Edgar Filing: ACCURAY INC - Form 4

| ACCURAY II Form 4 | | | | | | | | | | | | |
|---|-------------------------------------|---|----------------------------------|---|------------------------|-----------------------|--------------------|---|--|---|--|--|
| November 22, FORM Check this if no longe | 4 UNITED | UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | | |
| subject to Section 16 Form 4 or Form 5 obligations may contin <i>See</i> Instruc 1(b). | Filed pu Section 17 | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | Estimated average burden hours per response 0. | | |
| (Print or Type Re | esponses) | | | | | | | | | | | |
| 1. Name and Address of Reporting Person _ 2. Issu PETTINGILL RICHARD Symbol | | | | Name and ' | Ticker or 7 | Fradin | g | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| ACCU | | | | AY INC | | | | (Check all applicable) | | | | |
| (Last) (First) (Middle) 3. Date of (Month/Da 1310 CHESAPEAKE TERRACE 11/21/20 | | | | - | nsaction | | | X_ Director10% Owner Officer (give title Other (specify below) below) | | | | |
| | | | | endment, Date Original nth/Day/Year) | | | | 6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person | | | | |
| SUNNYVAL | LE, CA 94089 | | | | | | | Form filed by M Person | More than One Ro | eporting | | |
| (City) | (State) | (Zip) | Table | I - Non-De | erivative S | Securi | ties Ac | quired, Disposed o | f, or Beneficia | lly Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Da (Month/Day/Yea | r) Executionany | emed on Date, if Day/Year) | 3.4. SecuritiesTransactionAcquired (A) orCodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5) | | | | Securities Beneficially Owned | 6. Ownership Form: Direct D) or Indirect (I) Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common Stock | 11/21/2016 | | | Code V F | Amount 6,818 (1) | (A) or (D) D | Price \$ 5.3 | Transaction(s) (Instr. 3 and 4) | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transact Code (Instr. 8) | ionNumber Expiration of (Month/I | | Expiration D (Month/Day/ e | Date Exercisable and 7. Title and piration Date Amount of Underlyin Securities (Instr. 3 a | | int of rlying ities | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr |
|---|---|---|--------------------------------------|-------------------------------------|--|----------------------------------|--|-------|--|---|--|
| | | | Code V | 4, an 7 (A) | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | | Relationsh | | | | | |
|--|----------|------------|---------|-------|--|--|--|
| 1 | Director | 10% Owner | Officer | Other | | | |
| PETTINGILL RICHARD 1310 CHESAPEAKE TERRACE SUNNYVALE, CA 94089 | Х | | | | | | |
| Signatures | | | | | | | |
| By: Kristen Howells For: Richard Pettingill | R. | 11/2 | | | | | |
| **Signature of Reporting Person | | Ι | Date | | | | |
| Explanation of Resp | oonse | es: | | | | | |

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These shares were forfeited due to a cash settlement election made by the director in the grant agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.