Mack Mary T Form 4 March 19, 2019

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Mack Mary T

2. Issuer Name and Ticker or Trading

Symbol

WELLS FARGO & COMPANY/MN [WFC]

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

03/15/2019

301 SOUTH COLLEGE STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

Director 10% Owner Other (specify \_X\_\_ Officer (give title

below)

Sr. Executive Vice President 6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### CHARLOTTE, NC 28202

(City)	(State)	(Zip) Ta	ble I - Non	-Derivative Secu	rities	Acquired	, Disposed of, or	Beneficially (	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or ioiDisposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)	(msu. 1)	
Common Stock, \$1 2/3 Par Value	03/15/2019		M	49,666.7835 (1)	A	\$ 0	74,253.7835	D	
Common Stock, \$1 2/3 Par Value	03/15/2019		F	22,201.7835	D	\$ 50.66	52,052	D	
Common Stock, \$1 2/3 Par Value	03/15/2019		M	2,174.3824 (2)	A	\$ 0	54,226.3824	D	

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Common Stock, \$1 2/3 Par Value	03/15/2019	F	921.3824	D	\$ 50.66	53,305	D	
Common Stock, \$1 2/3 Par Value	03/15/2019	M	1,350.4762 (3)	A	\$ 0	54,655.4762	D	
Common Stock, \$1 2/3 Par Value	03/15/2019	F	572.4762	D	\$ 50.66	54,083	D	
Common Stock, \$1 2/3 Par Value						33,434.91 (4)	I	Through 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	TransactionDerivative Securities Code Acquired (A) or		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
	·			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo Nun Shar
2016 Performance Shares	<u>(5)</u>	03/15/2019		M	` /	49,666.7835	<u>(6)</u>	<u>(6)</u>	Common Stock, \$1 2/3 Par Value	49,
Restricted Share Right	<u>(7)</u>	03/15/2019		M		2,174.3824	<u>(8)</u>	(8)	Common Stock, \$1 2/3 Par Value	2,
Restricted Share Right	<u>(7)</u>	03/15/2019		M		1,350.4762	<u>(9)</u>	<u>(9)</u>	Common Stock, \$1 2/3 Par Value	1,3

(9-02)

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## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Mack Mary T 301 SOUTH COLLEGE STREET CHARLOTTE, NC 28202

Sr. Executive Vice President

## **Signatures**

Mary T. Mack, by Anthony R. Augliera, as Attorney-in-Fact

03/19/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares represent common stock of Wells Fargo & Company (the "Company") acquired on March 15, 2019 upon settlement of a (1) Performance Share award granted on February 23, 2016 for the three-year performance period ended December 31, 2018 (as previously disclosed on a Form 4 filed on February 28, 2019).
- (2) Number of shares represents a Restricted Share Right ("RSR") vesting on 3/15/2019. Original grant date was 2/23/2016. This vesting represents one-third of the original amount of RSRs granted (plus dividend equivalents reinvested in additional RSRs).
- (3) Number of shares represents a RSR vesting on 3/15/2019. Original grant date was 2/28/2017. This vesting represents one-third of the original amount of RSRs granted (plus dividend equivalents reinvested in additional RSRs).
- (4) Reflects share equivalent of units in the Wells Fargo ESOP Fund and Wells Fargo Non-ESOP Fund under the 401(k) Plan (the "Plan") as of February 28, 2019, as if investable cash equivalents held by Plan were fully invested in Company common stock.
- (5) Each Performance Share represents a contingent right to receive one share of Company common stock upon vesting based on the attainment of pre-established performance goals.
- Represents the final number of 2016 Performance Shares earned for the three-year performance period ended December 31, 2018. The

  2016 Performance Shares will be settled in shares of common stock of the Company on March 15, 2019. As a condition to receiving the award, the reporting person agreed to hold, while employed by the Company and for at least one year after retirement, shares of Company common stock equal to at least 50% of the after-tax shares (assuming a 50% tax rate) acquired upon vesting.
- (7) Each RSR represents a contingent right to receive one share of Company common stock.
- These RSRs vest in three installments: one-third on 3/15/2017, 3/15/2018, and 3/15/2019. As a condition to receiving the grant, the reporting person agreed to hold, while employed by the Company and for at least one year after retirement, shares of Company common stock equal to at least 50% of the after-tax shares (assuming a 50% tax rate) acquired upon vesting. These RSRs were granted to the reporting person as part of the reporting person's 2015 annual incentive compensation award.
- These RSRs vest in three installments: one-third on 3/15/2018, 3/15/2019, and 3/15/2020. As a condition to receiving the grant, the reporting person agreed to hold, while employed by the Company and for at least one year after retirement, shares of Company common stock equal to at least 50% of the after-tax shares (assuming a 50% tax rate) acquired upon vesting. These RSRs were granted to the reporting person as part of the reporting person's 2016 annual incentive compensation award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3