REGENERON PHARMACEUTICALS INC

Form 4 July 03, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average

0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

burden hours per response...

See Instruction 1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Sanofi | | | 2. Issuer Name and Ticker or Trading Symbol REGENERON | 5. Relationship of Reporting Person(s) to Issuer | | | |
|--|---|--|--|---|--|--|--|
| | | | PHARMACEUTICALS INC | (Check all applicable) | | | |
| | | | [REGN] | DirectorX 10% Owner | | | |
| (Last) | , | | 3. Date of Earliest Transaction | Officer (give title Other (specify below) | | | |
| | D 0 D TT | | (Month/Day/Year) | | | | |
| 54, RUE LA | BOETIE | | 07/01/2014 | | | | |
| | (Street) | | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | | |
| | | | Filed(Month/Day/Year) | Applicable Line) | | | |
| D. D. C. T. C. | | | | _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| PARIS IN 7 | '500X | | | remi med by more than one reporting | | | |

PARIS, I0 75008

(State)

(Zip)

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Person

| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Transaction Date 2A. Deemed Month/Day/Year) Execution Date, if any (Month/Day/Year) | | | of (D) | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--------------------------------------|--------------------------------------|---|--------|---------------------------|------------------|----------------------|--|---|---|
| | | | Code V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | (Instr. 4) | |
| Common Stock | 07/01/2014 | | P | 600 <u>(1)</u> <u>(2)</u> | A | \$ 285.89 (1) (3) | 20,471,841 | I | See note |
| Common Stock | 07/01/2014 | | P | 930 (1) | A | \$ 287.0772 (1) (5) | 20,472,771 | I | See note (4) |
| Common Stock | 07/01/2014 | | P | 543 <u>(1)</u> <u>(2)</u> | A | \$ 288.2412 (1) (6) | 20,473,314 | I | See note (4) |
| Common Stock | 07/01/2014 | | P | 689 <u>(1)</u> <u>(2)</u> | A | \$ 289.3166 | 20,474,003 | I | See note |

| | | | | | <u>(1)</u> <u>(7)</u> | | | |
|-----------------|------------|---|-------------------|---|---------------------------|------------|---|--------------|
| Common Stock | 07/01/2014 | P | 3,513 (1) (2) | A | \$ 292.9795 (1) (8) | 20,477,516 | I | See note (4) |
| Common Stock | 07/01/2014 | P | 5,001 (1) (2) | A | \$ 294.2568 (1) (9) | 20,482,517 | I | See note (4) |
| Common Stock | 07/01/2014 | P | 11,155 (1) (2) | A | \$ 295.0946 (1) (10) | 20,493,672 | I | See note (4) |
| Common Stock | 07/01/2014 | P | 10,463 (1) (2) | A | \$ 296.3817 (1) (11) | 20,504,135 | I | See note (4) |
| Common Stock | 07/01/2014 | P | 20,472 (1) (2) | A | \$ 297.2576 (1) (12) | 20,524,607 | I | See note (4) |
| Common Stock | 07/01/2014 | P | 8,238 (1) (2) | A | \$ 298.1332 (1) (13) | 20,532,845 | I | See note (4) |
| Common Stock | 07/01/2014 | P | 16,683 (1) (2) | A | \$ 299.1603 (1) (14) | 20,549,528 | I | See note (4) |
| Common Stock | 07/01/2014 | P | 18,074 (1) (2) | A | \$ 300.2167 (1) (15) | 20,567,602 | I | See note (4) |
| Common Stock | 07/01/2014 | P | 39,530 (1) (2) | A | \$ 301.2295 (1) (16) | 20,607,132 | I | See note (4) |
| Common Stock | 07/01/2014 | P | 11,932 (1) (2) | A | \$ 302.376 (1) (17) | 20,619,064 | I | See note (4) |
| Common Stock | 07/01/2014 | P | 42,419 (1) (2) | A | \$ 303.2385 (1) (18) | 20,661,483 | I | See note (4) |
| Common Stock | 07/01/2014 | P | 22,158 (1) (2) | A | \$ 304.0121 (1) (19) | 20,683,641 | I | See note (4) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exerc | cisable and | 7. Titl | e and | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|------------|-----------------|---------------|-------------|---------|----------|-------------|--------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | onNumber | Expiration D | ate | Amou | nt of | Derivative | Deriv |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Under | lying | Security | Secui |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | Securi | ties | (Instr. 5) | Bene |
| | Derivative | | | | Securities | | | (Instr. | 3 and 4) | | Owne |
| | Security | | | | Acquired | | | | | | Follo |
| | • | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | · |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | Amount | | |
| | | | | | | Date | Expiration | | or | | |
| | | | | | | Exercisable | Date | | Number | | |
| | | | | ~ | <i>(</i> 1) (5) | | | | of | | |
| | | | | Code V | (A) (D) | | | | Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|--|
| 1 | Director | 10% Owner | Officer | Other | | | | |
| Sanofi | | | | | | | | |
| 54, RUE LA BOETIE | | X | | | | | | |
| PARIS, I0 75008 | | | | | | | | |

Signatures

/s/ John Felitti, Associate Vice President, Corporate Law, Financial & Securities
Law

07/03/2014

Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The number of securities reported represents an aggregate number of shares purchased in multiple market transactions over a range of purchase prices. The price reported represents the weighted average price per share. The Reporting Person undertakes to provide the staff of the SEC, the Issuer, or a stockholder of the Issuer, upon request, the number of shares purchased by the Reporting Person at each separate price within the range.
- (2) Represents shares acquired directly by sanofi-aventis Amerique du Nord ("SAAN"). The shares were purchased pursuant to a plan intended to comply with Rule 10b5-1(c)(1)(i) under the Exchange Act, entered into with a broker on June 27, 2014.
- (3) Purchase prices range from \$285.55 to \$286.25 per share, inclusive.
 - Indirectly owned through (a) SAAN, a direct, wholly-owned subsidiary of Sanofi, and (b) Aventis Pharmaceuticals Inc. ("Aventis"), an indirect, wholly-owned subsidiary of SAAN. After giving effect to all acquisitions reported on this Form 4, the number of shares
- beneficially owned directly by SAAN and Aventis was 17,884,089 shares and 2,799,552 shares, respectively. Pursuant to the Amended and Restated Investor Agreement, dated as of January 11, 2014, by and among Sanofi, SAAN, sanofi-aventis US LLC, Aventis (collectively, the "Sanofi Parties") and the Issuer, the Sanofi Parties have agreed to vote their respective shares of the Issuer, subject to specified exceptions, in accordance with the recommendation of the Issuers Board of Directors.
- (5) Purchase prices range from \$286.69 to \$287.50 per share, inclusive...
- (6) Purchase prices range from \$287.74 to \$288.72 per share, inclusive.
- (7) Purchase prices range from \$289.00 to \$289.53 per share, inclusive.
- (8) Purchase prices range from \$292.50 to \$293.38 per share, inclusive.

Reporting Owners 3

- (9) Purchase prices range from \$293.67 to \$294.64 per share, inclusive.
- (10) Purchase prices range from \$294.68 to \$295.53 per share, inclusive.
- (11) Purchase prices range from \$295.70 to \$296.67 per share, inclusive.
- (12) Purchase prices range from \$296.72 to \$297.69 per share, inclusive.
- (13) Purchase prices range from \$297.72 to \$298.68 per share, inclusive.
- (14) Purchase prices range from \$298.73 to \$299.72 per share, inclusive.
- (15) Purchase prices range from \$299.73 to \$300.72 per share, inclusive.
- (16) Purchase prices range from \$300.73 to \$301.70 per share, inclusive.
- (17) Purchase prices range from \$301.79 to \$302.76 per share, inclusive.
- (18) Purchase prices range from \$302.80 to \$303.79 per share, inclusive.
- (19) Purchase prices range from \$303.80 to \$304.41 per share, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.