Edgar Filing: ALKALINE WATER Co INC - Form 3

ALKALINE WATER Co INC Form 3 October 25, 2013 UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

3235-0104 Number: January 31, Expires: 2005 Estimated average burden hours per response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> WRIGHT RICHARD A				Statement (Month/Day/Year)		3. Issuer Name and Ticker or Trading Symbol ALKALINE WATER Co INC [WTER]				
(La	st)	(First)	(Middle)	10/25/2013		4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
14301	NORT	H 87 STF	REET,						· · ·	
SUIT	E 119					(Check all applicable)				
(Street)				X	XDirector10% Owner XOfficerOther (give title below) (specify below) VP Secretary Treasurer		 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 			
SCOTTSDALE, AZ 85260										
(Ci	ty)	(State)	(Zip)	Table	I - Non-	Derivat	ive Securiti	es Bei	neficially Owned	
1.Title (Instr. 4	of Securit 4)	ty			ount of Sec cially Own 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	•	
Series	A Pref	erred Stoc	ck (1)	10,00	0,000		D	Â		
	-	rt on a separ r indirectly.		ch class of securities be	eneficially	S	EC 1473 (7-02)		
	Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.									

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Title	Derivative Security	Security: Direct (D)	

Edgar Filing: ALKALINE WATER Co INC - Form 3

		Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock Options	10/09/2013 <u>(2)</u>	10/09/2023	Common Stock	3,000,000	\$ 0.605	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	Director 10% Owner Officer		Other		
WRIGHT RICHARD A 14301 NORTH 87 STREET, SUITE 119 SCOTTSDALE, AZ 85260	X	Â	VP Secretary Treasurer	Â		

Signatures

/s/ Richard A. Wright	10/25/2013
<u>**</u> Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The Series A Preferred Stock has 10 votes per share and is not convertible into shares of common stock.

(2) The stock options vest as follows: (i) 1,000,000 on October 9, 2013; and (ii) 500,000 per quarter until fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.