

MARRIA MOHIT
Form 4
February 21, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MARRIA MOHIT

2. Issuer Name and Ticker or Trading Symbol
CHIMERA INVESTMENT CORP
[CIM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

 Director 10% Owner
 Officer (give title below) Other (specify below)
Chief Investment Officer

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
02/16/2018

C/O: CHIMERA INVESTMENT CORPORATION, 520 MADISON AVENUE, 32ND FLOOR

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

NEW YORK, NY 10022

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|--|---|--|---|
| Common Stock | 02/16/2018 | | A ⁽¹⁾ | V Amount (A) or (D) Price 32,468 ⁽²⁾ A \$ 0 ⁽³⁾ | 151,642 ⁽⁴⁾ | D | |
| Common Stock | 02/16/2018 | | F | 1,800 ⁽⁵⁾ D \$ 17.64 | 149,842 | D | |
| Common Stock | | | | | 29,236 | I | By 401(k) Plan |
| 8.00% Series A | | | | | 3,000 | I | By Spouse |

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- (2) The reporting person does not intend to report the vesting of the RSUs nor the delivery of the shares of common stock.
- (3) The reporting person elected to defer share settlement until separation of service.

Each RSU has the economic equivalent of one share of Chimera common stock. Dividend equivalent rights issued on RSUs are included

- (4) in the reporting person's common stock holding balance. Each dividend equivalent right is the economic equivalent of one share of Chimera common stock.
- (5) Shares reported were withheld for payment of taxes associated with the vesting of prior grants of restricted stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.