

FAISON RALPH E
Form 4
February 23, 2012

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
FAISON RALPH E

(Last) (First) (Middle)

C/O PULSE ELECTRONICS
CORPORATION, 12220 WORLD
TRADE DRIVE

(Street)

SAN DIEGO, CA 92128

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
PULSE ELECTRONICS CORP
[PULS]

3. Date of Earliest Transaction
(Month/Day/Year)
02/21/2012

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman, President and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$0.125 par value per share ⁽¹⁾	02/21/2012		S	9	D	\$ 3.0167 ⁽²⁾	151,198 D
Common Stock, \$0.125 par value per share ⁽¹⁾	02/23/2012		S	791	D	\$ 3.0412 ⁽³⁾	150,407 D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Report Transaction (Instr. 10)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

FAISON RALPH E
C/O PULSE ELECTRONICS CORPORATION
12220 WORLD TRADE DRIVE
SAN DIEGO, CA 92128

X

Chairman, President and CEO

Signatures

/s/ Ralph E.
Faison

02/23/2012

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) As previously disclosed by the Issuer in a Current Report on Form 8-K filed with the Commission on November 17, 2011, these shares are being sold pursuant to the reporting person's written trading plan pursuant to Rule 10b5-1 of the Securities Exchange Act of 1934, as amended, which plan was entered into for the purpose of financing the payment of applicable withholding taxes on the issuance of certain shares to the reporting person.

(2) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$3.01 to \$3.02 per share pursuant to the reporting person's 10b5-1 Plan. The reporting person undertakes to provide to Pulse Electronics Corporation, any security holder of Pulse Electronics Corporation, or the staff of the Securities and Exchange Commission, upon request,

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full information regarding the number of shares sold by him at each separate price on February 21, 2012.

- (3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$3.01 to \$3.06 per share pursuant to the reporting person's 10b5-1 Plan. The reporting person undertakes to provide to Pulse Electronics Corporation, any security holder of Pulse Electronics Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold by him at each separate price on February 23, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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