |
| NUVEEN N C PREM INCOME MUN F | SH BEN INT     | 67060P100 | 3    | 166        | SH | SOLE |
| NUVEEN MD PREM INCOME MUN FD | COM            | 67061Q107 | 30   | 1944       | SH | SOLE |
| NUVEEN SELECT MAT MUN FD     | SH BEN INT     | 67061T101 | 17   | 1500       | SH | SOLE |
| NUVEEN CALIF MUN VALUE FD    | COM            | 67062C107 | 28   | 2594       | SH | SOLE |
| NUVEEN INVT QUALITY MUN FD I | COM            | 67062E103 | 49   | 2907.494   | SH | SOLE |
| NUVEEN MUN ADVANTAGE FD INC  | COM            | 67062H106 | 86   | 5497       | SH | SOLE |
| NUVEEN MUN INCOME FD INC     | COM            | 67062J102 | 6    | 500        | SH | SOLE |
| NUVEEN QUALITY MUN FD INC    | COM            | 67062N103 | 94   | 6088.259   | SH | SOLE |
| NUVEEN PERFORMANCE PLUS MUN  | COM            | 67062P108 | 30   | 1778.902   | SH | SOLE |
| NUVEEN CALIF PERFORM PLUS MU | COM            | 67062Q106 | 21   | 1280       | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                               |                 |           |      |            |    |      |
|-------------------------------|-----------------|-----------|------|------------|----|------|
| NUVEEN NY PERFORM PLUS MUN F  | COM             | 67062R104 | 24   | 1368       | SH | SOLE |
| NUVEEN PREM INCOME MUN FD     | COM             | 67062T100 | 24   | 1500       | SH | SOLE |
| NUVEEN CA MUN MKT OPPORTUNT   | COM             | 67062U107 | 21   | 1271       | SH | SOLE |
| NUVEEN MUN MKT OPPORTUNITY F  | COM             | 67062W103 | 5    | 334        | SH | SOLE |
| NUVEEN N Y INVT QUALITY MUN   | COM             | 67062X101 | 50   | 3200       | SH | SOLE |
| NUVEEN SELECT TAX FREE INCM   | SH BEN INT      | 67063C106 | 21   | 1382.156   | SH | SOLE |
| NUVEEN CA SELECT TAX FREE PR  | SH BEN INT      | 67063R103 | 16   | 1000       | SH | SOLE |
| NUVEEN PREM INCOME MUN FD 2   | COM             | 67063W102 | 42   | 2708       | SH | SOLE |
| NUVEEN SELECT TAX FREE INCM   | SH BEN INT      | 67063X100 | 9    | 583        | SH | SOLE |
| NUVEEN CAL AMT-FREE MUN INC   | COM             | 670651108 | 67   | 4227       | SH | SOLE |
| NUVEEN NY AMT-FREE MUN INCOM  | COM             | 670656107 | 20   | 1300       | SH | SOLE |
| NVIDIA CORP                   | COM             | 67066G104 | 3    | 180        | SH | SOLE |
| NVIDIA CORP                   | COM             | 67066G104 | 158  | 11824      | SH | SOLE |
| NUVEEN DIVID ADVANTAGE MUN F  | COM             | 67066V101 | 86   | 5465       | SH | SOLE |
| NUVEEN CA DIVIDEND ADV MUN F  | COM SHS         | 67066Y105 | 403  | 25000      | SH | SOLE |
| NUVEEN SR INCOME FD           | COM             | 67067Y104 | 54   | 7172.405   | SH | SOLE |
| NUVEEN MUN HIGH INCOME OPP F  | COM             | 670682103 | 57   | 4000       | SH | SOLE |
| NUVEEN MUN VALUE FD 2         | COM             | 670695105 | 30   | 1640       | SH | SOLE |
| NUVEEN CA DIV ADVANTG MUN FD  | COM             | 67069X104 | 28   | 1715       | SH | SOLE |
| NUVEEN NJ DIV ADVANTAGE MUN   | COM             | 67069Y102 | 14   | 864        | SH | SOLE |
| NUVEEN PREM INCOME MUN OPPTY  | COM             | 6706D8104 | 74   | 4805       | SH | SOLE |
| NUVEEN CALIF MUNICIPAL VALU F | COM             | 6706EB106 | 67   | 4000       | SH | SOLE |
| NUVEEN GBL VL OPPORTUNITIES   | COM             | 6706EH103 | 12   | 787        | SH | SOLE |
| NUVEEN EQTY PRM OPPORTUNITYF  | COM             | 6706EM102 | 142  | 11236.271  | SH | SOLE |
| NUVEEN FLTNG RTE INCM OPP FD  | COM SHS         | 6706EN100 | 102  | 8172.482   | SH | SOLE |
| NUVEEN EQUITY PREM INCOME FD  | COM             | 6706ER101 | 644  | 51194.782  | SH | SOLE |
| NUVEEN EQUITY PREM ADV FD     | COM             | 6706ET107 | 41   | 3300       | SH | SOLE |
| NUVEEN EQUITY PREM & GROWTH   | COM             | 6706EW100 | 18   | 1309.937   | SH | SOLE |
| NUVEEN PREM INCOME MUN FD 4   | COM             | 6706K4105 | 68   | 4624       | SH | SOLE |
| NUVEEN DIV ADVANTAGE MUN FD   | COM             | 67070F100 | 22   | 1375       | SH | SOLE |
| NUVEEN DIV ADV MUNI FD 3      | COM SH BEN INT  | 67070X101 | 32   | 2000       | SH | SOLE |
| NUVEEN CA DIV ADV MUNI FD 3   | COM SH BEN INT  | 67070Y109 | 46   | 3118       | SH | SOLE |
| NUVEEN REAL ESTATE INCOME FD  | COM             | 67071B108 | 9    | 800        | SH | SOLE |
| NUVEEN QUALITY PFD INCOME FD  | COM             | 67071S101 | 133  | 14900      | SH | SOLE |
| NUVEEN NEW JERSEY DIVID       | COM             | 67071T109 | 40   | 2484.784   | SH | SOLE |
| NUVEEN PA DIVID ADVANTAGE MU  | COM             | 67071W102 | 31   | 2025       | SH | SOLE |
| NUVEEN QUALITY PFD INCOME FD  | COM             | 67072C105 | 116  | 12233.495  | SH | SOLE |
| NUVEEN MASS AMT-FREE MUN INC  | COM             | 67072F108 | 12   | 800        | SH | SOLE |
| NUVEEN QUALITY PFD INC FD 3   | COM             | 67072W101 | 21   | 2200       | SH | SOLE |
| NUVEEN MTG OPPORTUNITY TERM   | COM             | 670735109 | 116  | 4140       | SH | SOLE |
| NUVEEN PFD INCOME OPPRTNY FD  | COM             | 67073B106 | 1587 | 160914.569 | SH | SOLE |
| NUVEEN CR STRATEGIES INCM FD  | COM SHS         | 67073D102 | 246  | 25313.989  | SH | SOLE |
| NV ENERGY INC                 | COM             | 67073Y106 | 57   | 3178       | SH | SOLE |
| NUVEEN MTG OPPTY TERM FD 2    | COM             | 67074R100 | 62   | 2211.859   | SH | SOLE |
| NUVEEN ENERGY MLP TOTL RTRNF  | COM             | 67074U103 | 15   | 804        | SH | SOLE |
| NUVEEN SHT DUR CR OPP FD      | COM             | 67074X107 | 21   | 1025       | SH | SOLE |
| NUVEEN REAL ASSET INC & GROW  | COM             | 67074Y105 | 92   | 4840       | SH | SOLE |
| NUVEEN PFD & INCOME TERM FD   | COM             | 67075A106 | 13   | 500        | SH | SOLE |
| OGE ENERGY CORP               | COM             | 670837103 | 586  | 10554.592  | SH | SOLE |
| OI S.A.                       | SPONSORED ADR   | 670851104 | 1    | 148        | SH | SOLE |
| OI S.A.                       | SPN ADR REP PFD | 670851203 | 6    | 1536       | SH | SOLE |
| NUVEEN TX ADV TOTAL RET STRG  | COM             | 67090H102 | 45   | 4100       | SH | SOLE |
| NUVEEN MULT CURR ST GV INCM   | COM             | 67090N109 | 15   | 1150       | SH | SOLE |
| NUVEEN MUN VALUE FD INC       | COM             | 670928100 | 102  | 9800.776   | SH | SOLE |
| NUVEEN NJ INVT QUALITY MUN F  | COM             | 670971100 | 7    | 448        | SH | SOLE |
| NUVEEN SELECT QUALITY MUN FD  | COM             | 670973106 | 13   | 786        | SH | SOLE |
| NUVEEN CA SELECT QUALITY MUN  | COM             | 670975101 | 23   | 1325       | SH | SOLE |
| NUVEEN QUALITY INCOME MUN FD  | COM             | 670977107 | 360  | 22325.451  | SH | SOLE |
| NUVEEN MICH QUALITY INCOME M  | COM             | 670979103 | 91   | 5700       | SH | SOLE |
| NUVEEN OHIO QUALITY INCOME M  | COM             | 670980101 | 112  | 5925       | SH | SOLE |
| NUVEEN MUN OPPORTUNITY FD IN  | COM             | 670984103 | 194  | 12441.067  | SH | SOLE |
| NUVEEN CALIF QUALITY INCM MU  | COM             | 670985100 | 114  | 6600       | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |      |           |    |      |
|------------------------------|------------------|-----------|------|-----------|----|------|
| NUVEEN NY QUALITY INCM MUN F | COM              | 670986108 | 17   | 1065.96   | SH | SOLE |
| NUVEEN NY QUALITY INCM MUN F | COM              | 670986108 | 0    | 3         | SH | SOLE |
| NUVEEN PREMIER OPPURTUNITY F | COM              | 670987106 | 121  | 7575.769  | SH | SOLE |
| NUVEEN PREMIER MUN INCOME FD | COM              | 670988104 | 15   | 1000      | SH | SOLE |
| NUVEEN NJ PREM INCOME MUN FD | COM              | 67101N106 | 60   | 3534.475  | SH | SOLE |
| O REILLY AUTOMOTIVE INC NEW  | COM              | 67103H107 | 47   | 558       | SH | SOLE |
| OSI SYSTEMS INC              | COM              | 671044105 | 430  | 5805      | SH | SOLE |
| OSI SYSTEMS INC              | COM              | 671044105 | 51   | 654       | SH | SOLE |
| OAKTREE CAP GROUP LLC        | UNIT 99/99/9999  | 674001201 | 208  | 5075      | SH | SOLE |
| OASIS PETE INC NEW           | COM              | 674215108 | 142  | 4825      | SH | SOLE |
| OCCIDENTAL PETE CORP DEL     | COM              | 674599105 | 3    | 34        | SH | SOLE |
| OCCIDENTAL PETE CORP DEL     | COM              | 674599105 | 2238 | 26021.988 | SH | SOLE |
| OCCIDENTAL PETE CORP DEL     | COM              | 674599105 | 0    | 0         | SH | SOLE |
| OCEANEERING INTL INC         | COM              | 675232102 | 19   | 355       | SH | SOLE |
| OCH ZIFF CAP MGMT GROUP      | CL A             | 67551U105 | 91   | 9400      | SH | SOLE |
| OCWEN FINL CORP              | COM NEW          | 675746309 | 24   | 707       | SH | SOLE |
| OCULUS INNOVATIVE SCIENCES I | COM              | 67575P108 | 12   | 12602     | SH | SOLE |
| OFFICE DEPOT INC             | COM              | 676220106 | 13   | 5000      | SH | SOLE |
| OFFICEMAX INC DEL            | COM              | 67622P101 | 3    | 444       | SH | SOLE |
| OIL STS INTL INC             | COM              | 678026105 | 53   | 676       | SH | SOLE |
| OIL STS INTL INC             | COM              | 678026105 | 48   | 613       | SH | SOLE |
| OILSANDS QUEST INC           | COM              | 678046103 | 0    | 100       | SH | SOLE |
| OILTANKING PARTNERS L P      | UNIT LTD         | 678049107 | 23   | 600       | SH | SOLE |
| OLD DOMINION FGHT LINES INC  | COM              | 679580100 | 9    | 200       | SH | SOLE |
| OLD NATL BANCORP IND         | COM              | 680033107 | 15   | 1081      | SH | SOLE |
| OLD REP INTL CORP            | COM              | 680223104 | 20   | 2195.664  | SH | SOLE |
| OLIN CORP                    | COM PAR \$1      | 680665205 | 69   | 3195      | SH | SOLE |
| OLYMPIC STEEL INC            | COM              | 68162K106 | 3    | 200       | SH | SOLE |
| OMNICARE INC                 | COM              | 681904108 | 26   | 771       | SH | SOLE |
| OMNICARE INC                 | DBCV 3.250%12/1  | 681904AL2 | 3    | 3000      | SH | SOLE |
| OMNICOM GROUP INC            | COM              | 681919106 | 315  | 6115.044  | SH | SOLE |
| OMEGA HEALTHCARE INVS INC    | COM              | 681936100 | 376  | 16548.984 | SH | SOLE |
| OMEGA PROTEIN CORP           | COM              | 68210P107 | 1    | 213       | SH | SOLE |
| OMNOVA SOLUTIONS INC         | COM              | 682129101 | 19   | 2500      | SH | SOLE |
| ON ASSIGNMENT INC            | COM              | 682159108 | 5    | 235       | SH | SOLE |
| ON SEMICONDUCTOR CORP        | COM              | 682189105 | 6    | 914       | SH | SOLE |
| ONCOTHYREON INC              | COM              | 682324108 | 137  | 26678     | SH | SOLE |
| ONE LIBERTY PPTYS INC        | COM              | 682406103 | 8    | 450       | SH | SOLE |
| ONEOK INC NEW                | COM              | 682680103 | 376  | 8451.059  | SH | SOLE |
| ONEOK INC NEW                | COM              | 682680103 | 904  | 18703.637 | SH | SOLE |
| ONEOK PARTNERS LP            | UNIT LTD PARTN   | 68268N103 | 1261 | 21200.386 | SH | SOLE |
| ONSTREAM MEDIA CORP          | COM NEW          | 682875208 | 0    | 4         | SH | SOLE |
| ONYX PHARMACEUTICALS INC     | COM              | 683399109 | 121  | 1426      | SH | SOLE |
| OPEN TEXT CORP               | COM              | 683715106 | 7    | 120       | SH | SOLE |
| OPENTABLE INC                | COM              | 68372A104 | 10   | 240       | SH | SOLE |
| OPEXA THERAPEUTICS INC       | *W EXP 02/19/201 | 68372T111 | 0    | 9770      | SH | SOLE |
| OPNET TECHNOLOGIES INC       | COM              | 683757108 | 3    | 86        | SH | SOLE |
| OPKO HEALTH INC              | COM              | 68375N103 | 158  | 37780     | SH | SOLE |
| ORACLE CORP                  | COM              | 68389X105 | 6    | 175       | SH | SOLE |
| ORACLE CORP                  | COM              | 68389X105 | 1808 | 57414.096 | SH | SOLE |
| ORACLE CORP                  | COM              | 68389X105 | 95   | 2994.085  | SH | SOLE |
| OPTIMER PHARMACEUTICALS INC  | COM              | 68401H104 | 13   | 900       | SH | SOLE |
| ORBITAL CORP                 | SPONSORED ADR NE | 685563504 | 0    | 5         | SH | SOLE |
| ORCHARD SUPPLY HARDWARE STOR | CL A             | 685691404 | 0    | 11        | SH | SOLE |
| ORCHIDS PAPER PRODS CO DEL   | COM              | 68572N104 | 1    | 31        | SH | SOLE |
| ORIX CORP                    | SPONSORED ADR    | 686330101 | 9    | 189.185   | SH | SOLE |
| ORITANI FINL CORP DEL        | COM              | 68633D103 | 15   | 1000      | SH | SOLE |
| ORMAT TECHNOLOGIES INC       | COM              | 686688102 | 9    | 475       | SH | SOLE |
| OSHKOSH CORP                 | COM              | 688239201 | 13   | 476       | SH | SOLE |
| OTELCO INC                   | UNIT 99/99/9999  | 688823202 | 1    | 824.793   | SH | SOLE |
| OTTER TAIL CORP              | COM              | 689648103 | 104  | 4348      | SH | SOLE |
| OVERSTOCK COM INC DEL        | COM              | 690370101 | 2    | 200       | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |      |           |    |      |
|------------------------------|------------------|-----------|------|-----------|----|------|
| OWENS & MINOR INC NEW        | COM              | 690732102 | 43   | 1424.447  | SH | SOLE |
| OWENS CORNING NEW            | COM              | 690742101 | 2    | 52        | SH | SOLE |
| OWENS CORNING NEW            | *W EXP 10/31/201 | 690742127 | 0    | 14        | SH | SOLE |
| OWENS ILL INC                | COM NEW          | 690768403 | 33   | 1723      | SH | SOLE |
| OXFORD LANE CAP CORP         | COM              | 691543102 | 0    | 21.658    | SH | SOLE |
| OXFORD RESOURCE PARTNERS LP  | COM UNIT RP LP   | 691807101 | 30   | 3300      | SH | SOLE |
| OXIGENE INC                  | COM NEW          | 691828305 | 0    | 187       | SH | SOLE |
| OXYGEN BIOTHERAPEUTICS INC   | COM NEW          | 69207P209 | 0    | 7         | SH | SOLE |
| PAA NAT GAS STORAGE L P      | COM UNIT LTD     | 693139107 | 61   | 3084      | SH | SOLE |
| PDC ENERGY INC               | COM              | 69327R101 | 19   | 600       | SH | SOLE |
| PDF SOLUTIONS INC            | COM              | 693282105 | 3    | 216       | SH | SOLE |
| PDL BIOPHARMA INC            | COM              | 69329Y104 | 112  | 14470     | SH | SOLE |
| PG&E CORP                    | COM              | 69331C108 | 840  | 19678.929 | SH | SOLE |
| PG&E CORP                    | COM              | 69331C108 | 84   | 1973      | SH | SOLE |
| PHH CORP                     | COM NEW          | 693320202 | 1    | 41        | SH | SOLE |
| PMC-SIERRA INC               | COM              | 69344F106 | 3    | 475       | SH | SOLE |
| PNC FINL SVCS GROUP INC      | COM              | 693475105 | 523  | 8281.004  | SH | SOLE |
| POSCO                        | SPONSORED ADR    | 693483109 | 16   | 200       | SH | SOLE |
| PNM RES INC                  | COM              | 69349H107 | 17   | 810       | SH | SOLE |
| PPG INDS INC                 | COM              | 693506107 | 628  | 5704      | SH | SOLE |
| PPG INDS INC                 | COM              | 693506107 | 227  | 1972.404  | SH | SOLE |
| PPL CORP                     | COM              | 69351T106 | 1008 | 34691.921 | SH | SOLE |
| PPL CORP                     | UNIT 99/99/9999  | 69351T601 | 12   | 231       | SH | SOLE |
| PVH CORP                     | COM              | 693656100 | 633  | 6753.208  | SH | SOLE |
| PVH CORP                     | COM              | 693656100 | 35   | 373.153   | SH | SOLE |
| PVR PARTNERS L P             | COM UNIT REPTG L | 693665101 | 274  | 10806.157 | SH | SOLE |
| PSS WORLD MED INC            | COM              | 69366A100 | 5    | 205       | SH | SOLE |
| PACCAR INC                   | COM              | 693718108 | 948  | 23686.924 | SH | SOLE |
| PACER INTL INC TENN          | COM              | 69373H106 | 0    | 82        | SH | SOLE |
| PACHOLDER HIGH YIELD FD INC  | COM              | 693742108 | 17   | 1702      | SH | SOLE |
| PACIFIC ETHANOL INC          | COM NEW          | 69423U206 | 3    | 7143      | SH | SOLE |
| PACIRA PHARMACEUTICALS INC   | COM              | 695127100 | 10   | 553       | SH | SOLE |
| PACKAGING CORP AMER          | COM              | 695156109 | 37   | 1017      | SH | SOLE |
| PACWEST BANCORP DEL          | COM              | 695263103 | 6    | 238       | SH | SOLE |
| PALL CORP                    | COM              | 696429307 | 124  | 1957.543  | SH | SOLE |
| PALOMAR MED TECHNOLOGIES INC | COM NEW          | 697529303 | 4    | 400       | SH | SOLE |
| PAN AMERICAN SILVER CORP     | COM              | 697900108 | 134  | 6234      | SH | SOLE |
| PANASONIC CORP               | ADR              | 69832A205 | 51   | 7691.014  | SH | SOLE |
| PANDORA MEDIA INC            | COM              | 698354107 | 4    | 360       | SH | SOLE |
| PANERA BREAD CO              | CL A             | 69840W108 | 222  | 1300      | SH | SOLE |
| PANERA BREAD CO              | CL A             | 69840W108 | 414  | 2420      | SH | SOLE |
| PANERA BREAD CO              | CL A             | 69840W108 | 19   | 108       | SH | SOLE |
| PANTRY INC                   | COM              | 698657103 | 3    | 211       | SH | SOLE |
| PAPA JOHNS INTL INC          | COM              | 698813102 | 21   | 395       | SH | SOLE |
| PAR PHARMACEUTICAL COS INC   | COM              | 69888P106 | 9    | 173       | SH | SOLE |
| PARAMETRIC TECHNOLOGY CORP   | COM NEW          | 699173209 | 6    | 253       | SH | SOLE |
| PARAMOUNT GOLD & SILVER CORP | COM              | 69924P102 | 57   | 21500     | SH | SOLE |
| PAREXEL INTL CORP            | COM              | 699462107 | 16   | 519       | SH | SOLE |
| PARK NATL CORP               | COM              | 700658107 | 18   | 250       | SH | SOLE |
| PARK OHIO HLDGS CORP         | COM              | 700666100 | 340  | 15700     | SH | SOLE |
| PARKER DRILLING CO           | COM              | 701081101 | 0    | 100       | SH | SOLE |
| PARKER HANNIFIN CORP         | COM              | 701094104 | 1286 | 15385.596 | SH | SOLE |
| PARKER HANNIFIN CORP         | COM              | 701094104 | 73   | 879       | SH | SOLE |
| PARKERVISION INC             | COM              | 701354102 | 12   | 5000      | SH | SOLE |
| PARTNER COMMUNICATIONS CO LT | ADR              | 70211M109 | 30   | 5754      | SH | SOLE |
| PATRIOT COAL CORP            | COM              | 70336T104 | 0    | 34        | SH | SOLE |
| PATRIOT COAL CORP            | COM              | 70336T104 | 0    | 198       | SH | SOLE |
| PATTERSON COMPANIES INC      | COM              | 703395103 | 70   | 2048.581  | SH | SOLE |
| PATTERSON COMPANIES INC      | COM              | 703395103 | 0    | 0         | SH | SOLE |
| PATTERSON UTI ENERGY INC     | COM              | 703481101 | 36   | 2253      | SH | SOLE |
| PAYCHEX INC                  | COM              | 704326107 | 4    | 118       | SH | SOLE |
| PAYCHEX INC                  | COM              | 704326107 | 2488 | 74725.584 | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                 |           |      |            |    |      |
|------------------------------|-----------------|-----------|------|------------|----|------|
| PEABODY ENERGY CORP          | COM             | 704549104 | 217  | 9715.964   | SH | SOLE |
| PEABODY ENERGY CORP          | SDCV 4.750%12/1 | 704549AG9 | 1    | 600        | SH | SOLE |
| PEARSON PLC                  | SPONSORED ADR   | 705015105 | 33   | 1670.852   | SH | SOLE |
| PEBBLEBROOK HOTEL TR         | COM             | 70509V100 | 3    | 145        | SH | SOLE |
| PEETS COFFEE & TEA INC       | COM             | 705560100 | 22   | 300        | SH | SOLE |
| PEGASYSYSTEMS INC            | COM             | 705573103 | 2    | 70         | SH | SOLE |
| PEMBINA PIPELINE CORP        | COM             | 706327103 | 902  | 32095.403  | SH | SOLE |
| PENGROWTH ENERGY CORP        | COM             | 70706P104 | 649  | 96293.765  | SH | SOLE |
| PENN NATL GAMING INC         | COM             | 707569109 | 37   | 848        | SH | SOLE |
| PENN VA CORP                 | COM             | 707882106 | 5    | 848        | SH | SOLE |
| PENN WEST PETE LTD NEW       | COM             | 707887105 | 6    | 400        | SH | SOLE |
| PENN WEST PETE LTD NEW       | COM             | 707887105 | 400  | 28093.704  | SH | SOLE |
| PENNANTPARK INVT CORP        | COM             | 708062104 | 19   | 1780       | SH | SOLE |
| PENNANTPARK INVT CORP        | COM             | 708062104 | 612  | 57696      | SH | SOLE |
| PENNEY J C INC               | COM             | 708160106 | 210  | 8660.135   | SH | SOLE |
| PENNSYLVANIA RL ESTATE INVT  | SH BEN INT      | 709102107 | 27   | 1712       | SH | SOLE |
| PENNYMAC MTG INVT TR         | COM             | 70931T103 | 40   | 1704       | SH | SOLE |
| PENSKE AUTOMOTIVE GRP INC    | COM             | 70959W103 | 30   | 1000       | SH | SOLE |
| PENTAIR INC                  | COM             | 709631105 | 130  | 2914       | SH | SOLE |
| PEOPLES FED BANCSHARES INC   | COM             | 711037101 | 43   | 2500       | SH | SOLE |
| PEOPLES UNITED FINANCIAL INC | COM             | 712704105 | 69   | 5644       | SH | SOLE |
| PEP BOYS MANNY MOE & JACK    | COM             | 713278109 | 3    | 250        | SH | SOLE |
| PEPCO HOLDINGS INC           | COM             | 713291102 | 411  | 21727.889  | SH | SOLE |
| PEPSICO INC                  | COM             | 713448108 | 36   | 505.96     | SH | SOLE |
| PEPSICO INC                  | COM             | 713448108 | 6264 | 88511.829  | SH | SOLE |
| PEPSICO INC                  | COM             | 713448108 | 14   | 200        | SH | SOLE |
| PEREGRINE PHARMACEUTICALS IN | COM NEW         | 713661304 | 1    | 1021       | SH | SOLE |
| PERICOM SEMICONDUCTOR CORP   | COM             | 713831105 | 1    | 120        | SH | SOLE |
| PERKINELMER INC              | COM             | 714046109 | 16   | 527.362    | SH | SOLE |
| PERMIAN BASIN RTY TR         | UNIT BEN INT    | 714236106 | 580  | 37792.46   | SH | SOLE |
| PERRIGO CO                   | COM             | 714290103 | 81   | 682        | SH | SOLE |
| PERRIGO CO                   | COM             | 714290103 | 47   | 399.29     | SH | SOLE |
| P T TELEKOMUNIKASI INDONESIA | SPONSORED ADR   | 715684106 | 17   | 449        | SH | SOLE |
| PETMED EXPRESS INC           | COM             | 716382106 | 263  | 25550      | SH | SOLE |
| PETMED EXPRESS INC           | COM             | 716382106 | 1    | 128.39     | SH | SOLE |
| PETROCHINA CO LTD            | SPONSORED ADR   | 71646E100 | 409  | 3163       | SH | SOLE |
| PETROBRAS ARGENTINA S A      | SPONS ADR       | 71646J109 | 10   | 1131.344   | SH | SOLE |
| PETROLEUM & RES CORP         | COM             | 716549100 | 111  | 4241.619   | SH | SOLE |
| PETROLEO BRASILEIRO SA PETRO | SP ADR NON VTG  | 71654V101 | 7    | 317        | SH | SOLE |
| PETROLEO BRASILEIRO SA PETRO | SPONSORED ADR   | 71654V408 | 277  | 12057.573  | SH | SOLE |
| PETROLEO BRASILEIRO SA PETRO | SPONSORED ADR   | 71654V408 | 0    | 0          | SH | SOLE |
| PETSMART INC                 | COM             | 716768106 | 386  | 5445.276   | SH | SOLE |
| PETSMART INC                 | COM             | 716768106 | 106  | 1530       | SH | SOLE |
| PETSMART INC                 | COM             | 716768106 | 0    | 0          | SH | SOLE |
| PHARMACYCLICS INC            | COM             | 716933106 | 23   | 350        | SH | SOLE |
| PFIZER INC                   | COM             | 717081103 | 24   | 980.751    | SH | SOLE |
| PFIZER INC                   | COM             | 717081103 | 8988 | 361542.826 | SH | SOLE |
| PFIZER INC                   | COM             | 717081103 | 71   | 2917.725   | SH | SOLE |
| PHARMERICA CORP              | COM             | 71714F104 | 1    | 108        | SH | SOLE |
| PHARMATHENE INC              | COM             | 71714G102 | 0    | 400        | SH | SOLE |
| PHILIP MORRIS INTL INC       | COM             | 718172109 | 13   | 148        | SH | SOLE |
| PHILIP MORRIS INTL INC       | COM             | 718172109 | 7985 | 88701.523  | SH | SOLE |
| PHILIP MORRIS INTL INC       | COM             | 718172109 | 0    | 0          | SH | SOLE |
| PHILLIPS 66                  | COM             | 718546104 | 1    | 18         | SH | SOLE |
| PHILLIPS 66                  | COM             | 718546104 | 1368 | 29551.267  | SH | SOLE |
| PHILLIPS 66                  | COM             | 718546104 | 5    | 112        | SH | SOLE |
| PHOENIX COS INC NEW          | COM NEW         | 71902E604 | 0    | 8          | SH | SOLE |
| PHOTRONICS INC               | COM             | 719405102 | 6    | 1200       | SH | SOLE |
| PIEDMONT NAT GAS INC         | COM             | 720186105 | 93   | 2867.986   | SH | SOLE |
| PIEDMONT OFFICE REALTY TR IN | COM CL A        | 720190206 | 4315 | 248834.154 | SH | SOLE |
| PIER 1 IMPORTS INC           | COM             | 720279108 | 22   | 1167       | SH | SOLE |
| PIKE ELEC CORP               | COM             | 721283109 | 0    | 50         | SH | SOLE |



Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                 |           |      |           |    |      |
|------------------------------|-----------------|-----------|------|-----------|----|------|
| PIMCO CALIF MUN INCOME FD II | COM             | 72200M108 | 669  | 62138.221 | SH | SOLE |
| PIMCO MUNICIPAL INCOME FD    | COM             | 72200R107 | 25   | 1485.669  | SH | SOLE |
| PIMCO NY MUNICIPAL INCOME FD | COM             | 72200T103 | 0    | 6         | SH | SOLE |
| PIMCO CORPORATE INCOME STRAT | COM             | 72200U100 | 473  | 25825     | SH | SOLE |
| PIMCO CORPORATE INCOME STRAT | COM             | 72200U100 | 296  | 16086.238 | SH | SOLE |
| PIMCO MUN INCOME FD II       | COM             | 72200W106 | 29   | 2230      | SH | SOLE |
| PIMCO STRATEGIC GBL GOV FD I | COM             | 72200X104 | 99   | 8000      | SH | SOLE |
| PIMCO STRATEGIC GBL GOV FD I | COM             | 72200X104 | 207  | 16777     | SH | SOLE |
| PIMCO NEW YORK MUN FD II     | COM             | 72200Y102 | 37   | 2762      | SH | SOLE |
| PIMCO GLOBAL STOCKSPLS INCM  | COM             | 722011103 | 95   | 4278.003  | SH | SOLE |
| PIMCO HIGH INCOME FD         | COM SHS         | 722014107 | 349  | 24762     | SH | SOLE |
| PIMCO HIGH INCOME FD         | COM SHS         | 722014107 | 470  | 33537.697 | SH | SOLE |
| PIMCO MUN INCOME FD III      | COM             | 72201A103 | 778  | 58430.186 | SH | SOLE |
| PIMCO CORPORATE & INCOME OPP | COM             | 72201B101 | 1037 | 51610.08  | SH | SOLE |
| PIMCO CALIF MUN INCOME FD II | COM             | 72201C109 | 15   | 1245      | SH | SOLE |
| PIMCO INCOME STRATEGY FUND   | COM             | 72201H108 | 39   | 3000      | SH | SOLE |
| PIMCO INCOME STRATEGY FUND   | COM             | 72201H108 | 161  | 12174     | SH | SOLE |
| PIMCO INCOME STRATEGY FUND I | COM             | 72201J104 | 1    | 100       | SH | SOLE |
| PIMCO INCOME STRATEGY FUND I | COM             | 72201J104 | 70   | 6154      | SH | SOLE |
| PIMCO ETF TR                 | 1-3YR USTREIDX  | 72201R106 | 11   | 213.801   | SH | SOLE |
| PIMCO ETF TR                 | 1-5 US TIP IDX  | 72201R205 | 2236 | 41462.087 | SH | SOLE |
| PIMCO ETF TR                 | 15+ YR US TIPS  | 72201R304 | 21   | 292       | SH | SOLE |
| PIMCO ETF TR                 | BROAD US TIPS   | 72201R403 | 290  | 4747      | SH | SOLE |
| PIMCO ETF TR                 | AUSTRALIA BD    | 72201R742 | 10   | 100       | SH | SOLE |
| PIMCO ETF TR                 | TOTL RETN ETF   | 72201R775 | 21   | 197       | SH | SOLE |
| PIMCO ETF TR                 | TOTL RETN ETF   | 72201R775 | 9186 | 84457.971 | SH | SOLE |
| PIMCO ETF TR                 | 0-5 HIGH YIELD  | 72201R783 | 437  | 4295      | SH | SOLE |
| PIMCO ETF TR                 | GB ADV INF BD   | 72201R791 | 53   | 1000      | SH | SOLE |
| PIMCO ETF TR                 | INV GRD CRP BD  | 72201R817 | 211  | 1947      | SH | SOLE |
| PIMCO ETF TR                 | BLD AMER BD FD  | 72201R825 | 411  | 7204      | SH | SOLE |
| PIMCO ETF TR                 | ENHAN SHRT MAT  | 72201R833 | 157  | 1543.732  | SH | SOLE |
| PIMCO ETF TR                 | INTER MUN BD ST | 72201R866 | 297  | 5449      | SH | SOLE |
| PIMCO DYNAMIC INCOME FD      | SHS             | 72201Y101 | 28   | 1000      | SH | SOLE |
| PINNACLE ENTMT INC           | COM             | 723456109 | 20   | 1615      | SH | SOLE |
| PINNACLE FINL PARTNERS INC   | COM             | 72346Q104 | 47   | 2450      | SH | SOLE |
| PINNACLE WEST CAP CORP       | COM             | 723484101 | 4    | 75        | SH | SOLE |
| PINNACLE WEST CAP CORP       | COM             | 723484101 | 359  | 6794      | SH | SOLE |
| PIONEER DIV HIGH INCOME TRUS | COM BENE INTER  | 723653101 | 244  | 11352.742 | SH | SOLE |
| PIONEER ENERGY SVCS CORP     | COM             | 723664108 | 23   | 2919      | SH | SOLE |
| PIONEER HIGH INCOME TR       | COM             | 72369H106 | 293  | 15985     | SH | SOLE |
| PIONEER HIGH INCOME TR       | COM             | 72369H106 | 186  | 9945      | SH | SOLE |
| PIONEER MUN HIGH INCOME ADV  | COM             | 723762100 | 211  | 13219.342 | SH | SOLE |
| PIONEER MUN HIGH INCOME TR   | COM SHS         | 723763108 | 190  | 11621.571 | SH | SOLE |
| PIONEER NAT RES CO           | COM             | 723787107 | 737  | 7066.296  | SH | SOLE |
| PIPER JAFFRAY COS            | COM             | 724078100 | 21   | 840       | SH | SOLE |
| PITNEY BOWES INC             | COM             | 724479100 | 843  | 60964.664 | SH | SOLE |
| PITNEY BOWES INC             | PREF CV \$2.12  | 724479308 | 10   | 50        | SH | SOLE |
| PLAINS ALL AMERN PIPELINE L  | UNIT LTD PARTN  | 726503105 | 775  | 8784.581  | SH | SOLE |
| PLAINS EXPL& PRODTN CO       | COM             | 726505100 | 9    | 249       | SH | SOLE |
| PLANTRONICS INC NEW          | COM             | 727493108 | 5    | 142       | SH | SOLE |
| PLUG POWER INC               | COM NEW         | 72919P202 | 0    | 40        | SH | SOLE |
| PLUM CREEK TIMBER CO INC     | COM             | 729251108 | 770  | 17568.907 | SH | SOLE |
| PLURISTEM THERAPEUTICS INC   | COM             | 72940R102 | 20   | 5000      | SH | SOLE |
| POLARIS INDS INC             | COM             | 731068102 | 216  | 2669.161  | SH | SOLE |
| POLYCOM INC                  | COM             | 73172K104 | 14   | 1395      | SH | SOLE |
| POLYONE CORP                 | COM             | 73179P106 | 7    | 393.668   | SH | SOLE |
| POLYPORE INTL INC            | COM             | 73179V103 | 65   | 2000      | SH | SOLE |
| POLYPORE INTL INC            | COM             | 73179V103 | 11   | 304       | SH | SOLE |
| POLYMET MINING CORP          | COM             | 731916102 | 6    | 5000      | SH | SOLE |
| POOL CORPORATION             | COM             | 73278L105 | 356  | 9044.214  | SH | SOLE |
| POOL CORPORATION             | COM             | 73278L105 | 8    | 179       | SH | SOLE |
| POPULAR INC                  | COM NEW         | 733174700 | 22   | 1231      | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |       |            |    |      |
|------------------------------|------------------|-----------|-------|------------|----|------|
| PORTFOLIO RECOVERY ASSOCS IN | COM              | 73640Q105 | 39    | 370        | SH | SOLE |
| PORTLAND GEN ELEC CO         | COM NEW          | 736508847 | 27    | 982        | SH | SOLE |
| PORTUGAL TELECOM SGPS S A    | SPONSORED ADR    | 737273102 | 1     | 210        | SH | SOLE |
| POST HLDGS INC               | COM              | 737446104 | 8     | 265        | SH | SOLE |
| POST PPTYS INC               | COM              | 737464107 | 2     | 31         | SH | SOLE |
| POTASH CORP SASK INC         | COM              | 73755L107 | 4     | 100        | SH | SOLE |
| POTASH CORP SASK INC         | COM              | 73755L107 | 1103  | 25408.209  | SH | SOLE |
| POTASH CORP SASK INC         | COM              | 73755L107 | 0     | 0          | SH | SOLE |
| POWER INTEGRATIONS INC       | COM              | 739276103 | 8     | 274.62     | SH | SOLE |
| POWER ONE INC NEW            | COM              | 73930R102 | 25    | 4450       | SH | SOLE |
| POWER REIT                   | COM              | 73933H101 | 2     | 300.814    | SH | SOLE |
| POWERSHARES QQQ TRUST        | UNIT SER 1       | 73935A104 | 27    | 400.226    | SH | SOLE |
| POWERSHARES QQQ TRUST        | UNIT SER 1       | 73935A104 | 10322 | 150530.339 | SH | SOLE |
| POWERSHARES ACTIVE MNG ETF T | US REAL EST FD   | 73935B508 | 2     | 32         | SH | SOLE |
| POWERSHARES INDIA ETF TR     | INDIA PORT       | 73935L100 | 553   | 29443.449  | SH | SOLE |
| POWERSHARES DB CMDTY IDX TRA | UNIT BEN INT     | 73935S105 | 34    | 1167       | SH | SOLE |
| POWERSHARES DB CMDTY IDX TRA | UNIT BEN INT     | 73935S105 | 3973  | 138557.902 | SH | SOLE |
| POWERSHARES DB CMDTY IDX TRA | UNIT BEN INT     | 73935S105 | 3     | 118        | SH | SOLE |
| POWERSHARES ETF TRUST        | DYNAMIC MKT PT   | 73935X104 | 4     | 78         | SH | SOLE |
| POWERSHARES ETF TRUST        | DWA TECH LDRS    | 73935X153 | 615   | 22463.35   | SH | SOLE |
| POWERSHARES ETF TRUST        | DWA TECH LDRS    | 73935X153 | 1460  | 52996.833  | SH | SOLE |
| POWERSHARES ETF TRUST        | WILDERHILL PRO   | 73935X161 | 35    | 1417       | SH | SOLE |
| POWERSHARES ETF TRUST        | GBL LSTD PVT EQT | 73935X195 | 121   | 12787      | SH | SOLE |
| POWERSHARES ETF TRUST        | DYNAMIC OTC PT   | 73935X203 | 12    | 250.695    | SH | SOLE |
| POWERSHARES ETF TRUST        | FINL PFD PTF     | 73935X229 | 58    | 3125       | SH | SOLE |
| POWERSHARES ETF TRUST        | FINL PFD PTF     | 73935X229 | 1181  | 63952.312  | SH | SOLE |
| POWERSHARES ETF TRUST        | CLEANTECH PORT   | 73935X278 | 11    | 490        | SH | SOLE |
| POWERSHARES ETF TRUST        | DYNA BUYBK ACH   | 73935X286 | 28    | 927.704    | SH | SOLE |
| POWERSHARES ETF TRUST        | HI YLD EQ DVDN   | 73935X302 | 87    | 9018       | SH | SOLE |
| POWERSHARES ETF TRUST        | BNKING SEC POR   | 73935X336 | 1     | 96         | SH | SOLE |
| POWERSHARES ETF TRUST        | HLTHCR SEC POR   | 73935X351 | 46    | 1350.201   | SH | SOLE |
| POWERSHARES ETF TRUST        | INDL SECT PORT   | 73935X369 | 10    | 341        | SH | SOLE |
| POWERSHARES ETF TRUST        | ENERGY SEC POR   | 73935X385 | 70    | 1653.284   | SH | SOLE |
| POWERSHARES ETF TRUST        | CON STAPLE SEC   | 73935X393 | 43    | 1265       | SH | SOLE |
| POWERSHARES ETF TRUST        | GOLDEN DRG CHINA | 73935X401 | 81    | 4277.632   | SH | SOLE |
| POWERSHARES ETF TRUST        | FND PUR SMLCR PT | 73935X435 | 248   | 10315      | SH | SOLE |
| POWERSHARES ETF TRUST        | FND PUR LRGCR PT | 73935X450 | 33    | 1200       | SH | SOLE |
| POWERSHARES ETF TRUST        | WNRHLL CLN EN    | 73935X500 | 49    | 11694.505  | SH | SOLE |
| POWERSHARES ETF TRUST        | FTSE US1500 SM   | 73935X567 | 42    | 616        | SH | SOLE |
| POWERSHARES ETF TRUST        | WATER RESOURCE   | 73935X575 | 2105  | 107999.564 | SH | SOLE |
| POWERSHARES ETF TRUST        | FTSE RAFI 1000   | 73935X583 | 66    | 1078       | SH | SOLE |
| POWERSHARES ETF TRUST        | DYN UTIL PORTF   | 73935X591 | 18    | 1021       | SH | SOLE |
| POWERSHARES ETF TRUST        | DYNM LRG CP GR   | 73935X609 | 42    | 2205.968   | SH | SOLE |
| POWERSHARES ETF TRUST        | DYN OIL SVCS     | 73935X625 | 459   | 22711.982  | SH | SOLE |
| POWERSHARES ETF TRUST        | LX NANOTCH PTF   | 73935X633 | 20    | 3254       | SH | SOLE |
| POWERSHARES ETF TRUST        | DYN INS PTF      | 73935X641 | 1     | 33         | SH | SOLE |
| POWERSHARES ETF TRUST        | DYN EN EX PROD   | 73935X658 | 139   | 5170.403   | SH | SOLE |
| POWERSHARES ETF TRUST        | DYN BLDG CNSTR   | 73935X666 | 6     | 400.443    | SH | SOLE |
| POWERSHARES ETF TRUST        | S&P 500 HGH QLTY | 73935X682 | 149   | 9526       | SH | SOLE |
| POWERSHARES ETF TRUST        | DYNM LRG CP VL   | 73935X708 | 1176  | 53862.183  | SH | SOLE |
| POWERSHARES ETF TRUST        | INTL DIV ACHV    | 73935X716 | 67    | 4421.335   | SH | SOLE |
| POWERSHARES ETF TRUST        | DIV ACHV PRTF    | 73935X732 | 34    | 2062.268   | SH | SOLE |
| POWERSHARES ETF TRUST        | ZACKS MC PRTFL   | 73935X740 | 4     | 315.911    | SH | SOLE |
| POWERSHARES ETF TRUST        | DYN LSR & ENT    | 73935X757 | 21    | 900        | SH | SOLE |
| POWERSHARES ETF TRUST        | DYN SFTWR PORT   | 73935X773 | 733   | 26417      | SH | SOLE |
| POWERSHARES ETF TRUST        | DYN SEMCT PORT   | 73935X781 | 15    | 1070       | SH | SOLE |
| POWERSHARES ETF TRUST        | DYN PHRMA PORT   | 73935X799 | 12    | 350        | SH | SOLE |
| POWERSHARES ETF TRUST        | DYN PHRMA PORT   | 73935X799 | 135   | 3898       | SH | SOLE |
| POWERSHARES ETF TRUST        | FND PR MIDGRT PT | 73935X807 | 118   | 5308.99    | SH | SOLE |
| POWERSHARES ETF TRUST        | DYN NETWKG PRT   | 73935X815 | 42    | 1671       | SH | SOLE |
| POWERSHARES ETF TRUST        | DYN MEDIA PORT   | 73935X823 | 28    | 1700       | SH | SOLE |
| POWERSHARES ETF TRUST        | DYN FD & BEV     | 73935X849 | 8     | 400.669    | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |       |            |    |      |
|------------------------------|------------------|-----------|-------|------------|----|------|
| POWERSHARES ETF TRUST        | DYN BIOT & GEN   | 73935X856 | 67    | 2763       | SH | SOLE |
| POWERSHARES ETF TRUST        | FND PUR SMVAL PT | 73935X864 | 259   | 15367.223  | SH | SOLE |
| POWERSHARES ETF TRUST        | FND PUR SMGRT PT | 73935X872 | 259   | 14652.69   | SH | SOLE |
| POWERSHARES ETF TRUST        | FND PUR MDVAL PT | 73935X880 | 500   | 28830.324  | SH | SOLE |
| POWERSHARES DB G10 CURCY HAR | COM UT BEN INT   | 73935Y102 | 74    | 2902.091   | SH | SOLE |
| POWERWAVE TECHNOLOGIES INC   | COM NEW          | 739363307 | 0     | 385        | SH | SOLE |
| POWERSHS DB MULTI SECT COMM  | DB ENERGY FUND   | 73936B101 | 42    | 1470       | SH | SOLE |
| POWERSHS DB MULTI SECT COMM  | DB PREC MTLs     | 73936B200 | 271   | 4650       | SH | SOLE |
| POWERSHS DB MULTI SECT COMM  | DB PREC MTLs     | 73936B200 | 271   | 4399       | SH | SOLE |
| POWERSHS DB MULTI SECT COMM  | DB SILVER FUND   | 73936B309 | 25    | 425        | SH | SOLE |
| POWERSHS DB MULTI SECT COMM  | PS DB AGRICUL FD | 73936B408 | 80    | 2629       | SH | SOLE |
| POWERSHS DB MULTI SECT COMM  | PS DB AGRICUL FD | 73936B408 | 1220  | 41470      | SH | SOLE |
| POWERSHS DB MULTI SECT COMM  | DB OIL FUND      | 73936B507 | 52    | 2000       | SH | SOLE |
| POWERSHS DB MULTI SECT COMM  | DB GOLD FUND     | 73936B606 | 95    | 1563       | SH | SOLE |
| POWERSHS DB MULTI SECT COMM  | DB BASE METALS   | 73936B705 | 61    | 3077.69    | SH | SOLE |
| POWERSHS DB US DOLLAR INDEX  | DOLL INDX BULL   | 73936D107 | 371   | 16654      | SH | SOLE |
| POWERSHS DB US DOLLAR INDEX  | DOLL INDX BULL   | 73936D107 | 83    | 3764       | SH | SOLE |
| POWERSHS DB US DOLLAR INDEX  | DOLL INDX BEAR   | 73936D206 | 52    | 1960       | SH | SOLE |
| POWERSHS DB US DOLLAR INDEX  | DOLL INDX BEAR   | 73936D206 | 74    | 2724       | SH | SOLE |
| POWERSHARES GLOBAL ETF FD    | S&P 500 BUYWRT   | 73936G308 | 1293  | 62093.244  | SH | SOLE |
| POWERSECURE INTL INC         | COM              | 73936N105 | 46    | 7750       | SH | SOLE |
| POWERSHARES ETF TRUST II     | DWA DEVEL MKTS   | 73936Q108 | 32    | 1687       | SH | SOLE |
| POWERSHARES ETF TRUST II     | DWA EMRG MKTS    | 73936Q207 | 215   | 12753.315  | SH | SOLE |
| POWERSHARES ETF TRUST II     | DWA EMRG MKTS    | 73936Q207 | 86    | 4972       | SH | SOLE |
| POWERSHARES ETF TRUST II     | GLOBL AGRI ETF   | 73936Q702 | 993   | 34194      | SH | SOLE |
| POWERSHARES ETF TRUST II     | GLOBL AGRI ETF   | 73936Q702 | 80    | 2665       | SH | SOLE |
| POWERSHARES ETF TRUST II     | CONV PORTFOLIO   | 73936Q751 | 23    | 965        | SH | SOLE |
| POWERSHARES ETF TRUST II     | SENIOR LN PORT   | 73936Q769 | 555   | 22241.807  | SH | SOLE |
| POWERSHARES ETF TRUST II     | KBW HG YLD FIN   | 73936Q793 | 586   | 24010.486  | SH | SOLE |
| POWERSHARES ETF TRUST II     | INTL CORP BOND   | 73936Q835 | 78    | 2710       | SH | SOLE |
| POWERSHARES ETF TRUST II     | CEF INC COMPST   | 73936Q843 | 21    | 801        | SH | SOLE |
| POWERSHARES ETF TRUST II     | GLOBAL STL ETF   | 73936Q868 | 4     | 342        | SH | SOLE |
| POWERSHARES GLOBAL ETF TRUST | WK VRDO TX FR    | 73936T433 | 41    | 1660.707   | SH | SOLE |
| POWERSHARES GLOBAL ETF TRUST | CALI MUNI ETF    | 73936T441 | 383   | 15135.56   | SH | SOLE |
| POWERSHARES GLOBAL ETF TRUST | NY MUNI BD ETF   | 73936T458 | 63    | 2517.462   | SH | SOLE |
| POWERSHARES GLOBAL ETF TRUST | INSUR NATL MUN   | 73936T474 | 16    | 610.239    | SH | SOLE |
| POWERSHARES GLOBAL ETF TRUST | INSUR NATL MUN   | 73936T474 | 1875  | 72816.371  | SH | SOLE |
| POWERSHARES GLOBAL ETF TRUST | I-30 LAD TREAS   | 73936T524 | 86    | 2596       | SH | SOLE |
| POWERSHARES GLOBAL ETF TRUST | FDM HG YLD RAFI  | 73936T557 | 321   | 16859.954  | SH | SOLE |
| POWERSHARES GLOBAL ETF TRUST | AGG PFD PORT     | 73936T565 | 1925  | 130225.943 | SH | SOLE |
| POWERSHARES GLOBAL ETF TRUST | AGG PFD PORT     | 73936T565 | 86    | 5850       | SH | SOLE |
| POWERSHARES GLOBAL ETF TRUST | SOVEREIGN DEBT   | 73936T573 | 2216  | 72198.819  | SH | SOLE |
| POWERSHARES GLOBAL ETF TRUST | SOVEREIGN DEBT   | 73936T573 | 10899 | 357046.634 | SH | SOLE |
| POWERSHARES GLOBAL ETF TRUST | GBL CLEAN ENER   | 73936T615 | 55    | 7190.269   | SH | SOLE |
| POWERSHARES GLOBAL ETF TRUST | GBL WTR PORT     | 73936T623 | 173   | 10014.67   | SH | SOLE |
| POWERSHARES GLOBAL ETF TRUST | GBL WTR PORT     | 73936T623 | 4     | 266        | SH | SOLE |
| POWERSHARES GLOBAL ETF TRUST | EMER MRKT PORT   | 73936T763 | 5     | 250        | SH | SOLE |
| POWERSHARES GLOBAL ETF TRUST | EX US SML PORT   | 73936T771 | 8     | 364.339    | SH | SOLE |
| POWERSHARES GLOBAL ETF TRUST | DEV MKTS EX-US   | 73936T789 | 8     | 225        | SH | SOLE |
| POWERSHARES ETF TR II        | GLB NUCLR ENRG   | 73937B100 | 19    | 1219       | SH | SOLE |
| POWERSHARES ETF TR II        | EMRG MKTS INFR   | 73937B209 | 641   | 16379.494  | SH | SOLE |
| POWERSHARES ETF TR II        | EMRG MKTS INFR   | 73937B209 | 16    | 399.005    | SH | SOLE |
| POWERSHARES ETF TR II        | GLBL WIND ENRG   | 73937B308 | 7     | 1207       | SH | SOLE |
| POWERSHARES ETF TR II        | BUILD AMER ETF   | 73937B407 | 275   | 9136.685   | SH | SOLE |
| POWERSHARES ETF TR II        | EM MRK LOW VOL   | 73937B662 | 6     | 232        | SH | SOLE |
| POWERSHARES ETF TR II        | EM MRK LOW VOL   | 73937B662 | 27    | 1000       | SH | SOLE |
| POWERSHARES ETF TR II        | EM MRK LOW VOL   | 73937B662 | 5     | 188.589    | SH | SOLE |
| POWERSHARES ETF TR II        | S&P SMCP ENE P   | 73937B704 | 173   | 5042       | SH | SOLE |
| POWERSHARES ETF TR II        | KBW RG BK PORT   | 73937B712 | 11    | 376        | SH | SOLE |
| POWERSHARES ETF TR II        | S&P500 LOW VOL   | 73937B779 | 212   | 7634.11    | SH | SOLE |
| POWERSHARES ETF TR II        | S&P500 LOW VOL   | 73937B779 | 1415  | 50236      | SH | SOLE |
| POWERSHARES ETF TR II        | S&P500 LOW VOL   | 73937B779 | 10    | 369.798    | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                            |                  |           |       |            |    |      |
|----------------------------|------------------|-----------|-------|------------|----|------|
| POWERSHARES ETF TR II      | S&P500 HGH BET   | 73937B829 | 56    | 2710       | SH | SOLE |
| POWERSHARES ETF TR II      | S&P SMCP IT PO   | 73937B860 | 263   | 8710       | SH | SOLE |
| POZEN INC                  | COM              | 73941U102 | 2     | 284        | SH | SOLE |
| PRANA BIOTECHNOLOGY LTD    | SPONSORED ADR    | 739727105 | 1     | 500        | SH | SOLE |
| PRAXAIR INC                | COM              | 74005P104 | 1     | 14         | SH | SOLE |
| PRAXAIR INC                | COM              | 74005P104 | 525   | 5049.924   | SH | SOLE |
| PRAXAIR INC                | COM              | 74005P104 | 18    | 175.007    | SH | SOLE |
| PRECISION CASTPARTS CORP   | COM              | 740189105 | 5     | 33.024     | SH | SOLE |
| PRECISION CASTPARTS CORP   | COM              | 740189105 | 1516  | 9279.006   | SH | SOLE |
| PRECISION DRILLING CORP    | COM 2010         | 74022D308 | 22    | 2744.187   | SH | SOLE |
| PREMIERE GLOBAL SVCS INC   | COM              | 740585104 | 20    | 2080       | SH | SOLE |
| PRESTIGE BRANDS HLDGS INC  | COM              | 74112D101 | 5     | 302        | SH | SOLE |
| PRICE T ROWE GROUP INC     | COM              | 74144T108 | 378   | 5971.59    | SH | SOLE |
| PRICELINE COM INC          | COM NEW          | 741503403 | 206   | 332        | SH | SOLE |
| PRICELINE COM INC          | COM NEW          | 741503403 | 64    | 102        | SH | SOLE |
| PRICESMART INC             | COM              | 741511109 | 45    | 590        | SH | SOLE |
| PRIMERICA INC              | COM              | 74164M108 | 42    | 1480.75    | SH | SOLE |
| PRINCETON NATL BANCORP INC | COM              | 742282106 | 0     | 1000       | SH | SOLE |
| PRINCIPAL FINL GROUP INC   | COM              | 74251V102 | 109   | 4056       | SH | SOLE |
| PROASSURANCE CORP          | COM              | 74267C106 | 359   | 4025.063   | SH | SOLE |
| PROASSURANCE CORP          | COM              | 74267C106 | 50    | 550.25     | SH | SOLE |
| PROCERA NETWORKS INC       | COM NEW          | 74269U203 | 705   | 30000      | SH | SOLE |
| PROCTER & GAMBLE CO        | COM              | 742718109 | 4     | 55.296     | SH | SOLE |
| PROCTER & GAMBLE CO        | COM              | 742718109 | 17846 | 257304.31  | SH | SOLE |
| PROCTER & GAMBLE CO        | COM              | 742718109 | 70    | 1005       | SH | SOLE |
| PRIVATEBANCORP INC         | COM              | 742962103 | 150   | 9365       | SH | SOLE |
| PROGRESSIVE CORP OHIO      | COM              | 743315103 | 315   | 15104.938  | SH | SOLE |
| PROLOGIS INC               | COM              | 74340W103 | 103   | 2945.572   | SH | SOLE |
| PROSHARESTR                | PSHS ULT S&P 500 | 74347R107 | 162   | 2645.918   | SH | SOLE |
| PROSHARESTR                | PSHS ULTRA QQQ   | 74347R206 | 377   | 6200       | SH | SOLE |
| PROSHARESTR                | PSHS SHTFINL ETF | 74347R230 | 1278  | 41071      | SH | SOLE |
| PROSHARESTR                | PSHS SHTFINL ETF | 74347R230 | 6     | 200        | SH | SOLE |
| PROSHARESTR                | PSHS CS 130/30   | 74347R248 | 44    | 660        | SH | SOLE |
| PROSHARESTR                | PSHS ULTSH 20YRS | 74347R297 | 2     | 120        | SH | SOLE |
| PROSHARESTR                | PSHS ULTSH 20YRS | 74347R297 | 1782  | 114281     | SH | SOLE |
| PROSHARESTR                | PSHS ULTSH 20YRS | 74347R297 | 0     | 0          | SH | SOLE |
| PROSHARESTR                | PSHS ULTRA DOW30 | 74347R305 | 15    | 200        | SH | SOLE |
| PROSHARESTR                | PSHS ULT MCAP400 | 74347R404 | 9625  | 138347     | SH | SOLE |
| PROSHARESTR                | PSHS SHRT S&P500 | 74347R503 | 403   | 11492      | SH | SOLE |
| PROSHARESTR                | PSHS SHRT S&P500 | 74347R503 | 1242  | 36454      | SH | SOLE |
| PROSHARESTR                | PSHS SHORT QQQ   | 74347R602 | 404   | 16231      | SH | SOLE |
| PROSHARESTR                | PSHS SHORT QQQ   | 74347R602 | 52    | 2125       | SH | SOLE |
| PROSHARESTR                | PSHS ULT SEMICDT | 74347R669 | 10    | 311        | SH | SOLE |
| PROSHARESTR                | PSHS SHORT DOW30 | 74347R701 | 10    | 285        | SH | SOLE |
| PROSHARESTR                | PSHS SHORT DOW30 | 74347R701 | 242   | 7125       | SH | SOLE |
| PROSHARESTR                | PSHS ULTRA O&G   | 74347R719 | 8149  | 167062.738 | SH | SOLE |
| PROSHARESTR                | PSHS SHTRUSS2000 | 74347R826 | 424   | 16384      | SH | SOLE |
| PROSHARESTR                | PSHS SHTRUSS2000 | 74347R826 | 106   | 4225       | SH | SOLE |
| PROSHARESTR                | PSHS ULTRUSS2000 | 74347R842 | 9807  | 222431     | SH | SOLE |
| PROSHARESTR                | PSHS ULSHT SP500 | 74347R883 | 11    | 827        | SH | SOLE |
| PROSHARES TR II            | PSHS ULSSLVR NEW | 74347W114 | 283   | 5792       | SH | SOLE |
| PROSHARES TR II            | ULTRASHORT YEN N | 74347W569 | 246   | 5961       | SH | SOLE |
| PROSHARES TR II            | ULTRASHORT YEN N | 74347W569 | 0     | 0          | SH | SOLE |
| PROSHARES TR II            | SHT VIX ST TRM   | 74347W627 | 3     | 25         | SH | SOLE |
| PROSHARES TR II            | ULT DJ UBS CRUDE | 74347W650 | 7     | 228        | SH | SOLE |
| PROSHARES TR II            | VIX MDTRM FUTR   | 74347W684 | 42    | 1000       | SH | SOLE |
| PROSHARES TR II            | VIX SHTTRM FUT   | 74347W692 | 23    | 1217       | SH | SOLE |
| PROSHARES TR II            | ULTRASHRT EURO   | 74347W882 | 465   | 22073      | SH | SOLE |
| PROSHARES TR II            | ULTRASHRT EURO   | 74347W882 | 498   | 24775      | SH | SOLE |
| PROSHARES TR II            | ULTRASHRT EURO   | 74347W882 | 0     | 0          | SH | SOLE |
| PROSHARES TR               | PSHS ULTSHT FINL | 74347X146 | 29    | 775        | SH | SOLE |
| PROSHARESTR                | PSHS ULTSHT QQQ  | 74347X237 | 17    | 600        | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |      |              |      |
|------------------------------|------------------|-----------|------|--------------|------|
| PROSHARES TR                 | SHT BASIC MAT    | 74347X559 | 5    | 150 SH       | SOLE |
| PROSHARESTR                  | BASIC MAT NEW    | 74347X617 | 1    | 50 SH        | SOLE |
| PROSHARESTR                  | ULTRA FNCLS NEW  | 74347X633 | 24   | 391.991 SH   | SOLE |
| PROSHARES TR                 | SHRT RL EST FD   | 74347X641 | 205  | 7225 SH      | SOLE |
| PROSHARES TR                 | SHRT RL EST FD   | 74347X641 | 9    | 300 SH       | SOLE |
| PROSHARESTR                  | SHRT 20+YR TRE   | 74347X849 | 15   | 525 SH       | SOLE |
| PROSHARESTR                  | SHRT 20+YR TRE   | 74347X849 | 1985 | 68235 SH     | SOLE |
| PROSHARESTR                  | SHRT 20+YR TRE   | 74347X849 | 0    | 0 SH         | SOLE |
| PROSHARESTR                  | ULSH MSCI EURP   | 74348A301 | 1070 | 31378 SH     | SOLE |
| PROSHARESTR                  | PSHS ULDOW30 NEW | 74348A590 | 13   | 275 SH       | SOLE |
| PROSHARESTR                  | REAL EST NEW 11  | 74348A871 | 3    | 120 SH       | SOLE |
| PROSPECT CAPITAL CORPORATION | COM              | 74348T102 | 147  | 12759.677 SH | SOLE |
| PROSPECT CAPITAL CORPORATION | COM              | 74348T102 | 559  | 48540 SH     | SOLE |
| PROSPERITY BANCSHARES INC    | COM              | 743606105 | 1    | 28 SH        | SOLE |
| PROTECTIVE LIFE CORP         | COM              | 743674103 | 20   | 773 SH       | SOLE |
| PROVIDENT FINL SVCS INC      | COM              | 74386T105 | 0    | 29 SH        | SOLE |
| PROVIDENT NEW YORK BANCORP   | COM              | 744028101 | 7    | 775 SH       | SOLE |
| PRUDENTIAL FINL INC          | COM              | 744320102 | 408  | 7490.833 SH  | SOLE |
| PRUDENTIAL FINL INC          | COM              | 744320102 | 44   | 816 SH       | SOLE |
| PRUDENTIAL PLC               | ADR              | 74435K204 | 49   | 1881 SH      | SOLE |
| PRUDENTIAL SHT DURATION HG Y | COM              | 74442F107 | 5    | 250 SH       | SOLE |
| PUBLIC SVC ENTERPRISE GROUP  | COM              | 744573106 | 1311 | 40773.704 SH | SOLE |
| PUBLIC STORAGE               | COM              | 74460D109 | 56   | 386 SH       | SOLE |
| PUBLIC STORAGE               | COM              | 74460D109 | 461  | 3309.384 SH  | SOLE |
| PULTE GROUP INC              | COM              | 745867101 | 264  | 19287 SH     | SOLE |
| PULTE GROUP INC              | COM              | 745867101 | 20   | 1256 SH      | SOLE |
| PUTMAN HIGH INCOME SEC FUND  | SHS BEN INT      | 746779107 | 29   | 3440 SH      | SOLE |
| PUTNAM MANAGED MUN INCOM TR  | COM              | 746823103 | 42   | 4983 SH      | SOLE |
| PUTNAM PREMIER INCOME TR     | SH BEN INT       | 746853100 | 5    | 928 SH       | SOLE |
| PUTNAM PREMIER INCOME TR     | SH BEN INT       | 746853100 | 52   | 9119.107 SH  | SOLE |
| PUTNAM MUN OPPORTUNITIES TR  | SH BEN INT       | 746922103 | 66   | 4972 SH      | SOLE |
| QLOGIC CORP                  | COM              | 747277101 | 1    | 100 SH       | SOLE |
| QLOGIC CORP                  | COM              | 747277101 | 1    | 64 SH        | SOLE |
| QCR HOLDINGS INC             | COM              | 74727A104 | 75   | 5000 SH      | SOLE |
| QAD INC                      | CL B             | 74727D207 | 0    | 6 SH         | SOLE |
| QAD INC                      | CL A             | 74727D306 | 0    | 13 SH        | SOLE |
| QLIK TECHNOLOGIES INC        | COM              | 74733T105 | 1    | 31 SH        | SOLE |
| QEP RES INC                  | COM              | 74733V100 | 127  | 4004.93 SH   | SOLE |
| QUALCOMM INC                 | COM              | 747525103 | 17   | 283.573 SH   | SOLE |
| QUALCOMM INC                 | COM              | 747525103 | 5365 | 85898.783 SH | SOLE |
| QUALCOMM INC                 | COM              | 747525103 | 25   | 400 SH       | SOLE |
| QUALITY SYS INC              | COM              | 747582104 | 515  | 27809 SH     | SOLE |
| QUANTA SVCS INC              | COM              | 74762E102 | 6    | 229 SH       | SOLE |
| QUANTUM FUEL SYS TECH WORLDW | COM NEW          | 74765E208 | 1    | 665 SH       | SOLE |
| QUANTUM CORP                 | COM DSSG         | 747906204 | 1    | 350 SH       | SOLE |
| QUATERRA RES INC             | COM              | 747952109 | 17   | 46435 SH     | SOLE |
| QUEST DIAGNOSTICS INC        | COM              | 74834L100 | 67   | 1050 SH      | SOLE |
| QUEST DIAGNOSTICS INC        | COM              | 74834L100 | 48   | 762 SH       | SOLE |
| QUESTAR CORP                 | COM              | 748356102 | 98   | 4825.806 SH  | SOLE |
| QUESTCOR PHARMACEUTICALS INC | COM              | 74835Y101 | 8    | 455 SH       | SOLE |
| QUICKSILVER RESOURCES INC    | COM              | 74837R104 | 1    | 300 SH       | SOLE |
| QUIKSILVER INC               | COM              | 74838C106 | 1    | 275 SH       | SOLE |
| RAIT FINANCIAL TRUST         | COM NEW          | 749227609 | 4    | 719 SH       | SOLE |
| RAIT FINANCIAL TRUST         | NOTE 7.000% 4/0  | 749227AA2 | 12   | 13000 SH     | SOLE |
| RLI CORP                     | COM              | 749607107 | 38   | 577.382 SH   | SOLE |
| RLJ LODGING TR               | COM              | 74965L101 | 1    | 58 SH        | SOLE |
| RPC INC                      | COM              | 749660106 | 28   | 2392 SH      | SOLE |
| RPM INTL INC                 | COM              | 749685103 | 397  | 13901.199 SH | SOLE |
| RTI INTL METALS INC          | COM              | 74973W107 | 37   | 1560 SH      | SOLE |
| RF MICRODEVICES INC          | COM              | 749941100 | 44   | 11251 SH     | SOLE |
| RF MICRODEVICES INC          | COM              | 749941100 | 4    | 1000 SH      | SOLE |
| RACKSPACE HOSTING INC        | COM              | 750086100 | 70   | 1061 SH      | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                |           |      |           |    |      |
|------------------------------|----------------|-----------|------|-----------|----|------|
| RADIAN GROUP INC             | COM            | 750236101 | 7    | 1500      | SH | SOLE |
| RADIO ONE INC                | CL A           | 75040P108 | 0    | 100       | SH | SOLE |
| RADIOSHACK CORP              | COM            | 750438103 | 0    | 92        | SH | SOLE |
| RAMBUS INC DEL               | COM            | 750917106 | 39   | 7060      | SH | SOLE |
| RALCORP HLDGS INC NEW        | COM            | 751028101 | 40   | 544.498   | SH | SOLE |
| RALPH LAUREN CORP            | CL A           | 751212101 | 49   | 322       | SH | SOLE |
| RAMCO-GERSHENSON PPTYS TR    | COM SH BEN INT | 751452202 | 1    | 69        | SH | SOLE |
| RANDGOLD RES LTD             | ADR            | 752344309 | 20   | 160       | SH | SOLE |
| RANGE RES CORP               | COM            | 75281A109 | 87   | 1244      | SH | SOLE |
| RAVEN INDS INC               | COM            | 754212108 | 285  | 9686      | SH | SOLE |
| RAYMOND JAMES FINANCIAL INC  | COM            | 754730109 | 56   | 1540.995  | SH | SOLE |
| RAYONIER INC                 | COM            | 754907103 | 304  | 6208.133  | SH | SOLE |
| RAYTHEON CO                  | COM NEW        | 755111507 | 4    | 71.282    | SH | SOLE |
| RAYTHEON CO                  | COM NEW        | 755111507 | 1086 | 19046.61  | SH | SOLE |
| REACHLOCAL INC               | COM            | 75525F104 | 9    | 750       | SH | SOLE |
| READING INTERNATIONAL INC    | CL A           | 755408101 | 0    | 31        | SH | SOLE |
| REAL GOODS SOLAR INC         | CL A           | 75601N104 | 4    | 5970      | SH | SOLE |
| REALD INC                    | COM            | 75604L105 | 2    | 200       | SH | SOLE |
| REALNETWORKS INC             | COM NEW        | 75605L708 | 1    | 75        | SH | SOLE |
| REALTY INCOME CORP           | COM            | 756109104 | 1434 | 35061.484 | SH | SOLE |
| REAVES UTIL INCOME FD        | COM SH BEN INT | 756158101 | 133  | 5268.283  | SH | SOLE |
| RED HAT INC                  | COM            | 756577102 | 39   | 684       | SH | SOLE |
| RED LION HOTELS CORP         | COM            | 756764106 | 4    | 600       | SH | SOLE |
| RED ROBIN GOURMET BURGERS IN | COM            | 75689M101 | 1    | 44        | SH | SOLE |
| REDIFF COM INDIA LTD         | SPONSORED ADR  | 757479100 | 5    | 1300      | SH | SOLE |
| REDWOOD TR INC               | COM            | 758075402 | 11   | 737       | SH | SOLE |
| REED ELSEVIER N V            | SPONS ADR NEW  | 758204200 | 1    | 34.506    | SH | SOLE |
| REED ELSEVIER N V            | SPONS ADR NEW  | 758204200 | 103  | 3788      | SH | SOLE |
| REED ELSEVIER P L C          | SPONS ADR NEW  | 758205207 | 53   | 1385      | SH | SOLE |
| REED ELSEVIER P L C          | SPONS ADR NEW  | 758205207 | 0    | 0         | SH | SOLE |
| REGAL BELOIT CORP            | COM            | 758750103 | 1    | 15        | SH | SOLE |
| REGAL ENTMT GROUP            | CL A           | 758766109 | 4    | 289       | SH | SOLE |
| REGENCY CTRS CORP            | COM            | 758849103 | 21   | 439       | SH | SOLE |
| REGENCY ENERGY PARTNERS L P  | COM UNITS L P  | 75885Y107 | 24   | 1036.044  | SH | SOLE |
| REGENERON PHARMACEUTICALS    | COM            | 75886F107 | 237  | 1550      | SH | SOLE |
| REGENERON PHARMACEUTICALS    | COM            | 75886F107 | 237  | 1555      | SH | SOLE |
| REGIS CORP MINN              | COM            | 758932107 | 3    | 176       | SH | SOLE |
| REGIONAL MGMT CORP           | COM            | 75902K106 | 5    | 311       | SH | SOLE |
| REGIONS FINANCIAL CORP NEW   | COM            | 7591EP100 | 219  | 30380     | SH | SOLE |
| REINSURANCE GROUP AMER INC   | COM NEW        | 759351604 | 75   | 1298.268  | SH | SOLE |
| RELIANCE STEEL & ALUMINUM CO | COM            | 759509102 | 627  | 11980.11  | SH | SOLE |
| RELIV INTL INC               | COM            | 75952R100 | 0    | 300       | SH | SOLE |
| RENESOLA LTD                 | SPONS ADS      | 75971T103 | 4    | 2500      | SH | SOLE |
| RENN GLOBAL ENTREPENRS       | COM            | 759720105 | 2    | 1050      | SH | SOLE |
| RENREN INC                   | SPONSORED ADR  | 759892102 | 50   | 12500     | SH | SOLE |
| REPLIGEN CORP                | COM            | 759916109 | 6    | 1000      | SH | SOLE |
| RENT A CTR INC NEW           | COM            | 76009N100 | 2    | 51        | SH | SOLE |
| RENTECH INC                  | COM            | 760112102 | 15   | 5989      | SH | SOLE |
| RENTECH NITROGEN PARTNERS L  | COM UNIT       | 760113100 | 222  | 5719.34   | SH | SOLE |
| REPUBLIC BANCORP KY          | CL A           | 760281204 | 43   | 1940.126  | SH | SOLE |
| REPUBLIC SVCS INC            | COM            | 760759100 | 192  | 6977.6    | SH | SOLE |
| RESEARCH IN MOTION LTD       | COM            | 760975102 | 138  | 18376     | SH | SOLE |
| RESMED INC                   | COM            | 761152107 | 73   | 1800      | SH | SOLE |
| RESOURCE CAP CORP            | COM            | 76120W302 | 569  | 96821.302 | SH | SOLE |
| RETAIL OPPORTUNITY INVTS COR | COM            | 76131N101 | 2    | 141       | SH | SOLE |
| RETAIL PPTYS AMER INC        | CL A           | 76131V202 | 443  | 39176.343 | SH | SOLE |
| REVENUESHARES ETF TR         | SMALL CAP FD   | 761396308 | 24   | 653       | SH | SOLE |
| REVLON INC                   | CL A NEW       | 761525609 | 3    | 200       | SH | SOLE |
| REYNOLDS AMERICAN INC        | COM            | 761713106 | 987  | 22759.304 | SH | SOLE |
| RIO TINTO PLC                | SPONSORED ADR  | 767204100 | 610  | 13053.413 | SH | SOLE |
| RITCHIE BROS AUCTIONEERS     | COM            | 767744105 | 6    | 328       | SH | SOLE |
| RITE AID CORP                | COM            | 767754104 | 57   | 48900     | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |      |           |    |      |
|------------------------------|------------------|-----------|------|-----------|----|------|
| RIVERBED TECHNOLOGY INC      | COM              | 768573107 | 577  | 24785     | SH | SOLE |
| RMR REAL ESTATE INCOME FUND  | COM              | 76970B101 | 4    | 215       | SH | SOLE |
| ROADRUNNER TRNSN SVCS HLDG I | COM              | 76973Q105 | 53   | 3300      | SH | SOLE |
| ROBERT HALF INTL INC         | COM              | 770323103 | 376  | 14145.708 | SH | SOLE |
| ROCK-TENN CO                 | CL A             | 772739207 | 277  | 3833      | SH | SOLE |
| ROCKWELL AUTOMATION INC      | COM              | 773903109 | 238  | 3416      | SH | SOLE |
| ROCKWELL COLLINS INC         | COM              | 774341101 | 234  | 4365.969  | SH | SOLE |
| ROCKWOOD HLDGS INC           | COM              | 774415103 | 5    | 100       | SH | SOLE |
| ROFIN SINAR TECHNOLOGIES INC | COM              | 775043102 | 3    | 133       | SH | SOLE |
| ROGERS COMMUNICATIONS INC    | CL B             | 775109200 | 69   | 1709      | SH | SOLE |
| ROLLINS INC                  | COM              | 775711104 | 72   | 3081      | SH | SOLE |
| ROPER INDS INC NEW           | COM              | 776696106 | 527  | 4793.315  | SH | SOLE |
| ROSETTA RESOURCES INC        | COM              | 777779307 | 1    | 16        | SH | SOLE |
| ROSETTA STONE INC            | COM              | 777780107 | 2    | 150       | SH | SOLE |
| ROSS STORES INC              | COM              | 778296103 | 611  | 8836.506  | SH | SOLE |
| ROSS STORES INC              | COM              | 778296103 | 1079 | 16691.523 | SH | SOLE |
| ROSS STORES INC              | COM              | 778296103 | 40   | 600.073   | SH | SOLE |
| ROUNDYS INC                  | COM              | 779268101 | 15   | 2500      | SH | SOLE |
| ROVI CORP                    | COM              | 779376102 | 1    | 56        | SH | SOLE |
| ROYAL BK CDA MONTREAL QUE    | COM              | 780087102 | 410  | 7315.278  | SH | SOLE |
| ROYAL BK CDA MONTREAL QUE    | COM              | 780087102 | 949  | 16523.937 | SH | SOLE |
| ROYAL BK SCOTLAND GROUP PLC  | SPONS ADR 20 ORD | 780097689 | 1    | 78        | SH | SOLE |
| ROYAL BK SCOTLAND GROUP PLC  | SP ADR PREF T    | 780097713 | 18   | 800       | SH | SOLE |
| ROYAL BK SCOTLAND GROUP PLC  | SP ADR PREF S    | 780097739 | 6    | 300       | SH | SOLE |
| ROYAL BK SCOTLAND GROUP PLC  | ADR PREF SHS Q   | 780097754 | 63   | 3000      | SH | SOLE |
| ROYAL BK SCOTLAND GROUP PLC  | ADR PREF SER N   | 780097770 | 23   | 1100      | SH | SOLE |
| ROYAL BK SCOTLAND GROUP PLC  | SP ADR L RP PF   | 780097788 | 41   | 1830      | SH | SOLE |
| ROYAL BK SCOTLAND GROUP PLC  | SPON ADR SER H   | 780097879 | 25   | 1000      | SH | SOLE |
| ROYAL BK OF SCOTLAND PLC     | RBS NASD LKD41   | 78009P143 | 6    | 200       | SH | SOLE |
| ROYAL DUTCH SHELL PLC        | SPON ADR B       | 780259107 | 1147 | 16087     | SH | SOLE |
| ROYAL DUTCH SHELL PLC        | SPON ADR B       | 780259107 | 0    | 0         | SH | SOLE |
| ROYAL DUTCH SHELL PLC        | SPONS ADR A      | 780259206 | 964  | 13886.546 | SH | SOLE |
| ROYAL GOLD INC               | COM              | 780287108 | 573  | 5747.916  | SH | SOLE |
| ROYCE FOCUS TR               | COM              | 78080N108 | 82   | 12219.767 | SH | SOLE |
| ROYCE VALUE TR INC           | COM              | 780910105 | 242  | 18526.163 | SH | SOLE |
| ROYCE MICRO-CAP TR INC       | COM              | 780915104 | 426  | 45085     | SH | SOLE |
| RUSSELL EXCHANGE TRADED FDS  | HI DIV YLD ETF   | 782474415 | 51   | 1953      | SH | SOLE |
| RUSSELL EXCHANGE TRADED FDS  | 1000 HGH MOMEN   | 782474621 | 65   | 1215.24   | SH | SOLE |
| RUSSELL EXCHANGE TRADED FDS  | EQUITY INCOME    | 782474712 | 5    | 102.888   | SH | SOLE |
| RUSSELL EXCHANGE TRADED FDS  | AGGRESS GROWTH   | 782474753 | 5    | 100.553   | SH | SOLE |
| RYANAIR HLDGS PLC            | SPONSORED ADR    | 783513104 | 40   | 1205      | SH | SOLE |
| RYDER SYS INC                | COM              | 783549108 | 312  | 7983      | SH | SOLE |
| RYDEX ETF TRUST              | GUG S&P500 EQ WT | 78355W106 | 115  | 2242      | SH | SOLE |
| RYDEX ETF TRUST              | GUG S&P500 EQ WT | 78355W106 | 1130 | 21649.39  | SH | SOLE |
| RYDEX ETF TRUST              | GUG RUSS TOP 50  | 78355W205 | 64   | 600       | SH | SOLE |
| RYDEX ETF TRUST              | GUG S&P500 PU VA | 78355W304 | 42   | 1325      | SH | SOLE |
| RYDEX ETF TRUST              | GUG S&P500 PU GR | 78355W403 | 430  | 8775      | SH | SOLE |
| RYDEX ETF TRUST              | GUG S&P SC600 EQ | 78355W494 | 11   | 325       | SH | SOLE |
| RYDEX ETF TRUST              | GUG S&P MC400 PV | 78355W502 | 24   | 690       | SH | SOLE |
| RYDEX ETF TRUST              | GUG S&P MC400 EQ | 78355W510 | 33   | 1050      | SH | SOLE |
| RYDEX ETF TRUST              | GUG MSCI EAFE EQ | 78355W544 | 17   | 480       | SH | SOLE |
| RYDEX ETF TRUST              | GUG S&P MC400 PG | 78355W601 | 82   | 914       | SH | SOLE |
| RYDEX ETF TRUST              | GUG S&P SC600 PV | 78355W700 | 18   | 450.256   | SH | SOLE |
| RYDEX ETF TRUST              | GUG S&P SC600 PV | 78355W700 | 37   | 894       | SH | SOLE |
| RYDEX ETF TRUST              | GUG 2X S&P500ETF | 78355W783 | 5    | 95        | SH | SOLE |
| RYDEX ETF TRUST              | GUG S&P SC600 PG | 78355W809 | 147  | 2619      | SH | SOLE |
| RYDEX ETF TRUST              | GUG S&P500EQWTTE | 78355W817 | 19   | 340       | SH | SOLE |
| RYDEX ETF TRUST              | GUG S&P500EQWTMA | 78355W825 | 26   | 441.38    | SH | SOLE |
| RYDEX ETF TRUST              | GUG S&P500EQWTMA | 78355W825 | 6    | 95        | SH | SOLE |
| RYDEX ETF TRUST              | GUG S&P500EQWTHC | 78355W841 | 15   | 194.779   | SH | SOLE |
| RYDEX ETF TRUST              | GUG S&P500EQWTHC | 78355W841 | 736  | 9484      | SH | SOLE |
| RYDEX ETF TRUST              | GUG S&P500EQWTFI | 78355W858 | 13   | 482.085   | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                         |                  |           |       |             |    |      |
|-------------------------|------------------|-----------|-------|-------------|----|------|
| RYDEX ETF TRUST         | GUG S&P500EQWTEN | 78355W866 | 40    | 635.442     | SH | SOLE |
| RYDEX ETF TRUST         | GUG S&P500 EWCON | 78355W874 | 102   | 1474.878    | SH | SOLE |
| RYDEX ETF TRUST         | GUG S&P SC500 EQ | 78355W882 | 28    | 524.553     | SH | SOLE |
| RYLAND GROUP INC        | COM              | 783764103 | 19    | 621         | SH | SOLE |
| SBA COMMUNICATIONS CORP | COM              | 78388J106 | 6     | 87          | SH | SOLE |
| SAIC INC                | COM              | 78390X101 | 98    | 8100        | SH | SOLE |
| SEI INVESTMENTS CO      | COM              | 784117103 | 505   | 23568.848   | SH | SOLE |
| SJW CORP                | COM              | 784305104 | 17    | 677.359     | SH | SOLE |
| SK TELECOM LTD          | SPONSORED ADR    | 78440P108 | 1     | 60.233      | SH | SOLE |
| SL GREEN RLTY CORP      | COM              | 78440X101 | 21    | 268.059     | SH | SOLE |
| SLM CORP                | COM              | 78442P106 | 19    | 1182        | SH | SOLE |
| SM ENERGY CO            | COM              | 78454L100 | 10    | 187         | SH | SOLE |
| SPDR S&P 500 ETF TR     | TR UNIT          | 78462F103 | 16    | 110         | SH | SOLE |
| SPDR S&P 500 ETF TR     | TR UNIT          | 78462F103 | 19388 | 134664.728  | SH | SOLE |
| SPX CORP                | COM              | 784635104 | 44    | 672         | SH | SOLE |
| SPDR GOLD TRUST         | GOLD SHS         | 78463V107 | 2484  | 15103       | SH | SOLE |
| SPDR GOLD TRUST         | GOLD SHS         | 78463V107 | 33002 | 191997      | SH | SOLE |
| SPDR GOLD TRUST         | GOLD SHS         | 78463V107 | 18687 | 109839.956  | SH | SOLE |
| SPDR INDEX SHS FDS      | EURO STOXX 50    | 78463X202 | 42    | 1361        | SH | SOLE |
| SPDR INDEX SHS FDS      | ASIA PACIF ETF   | 78463X301 | 114   | 1565        | SH | SOLE |
| SPDR INDEX SHS FDS      | S&P CHINA ETF    | 78463X400 | 558   | 8566        | SH | SOLE |
| SPDR INDEX SHS FDS      | EMERG MKTS ETF   | 78463X509 | 143   | 2250        | SH | SOLE |
| SPDR INDEX SHS FDS      | S&P EM MKT DIV   | 78463X533 | 9     | 205         | SH | SOLE |
| SPDR INDEX SHS FDS      | S&P RUSSIA ETF   | 78463X558 | 15    | 515         | SH | SOLE |
| SPDR INDEX SHS FDS      | EUROPE ETF       | 78463X608 | 0     | 3           | SH | SOLE |
| SPDR INDEX SHS FDS      | INTL TECH ETF    | 78463X657 | 27    | 1110.465    | SH | SOLE |
| SPDR INDEX SHS FDS      | LATIN AMER ETF   | 78463X707 | 175   | 2464.933    | SH | SOLE |
| SPDR INDEX SHS FDS      | INTL ENRGY ETF   | 78463X715 | 70    | 2806        | SH | SOLE |
| SPDR INDEX SHS FDS      | DJ GLB RL ES ETF | 78463X749 | 586   | 14450       | SH | SOLE |
| SPDR INDEX SHS FDS      | S&P EMKTSC ETF   | 78463X756 | 19    | 439.916     | SH | SOLE |
| SPDR INDEX SHS FDS      | S&P EMKTSC ETF   | 78463X756 | 904   | 20343.909   | SH | SOLE |
| SPDR INDEX SHS FDS      | S&P EMKTSC ETF   | 78463X756 | 0     | 0           | SH | SOLE |
| SPDR INDEX SHS FDS      | S&PINTL MC ETF   | 78463X764 | 11    | 410         | SH | SOLE |
| SPDR INDEX SHS FDS      | S&P INTL ETF     | 78463X772 | 112   | 2487.421    | SH | SOLE |
| SPDR INDEX SHS FDS      | S&P BRIC 40ETF   | 78463X798 | 73    | 3190        | SH | SOLE |
| SPDR INDEX SHS FDS      | MIDEAST AFRICA   | 78463X806 | 3     | 40          | SH | SOLE |
| SPDR INDEX SHS FDS      | MSCI ACWI EXUS   | 78463X848 | 116   | 3839        | SH | SOLE |
| SPDR INDEX SHS FDS      | MACQU GLBIN100   | 78463X855 | 37    | 905         | SH | SOLE |
| SPDR INDEX SHS FDS      | DJ INTL RL ETF   | 78463X863 | 254   | 6467.962    | SH | SOLE |
| SPDR INDEX SHS FDS      | S&P INTL SMLCP   | 78463X871 | 344   | 12590.132   | SH | SOLE |
| SPDR INDEX SHS FDS      | S&P WRLD EX US   | 78463X889 | 449   | 18776       | SH | SOLE |
| SPDR SERIES TRUST       | MORGAN TECH ETF  | 78464A102 | 308   | 4524.063    | SH | SOLE |
| SPDR SERIES TRUST       | BRCLY INTL CRP   | 78464A151 | 11    | 319         | SH | SOLE |
| SPDR SERIES TRUST       | S&P 600 SMCP GRW | 78464A201 | 46    | 364         | SH | SOLE |
| SPDR SERIES TRUST       | NUV HGHYLD MUN   | 78464A284 | 254   | 4400        | SH | SOLE |
| SPDR SERIES TRUST       | WELLS FG PFD ETF | 78464A292 | 10    | 218         | SH | SOLE |
| SPDR SERIES TRUST       | WELLS FG PFD ETF | 78464A292 | 411   | 9008        | SH | SOLE |
| SPDR SERIES TRUST       | WELLS FG PFD ETF | 78464A292 | 4     | 98          | SH | SOLE |
| SPDR SERIES TRUST       | S&P 600 SMCP VAL | 78464A300 | 68    | 891.996     | SH | SOLE |
| SPDR SERIES TRUST       | SHRT INTL ETF    | 78464A334 | 43    | 1171        | SH | SOLE |
| SPDR SERIES TRUST       | BRCLYS CAP CONV  | 78464A359 | 405   | 10231.842   | SH | SOLE |
| SPDR SERIES TRUST       | LNG TRM CORP BD  | 78464A367 | 10    | 242         | SH | SOLE |
| SPDR SERIES TRUST       | LNG TRM CORP BD  | 78464A367 | 399   | 9508        | SH | SOLE |
| SPDR SERIES TRUST       | LNG TRM CORP BD  | 78464A367 | 5     | 109.396     | SH | SOLE |
| SPDR SERIES TRUST       | INTR TRM CORP BD | 78464A375 | 38    | 1083.514    | SH | SOLE |
| SPDR SERIES TRUST       | BRCLY EM LOCL    | 78464A391 | 10    | 311         | SH | SOLE |
| SPDR SERIES TRUST       | BRCLY EM LOCL    | 78464A391 | 13264 | 411401.886  | SH | SOLE |
| SPDR SERIES TRUST       | BRCLY EM LOCL    | 78464A391 | 5     | 141.582     | SH | SOLE |
| SPDR SERIES TRUST       | BRCLYS YLD ETF   | 78464A417 | 166   | 4139        | SH | SOLE |
| SPDR SERIES TRUST       | BRCLYS YLD ETF   | 78464A417 | 03598 | 2576429.192 | SH | SOLE |
| SPDR SERIES TRUST       | BRCLYS YLD ETF   | 78464A417 | 0     | 0           | SH | SOLE |
| SPDR SERIES TRUST       | NUVN BR SHT MUNI | 78464A425 | 1715  | 69980.303   | SH | SOLE |



Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |       |            |    |      |
|------------------------------|------------------|-----------|-------|------------|----|------|
| SPDR SERIES TRUST            | NUVN BR SHT MUNI | 78464A425 | 294   | 12017      | SH | SOLE |
| SPDR SERIES TRUST            | NUVN CALI MUNI   | 78464A441 | 3     | 130        | SH | SOLE |
| SPDR SERIES TRUST            | NUVN BRCLY MUNI  | 78464A458 | 1018  | 41423.829  | SH | SOLE |
| SPDR SERIES TRUST            | BARC CAPTL ETF   | 78464A474 | 2733  | 88608.576  | SH | SOLE |
| SPDR SERIES TRUST            | DB INT GVT ETF   | 78464A490 | 3645  | 58824.199  | SH | SOLE |
| SPDR SERIES TRUST            | S&P 500 VALUE    | 78464A508 | 123   | 1720.969   | SH | SOLE |
| SPDR SERIES TRUST            | BRCLYS INTL ETF  | 78464A516 | 283   | 4600.332   | SH | SOLE |
| SPDR SERIES TRUST            | BRCLYS INTL ETF  | 78464A516 | 7018  | 114503.754 | SH | SOLE |
| SPDR SERIES TRUST            | DJ REIT ETF      | 78464A607 | 1541  | 21416.619  | SH | SOLE |
| SPDR SERIES TRUST            | BRCLYS AGG ETF   | 78464A649 | 299   | 5054.73    | SH | SOLE |
| SPDR SERIES TRUST            | BARCL CAP TIPS   | 78464A656 | 436   | 7205.287   | SH | SOLE |
| SPDR SERIES TRUST            | BARCL CAP TIPS   | 78464A656 | 1805  | 29750.525  | SH | SOLE |
| SPDR SERIES TRUST            | BRCLYS INTER ETF | 78464A672 | 3     | 44         | SH | SOLE |
| SPDR SERIES TRUST            | BRCLYS 1-3MT ETF | 78464A680 | 0     | 7          | SH | SOLE |
| SPDR SERIES TRUST            | BRCLYS 1-3MT ETF | 78464A680 | 1311  | 28612.022  | SH | SOLE |
| SPDR SERIES TRUST            | S&P REGL BKG     | 78464A698 | 91    | 3175       | SH | SOLE |
| SPDR SERIES TRUST            | GLB DOW ETF      | 78464A706 | 22    | 406.134    | SH | SOLE |
| SPDR SERIES TRUST            | S&P RETAIL ETF   | 78464A714 | 16    | 261        | SH | SOLE |
| SPDR SERIES TRUST            | S&P PHARMAC      | 78464A722 | 65    | 1085.998   | SH | SOLE |
| SPDR SERIES TRUST            | S&P OILGAS EXP   | 78464A730 | 615   | 11038      | SH | SOLE |
| SPDR SERIES TRUST            | OILGAS EQUIP     | 78464A748 | 48    | 1404.216   | SH | SOLE |
| SPDR SERIES TRUST            | S&P METALS MNG   | 78464A755 | 511   | 11749.153  | SH | SOLE |
| SPDR SERIES TRUST            | S&P DIVID ETF    | 78464A763 | 5138  | 88432.615  | SH | SOLE |
| SPDR SERIES TRUST            | S&P DIVID ETF    | 78464A763 | 211   | 3694       | SH | SOLE |
| SPDR SERIES TRUST            | S&P BK ETF       | 78464A797 | 299   | 12754.082  | SH | SOLE |
| SPDR SERIES TRUST            | DJ TTL MKT ETF   | 78464A805 | 27    | 249        | SH | SOLE |
| SPDR SERIES TRUST            | S&P 600 SML CAP  | 78464A813 | 341   | 4593       | SH | SOLE |
| SPDR SERIES TRUST            | S&P 400 MDCP GRW | 78464A821 | 7     | 85         | SH | SOLE |
| SPDR SERIES TRUST            | S&P BIOTECH      | 78464A870 | 142   | 1520       | SH | SOLE |
| SPDR SERIES TRUST            | S&P HOMEBUILD    | 78464A888 | 1884  | 75855.82   | SH | SOLE |
| SS&C TECHNOLOGIES HLDGS INC  | COM              | 78467J100 | 1     | 28         | SH | SOLE |
| SPDR DOW JONES INDL AVRG ETF | UT SER 1         | 78467X109 | 23259 | 173509.773 | SH | SOLE |
| SPDR S&P MIDCAP 400 ETF TR   | UTSER1 S&PDCRP   | 78467Y107 | 323   | 1823.497   | SH | SOLE |
| SPDR S&P MIDCAP 400 ETF TR   | UTSER1 S&PDCRP   | 78467Y107 | 3465  | 19258.927  | SH | SOLE |
| SPDR SER TR                  | CAP S/T HI YLD   | 78468R408 | 10    | 329.476    | SH | SOLE |
| STEC INC                     | COM              | 784774101 | 18    | 2685       | SH | SOLE |
| SVB FINL GROUP               | COM              | 78486Q101 | 7     | 121        | SH | SOLE |
| SPAR GROUP INC               | COM              | 784933103 | 0     | 200        | SH | SOLE |
| SABINE ROYALTY TR            | UNIT BEN INT     | 785688102 | 135   | 2569.966   | SH | SOLE |
| SABRA HEALTH CARE REIT INC   | COM              | 78573L106 | 203   | 10138.043  | SH | SOLE |
| SAFEWAY INC                  | COM NEW          | 786514208 | 1     | 88.828     | SH | SOLE |
| SAFEWAY INC                  | COM NEW          | 786514208 | 169   | 10491.67   | SH | SOLE |
| SAIA INC                     | COM              | 78709Y105 | 0     | 11         | SH | SOLE |
| ST JOE CO                    | COM              | 790148100 | 76    | 3915       | SH | SOLE |
| ST JUDE MED INC              | COM              | 790849103 | 945   | 22430.3    | SH | SOLE |
| SAKS INC                     | COM              | 79377W108 | 42    | 4036       | SH | SOLE |
| SALESFORCE COM INC           | COM              | 79466L302 | 201   | 1307       | SH | SOLE |
| SALESFORCE COM INC           | COM              | 79466L302 | 42    | 276        | SH | SOLE |
| SALIX PHARMACEUTICALS INC    | COM              | 795435106 | 431   | 10173      | SH | SOLE |
| SALLY BEAUTY HLDGS INC       | COM              | 79546E104 | 118   | 4712       | SH | SOLE |
| SAMSON OIL & GAS LTD         | SPON ADR         | 796043107 | 68    | 66000      | SH | SOLE |
| SAN JUAN BASIN RTY TR        | UNIT BEN INT     | 798241105 | 206   | 14527.29   | SH | SOLE |
| SANDERSON FARMS INC          | COM              | 800013104 | 4     | 96         | SH | SOLE |
| SANDISK CORP                 | COM              | 80004C101 | 2     | 45         | SH | SOLE |
| SANDISK CORP                 | COM              | 80004C101 | 115   | 2658       | SH | SOLE |
| SANDISK CORP                 | NOTE 1.000% 5/1  | 80004CAC5 | 6     | 6000       | SH | SOLE |
| SANDRIDGE PERMIAN TR         | COM UNIT BEN INT | 80007A102 | 405   | 20340.474  | SH | SOLE |
| SANDRIDGE ENERGY INC         | COM              | 80007P307 | 140   | 20044      | SH | SOLE |
| SANDRIDGE MISSISSIPPIAN TR I | UNIT BEN INT     | 80007T101 | 136   | 5528.173   | SH | SOLE |
| SANDRIDGE MISSISSIPPIAN TR I | SH BEN INT       | 80007V106 | 20    | 1000       | SH | SOLE |
| SANFILIPPO JOHN B & SON INC  | COM              | 800422107 | 1     | 64         | SH | SOLE |
| SANMINA SCI CORP             | COM NEW          | 800907206 | 1     | 66         | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |      |            |    |      |
|------------------------------|------------------|-----------|------|------------|----|------|
| SANOFI                       | SPONSORED ADR    | 80105N105 | 2    | 42.729     | SH | SOLE |
| SANOFI                       | SPONSORED ADR    | 80105N105 | 477  | 11038.304  | SH | SOLE |
| SANOFI                       | SPONSORED ADR    | 80105N105 | 0    | 0          | SH | SOLE |
| SANOFI                       | RIGHT 12/31/2020 | 80105N113 | 5    | 3235       | SH | SOLE |
| SAP AG                       | SPON ADR         | 803054204 | 189  | 2661.724   | SH | SOLE |
| SAPIENT CORP                 | COM              | 803062108 | 4    | 350        | SH | SOLE |
| SAPPI LTD                    | SPON ADR NEW     | 803069202 | 1    | 200        | SH | SOLE |
| SARATOGA INVT CORP           | COM NEW          | 80349A208 | 6    | 336        | SH | SOLE |
| SAREPTA THERAPEUTICS INC     | COM              | 803607100 | 3    | 166        | SH | SOLE |
| SASOL LTD                    | SPONSORED ADR    | 803866300 | 12   | 279.774    | SH | SOLE |
| SATCON TECHNOLOGY CORP       | COM NEW          | 803893403 | 1    | 500        | SH | SOLE |
| SAUER-DANFOSS INC            | COM              | 804137107 | 1    | 36         | SH | SOLE |
| SAUL CTRS INC                | COM              | 804395101 | 1    | 15         | SH | SOLE |
| SAVIENT PHARMACEUTICALS INC  | COM              | 80517Q100 | 0    | 50         | SH | SOLE |
| SCANA CORP NEW               | COM              | 80589M102 | 284  | 5871.711   | SH | SOLE |
| SCHEIN HENRY INC             | COM              | 806407102 | 26   | 327        | SH | SOLE |
| SCHIFF NUTRITION INTL INC    | COM              | 806693107 | 3    | 122        | SH | SOLE |
| SCHLUMBERGER LTD             | COM              | 806857108 | 20   | 278.433    | SH | SOLE |
| SCHLUMBERGER LTD             | COM              | 806857108 | 1388 | 19208.973  | SH | SOLE |
| SCHLUMBERGER LTD             | COM              | 806857108 | 0    | 0          | SH | SOLE |
| SCHOLASTIC CORP              | COM              | 807066105 | 7    | 209        | SH | SOLE |
| SCHOOL SPECIALTY INC         | COM              | 807863105 | 0    | 13         | SH | SOLE |
| SCHWAB CHARLES CORP NEW      | COM              | 808513105 | 59   | 4588       | SH | SOLE |
| SCHWAB STRATEGIC TR          | US BRD MKT ETF   | 808524102 | 45   | 1305.949   | SH | SOLE |
| SCHWAB STRATEGIC TR          | US LCAP GR ETF   | 808524300 | 69   | 1993       | SH | SOLE |
| SCHWAB STRATEGIC TR          | US LCAP VA ETF   | 808524409 | 76   | 2373.512   | SH | SOLE |
| SCHWAB STRATEGIC TR          | US SML CAP ETF   | 808524607 | 1    | 32.54      | SH | SOLE |
| SCHWAB STRATEGIC TR          | US DIVIDEND EQ   | 808524797 | 12   | 411        | SH | SOLE |
| SCHWAB STRATEGIC TR          | INTL EQTY ETF    | 808524805 | 115  | 4463.483   | SH | SOLE |
| SCHWAB STRATEGIC TR          | US AGGREGATE B   | 808524839 | 661  | 12519.337  | SH | SOLE |
| SCHWAB STRATEGIC TR          | US REIT ETF      | 808524847 | 33   | 1103.148   | SH | SOLE |
| SCICLONE PHARMACEUTICALS INC | COM              | 80862K104 | 0    | 26         | SH | SOLE |
| SCIENTIFIC GAMES CORP        | CL A             | 80874P109 | 0    | 66         | SH | SOLE |
| SCIENTIFIC GAMES CORP        | CL A             | 80874P109 | 4    | 527        | SH | SOLE |
| SCOTTS MIRACLE GRO CO        | CL A             | 810186106 | 13   | 310        | SH | SOLE |
| SCRIPPS NETWORKS INTERACT IN | CL A COM         | 811065101 | 641  | 10848      | SH | SOLE |
| SCRIPPS NETWORKS INTERACT IN | CL A COM         | 811065101 | 287  | 4672       | SH | SOLE |
| SEACHANGE INTL INC           | COM              | 811699107 | 35   | 4494       | SH | SOLE |
| SEACOR HOLDINGS INC          | COM              | 811904101 | 3    | 31         | SH | SOLE |
| SEALED AIR CORP NEW          | COM              | 81211K100 | 7    | 473        | SH | SOLE |
| SEARS HLDGS CORP             | COM              | 812350106 | 30   | 537        | SH | SOLE |
| SEARS HLDGS CORP             | RIGHT 10/08/2012 | 812350114 | 1    | 533        | SH | SOLE |
| SEATTLE GENETICS INC         | COM              | 812578102 | 70   | 2607       | SH | SOLE |
| SELECT SECTOR SPDR TR        | SBI MATERIALS    | 81369Y100 | 4868 | 132271.122 | SH | SOLE |
| SELECT SECTOR SPDR TR        | SBI HEALTHCARE   | 81369Y209 | 1350 | 33640.054  | SH | SOLE |
| SELECT SECTOR SPDR TR        | SBI CONS STPLS   | 81369Y308 | 24   | 677        | SH | SOLE |
| SELECT SECTOR SPDR TR        | SBI CONS STPLS   | 81369Y308 | 6377 | 177992.508 | SH | SOLE |
| SELECT SECTOR SPDR TR        | SBI CONS DISCR   | 81369Y407 | 4104 | 87714.661  | SH | SOLE |
| SELECT SECTOR SPDR TR        | SBI INT-ENERGY   | 81369Y506 | 9230 | 125687.854 | SH | SOLE |
| SELECT SECTOR SPDR TR        | SBI INT-FINL     | 81369Y605 | 13   | 854.031    | SH | SOLE |
| SELECT SECTOR SPDR TR        | SBI INT-FINL     | 81369Y605 | 9504 | 609621.77  | SH | SOLE |
| SELECT SECTOR SPDR TR        | SBI INT-INDS     | 81369Y704 | 4461 | 122112.214 | SH | SOLE |
| SELECT SECTOR SPDR TR        | TECHNOLOGY       | 81369Y803 | 6701 | 217381.675 | SH | SOLE |
| SELECT SECTOR SPDR TR        | SBI INT-UTILS    | 81369Y886 | 37   | 1009       | SH | SOLE |
| SELECT SECTOR SPDR TR        | SBI INT-UTILS    | 81369Y886 | 8171 | 224542.715 | SH | SOLE |
| SELECT COMFORT CORP          | COM              | 81616X103 | 13   | 419        | SH | SOLE |
| SELECT INCOME REIT           | COM SH BEN INT   | 81618T100 | 0    | 8          | SH | SOLE |
| SELECT MED HLDGS CORP        | COM              | 81619Q105 | 7    | 638        | SH | SOLE |
| SELECTIVE INS GROUP INC      | COM              | 816300107 | 8    | 442        | SH | SOLE |
| SEMGROUP CORP                | CL A             | 81663A105 | 58   | 1565       | SH | SOLE |
| SEMTECH CORP                 | COM              | 816850101 | 15   | 595        | SH | SOLE |
| SEMPRA ENERGY                | COM              | 816851109 | 1784 | 27660.163  | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                |           |      |           |    |      |
|------------------------------|----------------|-----------|------|-----------|----|------|
| SEMPRA ENERGY                | COM            | 816851109 | 0    | 0         | SH | SOLE |
| SENECO TECHNOLOGIES INC      | COM NEW        | 817208408 | 1    | 2500      | SH | SOLE |
| SENIOR HSG PPTYS TR          | SH BEN INT     | 81721M109 | 138  | 6343.769  | SH | SOLE |
| SENSIENT TECHNOLOGIES CORP   | COM            | 81725T100 | 47   | 1287.908  | SH | SOLE |
| SEQUENOM INC                 | COM NEW        | 817337405 | 8    | 2200      | SH | SOLE |
| SERVICE CORP INTL            | COM            | 817565104 | 21   | 1538      | SH | SOLE |
| SEVEN ARTS ENTMT INC         | COM            | 81783N102 | 0    | 2200      | SH | SOLE |
| SHAW GROUP INC               | COM            | 820280105 | 78   | 1781      | SH | SOLE |
| SHAW COMMUNICATIONS INC      | CL B CONV      | 82028K200 | 19   | 930       | SH | SOLE |
| SHENGKAI INNOVATIONS INC     | COM NEW        | 82321P203 | 22   | 30000     | SH | SOLE |
| SHERWIN WILLIAMS CO          | COM            | 824348106 | 655  | 4580.153  | SH | SOLE |
| SHERWIN WILLIAMS CO          | COM            | 824348106 | 794  | 5327.887  | SH | SOLE |
| SHINER INTL INC              | COM            | 824568109 | 0    | 500       | SH | SOLE |
| SHINHAN FINANCIAL GROUP CO L | SPN ADR RESTRD | 824596100 | 29   | 433       | SH | SOLE |
| SHIRE PLC                    | SPONSORED ADR  | 82481R106 | 107  | 1203      | SH | SOLE |
| SHORETEL INC                 | COM            | 825211105 | 1    | 150       | SH | SOLE |
| SHUFFLE MASTER INC           | COM            | 825549108 | 1    | 75        | SH | SOLE |
| SIEMENS A G                  | SPONSORED ADR  | 826197501 | 764  | 7620.959  | SH | SOLE |
| SIEMENS A G                  | SPONSORED ADR  | 826197501 | 0    | 0         | SH | SOLE |
| SIGMA ALDRICH CORP           | COM            | 826552101 | 83   | 1153.508  | SH | SOLE |
| SIGNATURE BK NEW YORK N Y    | COM            | 82669G104 | 53   | 784       | SH | SOLE |
| SIGA TECHNOLOGIES INC        | COM            | 826917106 | 0    | 50        | SH | SOLE |
| SILICON LABORATORIES INC     | COM            | 826919102 | 25   | 692       | SH | SOLE |
| SILGAN HOLDINGS INC          | COM            | 827048109 | 48   | 1104      | SH | SOLE |
| SILICON IMAGE INC            | COM            | 82705T102 | 5    | 1000      | SH | SOLE |
| SILICON MOTION TECHNOLOGY CO | SPONSORED ADR  | 82706C108 | 4    | 262       | SH | SOLE |
| SILICON GRAPHICS INTL CORP   | COM            | 82706L108 | 4    | 434       | SH | SOLE |
| SILICONWARE PRECISION INDS L | SPONSD ADR SPL | 827084864 | 4    | 714       | SH | SOLE |
| SILVER STD RES INC           | COM            | 82823L106 | 13   | 800       | SH | SOLE |
| SILVER WHEATON CORP          | COM            | 828336107 | 1057 | 26622.094 | SH | SOLE |
| SILVERCORP METALS INC        | COM            | 82835P103 | 243  | 37460.304 | SH | SOLE |
| SIMON PPTY GROUP INC NEW     | COM            | 828806109 | 1237 | 7792.51   | SH | SOLE |
| SIMON PPTY GROUP INC NEW     | COM            | 828806109 | 470  | 3096.193  | SH | SOLE |
| SIMON PPTY GROUP INC NEW     | COM            | 828806109 | 0    | 0         | SH | SOLE |
| SIMPSON MANUFACTURING CO INC | COM            | 829073105 | 6    | 210.944   | SH | SOLE |
| SIMS METAL MANAGEMENT LTD    | SPONS ADR      | 829160100 | 2    | 207       | SH | SOLE |
| SINCLAIR BROADCAST GROUP INC | CL A           | 829226109 | 49   | 4352      | SH | SOLE |
| SINOPEC SHANGHAI PETROCHEMIC | SPON ADR H     | 82935M109 | 337  | 12919.476 | SH | SOLE |
| SIRONA DENTAL SYSTEMS INC    | COM            | 82966C103 | 29   | 504       | SH | SOLE |
| SIRIUS XM RADIO INC          | COM            | 82967N108 | 641  | 247250    | SH | SOLE |
| SKECHERS U S A INC           | CL A           | 830566105 | 4    | 200       | SH | SOLE |
| SKYLINE CORP                 | COM            | 830830105 | 16   | 3000      | SH | SOLE |
| SKULLCANDY INC               | COM            | 83083J104 | 7    | 500       | SH | SOLE |
| SKYWEST INC                  | COM            | 830879102 | 7    | 670       | SH | SOLE |
| SKYWORKS SOLUTIONS INC       | COM            | 83088M102 | 129  | 5484      | SH | SOLE |
| SMITH & WESSON HLDG CORP     | COM            | 831756101 | 17   | 1567      | SH | SOLE |
| SMITH & NEPHEW PLC           | SPDN ADR NEW   | 83175M205 | 51   | 917.314   | SH | SOLE |
| SMITH & NEPHEW PLC           | SPDN ADR NEW   | 83175M205 | 0    | 0         | SH | SOLE |
| SMITH A O                    | COM            | 831865209 | 408  | 7466.163  | SH | SOLE |
| SMITH A O                    | COM            | 831865209 | 1    | 26        | SH | SOLE |
| SMITHFIELD FOODS INC         | COM            | 832248108 | 12   | 592       | SH | SOLE |
| SMUCKER J M CO               | COM NEW        | 832696405 | 34   | 400       | SH | SOLE |
| SMUCKER J M CO               | COM NEW        | 832696405 | 346  | 4005.045  | SH | SOLE |
| SNAP ON INC                  | COM            | 833034101 | 27   | 375.793   | SH | SOLE |
| SNYDERS-LANCE INC            | COM            | 833551104 | 32   | 1300      | SH | SOLE |
| SOCIEDAD QUIMICA MINERA DE C | SPON ADR SER B | 833635105 | 103  | 1669.48   | SH | SOLE |
| SOHU COM INC                 | COM            | 83408W103 | 21   | 500       | SH | SOLE |
| SOLAR CAP LTD                | COM            | 83413U100 | 73   | 3180      | SH | SOLE |
| SOLAR CAP LTD                | COM            | 83413U100 | 570  | 24860     | SH | SOLE |
| SOLAZYME INC                 | COM            | 83415T101 | 26   | 2250      | SH | SOLE |
| SOLARWINDS INC               | COM            | 83416B109 | 159  | 2845      | SH | SOLE |
| SOLAR SR CAP LTD             | COM            | 83416M105 | 81   | 4500      | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |               |           |      |            |    |      |
|------------------------------|---------------|-----------|------|------------|----|------|
| SOLTA MED INC                | COM           | 83438K103 | 5    | 1660       | SH | SOLE |
| SONIC CORP                   | COM           | 835451105 | 6    | 605        | SH | SOLE |
| SONIC FDRY INC               | COM NEW       | 83545R207 | 1    | 115        | SH | SOLE |
| SONOCO PRODS CO              | COM           | 835495102 | 18   | 570.108    | SH | SOLE |
| SONY CORP                    | ADR NEW       | 835699307 | 12   | 1000       | SH | SOLE |
| SOTHEBYS                     | COM           | 835898107 | 50   | 1579       | SH | SOLE |
| SONUS NETWORKS INC           | COM           | 835916107 | 0    | 1          | SH | SOLE |
| SOURCE CAP INC               | COM           | 836144105 | 51   | 980.274    | SH | SOLE |
| SOURCEFIRE INC               | COM           | 83616T108 | 4    | 93         | SH | SOLE |
| SOUTH JERSEY INDS INC        | COM           | 838518108 | 26   | 500        | SH | SOLE |
| SOUTHERN CO                  | COM           | 842587107 | 6176 | 134001.373 | SH | SOLE |
| SOUTHERN COPPER CORP         | COM           | 84265V105 | 957  | 27851.604  | SH | SOLE |
| SOUTHWEST AIRLS CO           | COM           | 844741108 | 18   | 2025       | SH | SOLE |
| SOUTHWEST AIRLS CO           | COM           | 844741108 | 113  | 12871.625  | SH | SOLE |
| SOUTHWEST GAS CORP           | COM           | 844895102 | 63   | 1424       | SH | SOLE |
| SOUTHWESTERN ENERGY CO       | COM           | 845467109 | 224  | 6440       | SH | SOLE |
| SOVRAN SELF STORAGE INC      | COM           | 84610H108 | 72   | 1241       | SH | SOLE |
| SPARTAN STORES INC           | COM           | 846822104 | 2    | 140        | SH | SOLE |
| SPARTECH CORP                | COM NEW       | 847220209 | 1    | 214        | SH | SOLE |
| SPARTON CORP                 | COM           | 847235108 | 0    | 7          | SH | SOLE |
| SPECIAL OPPORTUNITIES FD INC | COM           | 84741T104 | 12   | 797.212    | SH | SOLE |
| SPECTRA ENERGY CORP          | COM           | 847560109 | 9    | 303.249    | SH | SOLE |
| SPECTRA ENERGY CORP          | COM           | 847560109 | 762  | 25955.772  | SH | SOLE |
| SPECTRA ENERGY PARTNERS LP   | COM           | 84756N109 | 26   | 804        | SH | SOLE |
| SPECTRUM PHARMACEUTICALS INC | COM           | 84763A108 | 25   | 2153       | SH | SOLE |
| SPECTRUM BRANDS HLDGS INC    | COM           | 84763R101 | 23   | 577        | SH | SOLE |
| SPEEDWAY MOTORSPORTS INC     | COM           | 847788106 | 39   | 2500       | SH | SOLE |
| SPIRIT AEROSYSTEMS HLDGS INC | COM CL A      | 848574109 | 23   | 1016       | SH | SOLE |
| SPREADTRUM COMMUNICATIONS IN | ADR           | 849415203 | 20   | 955.373    | SH | SOLE |
| SPRINT NEXTEL CORP           | COM SER 1     | 852061100 | 100  | 20606      | SH | SOLE |
| SPRINT NEXTEL CORP           | COM SER 1     | 852061100 | 142  | 25752      | SH | SOLE |
| SPRINT NEXTEL CORP           | COM SER 1     | 852061100 | 0    | 1          | SH | SOLE |
| SPROTT PHYSICAL GOLD TRUST   | UNIT          | 85207H104 | 2853 | 187730     | SH | SOLE |
| SPROTT PHYSICAL SILVER TR    | TR UNIT       | 85207K107 | 208  | 14692      | SH | SOLE |
| STAGE STORES INC             | COM NEW       | 85254C305 | 2    | 101        | SH | SOLE |
| STANCORP FINL GROUP INC      | COM           | 852891100 | 1    | 34         | SH | SOLE |
| STANDARD PAC CORP NEW        | COM           | 85375C101 | 316  | 46747      | SH | SOLE |
| STANDARD REGISTER CO         | COM           | 853887107 | 10   | 13400      | SH | SOLE |
| STANDEX INTL CORP            | COM           | 854231107 | 0    | 3          | SH | SOLE |
| STANLEY BLACK & DECKER INC   | COM           | 854502101 | 100  | 1314       | SH | SOLE |
| STANTEC INC                  | COM           | 85472N109 | 5    | 135        | SH | SOLE |
| STAPLES INC                  | COM           | 855030102 | 1    | 134.834    | SH | SOLE |
| STAPLES INC                  | COM           | 855030102 | 769  | 66742.657  | SH | SOLE |
| STAR SCIENTIFIC INC          | COM           | 85517P101 | 356  | 103000     | SH | SOLE |
| STARBUCKS CORP               | COM           | 855244109 | 21   | 418        | SH | SOLE |
| STARBUCKS CORP               | COM           | 855244109 | 2025 | 39940.344  | SH | SOLE |
| STARWOOD PPTY TR INC         | COM           | 85571B105 | 31   | 1325       | SH | SOLE |
| STARWOOD HOTELS&RESORTS WRLD | COM           | 85590A401 | 2    | 26         | SH | SOLE |
| STARWOOD HOTELS&RESORTS WRLD | COM           | 85590A401 | 76   | 1315.02    | SH | SOLE |
| STATE STR CORP               | COM           | 857477103 | 313  | 7449.845   | SH | SOLE |
| STATOIL ASA                  | SPONSORED ADR | 85771P102 | 176  | 6829.994   | SH | SOLE |
| STEEL DYNAMICS INC           | COM           | 858119100 | 85   | 7579       | SH | SOLE |
| STEELCASE INC                | CL A          | 858155203 | 4    | 378.394    | SH | SOLE |
| STEMCELLS INC                | COM NEW       | 85857R204 | 4    | 2090       | SH | SOLE |
| STERICYCLE INC               | COM           | 858912108 | 188  | 2068       | SH | SOLE |
| STERIS CORP                  | COM           | 859152100 | 49   | 1368.598   | SH | SOLE |
| STERLING FINL CORP WASH      | COM NEW       | 859319303 | 7    | 309        | SH | SOLE |
| STERLITE INDS INDIA LTD      | ADS           | 859737207 | 11   | 1422       | SH | SOLE |
| STEWARDSHIP FINL CORP        | COM           | 860326107 | 5    | 1302.105   | SH | SOLE |
| STEWART INFORMATION SVCS COR | COM           | 860372101 | 18   | 879        | SH | SOLE |
| STIFEL FINL CORP             | COM           | 860630102 | 10   | 309        | SH | SOLE |
| STILLWATER MNG CO            | COM           | 86074Q102 | 65   | 5493       | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                 |           |     |           |    |      |
|------------------------------|-----------------|-----------|-----|-----------|----|------|
| STMICROELECTRONICS N V       | NY REGISTRY     | 861012102 | 11  | 1999      | SH | SOLE |
| STMICROELECTRONICS N V       | NY REGISTRY     | 861012102 | 0   | 0         | SH | SOLE |
| STONEMOR PARTNERS L P        | COM UNITS       | 86183Q100 | 17  | 742       | SH | SOLE |
| STRATASYS INC                | COM             | 862685104 | 68  | 1243      | SH | SOLE |
| STRATEGIC HOTELS & RESORTS I | COM             | 86272T106 | 1   | 177       | SH | SOLE |
| STRYKER CORP                 | COM             | 863667101 | 40  | 750       | SH | SOLE |
| STRYKER CORP                 | COM             | 863667101 | 341 | 6128.597  | SH | SOLE |
| STURM RUGER & CO INC         | COM             | 864159108 | 49  | 1000      | SH | SOLE |
| SUBURBAN PROPANE PARTNERS L  | UNIT LTD PARTN  | 864482104 | 323 | 7814.591  | SH | SOLE |
| SUMITOMO MITSUI FINL GROUP I | SPONSORED ADR   | 86562M209 | 11  | 1793      | SH | SOLE |
| SUN COMMUNITIES INC          | COM             | 866674104 | 5   | 113       | SH | SOLE |
| SUN COMMUNITIES INC          | COM             | 866674104 | 15  | 346       | SH | SOLE |
| SUN LIFE FINL INC            | COM             | 866796105 | 35  | 1527.945  | SH | SOLE |
| SUN LIFE FINL INC            | COM             | 866796105 | 0   | 0         | SH | SOLE |
| SUN HYDRAULICS CORP          | COM             | 866942105 | 19  | 683.967   | SH | SOLE |
| SUNCOR ENERGY INC NEW        | COM             | 867224107 | 613 | 18650.149 | SH | SOLE |
| SUNCOKE ENERGY INC           | COM             | 86722A103 | 9   | 538       | SH | SOLE |
| SUNESIS PHARMACEUTICALS INC  | COM NEW         | 867328601 | 3   | 500       | SH | SOLE |
| SUNOCO LOGISTICS PRTRNS L P  | COM UNITS       | 86764L108 | 189 | 4040.802  | SH | SOLE |
| SUNOCO INC                   | COM             | 86764P109 | 45  | 964       | SH | SOLE |
| SUNPOWER CORP                | COM             | 867652406 | 26  | 5822      | SH | SOLE |
| SUNRISE SENIOR LIVING INC    | COM             | 86768K106 | 20  | 1400      | SH | SOLE |
| SUNOPTA INC                  | COM             | 8676EP108 | 0   | 1         | SH | SOLE |
| SUNTRUST BKS INC             | COM             | 867914103 | 40  | 1582      | SH | SOLE |
| SUNTRUST BKS INC             | COM             | 867914103 | 100 | 3513.097  | SH | SOLE |
| SUNTECH PWR HLDGS CO LTD     | ADR             | 86800C104 | 30  | 34995     | SH | SOLE |
| SUNTECH PWR HLDGS CO LTD     | NOTE 3.000% 3/1 | 86800CAE4 | 2   | 4000      | SH | SOLE |
| SUNTECH PWR HLDGS CO LTD     | NOTE 3.000% 3/1 | 86800CAE4 | 5   | 11000     | SH | SOLE |
| SUPER MICRO COMPUTER INC     | COM             | 86800U104 | 27  | 2250      | SH | SOLE |
| SUPERIOR ENERGY SVCS INC     | COM             | 868157108 | 9   | 459       | SH | SOLE |
| SUPERTEL HOSPITALITY INC MD  | COM             | 868526104 | 0   | 130       | SH | SOLE |
| SUPERVALU INC                | COM             | 868536103 | 8   | 3344      | SH | SOLE |
| SURMODICS INC                | COM             | 868873100 | 5   | 245       | SH | SOLE |
| SUSQUEHANNA BANCSHARES INC P | COM             | 869099101 | 6   | 537       | SH | SOLE |
| SWEDISH EXPT CR CORP         | MLCX GRN ETN23  | 870297215 | 3   | 400       | SH | SOLE |
| SWEDISH EXPT CR CORP         | RG METAL ETN22  | 870297405 | 15  | 1350      | SH | SOLE |
| SWEDISH EXPT CR CORP         | ROG AGRI ETN22  | 870297603 | 72  | 7470      | SH | SOLE |
| SWEDISH EXPT CR CORP         | ROG TTL ETN 22  | 870297801 | 195 | 21911     | SH | SOLE |
| SWEDISH EXPT CR CORP         | ROG TTL ETN 22  | 870297801 | 941 | 105595    | SH | SOLE |
| SWIFT ENERGY CO              | COM             | 870738101 | 13  | 640       | SH | SOLE |
| SWIFT TRANSN CO              | CL A            | 87074U101 | 9   | 1100      | SH | SOLE |
| SWISHER HYGIENE INC          | COM             | 870808102 | 1   | 500       | SH | SOLE |
| SYCAMORE NETWORKS INC        | COM NEW         | 871206405 | 1   | 71        | SH | SOLE |
| SYMANTEC CORP                | COM             | 871503108 | 113 | 6288      | SH | SOLE |
| SYMANTEC CORP                | COM             | 871503108 | 0   | 0         | SH | SOLE |
| SYMETRA FINL CORP            | COM             | 87151Q106 | 4   | 339       | SH | SOLE |
| SYMMETRICOM INC              | COM             | 871543104 | 3   | 414       | SH | SOLE |
| SYNALLOY CP DEL              | COM             | 871565107 | 0   | 15        | SH | SOLE |
| SYNCHRONOSS TECHNOLOGIES INC | COM             | 87157B103 | 1   | 21        | SH | SOLE |
| SYNOPSIS INC                 | COM             | 871607107 | 30  | 928       | SH | SOLE |
| SYNGENTA AG                  | SPONSORED ADR   | 87160A100 | 94  | 1251.093  | SH | SOLE |
| SYNGENTA AG                  | SPONSORED ADR   | 87160A100 | 0   | 0         | SH | SOLE |
| SYNOVUS FINL CORP            | COM             | 87161C105 | 35  | 16796     | SH | SOLE |
| SYNOVUS FINL CORP            | COM             | 87161C105 | 2   | 990       | SH | SOLE |
| SYNTEL INC                   | COM             | 87162H103 | 5   | 86        | SH | SOLE |
| SYNNEX CORP                  | COM             | 87162W100 | 2   | 59        | SH | SOLE |
| SYNERGY RES CORP             | COM             | 87164P103 | 187 | 44857     | SH | SOLE |
| SYSCO CORP                   | COM             | 871829107 | 55  | 1744      | SH | SOLE |
| SYSCO CORP                   | COM             | 871829107 | 818 | 26132.675 | SH | SOLE |
| TCF FINL CORP                | COM             | 872275102 | 30  | 2534      | SH | SOLE |
| TC PIPELINES LP              | UT COM LTD PRT  | 87233Q108 | 32  | 700       | SH | SOLE |
| TCW STRATEGIC INCOME FUND IN | COM             | 872340104 | 144 | 25281.133 | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                |           |      |           |    |      |
|------------------------------|----------------|-----------|------|-----------|----|------|
| TD AMERITRADE HLDG CORP      | COM            | 87236Y108 | 87   | 5688      | SH | SOLE |
| TECO ENERGY INC              | COM            | 872375100 | 111  | 6263.862  | SH | SOLE |
| TESSCO TECHNOLOGIES INC      | COM            | 872386107 | 4    | 198       | SH | SOLE |
| TCP CAP CORP                 | COM            | 87238Q103 | 1    | 59        | SH | SOLE |
| TFS FINL CORP                | COM            | 87240R107 | 43   | 4758      | SH | SOLE |
| THQ INC                      | COM PAR \$.01  | 872443601 | 0    | 90        | SH | SOLE |
| TICC CAPITAL CORP            | COM            | 87244T109 | 179  | 17194     | SH | SOLE |
| TJX COS INC NEW              | COM            | 872540109 | 615  | 13438.565 | SH | SOLE |
| TJX COS INC NEW              | COM            | 872540109 | 527  | 11755.788 | SH | SOLE |
| TJX COS INC NEW              | COM            | 872540109 | 34   | 738.047   | SH | SOLE |
| TRW AUTOMOTIVE HLDGS CORP    | COM            | 87264S106 | 11   | 230       | SH | SOLE |
| TNS INC                      | COM            | 872960109 | 3    | 202       | SH | SOLE |
| TTM TECHNOLOGIES INC         | COM            | 87305R109 | 0    | 10        | SH | SOLE |
| TW TELECOM INC               | COM            | 87311L104 | 79   | 3008      | SH | SOLE |
| TAHOE RES INC                | COM            | 873868103 | 27   | 1333      | SH | SOLE |
| TAIWAN SEMICONDUCTOR MFG LTD | SPONSORED ADR  | 874039100 | 78   | 4944.778  | SH | SOLE |
| TAL INTL GROUP INC           | COM            | 874083108 | 36   | 1050      | SH | SOLE |
| TALISMAN ENERGY INC          | COM            | 87425E103 | 18   | 1382      | SH | SOLE |
| TANDY LEATHER FACTORY INC    | COM            | 87538X105 | 5    | 1000      | SH | SOLE |
| TANGER FACTORY OUTLET CTRS I | COM            | 875465106 | 30   | 941       | SH | SOLE |
| TARGET CORP                  | COM            | 87612E106 | 1609 | 25362.703 | SH | SOLE |
| TASEKO MINES LTD             | COM            | 876511106 | 77   | 23200     | SH | SOLE |
| TASER INTL INC               | COM            | 87651B104 | 15   | 2428      | SH | SOLE |
| TATA COMMUNICATIONS LTD      | SPONS ADR      | 876564105 | 1    | 100       | SH | SOLE |
| TATA MTRS LTD                | SPONSORED ADR  | 876568502 | 69   | 2686      | SH | SOLE |
| TAUBMAN CTRS INC             | COM            | 876664103 | 3    | 44        | SH | SOLE |
| TAYLOR DEVICES INC           | COM            | 877163105 | 4    | 498       | SH | SOLE |
| TEAM INC                     | COM            | 878155100 | 51   | 1600      | SH | SOLE |
| TEAM HEALTH HOLDINGS INC     | COM            | 87817A107 | 94   | 3454      | SH | SOLE |
| TECH DATA CORP               | COM            | 878237106 | 15   | 334       | SH | SOLE |
| TECH DATA CORP               | COM            | 878237106 | 13   | 296       | SH | SOLE |
| TECHNE CORP                  | COM            | 878377100 | 38   | 523       | SH | SOLE |
| TECK RESOURCES LTD           | CL B           | 878742204 | 54   | 1826.173  | SH | SOLE |
| TEJON RANCH CO               | COM            | 879080109 | 17   | 578       | SH | SOLE |
| TELECOM ARGENTINA S A        | SPON ADR REP B | 879273209 | 363  | 36281.867 | SH | SOLE |
| TELECOM CORP NEW ZEALAND LTD | SPONSORED ADR  | 879278208 | 61   | 6206.638  | SH | SOLE |
| TELECOM ITALIA S P A NEW     | SPON ADR ORD   | 87927Y102 | 5    | 479       | SH | SOLE |
| TELECOM ITALIA S P A NEW     | SPON ADR SVGS  | 87927Y201 | 2    | 180       | SH | SOLE |
| TELEDYNE TECHNOLOGIES INC    | COM            | 879360105 | 10   | 150       | SH | SOLE |
| TELEFLEX INC                 | COM            | 879369106 | 40   | 577       | SH | SOLE |
| TELEFONICA BRASIL SA         | SPONSORED ADR  | 87936R106 | 17   | 775.678   | SH | SOLE |
| TELEFONICA S A               | SPONSORED ADR  | 879382208 | 607  | 45740.769 | SH | SOLE |
| TELEFONICA S A               | SPONSORED ADR  | 879382208 | 0    | 2         | SH | SOLE |
| TELEPHONE & DATA SYS INC     | COM NEW        | 879433829 | 30   | 1165.136  | SH | SOLE |
| TELESTONE TECHNOLOGIES CORP  | COM            | 87953J102 | 6    | 3050      | SH | SOLE |
| TELIK INC                    | COM NEW        | 87959M208 | 1    | 382       | SH | SOLE |
| TELLABS INC                  | COM            | 879664100 | 4    | 1250      | SH | SOLE |
| TELULAR CORP                 | COM NEW        | 87970T208 | 1    | 125       | SH | SOLE |
| TELUS CORP                   | NON-VTG SHS    | 87971M202 | 746  | 11937.071 | SH | SOLE |
| TEMPLETON DRAGON FD INC      | COM            | 88018T101 | 171  | 6673.224  | SH | SOLE |
| TEMPLETON EMERGING MKTS FD I | COM            | 880191101 | 144  | 7825.626  | SH | SOLE |
| TEMPLETON EMERG MKTS INCOME  | COM            | 880192109 | 206  | 12139     | SH | SOLE |
| TEMPLETON GLOBAL INCOME FD   | COM            | 880198106 | 210  | 21897.327 | SH | SOLE |
| TEMPUR PEDIC INTL INC        | COM            | 88023U101 | 5    | 152       | SH | SOLE |
| TENARIS S A                  | SPONSORED ADR  | 88031M109 | 18   | 434.429   | SH | SOLE |
| TENET HEALTHCARE CORP        | COM            | 88033G100 | 2    | 250       | SH | SOLE |
| TENNECO INC                  | COM            | 880349105 | 22   | 775       | SH | SOLE |
| TERADATA CORP DEL            | COM            | 88076W103 | 15   | 200       | SH | SOLE |
| TERADATA CORP DEL            | COM            | 88076W103 | 247  | 3275      | SH | SOLE |
| TERADYNE INC                 | COM            | 880770102 | 9    | 622       | SH | SOLE |
| TEREX CORP NEW               | COM            | 880779103 | 26   | 1153      | SH | SOLE |
| TERRA NITROGEN CO L P        | COM UNIT       | 881005201 | 76   | 351.764   | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |               |           |      |           |    |      |
|------------------------------|---------------|-----------|------|-----------|----|------|
| TESCO CORP                   | COM           | 88157K101 | 4    | 375       | SH | SOLE |
| TESORO CORP                  | COM           | 881609101 | 1299 | 30994.596 | SH | SOLE |
| TESLA MTRS INC               | COM           | 88160R101 | 66   | 2271      | SH | SOLE |
| TEVA PHARMACEUTICAL INDS LTD | ADR           | 881624209 | 41   | 1000      | SH | SOLE |
| TEVA PHARMACEUTICAL INDS LTD | ADR           | 881624209 | 944  | 22803.469 | SH | SOLE |
| TEVA PHARMACEUTICAL INDS LTD | ADR           | 881624209 | 9    | 219.179   | SH | SOLE |
| TETRA TECHNOLOGIES INC DEL   | COM           | 88162F105 | 1    | 90        | SH | SOLE |
| TETRA TECH INC NEW           | COM           | 88162G103 | 38   | 1442      | SH | SOLE |
| TESSERA TECHNOLOGIES INC     | COM           | 88164L100 | 3    | 200       | SH | SOLE |
| TEXAS CAPITAL BANCSHARES INC | COM           | 88224Q107 | 30   | 600       | SH | SOLE |
| TEXAS INDS INC               | COM           | 882491103 | 12   | 300       | SH | SOLE |
| TEXAS INSTRS INC             | COM           | 882508104 | 343  | 12454.253 | SH | SOLE |
| TEXAS ROADHOUSE INC          | COM           | 882681109 | 19   | 1088.163  | SH | SOLE |
| TEXTRON INC                  | COM           | 883203101 | 435  | 16624.063 | SH | SOLE |
| TEXTRON INC                  | COM           | 883203101 | 28   | 1066.428  | SH | SOLE |
| THERAGENICS CORP             | COM           | 883375107 | 0    | 200       | SH | SOLE |
| THERMO FISHER SCIENTIFIC INC | COM           | 883556102 | 343  | 5831.098  | SH | SOLE |
| THESTREET INC                | COM           | 88368Q103 | 63   | 41300     | SH | SOLE |
| THOMSON REUTERS CORP         | COM           | 884903105 | 32   | 1105.759  | SH | SOLE |
| THOR INDS INC                | COM           | 885160101 | 15   | 416       | SH | SOLE |
| THORATEC CORP                | COM NEW       | 885175307 | 13   | 384       | SH | SOLE |
| 3-D SYS CORP DEL             | COM NEW       | 88554D205 | 33   | 1000      | SH | SOLE |
| 3SBIO INC                    | SPONSORED ADR | 88575Y105 | 26   | 2000      | SH | SOLE |
| 3M CO                        | COM           | 88579Y101 | 6579 | 71181.396 | SH | SOLE |
| THRESHOLD PHARMACEUTICAL INC | COM NEW       | 885807206 | 3    | 400       | SH | SOLE |
| TIBCO SOFTWARE INC           | COM           | 88632Q103 | 83   | 2776      | SH | SOLE |
| TIDEWATER INC                | COM           | 886423102 | 14   | 294       | SH | SOLE |
| TIFFANY & CO NEW             | COM           | 886547108 | 223  | 3598.555  | SH | SOLE |
| TIFFANY & CO NEW             | COM           | 886547108 | 0    | 0         | SH | SOLE |
| TIM HORTONS INC              | COM           | 88706M103 | 137  | 2637.031  | SH | SOLE |
| TIM PARTICIPACOES S A        | SPONSORED ADR | 88706P205 | 5    | 265       | SH | SOLE |
| TIME WARNER INC              | COM NEW       | 887317303 | 5    | 111.988   | SH | SOLE |
| TIME WARNER INC              | COM NEW       | 887317303 | 526  | 11597.514 | SH | SOLE |
| TIME WARNER INC              | COM NEW       | 887317303 | 22   | 475       | SH | SOLE |
| TIME WARNER CABLE INC        | COM           | 88732J207 | 2    | 27.996    | SH | SOLE |
| TIME WARNER CABLE INC        | COM           | 88732J207 | 266  | 2777.203  | SH | SOLE |
| TIME WARNER CABLE INC        | COM           | 88732J207 | 0    | 0         | SH | SOLE |
| TIMKEN CO                    | COM           | 887389104 | 22   | 578.621   | SH | SOLE |
| TIMKEN CO                    | COM           | 887389104 | 0    | 0.745     | SH | SOLE |
| TITAN INTL INC ILL           | COM           | 88830M102 | 18   | 1000      | SH | SOLE |
| TITANIUM METALS CORP         | COM NEW       | 888339207 | 183  | 14307.017 | SH | SOLE |
| TOLL BROTHERS INC            | COM           | 889478103 | 21   | 616       | SH | SOLE |
| TORCHMARK CORP               | COM           | 891027104 | 563  | 10972     | SH | SOLE |
| TORCHMARK CORP               | COM           | 891027104 | 0    | 0.915     | SH | SOLE |
| TORO CO                      | COM           | 891092108 | 32   | 805       | SH | SOLE |
| TORONTO DOMINION BK ONT      | COM NEW       | 891160509 | 287  | 3446.511  | SH | SOLE |
| TORONTO DOMINION BK ONT      | COM NEW       | 891160509 | 0    | 0         | SH | SOLE |
| TORTOISE ENERGY INFRSTRCTR C | COM           | 89147L100 | 21   | 519       | SH | SOLE |
| TORTOISE ENERGY INFRSTRCTR C | COM           | 89147L100 | 70   | 1718      | SH | SOLE |
| TORTOISE ENERGY INFRSTRCTR C | COM           | 89147L100 | 546  | 13479     | SH | SOLE |
| TORTOISE CAP RES CORP        | COM           | 89147N304 | 18   | 2048.307  | SH | SOLE |
| TORTOISE NORTH AMRN ENRGY CO | COM           | 89147T103 | 60   | 2300      | SH | SOLE |
| TORTOISE ENERGY CAP CORP     | COM           | 89147U100 | 1    | 45        | SH | SOLE |
| TORTOISE PWR & ENERGY INFRAS | COM           | 89147X104 | 26   | 1000      | SH | SOLE |
| TORTOISE PWR & ENERGY INFRAS | COM           | 89147X104 | 265  | 10275     | SH | SOLE |
| TORTOISE MLP FD INC          | COM           | 89148B101 | 166  | 6546      | SH | SOLE |
| TORTOISE PIPELINE & ENERGY F | COM           | 89148H108 | 13   | 517.002   | SH | SOLE |
| TOTAL S A                    | SPONSORED ADR | 89151E109 | 1448 | 28917.391 | SH | SOLE |
| TOWER GROUP INC              | COM           | 891777104 | 3    | 149       | SH | SOLE |
| TOWERS WATSON & CO           | CL A          | 891894107 | 280  | 5276      | SH | SOLE |
| TOTAL SYS SVCS INC           | COM           | 891906109 | 572  | 24150.674 | SH | SOLE |
| TOWN SPORTS INTL HLDGS INC   | COM           | 89214A102 | 0    | 13        | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                 |           |      |           |    |      |
|------------------------------|-----------------|-----------|------|-----------|----|------|
| TOYOTA MOTOR CORP            | SP ADR REP2COM  | 892331307 | 242  | 3103.477  | SH | SOLE |
| TRACTOR SUPPLY CO            | COM             | 892356106 | 4    | 46        | SH | SOLE |
| TRACTOR SUPPLY CO            | COM             | 892356106 | 132  | 1337.054  | SH | SOLE |
| TRANSAMERICA INCOME SHS INC  | COM             | 893506105 | 3    | 100       | SH | SOLE |
| TRANSCANADA CORP             | COM             | 89353D107 | 591  | 12989.527 | SH | SOLE |
| TRANSCEPT PHARMACEUTICALS IN | COM             | 89354M106 | 9    | 1635      | SH | SOLE |
| TRANSDIGM GROUP INC          | COM             | 893641100 | 18   | 125       | SH | SOLE |
| TRANSGLOBE ENERGY CORP       | COM             | 893662106 | 23   | 2145      | SH | SOLE |
| TRANSMONTAIGNE PARTNERS L P  | COM UNIT L P    | 89376V100 | 12   | 303       | SH | SOLE |
| TRAVELCENTERS OF AMERICA LLC | COM             | 894174101 | 0    | 67        | SH | SOLE |
| TRAVELERS COMPANIES INC      | COM             | 89417E109 | 849  | 12431.333 | SH | SOLE |
| TRAVELZOO INC                | COM             | 89421Q106 | 26   | 1106      | SH | SOLE |
| TREE COM INC                 | COM             | 894675107 | 0    | 9         | SH | SOLE |
| TREEHOUSE FOODS INC          | COM             | 89469A104 | 22   | 419       | SH | SOLE |
| TREX CO INC                  | COM             | 89531P105 | 12   | 346       | SH | SOLE |
| TRI CONTL CORP               | COM             | 895436103 | 5    | 324       | SH | SOLE |
| TRI VY CORP                  | COM             | 895735108 | 0    | 1900      | SH | SOLE |
| TRIANGLE CAP CORP            | COM             | 895848109 | 83   | 3229      | SH | SOLE |
| TRIANGLE PETE CORP           | COM NEW         | 89600B201 | 10   | 1350      | SH | SOLE |
| TRICO BANCSHARES             | COM             | 896095106 | 118  | 7145      | SH | SOLE |
| TRIMAS CORP                  | COM NEW         | 896215209 | 1    | 37        | SH | SOLE |
| TRIMBLE NAVIGATION LTD       | COM             | 896239100 | 100  | 2096      | SH | SOLE |
| TRINA SOLAR LIMITED          | SPON ADR        | 89628E104 | 5    | 1000      | SH | SOLE |
| TRINITY BIOTECH PLC          | SPON ADR NEW    | 896438306 | 21   | 1705      | SH | SOLE |
| TRINITY INDS INC             | COM             | 896522109 | 29   | 954       | SH | SOLE |
| TRIQUINT SEMICONDUCTOR INC   | COM             | 89674K103 | 51   | 10090     | SH | SOLE |
| TRIUMPH GROUP INC NEW        | COM             | 896818101 | 23   | 361       | SH | SOLE |
| TRIU THERAPEUTICS INC        | COM             | 89685K100 | 6    | 1000      | SH | SOLE |
| TRIPADVISOR INC              | COM             | 896945201 | 9    | 275       | SH | SOLE |
| TRUE RELIGION APPAREL INC    | COM             | 89784N104 | 1    | 43        | SH | SOLE |
| TRUSTCO BK CORP N Y          | COM             | 898349105 | 3    | 600       | SH | SOLE |
| TRUSTMARK CORP               | COM             | 898402102 | 28   | 1131.932  | SH | SOLE |
| TUESDAY MORNING CORP         | COM NEW         | 899035505 | 0    | 32        | SH | SOLE |
| TUPPERWARE BRANDS CORP       | COM             | 899896104 | 2    | 39        | SH | SOLE |
| TUPPERWARE BRANDS CORP       | COM             | 899896104 | 72   | 1338      | SH | SOLE |
| TURQUOISE HILL RES LTD       | COM             | 900435108 | 20   | 2400      | SH | SOLE |
| TUTOR PERINI CORP            | COM             | 901109108 | 0    | 35        | SH | SOLE |
| TWO HBRS INVT CORP           | COM             | 90187B101 | 195  | 16623.816 | SH | SOLE |
| II VI INC                    | COM             | 902104108 | 7    | 347       | SH | SOLE |
| TYLER TECHNOLOGIES INC       | COM             | 902252105 | 366  | 9084      | SH | SOLE |
| TYLER TECHNOLOGIES INC       | COM             | 902252105 | 9    | 200       | SH | SOLE |
| TYSON FOODS INC              | CL A            | 902494103 | 109  | 6779.059  | SH | SOLE |
| UBS AG JERSEY BRH            | LKD ALRN NTGAS  | 902641620 | 21   | 682       | SH | SOLE |
| UBS AG JERSEY BRH            | ALERIAN INFRST  | 902641646 | 87   | 2548      | SH | SOLE |
| UBS AG JERSEY BRH            | CMCI INDL ETN   | 902641752 | 9    | 461       | SH | SOLE |
| UBS AG JERSEY BRH            | CMCI AGRI ETN   | 902641760 | 141  | 4531      | SH | SOLE |
| UDRINC                       | COM             | 902653104 | 252  | 10152.56  | SH | SOLE |
| UBS AG LONDON BRH            | ETRAC ALER MLP  | 90267B682 | 83   | 3270      | SH | SOLE |
| UGI CORP NEW                 | COM             | 902681105 | 80   | 2520      | SH | SOLE |
| UBS AG LONDON BRH            | FISH GR RK OFF  | 90268A691 | 63   | 3050      | SH | SOLE |
| UIL HLDG CORP                | COM             | 902748102 | 1070 | 29837.94  | SH | SOLE |
| US BANCORP DEL               | COM NEW         | 902973304 | 2683 | 78212.221 | SH | SOLE |
| US BANCORP DEL               | COM NEW         | 902973304 | 43   | 1262      | SH | SOLE |
| U M H PROPERTIES INC         | COM             | 903002103 | 5    | 400       | SH | SOLE |
| UNS ENERGY CORP              | COM             | 903119105 | 61   | 1465.612  | SH | SOLE |
| URS CORP NEW                 | COM             | 903236107 | 12   | 345       | SH | SOLE |
| USANA HEALTH SCIENCES INC    | COM             | 90328M107 | 13   | 285       | SH | SOLE |
| U S G CORP                   | COM NEW         | 903293405 | 127  | 5799      | SH | SOLE |
| USEC INC                     | COM             | 90333E108 | 2    | 3235      | SH | SOLE |
| USEC INC                     | NOTE 3.000%10/0 | 90333EAC2 | 9    | 23000     | SH | SOLE |
| U S GEOTHERMAL INC           | COM             | 90338S102 | 0    | 500       | SH | SOLE |
| USA MOBILITY INC             | COM             | 90341G103 | 2    | 200       | SH | SOLE |



Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |      |            |    |      |
|------------------------------|------------------|-----------|------|------------|----|------|
| U S AIRWAYS GROUP INC        | COM              | 90341W108 | 12   | 1150       | SH | SOLE |
| U S SILICA HLDGS INC         | COM              | 90346E103 | 1    | 36         | SH | SOLE |
| ULTA SALON COSMETCS & FRAG I | COM              | 90384S303 | 337  | 3492.332   | SH | SOLE |
| ULTIMATE SOFTWARE GROUP INC  | COM              | 90385D107 | 32   | 315        | SH | SOLE |
| ULTRA PETROLEUM CORP         | COM              | 903914109 | 164  | 7472       | SH | SOLE |
| UMPQUA HLDGS CORP            | COM              | 904214103 | 2    | 130        | SH | SOLE |
| UNDER ARMOUR INC             | CL A             | 904311107 | 376  | 6452       | SH | SOLE |
| UNDER ARMOUR INC             | CL A             | 904311107 | 133  | 2390       | SH | SOLE |
| UNIFI INC                    | COM NEW          | 904677200 | 24   | 1843       | SH | SOLE |
| UNILEVER PLC                 | SPON ADR NEW     | 904767704 | 806  | 22065.735  | SH | SOLE |
| UNILEVER PLC                 | SPON ADR NEW     | 904767704 | 0    | 0          | SH | SOLE |
| UNILEVER N V                 | N Y SHS NEW      | 904784709 | 310  | 8725.952   | SH | SOLE |
| UNION PAC CORP               | COM              | 907818108 | 2953 | 24823.174  | SH | SOLE |
| UNION PAC CORP               | COM              | 907818108 | 39   | 332.623    | SH | SOLE |
| UNISYS CORP                  | COM NEW          | 909214306 | 3    | 137        | SH | SOLE |
| UNIT CORP                    | COM              | 909218109 | 1    | 13         | SH | SOLE |
| UNITED CMNTY BKS BLAIRSVLE G | COM              | 90984P303 | 1    | 152        | SH | SOLE |
| UNITED BANKSHARES INC WEST V | COM              | 909907107 | 27   | 1100       | SH | SOLE |
| UNITED CONTL HLDGS INC       | COM              | 910047109 | 1    | 52         | SH | SOLE |
| UNITED CONTL HLDGS INC       | COM              | 910047109 | 25   | 1264       | SH | SOLE |
| UNITED FINANCIAL BANCORP INC | COM              | 91030T109 | 24   | 1651       | SH | SOLE |
| UNITED FIRE GROUP INC        | COM              | 910340108 | 10   | 410        | SH | SOLE |
| UNITED MICROELECTRONICS CORP | SPON ADR NEW     | 910873405 | 12   | 5652.68    | SH | SOLE |
| UNITED NAT FOODS INC         | COM              | 911163103 | 43   | 732        | SH | SOLE |
| UNITED ONLINE INC            | COM              | 911268100 | 31   | 5610       | SH | SOLE |
| UNITED PARCEL SERVICE INC    | CL B             | 911312106 | 9    | 120        | SH | SOLE |
| UNITED PARCEL SERVICE INC    | CL B             | 911312106 | 7790 | 108819.499 | SH | SOLE |
| UNITED PARCEL SERVICE INC    | CL B             | 911312106 | 0    | 0          | SH | SOLE |
| UNITED RENTALS INC           | COM              | 911363109 | 976  | 29837      | SH | SOLE |
| UNITED STATES CELLULAR CORP  | COM              | 911684108 | 45   | 1138       | SH | SOLE |
| UNITED STS COMMODITY INDEX F | COMM IDX FND     | 911717106 | 62   | 1014       | SH | SOLE |
| U S ENERGY CORP WYO          | COM              | 911805109 | 22   | 10000      | SH | SOLE |
| UNITED STS GASOLINE FD LP    | UNITS            | 91201T102 | 6    | 100        | SH | SOLE |
| UNITED STATES NATL GAS FUND  | UNIT PAR \$0.001 | 912318201 | 387  | 18147      | SH | SOLE |
| UNITED STATES OIL FUND LP    | UNITS            | 91232N108 | 177  | 5184       | SH | SOLE |
| UNITED STATES STL CORP NEW   | COM              | 912909108 | 306  | 16024.563  | SH | SOLE |
| UNITED STATIONERS INC        | COM              | 913004107 | 2    | 69         | SH | SOLE |
| UNITED TECHNOLOGIES CORP     | COM              | 913017109 | 6    | 70         | SH | SOLE |
| UNITED TECHNOLOGIES CORP     | COM              | 913017109 | 1737 | 22179.613  | SH | SOLE |
| UNITED TECHNOLOGIES CORP     | COM              | 913017109 | 75   | 952        | SH | SOLE |
| UNITED TECHNOLOGIES CORP     | UNIT 99/99/9999  | 913017117 | 73   | 1300       | SH | SOLE |
| UNITED THERAPEUTICS CORP DEL | COM              | 91307C102 | 91   | 1630       | SH | SOLE |
| UNITEDHEALTH GROUP INC       | COM              | 91324P102 | 33   | 605        | SH | SOLE |
| UNITEDHEALTH GROUP INC       | COM              | 91324P102 | 715  | 12858.027  | SH | SOLE |
| UNITEDHEALTH GROUP INC       | COM              | 91324P102 | 68   | 1220.51    | SH | SOLE |
| UNITIL CORP                  | COM              | 913259107 | 1    | 42         | SH | SOLE |
| UNITIL CORP                  | COM              | 913259107 | 41   | 1513       | SH | SOLE |
| UNIVERSALCORP VA             | COM              | 913456109 | 11   | 220        | SH | SOLE |
| UNIVERSALDISPLAY CORP        | COM              | 91347P105 | 10   | 300        | SH | SOLE |
| UNIVERSAL FST PRODS INC      | COM              | 913543104 | 7    | 160        | SH | SOLE |
| UNIVERSAL HEALTH RLTY INCM T | SH BEN INT       | 91359E105 | 23   | 500        | SH | SOLE |
| UNIVERSAL INS HLDGS INC      | COM              | 91359V107 | 4    | 1000       | SH | SOLE |
| UNIVERSAL HLTH SVCS INC      | CL B             | 913903100 | 30   | 663        | SH | SOLE |
| UNUM GROUP                   | COM              | 91529Y106 | 37   | 1911       | SH | SOLE |
| URANIUM RES INC              | COM PAR \$0.001  | 916901507 | 0    | 200        | SH | SOLE |
| URBAN OUTFITTERS INC         | COM              | 917047102 | 15   | 392        | SH | SOLE |
| UROLOGIX INC                 | COM              | 917273104 | 1    | 1075       | SH | SOLE |
| URSTADT BIDDLE PPTYS INS     | CL A             | 917286205 | 92   | 4550       | SH | SOLE |
| US ECOLOGY INC               | COM              | 91732J102 | 11   | 502        | SH | SOLE |
| VCA ANTECH INC               | COM              | 918194101 | 8    | 400        | SH | SOLE |
| V F CORP                     | COM              | 918204108 | 4    | 25         | SH | SOLE |
| V F CORP                     | COM              | 918204108 | 178  | 1118.739   | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |       |            |    |      |
|------------------------------|------------------|-----------|-------|------------|----|------|
| VOC ENERGY TR                | TR UNIT          | 91829B103 | 5     | 250        | SH | SOLE |
| VAALCO ENERGY INC            | COM NEW          | 91851C201 | 3     | 320        | SH | SOLE |
| VAIL RESORTS INC             | COM              | 91879Q109 | 0     | 3          | SH | SOLE |
| VALENCE TECHNOLOGY INC       | COM              | 918914102 | 0     | 1950       | SH | SOLE |
| VALEANT PHARMACEUTICALS INTL | COM              | 91911K102 | 1700  | 30722.128  | SH | SOLE |
| VALE S A                     | ADR              | 91912E105 | 671   | 37503.012  | SH | SOLE |
| VALE S A                     | ADR REPSTG PFD   | 91912E204 | 2     | 142        | SH | SOLE |
| VALERO ENERGY CORP NEW       | COM              | 91913Y100 | 483   | 15256.238  | SH | SOLE |
| VALLEY NATL BANCORP          | COM              | 919794107 | 162   | 16125      | SH | SOLE |
| VALMONT INDS INC             | COM              | 920253101 | 193   | 1470       | SH | SOLE |
| VALSPAR CORP                 | COM              | 920355104 | 160   | 2845       | SH | SOLE |
| VALUECLICK INC               | COM              | 92046N102 | 24    | 1402       | SH | SOLE |
| VANDA PHARMACEUTICALS INC    | COM              | 921659108 | 222   | 55000      | SH | SOLE |
| VANGUARD SPECIALIZED PORTFOL | DIV APP ETF      | 921908844 | 61    | 1040.039   | SH | SOLE |
| VANGUARD SPECIALIZED PORTFOL | DIV APP ETF      | 921908844 | 10359 | 173571.81  | SH | SOLE |
| VANGUARD STAR FD             | INTL STK IDXFD   | 921909768 | 19    | 431.95     | SH | SOLE |
| VANGUARD WORLD FD            | MEGA GRWTH IND   | 921910816 | 47    | 833        | SH | SOLE |
| VANGUARD WORLD FD            | MEGA VALUE 300   | 921910840 | 108   | 2505       | SH | SOLE |
| VANGUARD WORLD FD            | MEGA CAP INDEX   | 921910873 | 850   | 17155      | SH | SOLE |
| VANGUARD ADMIRAL FDS INC     | 500 GRTH IDX F   | 921932505 | 18    | 265        | SH | SOLE |
| VANGUARD ADMIRAL FDS INC     | SMLLCP 600 IDX   | 921932828 | 51    | 725        | SH | SOLE |
| VANGUARD ADMIRAL FDS INC     | MIDCP 400 IDX    | 921932885 | 13    | 200        | SH | SOLE |
| VANGUARD BD INDEX FD INC     | LONG TERM BOND   | 921937793 | 389   | 4019       | SH | SOLE |
| VANGUARD BD INDEX FD INC     | INTERMED TERM    | 921937819 | 1507  | 16692.332  | SH | SOLE |
| VANGUARD BD INDEX FD INC     | SHORT TRM BOND   | 921937827 | 5027  | 61715.51   | SH | SOLE |
| VANGUARD BD INDEX FD INC     | TOTAL BND MRKT   | 921937835 | 10    | 118        | SH | SOLE |
| VANGUARD BD INDEX FD INC     | TOTAL BND MRKT   | 921937835 | 46637 | 547706.509 | SH | SOLE |
| VANGUARD BD INDEX FD INC     | TOTAL BND MRKT   | 921937835 | 5     | 53.115     | SH | SOLE |
| VANGUARD TAX MANAGED INTL FD | MSCI EAFE ETF    | 921943858 | 4396  | 133708.254 | SH | SOLE |
| VANGUARD WHITEHALL FDS INC   | HIGH DIV YLD     | 921946406 | 1987  | 39418.02   | SH | SOLE |
| VANGUARD HEALTH SYS INC      | COM              | 922036207 | 1     | 102        | SH | SOLE |
| VANGUARD INTL EQUITY INDEX F | GLB EX US ETF    | 922042676 | 34    | 655        | SH | SOLE |
| VANGUARD INTL EQUITY INDEX F | FTSE SMCAP ETF   | 922042718 | 969   | 11077.92   | SH | SOLE |
| VANGUARD INTL EQUITY INDEX F | TT WRLD ST ETF   | 922042742 | 115   | 2391.536   | SH | SOLE |
| VANGUARD INTL EQUITY INDEX F | ALLWRLD EX US    | 922042775 | 6     | 143        | SH | SOLE |
| VANGUARD INTL EQUITY INDEX F | ALLWRLD EX US    | 922042775 | 1570  | 36552.539  | SH | SOLE |
| VANGUARD INTL EQUITY INDEX F | MSCI EMR MKT ETF | 922042858 | 135   | 3354.672   | SH | SOLE |
| VANGUARD INTL EQUITY INDEX F | MSCI EMR MKT ETF | 922042858 | 14957 | 358510.467 | SH | SOLE |
| VANGUARD INTL EQUITY INDEX F | MSCI EMR MKT ETF | 922042858 | 0     | 0          | SH | SOLE |
| VANGUARD INTL EQUITY INDEX F | MSCI PAC ETF     | 922042866 | 2940  | 58389.026  | SH | SOLE |
| VANGUARD INTL EQUITY INDEX F | MSCI PAC ETF     | 922042866 | 0     | 0          | SH | SOLE |
| VANGUARD INTL EQUITY INDEX F | MSCI EUROPE ETF  | 922042874 | 2470  | 54572.479  | SH | SOLE |
| VANGUARD INTL EQUITY INDEX F | MSCI EUROPE ETF  | 922042874 | 0     | 0          | SH | SOLE |
| VANGUARD WORLD FDS           | CONSUM DIS ETF   | 92204A108 | 25    | 331        | SH | SOLE |
| VANGUARD WORLD FDS           | CONSUM STP ETF   | 92204A207 | 1141  | 12458.245  | SH | SOLE |
| VANGUARD WORLD FDS           | ENERGY ETF       | 92204A306 | 2093  | 19568.051  | SH | SOLE |
| VANGUARD WORLD FDS           | FINANCIALS ETF   | 92204A405 | 174   | 5310.265   | SH | SOLE |
| VANGUARD WORLD FDS           | HEALTH CAR ETF   | 92204A504 | 1272  | 17377.943  | SH | SOLE |
| VANGUARD WORLD FDS           | INDUSTRIAL ETF   | 92204A603 | 3     | 43         | SH | SOLE |
| VANGUARD WORLD FDS           | INF TECH ETF     | 92204A702 | 2060  | 28072.074  | SH | SOLE |
| VANGUARD WORLD FDS           | MATERIALS ETF    | 92204A801 | 420   | 5072       | SH | SOLE |
| VANGUARD WORLD FDS           | UTILITIES ETF    | 92204A876 | 1766  | 22642.059  | SH | SOLE |
| VANGUARD WORLD FDS           | TELCOMM ETF      | 92204A884 | 3     | 35         | SH | SOLE |
| VANGUARD NATURAL RESOURCES L | COM UNIT         | 92205F106 | 92    | 3172.106   | SH | SOLE |
| VANGUARD SCOTTSDALE FDS      | SHTRM GVT BD ETF | 92206C102 | 34    | 562        | SH | SOLE |
| VANGUARD SCOTTSDALE FDS      | SHRT-TERM CORP   | 92206C409 | 1086  | 13562.028  | SH | SOLE |
| VANGUARD SCOTTSDALE FDS      | SHRT-TERM CORP   | 92206C409 | 14202 | 176800.538 | SH | SOLE |
| VANGUARD SCOTTSDALE FDS      | VNG RUS2000IDX   | 92206C664 | 8     | 125        | SH | SOLE |
| VANGUARD SCOTTSDALE FDS      | INT-TERM GOV     | 92206C706 | 454   | 6825.734   | SH | SOLE |
| VANGUARD SCOTTSDALE FDS      | VNG RUS1000IDX   | 92206C730 | 12    | 185        | SH | SOLE |
| VANGUARD SCOTTSDALE FDS      | MORTG-BACK SEC   | 92206C771 | 11    | 212        | SH | SOLE |
| VANGUARD SCOTTSDALE FDS      | LG-TERM COR BD   | 92206C813 | 62855 | 676224.43  | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                            |                |           |       |            |    |      |
|----------------------------|----------------|-----------|-------|------------|----|------|
| VANGUARD SCOTTSDALE FDS    | INT-TERM CORP  | 92206C870 | 61578 | 702378.443 | SH | SOLE |
| VARIAN MED SYS INC         | COM            | 92220P105 | 50    | 837        | SH | SOLE |
| VASCO DATA SEC INTL INC    | COM            | 92230Y104 | 4     | 400        | SH | SOLE |
| VECTREN CORP               | COM            | 92240G101 | 147   | 5141.566   | SH | SOLE |
| VECTOR GROUP LTD           | COM            | 92240M108 | 78    | 4689.12    | SH | SOLE |
| VEECO INSTRS INC DEL       | COM            | 922417100 | 32    | 1065       | SH | SOLE |
| VENTAS INC                 | COM            | 92276F100 | 1272  | 19428      | SH | SOLE |
| VENTAS INC                 | COM            | 92276F100 | 4867  | 78177.76   | SH | SOLE |
| VENTRUS BIOSCIENCES INC    | COM            | 922822101 | 1     | 400        | SH | SOLE |
| VANGUARD INDEX FDS         | S&P 500 ETF SH | 922908413 | 1024  | 15535.802  | SH | SOLE |
| VANGUARD INDEX FDS         | MCAP VL IDXVIP | 922908512 | 948   | 16364.721  | SH | SOLE |
| VANGUARD INDEX FDS         | MCAP GR IDXVIP | 922908538 | 811   | 11966.173  | SH | SOLE |
| VANGUARD INDEX FDS         | REIT ETF       | 922908553 | 266   | 3993.13    | SH | SOLE |
| VANGUARD INDEX FDS         | REIT ETF       | 922908553 | 9454  | 145518.406 | SH | SOLE |
| VANGUARD INDEX FDS         | REIT ETF       | 922908553 | 3     | 51.41      | SH | SOLE |
| VANGUARD INDEX FDS         | SML CP GRW ETF | 922908595 | 1340  | 15184.466  | SH | SOLE |
| VANGUARD INDEX FDS         | SM CP VAL ETF  | 922908611 | 102   | 1464.254   | SH | SOLE |
| VANGUARD INDEX FDS         | SM CP VAL ETF  | 922908611 | 2042  | 28403.413  | SH | SOLE |
| VANGUARD INDEX FDS         | MID CAP ETF    | 922908629 | 5061  | 62361.866  | SH | SOLE |
| VANGUARD INDEX FDS         | MID CAP ETF    | 922908629 | 12    | 146        | SH | SOLE |
| VANGUARD INDEX FDS         | LARGE CAP ETF  | 922908637 | 372   | 5667       | SH | SOLE |
| VANGUARD INDEX FDS         | LARGE CAP ETF  | 922908637 | 1311  | 19963.041  | SH | SOLE |
| VANGUARD INDEX FDS         | EXTEND MKT ETF | 922908652 | 1853  | 31108.859  | SH | SOLE |
| VANGUARD INDEX FDS         | GROWTH ETF     | 922908736 | 6464  | 89287.601  | SH | SOLE |
| VANGUARD INDEX FDS         | VALUE ETF      | 922908744 | 213   | 3713.854   | SH | SOLE |
| VANGUARD INDEX FDS         | VALUE ETF      | 922908744 | 5662  | 96416.516  | SH | SOLE |
| VANGUARD INDEX FDS         | SMALL CP ETF   | 922908751 | 230   | 2914.179   | SH | SOLE |
| VANGUARD INDEX FDS         | SMALL CP ETF   | 922908751 | 4669  | 58265.752  | SH | SOLE |
| VANGUARD INDEX FDS         | TOTAL STK MKT  | 922908769 | 13    | 171        | SH | SOLE |
| VANGUARD INDEX FDS         | TOTAL STK MKT  | 922908769 | 26660 | 361981.326 | SH | SOLE |
| VANGUARD INDEX FDS         | TOTAL STK MKT  | 922908769 | 11    | 142.701    | SH | SOLE |
| VEOLIA ENVIRONNEMENT       | SPONSORED ADR  | 92334N103 | 62    | 5727.14    | SH | SOLE |
| VERA BRADLEY INC           | COM            | 92335C106 | 2     | 100        | SH | SOLE |
| VERIFONE SYS INC           | COM            | 92342Y109 | 125   | 4500       | SH | SOLE |
| VERISIGN INC               | COM            | 92343E102 | 102   | 2074       | SH | SOLE |
| VERIZON COMMUNICATIONS INC | COM            | 92343V104 | 72    | 1679       | SH | SOLE |
| VERIZON COMMUNICATIONS INC | COM            | 92343V104 | 18921 | 414769.443 | SH | SOLE |
| VERIZON COMMUNICATIONS INC | COM            | 92343V104 | 37    | 812.811    | SH | SOLE |
| VERINT SYS INC             | COM            | 92343X100 | 11    | 383        | SH | SOLE |
| VERISK ANALYTICS INC       | CL A           | 92345Y106 | 540   | 11333      | SH | SOLE |
| VERTEX PHARMACEUTICALS INC | COM            | 92532F100 | 109   | 1944       | SH | SOLE |
| VIAD CORP                  | COM NEW        | 92552R406 | 130   | 6250       | SH | SOLE |
| VIASAT INC                 | COM            | 92552V100 | 37    | 1003       | SH | SOLE |
| VIACOM INC NEW             | CL A           | 92553P102 | 4     | 70         | SH | SOLE |
| VIACOM INC NEW             | CL B           | 92553P201 | 322   | 5993.372   | SH | SOLE |
| VIACOM INC NEW             | CL B           | 92553P201 | 43    | 801.125    | SH | SOLE |
| VICAL INC                  | COM            | 925602104 | 22    | 5000       | SH | SOLE |
| VIMPELCOM LTD              | SPONSORED ADR  | 92719A106 | 6     | 500        | SH | SOLE |
| VIRGIN MEDIA INC           | COM            | 92769L101 | 9     | 287        | SH | SOLE |
| VIRNETX HLDG CORP          | COM            | 92823T108 | 6266  | 246391     | SH | SOLE |
| VIROPHARMA INC             | COM            | 928241108 | 6     | 184        | SH | SOLE |
| VISA INC                   | COM CL A       | 92826C839 | 1000  | 7666.213   | SH | SOLE |
| VISA INC                   | COM CL A       | 92826C839 | 1501  | 11150.749  | SH | SOLE |
| VISA INC                   | COM CL A       | 92826C839 | 0     | 0          | SH | SOLE |
| VIRTUS INVT PARTNERS INC   | COM            | 92828Q109 | 1     | 8          | SH | SOLE |
| VISHAY INTERTECHNOLOGY INC | COM            | 928298108 | 53    | 5415       | SH | SOLE |
| VIRTUS TOTAL RETURN FD     | COM            | 92829A103 | 7     | 1800       | SH | SOLE |
| VISHAY PRECISION GROUP INC | COM            | 92835K103 | 1     | 48         | SH | SOLE |
| VISTEON CORP               | COM NEW        | 92839U206 | 1     | 30         | SH | SOLE |
| VITACOST COM INC           | COM            | 92847A200 | 3     | 381        | SH | SOLE |
| VITESSE SEMICONDUCTOR CORP | COM NEW        | 928497304 | 0     | 25         | SH | SOLE |
| VITAMIN SHOPPE INC         | COM            | 92849E101 | 31    | 529        | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                |           |      |            |    |      |
|------------------------------|----------------|-----------|------|------------|----|------|
| VIVUS INC                    | COM            | 928551100 | 109  | 6125       | SH | SOLE |
| VMWARE INC                   | CL A COM       | 928563402 | 96   | 992        | SH | SOLE |
| VODAFONE GROUP PLC NEW       | SPONS ADR NEW  | 92857W209 | 4056 | 142306.548 | SH | SOLE |
| VODAFONE GROUP PLC NEW       | SPONS ADR NEW  | 92857W209 | 0    | 0          | SH | SOLE |
| VOLCANO CORPORATION          | COM            | 928645100 | 25   | 871        | SH | SOLE |
| VONAGE HLDGS CORP            | COM            | 92886T201 | 4    | 1580       | SH | SOLE |
| VORNADO RLTY TR              | SH BEN INT     | 929042109 | 2    | 23         | SH | SOLE |
| VORNADO RLTY TR              | SH BEN INT     | 929042109 | 74   | 915.041    | SH | SOLE |
| VULCAN MATLS CO              | COM            | 929160109 | 934  | 19747.237  | SH | SOLE |
| W & T OFFSHORE INC           | COM            | 92922P106 | 2    | 84         | SH | SOLE |
| WD-40 CO                     | COM            | 929236107 | 12   | 224        | SH | SOLE |
| WABCO HLDGS INC              | COM            | 92927K102 | 9    | 155        | SH | SOLE |
| WMS INDS INC                 | COM            | 929297109 | 15   | 905        | SH | SOLE |
| W P CAREY & CO LLC           | COM            | 92930Y107 | 102  | 2080.741   | SH | SOLE |
| WSFS FINL CORP               | COM            | 929328102 | 6    | 148        | SH | SOLE |
| WNS HOLDINGS LTD             | SPON ADR       | 92932M101 | 1    | 54         | SH | SOLE |
| WPP PLC                      | ADR            | 92933H101 | 8    | 120.429    | SH | SOLE |
| WABASH NATL CORP             | COM            | 929566107 | 5    | 700        | SH | SOLE |
| WABTEC CORP                  | COM            | 929740108 | 723  | 9002.911   | SH | SOLE |
| WADDELL & REED FINL INC      | CL A           | 930059100 | 613  | 18694.094  | SH | SOLE |
| WAL-MART STORES INC          | COM            | 931142103 | 15   | 200        | SH | SOLE |
| WAL-MART STORES INC          | COM            | 931142103 | 5864 | 79447.68   | SH | SOLE |
| WAL-MART STORES INC          | COM            | 931142103 | 110  | 1489.373   | SH | SOLE |
| WALGREEN CO                  | COM            | 931422109 | 5    | 148        | SH | SOLE |
| WALGREEN CO                  | COM            | 931422109 | 2287 | 62777.858  | SH | SOLE |
| WALTER ENERGY INC            | COM            | 93317Q105 | 59   | 1822.136   | SH | SOLE |
| WALTER INVT MGMT CORP        | COM            | 93317W102 | 42   | 1116.394   | SH | SOLE |
| WARNACO GROUP INC            | COM NEW        | 934390402 | 2    | 42         | SH | SOLE |
| WARREN RES INC               | COM            | 93564A100 | 8    | 2510       | SH | SOLE |
| WASHINGTON FED INC           | COM            | 938824109 | 1    | 49         | SH | SOLE |
| WASHINGTON REAL ESTATE INVT  | SH BEN INT     | 939653101 | 0    | 5.387      | SH | SOLE |
| WASHINGTON REAL ESTATE INVT  | SH BEN INT     | 939653101 | 121  | 4494.851   | SH | SOLE |
| WASHINGTON TR BANCORP        | COM            | 940610108 | 5    | 195        | SH | SOLE |
| WASTE CONNECTIONS INC        | COM            | 941053100 | 13   | 436.509    | SH | SOLE |
| WASTE MGMT INC DEL           | COM            | 94106L109 | 2234 | 69646.644  | SH | SOLE |
| WATERS CORP                  | COM            | 941848103 | 54   | 647        | SH | SOLE |
| WATSCO INC                   | COM            | 942622200 | 13   | 169        | SH | SOLE |
| WATSON PHARMACEUTICALS INC   | COM            | 942683103 | 3    | 41         | SH | SOLE |
| WATSON PHARMACEUTICALS INC   | COM            | 942683103 | 710  | 8335       | SH | SOLE |
| WATTS WATER TECHNOLOGIES INC | CL A           | 942749102 | 3    | 87         | SH | SOLE |
| WAVE SYSTEMS CORP            | COM NEW        | 943526301 | 0    | 407        | SH | SOLE |
| WEB COM GROUP INC            | COM            | 94733A104 | 1    | 68         | SH | SOLE |
| WEBSense INC                 | COM            | 947684106 | 4    | 250        | SH | SOLE |
| WEBMD HEALTH CORP            | COM            | 94770V102 | 4    | 263        | SH | SOLE |
| WEBSTER FINL CORP CONN       | COM            | 947890109 | 23   | 957.814    | SH | SOLE |
| WEIGHT WATCHERS INTL INC NEW | COM            | 948626106 | 12   | 219        | SH | SOLE |
| WEINGARTEN RLTY INVS         | SH BEN INT     | 948741103 | 28   | 1005.59    | SH | SOLE |
| WELLCARE HEALTH PLANS INC    | COM            | 94946T106 | 1    | 10         | SH | SOLE |
| WELLPOINT INC                | COM            | 94973V107 | 2    | 32         | SH | SOLE |
| WELLPOINT INC                | COM            | 94973V107 | 371  | 6371.177   | SH | SOLE |
| WELLS FARGO & CO NEW         | COM            | 949746101 | 1    | 34.655     | SH | SOLE |
| WELLS FARGO & CO NEW         | COM            | 949746101 | 4873 | 140920.905 | SH | SOLE |
| WELLS FARGO & CO NEW         | COM            | 949746101 | 55   | 1585.215   | SH | SOLE |
| WELLS FARGO & CO NEW         | PERP PFD CNV A | 949746804 | 150  | 121        | SH | SOLE |
| WELLS FARGO ADVANTAGE INCOME | COM SHS        | 94987B105 | 576  | 54241.636  | SH | SOLE |
| WELLS FARGO ADV GLB DIV OPP  | COM            | 94987C103 | 451  | 53145.338  | SH | SOLE |
| WELLS FARGO ADVANTAGE MULTI  | COM SHS        | 94987D101 | 15   | 904.617    | SH | SOLE |
| WELLS FARGO ADVANTAGE UTILS  | COM            | 94987E109 | 74   | 6112.055   | SH | SOLE |
| WENDYS CO                    | COM            | 95058W100 | 15   | 3353.544   | SH | SOLE |
| WERNER ENTERPRISES INC       | COM            | 950755108 | 13   | 622        | SH | SOLE |
| WESBANCO INC                 | COM            | 950810101 | 41   | 1970       | SH | SOLE |
| WESCO INTL INC               | COM            | 95082P105 | 44   | 763        | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                  |           |       |            |    |      |
|------------------------------|------------------|-----------|-------|------------|----|------|
| WESCO INTL INC               | COM              | 95082P105 | 6     | 100        | SH | SOLE |
| WEST PHARMACEUTICAL SVSC INC | COM              | 955306105 | 29    | 550        | SH | SOLE |
| WESTAMERICA BANCORPORATION   | COM              | 957090103 | 97    | 2066       | SH | SOLE |
| WESTAR ENERGY INC            | COM              | 95709T100 | 272   | 9168.356   | SH | SOLE |
| WESTERN ASSET EMRG MKT DEBT  | COM              | 95766A101 | 6     | 265        | SH | SOLE |
| WESTERN ASSET EMRG MKT INCM  | COM              | 95766E103 | 123   | 7627.471   | SH | SOLE |
| WESTERN ASSET GLB PTNRS INCO | COM              | 95766G108 | 1     | 100        | SH | SOLE |
| WESTERN ASSET HIGH INCM FD I | COM              | 95766H106 | 83    | 8600       | SH | SOLE |
| WESTERN ASSET HIGH INCM FD I | COM              | 95766J102 | 56    | 5313.056   | SH | SOLE |
| WESTERN ASSET HIGH INCM OPP  | COM              | 95766K109 | 218   | 32820.91   | SH | SOLE |
| WESTERN ASSET MGD HI INCM FD | COM              | 95766L107 | 51    | 7826       | SH | SOLE |
| WESTERN ASST MNG MUN FD INC  | COM              | 95766M105 | 30    | 2033.346   | SH | SOLE |
| WESTERN ASST MNG MUN FD INC  | COM              | 95766M105 | 0     | 1          | SH | SOLE |
| WESTERN ASSET MUN HI INCM FD | COM              | 95766N103 | 72    | 7966.688   | SH | SOLE |
| WESTERN ASSET CLYM INFL SEC  | COM SH BEN INT   | 95766Q106 | 27    | 2054.666   | SH | SOLE |
| WESTERN ASSET CLYM INFL OPP  | COM              | 95766R104 | 61    | 4549.451   | SH | SOLE |
| WESTERN ASSET HGH YLD DFNDFD | COM              | 95768B107 | 34    | 1700       | SH | SOLE |
| WESTERN COPPER & GOLD CORP   | COM              | 95805V108 | 7     | 10000      | SH | SOLE |
| WESTERN DIGITAL CORP         | COM              | 958102105 | 55    | 1448       | SH | SOLE |
| WESTERN GAS PARTNERS LP      | COM UNIT LP IN   | 958254104 | 28    | 550        | SH | SOLE |
| WESTERN REFNG INC            | COM              | 959319104 | 87    | 3316       | SH | SOLE |
| WESTERN UN CO                | COM              | 959802109 | 1074  | 58939.365  | SH | SOLE |
| WESTPORT INNOVATIONS INC     | COM NEW          | 960908309 | 58    | 1655       | SH | SOLE |
| WESTPORT INNOVATIONS INC     | COM NEW          | 960908309 | 511   | 18345      | SH | SOLE |
| WESTPORT INNOVATIONS INC     | COM NEW          | 960908309 | 11    | 300        | SH | SOLE |
| WESTPAC BKG CORP             | SPONSORED ADR    | 961214301 | 355   | 2769       | SH | SOLE |
| WESTPAC BKG CORP             | SPONSORED ADR    | 961214301 | 0     | 0          | SH | SOLE |
| WEYERHAEUSER CO              | COM              | 962166104 | 381   | 14563.812  | SH | SOLE |
| WHIRLPOOL CORP               | COM              | 963320106 | 59    | 712        | SH | SOLE |
| WHITESTONE REIT              | COM              | 966084204 | 6     | 446        | SH | SOLE |
| WHITING PETE CORP NEW        | COM              | 966387102 | 42    | 886        | SH | SOLE |
| WHITING USA TR I             | TR UNIT          | 966389108 | 55    | 7920       | SH | SOLE |
| WHOLE FOODS MKT INC          | COM              | 966837106 | 58    | 600        | SH | SOLE |
| WHOLE FOODS MKT INC          | COM              | 966837106 | 1326  | 13615.928  | SH | SOLE |
| WILEY JOHN & SONS INC        | CL A             | 968223206 | 61    | 1328.308   | SH | SOLE |
| WILLIAMS COS INC DEL         | COM              | 969457100 | 1653  | 47250.662  | SH | SOLE |
| WILLIAMS PARTNERS L P        | COM UNIT L P     | 96950F104 | 210   | 3832.915   | SH | SOLE |
| WILLIAMS SONOMA INC          | COM              | 969904101 | 45    | 1025       | SH | SOLE |
| WINDSTREAM CORP              | COM              | 97381W104 | 20    | 1981       | SH | SOLE |
| WINDSTREAM CORP              | COM              | 97381W104 | 790   | 78322.727  | SH | SOLE |
| WIPRO LTD                    | SPON ADR 1 SH    | 97651M109 | 19    | 2166       | SH | SOLE |
| WIRELESS TELECOM GROUP INC   | COM              | 976524108 | 0     | 300        | SH | SOLE |
| WIRELESS RONIN TECHNOLOGIES  | COM              | 97652A203 | 8     | 9000       | SH | SOLE |
| WISCONSIN ENERGY CORP        | COM              | 976657106 | 322   | 8542.539   | SH | SOLE |
| WISCONSIN ENERGY CORP        | COM              | 976657106 | 0     | 0          | SH | SOLE |
| WISDOMTREE INVTS INC         | COM              | 97717P104 | 24    | 3600       | SH | SOLE |
| WISDOMTREE TRUST             | TOTAL DIVID FD   | 97717W109 | 124   | 2281.721   | SH | SOLE |
| WISDOMTREE TRUST             | FUTRE STRAT FD   | 97717W125 | 4     | 100        | SH | SOLE |
| WISDOMTREE TRUST             | DRYFS CURR ETF   | 97717W133 | 143   | 6892       | SH | SOLE |
| WISDOMTREETRUST              | CHINESE YUAN ETF | 97717W182 | 10    | 400        | SH | SOLE |
| WISDOMTREETRUST              | EQTY INC ETF     | 97717W208 | 22    | 455.488    | SH | SOLE |
| WISDOMTREETRUST              | AUST NEW ZEAL DB | 97717W216 | 12    | 511.407    | SH | SOLE |
| WISDOMTREETRUST              | EMG MKTS SMCAP   | 97717W281 | 232   | 5054.164   | SH | SOLE |
| WISDOMTREETRUST              | EMG MKTS SMCAP   | 97717W281 | 35    | 756.713    | SH | SOLE |
| WISDOMTREETRUST              | LARGCAP DIVID    | 97717W307 | 59    | 1099.08    | SH | SOLE |
| WISDOMTREETRUST              | LARGCAP DIVID    | 97717W307 | 943   | 17267      | SH | SOLE |
| WISDOMTREETRUST              | EMERG MKTS ETF   | 97717W315 | 28    | 534.443    | SH | SOLE |
| WISDOMTREETRUST              | EMERG MKTS ETF   | 97717W315 | 1404  | 26155.026  | SH | SOLE |
| WISDOMTREETRUST              | EMERG MKTS ETF   | 97717W315 | 15520 | 290702.503 | SH | SOLE |
| WISDOMTREE TRUST             | GLB EX US RL EST | 97717W331 | 78    | 2700       | SH | SOLE |
| WISDOMTREETRUST              | DIV EX-FINL FD   | 97717W406 | 2212  | 39240.605  | SH | SOLE |
| WISDOMTREETRUST              | INDIA ERNGS FD   | 97717W422 | 5     | 328        | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                             |                  |           |       |            |    |      |
|-----------------------------|------------------|-----------|-------|------------|----|------|
| WISDOMTREETRUST             | INDIA ERNGS FD   | 97717W422 | 29    | 1510.623   | SH | SOLE |
| WISDOMTREETRUST             | MIDCAP DIVI FD   | 97717W505 | 20    | 345        | SH | SOLE |
| WISDOMTREE TRUST            | MDCP EARN FUND   | 97717W570 | 146   | 2460.293   | SH | SOLE |
| WISDOMTREETRUST             | SMALLCAP DIVID   | 97717W604 | 240   | 4743.179   | SH | SOLE |
| WISDOMTREE TRUST            | DEFA FD          | 97717W703 | 119   | 2734       | SH | SOLE |
| WISDOMTREE TRUST            | DEFA FD          | 97717W703 | 495   | 11397.191  | SH | SOLE |
| WISDOMTREE TRUST            | DEFA FD          | 97717W703 | 19405 | 447941.997 | SH | SOLE |
| WISDOMTREE TRUST            | COMM COUNTRY EQ  | 97717W752 | 87    | 2843.29    | SH | SOLE |
| WISDOMTREE TRUST            | INTL SMCAP DIV   | 97717W760 | 18    | 395.672    | SH | SOLE |
| WISDOMTREE TRUST            | INTL SMCAP DIV   | 97717W760 | 73    | 1540.909   | SH | SOLE |
| WISDOMTREE TRUST            | INTL SMCAP DIV   | 97717W760 | 32    | 676.161    | SH | SOLE |
| WISDOMTREE TRUST            | INTL MIDCAP DV   | 97717W778 | 11    | 245        | SH | SOLE |
| WISDOMTREE TRUST            | INTL DIV EX FINL | 97717W786 | 137   | 3421.059   | SH | SOLE |
| WISDOMTREE TRUST            | INTL LRGCAP DV   | 97717W794 | 21    | 500        | SH | SOLE |
| WISDOMTREE TRUST            | DEFA EQT INC ETF | 97717W802 | 15    | 402.838    | SH | SOLE |
| WISDOMTREE TRUST            | AUSTRALIA DIV FD | 97717W810 | 3     | 57         | SH | SOLE |
| WISDOMTREETRUST             | ASIA PAC EXJP FD | 97717W828 | 149   | 2299       | SH | SOLE |
| WISDOMTREETRUST             | JP SMALLCP DIV   | 97717W836 | 241   | 5693       | SH | SOLE |
| WISDOMTREE TRUST            | GLB EX US GRWTH  | 97717W844 | 360   | 7367       | SH | SOLE |
| WISDOMTREE TRUST            | EUROPE SMCP DV   | 97717W869 | 7     | 180        | SH | SOLE |
| WISDOMTREE TRUST            | GLB EQTY INC FD  | 97717W877 | 0     | 4.107      | SH | SOLE |
| WISDOMTREE TR               | EM LCL DEBT FD   | 97717X867 | 1070  | 20450.329  | SH | SOLE |
| WISDOMTREE TR               | EM LCL DEBT FD   | 97717X867 | 35    | 670.309    | SH | SOLE |
| WOLVERINE WORLD WIDE INC    | COM              | 978097103 | 3     | 56         | SH | SOLE |
| WOLVERINE WORLD WIDE INC    | COM              | 978097103 | 11    | 256        | SH | SOLE |
| WOODWARD INC                | COM              | 980745103 | 15    | 450.888    | SH | SOLE |
| WOODWARD INC                | COM              | 980745103 | 20    | 600        | SH | SOLE |
| WORLD ACCEP CORP DEL        | COM              | 981419104 | 23    | 335        | SH | SOLE |
| WORLD FUEL SVCS CORP        | COM              | 981475106 | 38    | 1067       | SH | SOLE |
| WORLD WRESTLING ENTMT INC   | CL A             | 98156Q108 | 54    | 6710.275   | SH | SOLE |
| WORTHINGTON INDS INC        | COM              | 981811102 | 6     | 300        | SH | SOLE |
| WPX ENERGY INC              | COM              | 98212B103 | 471   | 28381      | SH | SOLE |
| WRIGHT EXPRESS CORP         | COM              | 98233Q105 | 10    | 140        | SH | SOLE |
| WYNDHAM WORLDWIDE CORP      | COM              | 98310W108 | 600   | 11499.339  | SH | SOLE |
| WYNDHAM WORLDWIDE CORP      | COM              | 98310W108 | 84    | 1586       | SH | SOLE |
| WYNN RESORTS LTD            | COM              | 983134107 | 627   | 5430.743   | SH | SOLE |
| XCEL ENERGY INC             | COM              | 98389B100 | 585   | 21110.151  | SH | SOLE |
| XCEL ENERGY INC             | COM              | 98389B100 | 42    | 1516.18    | SH | SOLE |
| XILINX INC                  | COM              | 983919101 | 44    | 1322.927   | SH | SOLE |
| XEROX CORP                  | COM              | 984121103 | 259   | 35370.265  | SH | SOLE |
| XINYUAN REAL ESTATE CO LTD  | SPONS ADR        | 98417P105 | 8     | 2770       | SH | SOLE |
| XOMA CORP DEL               | COM              | 98419J107 | 15    | 4033       | SH | SOLE |
| XYLEM INC                   | COM              | 98419M100 | 136   | 5426.673   | SH | SOLE |
| YPF SOCIEDAD ANONIMA        | SPON ADR CL D    | 984245100 | 1     | 66         | SH | SOLE |
| YAHOO INC                   | COM              | 984332106 | 571   | 35740      | SH | SOLE |
| YAMANA GOLD INC             | COM              | 98462Y100 | 204   | 10674.95   | SH | SOLE |
| YINGLI GREEN ENERGY HLDG CO | ADR              | 98584B103 | 10    | 6000       | SH | SOLE |
| YONGYE INTL INC             | COM              | 98607B106 | 9     | 1900       | SH | SOLE |
| YORK WTR CO                 | COM              | 987184108 | 28    | 1500       | SH | SOLE |
| YOUKU TUDOU INC             | SPONSORED ADR    | 98742U100 | 28    | 1500       | SH | SOLE |
| YOUNG INNOVATIONS INC       | COM              | 987520103 | 2     | 49         | SH | SOLE |
| YUM BRANDS INC              | COM              | 988498101 | 580   | 9105.374   | SH | SOLE |
| YUM BRANDS INC              | COM              | 988498101 | 1853  | 27925.55   | SH | SOLE |
| ZAGG INC                    | COM              | 98884U108 | 4     | 420        | SH | SOLE |
| ZALICUS INC                 | COM              | 98887C105 | 1     | 1500       | SH | SOLE |
| ZEBRA TECHNOLOGIES CORP     | CL A             | 989207105 | 17    | 466        | SH | SOLE |
| ZILLOW INC                  | CL A             | 98954A107 | 4     | 100        | SH | SOLE |
| ZIMMER HLDGS INC            | COM              | 98956P102 | 349   | 5159.549   | SH | SOLE |
| ZION OIL & GAS INC          | COM              | 989696109 | 0     | 75         | SH | SOLE |
| ZION OIL & GAS INC          | COM              | 989696109 | 5     | 2000       | SH | SOLE |
| ZIONS BANCORPORATION        | COM              | 989701107 | 71    | 3402.747   | SH | SOLE |
| ZIPCAR INC                  | COM              | 98974X103 | 12    | 1542       | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                |           |      |           |    |      |
|------------------------------|----------------|-----------|------|-----------|----|------|
| ZOLTEK COS INC               | COM            | 98975W104 | 9    | 1200      | SH | SOLE |
| ZWEIG FD                     | COM NEW        | 989834205 | 13   | 1000      | SH | SOLE |
| ZWEIG TOTAL RETURN FD INC    | COM NEW        | 989837208 | 288  | 22725     | SH | SOLE |
| ZYNGA INC                    | CL A           | 98986T108 | 63   | 22204     | SH | SOLE |
| DEUTSCHE BANK AG             | NAMEN AKT      | D18190898 | 9    | 215       | SH | SOLE |
| DEUTSCHE BANK AG             | NAMEN AKT      | D18190898 | 0    | 0         | SH | SOLE |
| ALKERMES PLC                 | SHS            | G01767105 | 90   | 4351      | SH | SOLE |
| AMDOCS LTD                   | ORD            | G02602103 | 27   | 805       | SH | SOLE |
| AON PLC                      | SHS CL A       | G0408V102 | 102  | 1944.648  | SH | SOLE |
| AON PLC                      | SHS CL A       | G0408V102 | 20   | 381.208   | SH | SOLE |
| ARCH CAP GROUP LTD           | ORD            | G0450A105 | 46   | 1092      | SH | SOLE |
| ARCOS DORADOS HOLDINGS INC   | SHS CLASS -A - | G0457F107 | 38   | 2456.159  | SH | SOLE |
| ARGO GROUP INTL HLDGS LTD    | COM            | G0464B107 | 0    | 5         | SH | SOLE |
| ASPEN INSURANCE HOLDINGS LTD | SHS            | G05384105 | 6    | 195.976   | SH | SOLE |
| ASSURED GUARANTY LTD         | COM            | G0585R106 | 54   | 4000      | SH | SOLE |
| AXIS CAPITAL HOLDINGS INV    | SHS            | G0692U109 | 15   | 429       | SH | SOLE |
| ENERGY XXI (BERMUDA) LTD     | USD UNRS SHS   | G10082140 | 4    | 126       | SH | SOLE |
| ACCENTURE PLC IRELAND        | SHS CLASS A    | G1151C101 | 39   | 631.068   | SH | SOLE |
| ACCENTURE PLC IRELAND        | SHS CLASS A    | G1151C101 | 660  | 9417.066  | SH | SOLE |
| BROOKFIELD INFRAST PARTNERS  | LP INT UNIT    | G16252101 | 772  | 21724.214 | SH | SOLE |
| BUNGE LIMITED                | COM            | G16962105 | 97   | 1446.777  | SH | SOLE |
| CHINA YUCHAI INTL LTD        | COM            | G21082105 | 0    | 29.209    | SH | SOLE |
| CHINA GERUI ADV MAT GR LTD   | SHS            | G21101103 | 12   | 7000      | SH | SOLE |
| CONSOLIDATED WATER CO INC    | ORD            | G23773107 | 1    | 150       | SH | SOLE |
| COOPER INDUSTRIES PLC        | SHS            | G24140108 | 5    | 64        | SH | SOLE |
| CREDICORP LTD                | COM            | G2519Y108 | 3    | 25        | SH | SOLE |
| COSAN LTD                    | SHS A          | G25343107 | 2    | 100       | SH | SOLE |
| COVIDIEN PLC                 | SHS            | G2554F113 | 38   | 675       | SH | SOLE |
| COVIDIEN PLC                 | SHS            | G2554F113 | 598  | 10063.715 | SH | SOLE |
| COVIDIEN PLC                 | SHS            | G2554F113 | 0    | 0         | SH | SOLE |
| DELPHI AUTOMOTIVE PLC        | SHS            | G27823106 | 17   | 538       | SH | SOLE |
| ENDURANCE SPECIALTY HLDGS LT | SHS            | G30397106 | 62   | 1584.303  | SH | SOLE |
| ENSTAR GROUP LIMITED         | SHS            | G3075P101 | 10   | 100       | SH | SOLE |
| ASA GOLD AND PRECIOUS MTLs L | SHS            | G3156P103 | 713  | 28306.94  | SH | SOLE |
| ENSCO PLC                    | SHS CLASS A    | G3157S106 | 1329 | 24359.32  | SH | SOLE |
| EVEREST RE GROUP LTD         | COM            | G3223R108 | 23   | 214       | SH | SOLE |
| FRESH DEL MONTE PRODUCE INC  | ORD            | G36738105 | 5    | 205.447   | SH | SOLE |
| FRONTLINE LTD                | SHS            | G3682E127 | 1    | 212       | SH | SOLE |
| FRONTLINE LTD                | SHS            | G3682E127 | 27   | 7065.774  | SH | SOLE |
| FREESCALE SEMICONDUCTOR LTD  | SHS            | G3727Q101 | 0    | 9         | SH | SOLE |
| GENPACT LIMITED              | SHS            | G3922B107 | 21   | 1231      | SH | SOLE |
| HERBALIFE LTD                | COM USD SHS    | G4412G101 | 38   | 761       | SH | SOLE |
| INGERSOLL-RAND PLC           | SHS            | G47791101 | 85   | 1887.192  | SH | SOLE |
| INGERSOLL-RAND PLC           | SHS            | G47791101 | 47   | 1058      | SH | SOLE |
| INVESCO LTD                  | SHS            | G491BT108 | 39   | 1570.507  | SH | SOLE |
| JAZZ PHARMACEUTICALS PLC     | SHS USD        | G50871105 | 48   | 845       | SH | SOLE |
| LAZARD LTD                   | SHS A          | G54050102 | 48   | 1628.352  | SH | SOLE |
| MAIDEN HOLDINGS LTD          | SHS            | G5753U112 | 3    | 393       | SH | SOLE |
| MANCHESTER UTD PLC NEW       | ORD CL A       | G5784H106 | 0    | 1         | SH | SOLE |
| MARVELL TECHNOLOGY GROUP LTD | ORD            | G5876H105 | 225  | 24539     | SH | SOLE |
| MICHAEL KORS HLDGS LTD       | SHS            | G60754101 | 489  | 9200      | SH | SOLE |
| MICHAEL KORS HLDGS LTD       | SHS            | G60754101 | 46   | 872       | SH | SOLE |
| MONTPELIER RE HOLDINGS LTD   | SHS            | G62185106 | 7    | 325       | SH | SOLE |
| NABORS INDUSTRIES LTD        | SHS            | G6359F103 | 35   | 2500      | SH | SOLE |
| NORDIC AMERICAN TANKERS LIMi | COM            | G65773106 | 30   | 2992.718  | SH | SOLE |
| HOME LN SERVICING SOLUTIONS  | ORD SHS        | G6648D109 | 51   | 3150      | SH | SOLE |
| ONEBEACON INSURANCE GROUP LT | CL A           | G67742109 | 7    | 509       | SH | SOLE |
| ORIENT-EXPRESS HOTELS LTD    | CL A           | G67743107 | 50   | 5599      | SH | SOLE |
| ORIGIN AGRITECH LIMITED      | SHS            | G67828106 | 1    | 500       | SH | SOLE |
| PARTNERRE LTD                | COM            | G6852T105 | 636  | 8558.024  | SH | SOLE |
| PLATINUM UNDERWRITER HLDGS L | COM            | G7127P100 | 4    | 90        | SH | SOLE |
| RENAISSANCERE HOLDINGS LTD   | COM            | G7496G103 | 36   | 472       | SH | SOLE |

Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |             |           |      |           |    |      |
|------------------------------|-------------|-----------|------|-----------|----|------|
| ROWAN COMPANIES PLC          | SHS CL A    | G7665A101 | 67   | 1979      | SH | SOLE |
| SEADRILL LIMITED             | SHS         | G7945E105 | 1361 | 34693.756 | SH | SOLE |
| SEAGATE TECHNOLOGY PLC       | SHS         | G7945M107 | 5    | 150       | SH | SOLE |
| SEAGATE TECHNOLOGY PLC       | SHS         | G7945M107 | 287  | 9299.34   | SH | SOLE |
| SEAGATE TECHNOLOGY PLC       | SHS         | G7945M107 | 68   | 2244.037  | SH | SOLE |
| SHIP FINANCE INTERNATIONAL L | SHS         | G81075106 | 0    | 4.913     | SH | SOLE |
| SHIP FINANCE INTERNATIONAL L | SHS         | G81075106 | 8    | 500       | SH | SOLE |
| SIGNET JEWELERS LIMITED      | SHS         | G81276100 | 40   | 828       | SH | SOLE |
| SINA CORP                    | ORD         | G81477104 | 8    | 130       | SH | SOLE |
| UTI WORLDWIDE INC            | ORD         | G87210103 | 0    | 9         | SH | SOLE |
| TEXTAINER GROUP HOLDINGS LTD | SHS         | G8766E109 | 9    | 300       | SH | SOLE |
| TRANSATLANTIC PETROLEUM LTD  | SHS         | G89982105 | 0    | 60        | SH | SOLE |
| TSAKOS ENERGY NAVIGATION LTD | SHS         | G9108L108 | 382  | 73777.699 | SH | SOLE |
| UTSTARCOM HOLDINGS CORP      | USD ORD SHS | G9310A106 | 1    | 800       | SH | SOLE |
| VALIDUS HOLDINGS LTD         | COM SHS     | G9319H102 | 15   | 431       | SH | SOLE |
| WARNER CHILCOTT PLC IRELAND  | SHS A       | G94368100 | 8    | 586.68    | SH | SOLE |
| GOLAR LNG LTD BERMUDA        | SHS         | G9456A100 | 118  | 3056.372  | SH | SOLE |
| WHITE MTNS INS GROUP LTD     | COM         | G9618E107 | 78   | 151       | SH | SOLE |
| WILLIS GROUP HOLDINGS PUBLIC | SHS         | G96666105 | 89   | 2411      | SH | SOLE |
| XYRATEX LTD                  | COM         | G98268108 | 3    | 391       | SH | SOLE |
| XL GROUP PLC                 | SHS         | G98290102 | 68   | 2825.566  | SH | SOLE |
| ACE LTD                      | SHS         | H0023R105 | 2    | 21        | SH | SOLE |
| ACE LTD                      | SHS         | H0023R105 | 122  | 1611      | SH | SOLE |
| ACE LTD                      | SHS         | H0023R105 | 42   | 551.614   | SH | SOLE |
| ALLIED WRLD ASSUR COM HLDG A | SHS         | H01531104 | 17   | 216.136   | SH | SOLE |
| WEATHERFORD INTERNATIONAL LT | REG SHS     | H27013103 | 0    | 38        | SH | SOLE |
| WEATHERFORD INTERNATIONAL LT | REG SHS     | H27013103 | 427  | 33672     | SH | SOLE |
| FOSTER WHEELER AG            | COM         | H27178104 | 78   | 3240      | SH | SOLE |
| GARMIN LTD                   | SHS         | H2906T109 | 564  | 13523.282 | SH | SOLE |
| LOGITECH INTL S A            | SHS         | H50430232 | 4    | 413       | SH | SOLE |
| NOBLE CORPORATION BAAR       | NAMEN -AKT  | H5833N103 | 4    | 100       | SH | SOLE |
| NOBLE CORPORATION BAAR       | NAMEN -AKT  | H5833N103 | 147  | 4100.317  | SH | SOLE |
| TE CONNECTIVITY LTD          | REG SHS     | H84989104 | 24   | 675       | SH | SOLE |
| TE CONNECTIVITY LTD          | REG SHS     | H84989104 | 91   | 2677.587  | SH | SOLE |
| TRANSOCEAN LTD               | REG SHS     | H8817H100 | 1621 | 36102.134 | SH | SOLE |
| TYCO INTERNATIONAL LTD       | SHS         | H89128104 | 38   | 675       | SH | SOLE |
| TYCO INTERNATIONAL LTD       | SHS         | H89128104 | 301  | 5471.877  | SH | SOLE |
| TYCO INTERNATIONAL LTD       | SHS         | H89128104 | 20   | 350       | SH | SOLE |
| UBS AG                       | SHS NEW     | H89231338 | 36   | 2893.265  | SH | SOLE |
| ADECOAGRO S A                | COM         | L00849106 | 6    | 600       | SH | SOLE |
| ALTISOURCE PORTFOLIO SOLNS S | REG SHS     | L0175J104 | 421  | 4991      | SH | SOLE |
| ALTISOURCE PORTFOLIO SOLNS S | REG SHS     | L0175J104 | 0    | 1         | SH | SOLE |
| ALLOT COMMUNICATIONS LTD     | SHS         | M0854Q105 | 34   | 1268      | SH | SOLE |
| CAMTEK LTD                   | ORD         | M20791105 | 16   | 9300      | SH | SOLE |
| CELLCOM ISRAEL LTD           | SHS         | M2196U109 | 129  | 14927.991 | SH | SOLE |
| CHECK POINT SOFTWARE TECH LT | ORD         | M22465104 | 83   | 1725      | SH | SOLE |
| COMMTouch SOFTWARE LTD       | SHS NEW     | M25596202 | 3    | 1166      | SH | SOLE |
| EZCHIP SEMICONDUCTOR LIMITED | ORD         | M4146Y108 | 0    | 14        | SH | SOLE |
| MELLANOX TECHNOLOGIES LTD    | SHS         | M51363113 | 43   | 423       | SH | SOLE |
| GILAT SATELLITE NETWORKS LTD | SHS NEW     | M51474118 | 0    | 10        | SH | SOLE |
| GIVEN IMAGING                | ORD SHS     | M52020100 | 13   | 900       | SH | SOLE |
| MAGICJACK VOCALTEC LTD       | SHS         | M6787E101 | 7    | 300       | SH | SOLE |
| ORCKIT COMMUNICATIONS LTD    | SHS NEW     | M7531S206 | 0    | 42        | SH | SOLE |
| RRSAT GLOBAL COMM NTWRK LTD  | SHS         | M8183P102 | 4    | 700       | SH | SOLE |
| SYNERON MEDICAL LTD          | ORD SHS     | M87245102 | 4    | 389       | SH | SOLE |
| SODASTREAM INTERNATIONAL LTD | USD SHS     | M9068E105 | 78   | 2000      | SH | SOLE |
| SODASTREAM INTERNATIONAL LTD | USD SHS     | M9068E105 | 114  | 2905      | SH | SOLE |
| ASML HOLDING N V             | NY REG SHS  | N07059186 | 23   | 429       | SH | SOLE |
| CNH GLOBAL N V               | SHS NEW     | N20935206 | 8    | 216       | SH | SOLE |
| CORE LABORATORIES N V        | COM         | N22717107 | 74   | 647.099   | SH | SOLE |
| INTERXION HOLDING N.V        | SHS         | N47279109 | 20   | 860       | SH | SOLE |
| LYONDELLBASELL INDUSTRIES N  | SHS - A -   | N53745100 | 20   | 390       | SH | SOLE |



Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 13F-HR

|                              |                |           |     |             |      |
|------------------------------|----------------|-----------|-----|-------------|------|
| NXP SEMICONDUCTORS N V       | COM            | N6596X109 | 54  | 2140 SH     | SOLE |
| QIAGEN NV                    | REG SHS        | N72482107 | 26  | 1388 SH     | SOLE |
| SENSATA TECHNOLOGIES HLDG BV | SHS            | N7902X106 | 6   | 212 SH      | SOLE |
| VISTAPRINT N V               | SHS            | N93540107 | 6   | 180 SH      | SOLE |
| YANDEX N V                   | SHS CLASS A    | N97284108 | 3   | 133 SH      | SOLE |
| COPA HOLDINGS SA             | CL A           | P31076105 | 40  | 476 SH      | SOLE |
| MAKEMYTRIP LIMITED MAURITIUS | SHS            | V5633W109 | 2   | 125 SH      | SOLE |
| ROYAL CARIBBEAN CRUISES LTD  | COM            | V7780T103 | 14  | 533 SH      | SOLE |
| ROYAL CARIBBEAN CRUISES LTD  | COM            | V7780T103 | 128 | 4216 SH     | SOLE |
| AEGEAN MARINE PETROLEUM NETW | SHS            | Y0017S102 | 1   | 156.625 SH  | SOLE |
| AVAGO TECHNOLOGIES LTD       | SHS            | Y0486S104 | 3   | 100 SH      | SOLE |
| DHT HOLDINGS INC             | SHS NEW        | Y2065G121 | 0   | 33 SH       | SOLE |
| DIANA SHIPPING INC           | COM            | Y2066G104 | 40  | 6220 SH     | SOLE |
| DIANA CONTAINERSHIPS INC     | COM            | Y2069P101 | 30  | 5398.563 SH | SOLE |
| DRYSHIPS INC                 | SHS            | Y2109Q101 | 15  | 6500 SH     | SOLE |
| EAGLE BULK SHIPPING INC      | SHS NEW        | Y2187A119 | 0   | 7 SH        | SOLE |
| FLEXTRONICS INTL LTD         | ORD            | Y2573F102 | 11  | 1888 SH     | SOLE |
| GENCO SHIPPING & TRADING LTD | SHS            | Y2685T107 | 0   | 90 SH       | SOLE |
| GENCO SHIPPING & TRADING LTD | SHS            | Y2685T107 | 2   | 414 SH      | SOLE |
| GIGAMEDIA LTD                | ORD            | Y2711Y104 | 1   | 500 SH      | SOLE |
| NAVIOS MARITIME HOLDINGS INC | COM            | Y62196103 | 29  | 7950 SH     | SOLE |
| NAVIOS MARITIME PARTNERS L P | UNIT LPI       | Y62267102 | 18  | 1200 SH     | SOLE |
| NAVIOS MARITIME PARTNERS L P | UNIT LPI       | Y62267102 | 68  | 4605.44 SH  | SOLE |
| OCEAN RIG UDW INC            | SHS            | Y64354205 | 1   | 49 SH       | SOLE |
| SAFE BULKERS INC             | COM            | Y7388L103 | 2   | 300 SH      | SOLE |
| SEASPAN CORP                 | SHS            | Y75638109 | 8   | 528.945 SH  | SOLE |
| TEEKAY LNG PARTNERS L P      | PRTNRSP UNITS  | Y8564M105 | 63  | 1669 SH     | SOLE |
| TEEKAY CORPORATION           | COM            | Y8564W103 | 27  | 869 SH      | SOLE |
| TEEKAY OFFSHORE PARTNERS L P | PARTNERSHIP UN | Y8565J101 | 5   | 181 SH      | SOLE |
| TEEKAY TANKERS LTD           | CL A           | Y8565N102 | 11  | 3000 SH     | SOLE |