REGENERON PHARMACEUTICALS INC

Form 4

January 13, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

2. Issuer Name and Ticker or Trading

Symbol

REGENERON

OMB 3235-0287

OMB APPROVAL

Number: Expires:

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

January 31,

2005

0.5

Estimated average

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

Sanofi

(Print or Type Responses)

1. Name and Address of Reporting Person *

| | | | PHARMACEUTICALS INC [REGN] | | | | | Director | 10% | 10% Owner | | |
|--------------------------------------|---|---|--|---------------------------------------|--------------------------|--|---------------------|--|--|---|--|--|
| (Last) | ` ' | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) | | | | bel | Officer (give tit | le Other below) | Other (specify | | |
| 54 RUE LA BOETIE | | | 01/11/2017 | | | | | | | | | |
| | | | | | | Individual or Joint/Group Filing(Check | | | | | | |
| | | | Filed(M | | | | | | pplicable Line) K_ Form filed by One Reporting Person | | | |
| PARIS, I0 | 75008 | | | | | | | Form filed by More than One Reporting Person | | | | |
| (City) | (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | e 2A. Deem Execution any (Month/Da | Date, if | 3. Transacti Code (Instr. 8) | orDisposed (Instr. 3, | of (D) |) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common Stock | 01/11/2017 | | | P | 1,592 (1) (2) | A | \$ 362.4664 (1) (3) | 23,419,988 | I | See note | | |
| Common Stock | 01/11/2017 | | | P | 6,546 (1) (2) | A | \$ 363.3534 (1) (5) | 23,426,534 | I | See note | | |
| Common Stock | 01/11/2017 | | | P | 13,226 (1) (2) | A | \$ 364.3224 (1) (6) | 23,439,760 | I | See note (4) | | |
| | 01/11/2017 | | | P | | A | | 23,459,618 | I | | | |

| Common Stock | | | 19,858 (1) (2) | | \$ 365.2018 (1) (7) | | | See note |
|-----------------|------------|---|---------------------------|---|------------------------|------------|---|--------------|
| Common Stock | 01/11/2017 | P | 3,979 (1) (2) | A | \$ 366.0528 (1) (8) | 23,463,597 | I | See note (4) |
| Common Stock | 01/11/2017 | P | 1,986 (1) (2) | A | \$ 367.2911 (1) (9) | 23,465,583 | I | See note (4) |
| Common Stock | 01/11/2017 | P | 2,336 (1) (2) | A | \$ 368.3472 (1) (10) | 23,467,919 | I | See note (4) |
| Common Stock | 01/11/2017 | P | 2,517 (1) (2) | A | \$ 369.3 (1) (11) | 23,470,436 | I | See note |
| Common Stock | 01/11/2017 | P | 900 <u>(1)</u> <u>(2)</u> | A | \$ 370.1789 (1) (12) | 23,471,336 | I | See note (4) |
| Common Stock | 01/11/2017 | P | 1,259 (1) (2) | A | \$ 371.5346 (1) (13) | 23,472,595 | I | See note (4) |
| Common Stock | 01/11/2017 | P | 2,675 (1) (2) | A | \$ 372.8741 (1) (14) | 23,475,270 | I | See note (4) |
| Common Stock | 01/11/2017 | P | 2,784 (1) (2) | A | \$ 373.9018 (1) (15) | 23,478,054 | I | See note (4) |
| Common Stock | 01/11/2017 | P | 3,830 (1) (2) | A | \$ 374.5922 (1) (16) | 23,481,884 | I | See note (4) |
| Common Stock | 01/11/2017 | P | 3,016 (1) (2) | A | \$ 375.8789 (1) (17) | 23,484,900 | I | See note (4) |
| Common Stock | 01/11/2017 | P | 3,851 (1) (2) | A | \$ 376.7488 (1) (18) | 23,488,751 | I | See note (4) |
| Common Stock | 01/11/2017 | P | 5,313 (1) (2) | A | \$ 377.8368 (1) (19) | 23,494,064 | I | See note (4) |
| Common Stock | 01/11/2017 | P | 9,520 (1) (2) | A | \$ 378.7635 (1) (20) | 23,503,584 | I | See note (4) |
| Common Stock | 01/11/2017 | P | 2,110 (1) (2) | A | \$ 379.5636 (1) (21) | 23,505,694 | I | See note (4) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exerc | cisable and | 7. Titl | e and | 8. Price of | 9 |
|--|------------------------------|-------------|---------------------|--------------------|------------|------------|-------------------------------------|-------------|------------|---------------------|-------------|---|
| | Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | onNumber | Expiration Date (Month/Day/Year) | | Amount of | | Derivative | J |
| | Security | or Exercise | | any | Code | of | | | Underlying | Security (Instr. 5) | , | |
| | (Instr. 3) P | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | | | Securities | |] | |
| | | Derivative | | | | | Securities | | | 3 and 4) | | (|
| | | Security | | | | Acquired | | | | | |] |
| | | | | | | (A) or | | | | | | J |
| | | | | | | Disposed | | | | | | - |
| | | | | | | of (D) | | | | | | (|
| | | | | | | (Instr. 3, | | | | | | |
| | | | | | | 4, and 5) | | | | | | |
| | | | | | | | | | | Amount | | |
| | | | | | | | | | | or | | |
| | | | | | | | Date | Expiration | | Number | | |
| | | | | | | | Exercisable | Date | 11110 | of | | |
| | | | | Code V | (A) (D) | | | | Shares | | | |
| | | | | | Code v | (A) (D) | | | | Shares | | |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Sanofi 54 RUE LA BOETIE PARIS, IO 75008

Signatures

/s/ Alexandra Roger, attorney-in-fact

01/13/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The number of securities reported represents an aggregate number of shares purchased in multiple market transactions over a range of purchase prices. The price reported represents the weighted average price per share. The Reporting Person undertakes to provide the staff of the SEC, the Issuer, or a stockholder of the Issuer, upon request, the number of shares purchased by the Reporting Person at
- each separate price within the range.
- (2) Represents shares acquired directly by sanofi-aventis Amerique du Nord ("SAAN").
- (3) Purchase prices range from \$361.76 to \$362.71 per share, inclusive.
- (4) Indirectly owned through (a) SAAN, a direct, wholly-owned subsidiary of Sanofi, and (b) Aventis Pharmaceuticals Inc. ("Aventis"), an indirect, wholly-owned subsidiary of SAAN. After giving effect to all acquisitions reported on this Form 4, the number of shares

Reporting Owners 3

beneficially owned directly by SAAN and Aventis was 20,706,142 shares and 2,799,552 shares, respectively. Pursuant to the Amended and Restated Investor Agreement, dated as of January 11, 2014, by and among Sanofi, SAAN, sanofi-aventis US LLC, Aventis (collectively, the "Sanofi Parties") and the Issuer, the Sanofi Parties have agreed to vote their respective shares of the Issuer, subject to specified exceptions, in accordance with the recommendation of the Issuer's Board of Directors.

- (5) Purchase prices range from \$362.80 to \$363.79 per share, inclusive.
- (6) Purchase prices range from \$363.80 to \$364.77 per share, inclusive.
- (7) Purchase prices range from \$364.80 to \$365.79 per share, inclusive.
- (8) Purchase prices range from \$365.80 to \$366.73 per share, inclusive.
- (9) Purchase prices range from \$366.83 to \$367.81 per share, inclusive.
- (10) Purchase prices range from \$367.84 to \$368.78 per share, inclusive.
- (11) Purchase prices range from \$368.84 to \$369.79 per share, inclusive.
- (12) Purchase prices range from \$369.87 to \$370.59 per share, inclusive.
- (13) Purchase prices range from \$371.05 to \$372.02 per share, inclusive.
- (14) Purchase prices range from \$372.29 to \$373.27 per share, inclusive.
- (15) Purchase prices range from \$373.30 to \$374.28 per share, inclusive.
- (16) Purchase prices range from \$374.31 to \$375.24 per share, inclusive.
- (17) Purchase prices range from \$375.36 to \$376.34 per share, inclusive.
- (18) Purchase prices range from \$376.36 to \$377.34 per share, inclusive.
- (19) Purchase prices range from \$377.36 to \$378.35 per share, inclusive.
- (20) Purchase prices range from \$378.37 to \$379.36 per share, inclusive.
- (21) Purchase prices range from \$379.38 to \$379.80 per share, inclusive.

Remarks:

Exhibit 24 - Power of Attorney (incorporated herein by reference to Exhibit 24 to Form 4 filed by the Reporting Person with the Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.