Edgar Filing: WMS INDUSTRIES INC /DE/ - Form 4

WMS INDUSTRIES INC /DE/ Form 4 December 04, 2007 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **Barten** Patricia Issuer Symbol WMS INDUSTRIES INC /DE/ (Check all applicable) [WMS] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner _X__ Officer (give title Other (specify (Month/Day/Year) below) below) C/O WMS INDUSTRIES INC., 800 11/30/2007 Sr. VP Sup. Chain & Bus. Proc S. NORTHPOINT BLVD. (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting WAUKEGAN, IL 60085 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 1.Title of 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned Ownership (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V (D) Price Amount Common \$ 11/30/2007 19,000 Μ А $22,589^{(1)}$ D Stock 18.47 Common 11/30/2007 S 100 D 22,489 (1) D Stock 33.26 Common 11/30/2007 S 100 D 22,389 (1) D 33.27 Stock

S

S

100

200

D

D

33.29

22,289 (1)

\$ 33.3 22,089 (1)

D

D

Common

Common

Stock

Stock

11/30/2007

11/30/2007

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Common Stock	11/30/2007	S	500	D	\$ 33.31	21,589 <u>(1)</u>	D
Common Stock	11/30/2007	S	100	D	\$ 33.35	21,489 <u>(1)</u>	D
Common Stock	11/30/2007	S	400	D	\$ 33.37	21,089 <u>(1)</u>	D
Common Stock	11/30/2007	S	4,400	D	\$ 33.4	16,689 <u>(1)</u>	D
Common Stock	11/30/2007	S	500	D	\$ 33.41	16,189 <u>(1)</u>	D
Common Stock	11/30/2007	S	500	D	\$ 33.42	15,689 <u>(1)</u>	D
Common Stock	11/30/2007	S	900	D	\$ 33.44	14,789 <u>(1)</u>	D
Common Stock	11/30/2007	S	4,000	D	\$ 33.45	10,789 <u>(1)</u>	D
Common Stock	11/30/2007	S	600	D	\$ 33.46	10,189 <u>(1)</u>	D
Common Stock	11/30/2007	S	100	D	\$ 33.47	10,089 (1)	D
Common Stock	11/30/2007	S	1,200	D	\$ 33.48	8,889 <u>(1)</u>	D
Common Stock	11/30/2007	S	1,900	D	\$ 33.5	6,989 <u>(1)</u>	D
Common Stock	11/30/2007	S	100	D	\$ 33.51	6,889 <u>(1)</u>	D
Common Stock	11/30/2007	S	300	D	\$ 33.52	6,589 <u>(1)</u>	D
Common Stock	11/30/2007	S	2,700	D	\$ 33.54	3,889 <u>(1)</u>	D
Common Stock	11/30/2007	S	200	D	\$ 33.55	3,689 <u>(1)</u>	D
Common Stock	11/30/2007	S	100	D	\$ 33.58	3,589 <u>(1)</u>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed o (D) (Instr. 3, 4, and 5)	ve Expiration Date s (Month/Day/Year) l (A) sed of		Underlying Securities		8 E S (1
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 18.47	11/30/2007		М	19,000	(2)	09/29/2015	Common Stock	19,000	

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Barten Patricia C/O WMS INDUSTRIES INC. 800 S. NORTHPOINT BLVD. WAUKEGAN, IL 60085			Sr. VP Sup. Chain & Bus. Proc				
Signatures							

/s/ Patricia Barten <u>**</u>Signature of Reporting Person L2/04/2007 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Consists of 3,589 shares of restricted stock, restrictions on 897 shares will lapse on 9/19/2008, on 9/19/2009, on 9/19/2010 and on 898 shares on 9/19/2011.
- (2) 18,750 shares vested on 9/28/2006 and 250 shares vested on 9/28/2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.