Braun Michael H Form 4 April 10, 2012

## FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

if no longer subject to Section 16. Form 4 or

Check this box

January 31, Expires: 2005

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

Common

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Braun Michael H Issuer Symbol 21ST CENTURY HOLDING CO (Check all applicable) [TCHC] \_X\_\_ Director (Last) (First) (Middle) 3. Date of Earliest Transaction 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) 14050 N.W. 14 STREET, SUITE 04/06/2012 Chief Executive Officer & Pres 180 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SUNRISE, FL 33323 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Ownership (Month/Day/Year) (Instr. 8) Owned Indirect (I) **Following** (Instr. 4) (Instr. 4) Reported (A) Transaction(s)

or

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

D

(Instr. 3 and 4)

17,900

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: Braun Michael H - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount o Underlying Securities (Instr. 3 and 4) |                                    |
|---|---|---|---|---------------------------------------|---|--|--------------------|--|------------------------------------|
|   |   |   |   | Code V                                | (A) (D)   | Date Exercisable   | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of Share |
| Options   | \$ 4.4  | 04/06/2012                              |   | A                                     | 15,000  | 04/06/2013(2)  | 04/06/2022         | Common<br>Stock  | 15,000                             |
| Options   | \$ 16.59  |   |   |                                       |   | 10/25/2008(1)  | 10/25/2013         | Common<br>Stock  | 5,000                              |
| Options   | \$ 14.36  |   |   |                                       |   | 11/08/2008(1)  | 11/08/2013         | Common<br>Stock  | 20,000                             |
| Options   | \$ 13.17  |   |   |                                       |   | 12/06/2008(1)  | 12/06/2013         | Common<br>Stock  | 500                                |
| Options   | \$ 12.58  |   |   |                                       |   | 01/30/2009(1)  | 01/30/2014         | Common<br>Stock  | 4,500                              |
| Options   | \$ 8.32   |   |   |                                       |   | 07/01/2009(1)  | 07/01/2014         | Common<br>Stock  | 40,000                             |
| Options   | \$ 4.59   |   |   |                                       |   | 12/12/2009(2)  | 12/12/2018         | Common<br>Stock  | 500                                |
| Options   | \$ 4.73   |   |   |                                       |   | 01/02/2010(1)  | 01/02/2015         | Common<br>Stock  | 40,000                             |
| Options   | \$ 4.36   |   |   |                                       |   | 03/03/2011(1)  | 03/03/2020         | Common<br>Stock  | 15,000                             |
| Options   | \$ 2.45   |   |   |                                       |   | 08/22/2012 <u>(2)</u>                                    | 08/22/2021         | Common<br>Stock  | 10,000                             |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                                |       |  |  |
|---|---------------|-----------|--------------------------------|-------|--|--|
| <b>Fg</b>   | Director      | 10% Owner | Officer                        | Other |  |  |
| Braun Michael H<br>14050 N.W. 14 STREET<br>SUITE 180<br>SUNRISE, FL 33323 | X             |           | Chief Executive Officer & Pres |       |  |  |

## **Signatures**

/s/ Michael H.

Braun 04/10/2012

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\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest 20% per year.
- (2) Options vest 33 1/3% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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