## Edgar Filing: Bessant Catherine P - Form 4

Bessant Cat Form 4	herine P											
February 20	), 2019											
FORM		STATES	SECU	DITTE	<b>c</b> /			NCEC	OMMISSION	r	APPROVAL	
	UNITED	SIAIES						NGE U	UNIN11551UN	OMB Number:	3235-0287	
Check th if no lor subject to Section Form 4 Form 5 obligation may cor <i>See</i> Inst 1(b).	nger to 16. or Filed pur ons ntinue.	suant to S a) of the F	Washington, D.C. 20549 OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section ) of the Investment Company Act of 1940								January 31, 2005 Estimated average burden hours per response 0.5	
(Print or Type	Responses)											
1. Name and a Bessant Ca	Address of Reporting therine P		Symbol			<b>d</b> Ticker or ERICA C			5. Relationship of Issuer (Chec	Reporting Pe		
(Last) 100 NORT	3. Date of (Month/I 02/15/2	Day/Yea		ransaction			Director 10% Owner X Officer (give title Other (specify below) Chief Ops & Tech Officer					
				mendment, Date Original /lonth/Day/Year)					<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
CHARLOT	FTE, NC 28255								Person	Aore than One I	Reporting	
(City)	(State)	(Zip)	Tab	le I - N	o <b>n-</b> ]	Derivative	Secur	ities Acq	uired, Disposed of	f, or Benefici	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	. Transaction Date 2A. Deemed Month/Day/Year) Execution Date, any (Month/Day/Yea			ectio 8) V	4. Securiti or(A) or Dis (Instr. 3, 4 Amount	posed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/15/2019			М		44,842	А	\$ 0 <u>(1)</u>	159,790	I	Revocable Trust	
Common Stock	02/15/2019			F		20,212 (2)	D	\$ 29.11	139,578	I	Revocable Trust	
Common Stock	02/15/2019			М		27,480	A	\$ 0 <u>(1)</u>	167,058	I	Revocable Trust	
Common Stock	02/15/2019			F		12,390 (2)	D	\$ 29.11	154,668	I	Revocable Trust	
Common Stock	02/15/2019			М		22,493	А	\$ 0 <u>(1)</u>	177,161	I	Revocable Trust	

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Common Stock	02/15/2019	F	10,152 (2)	D	\$ 29.11	167,009	Ι	Revocable Trust
Common Stock						471,389.52	D	
Common Stock						8,289.95	Ι	401(k) Plan
Common Stock						1,250	Ι	UTMA Trust 1
Common Stock						1,250	Ι	UTMA Trust 2

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
2016 Restricted Stock Units	<u>(1)</u>	02/15/2019		М		44,842	(3)	02/15/2019	Common Stock	44,842
2017 Restricted Stock Units	<u>(1)</u>	02/15/2019		М		27,480	<u>(4)</u>	02/15/2020	Common Stock	27,480
2018 Restricted Stock Units	<u>(1)</u>	02/15/2019		М		22,493	(5)	02/15/2021	Common Stock	22,493

# **Reporting Owners**

Reporting Owner Name / Address	Relationships								
1 0	Director	10% Owner	Officer	Other					
Bessant Catherine P 100 NORTH TRYON STREET CHARLOTTE, NC 28255			Chief Ops & Tech Officer						
Signatures									
Catherine P. Bessant/Amanda D. Daniel POA		02/	20/2019						
**Signature of Reporting Person			Date						
Explanation of Responses:									

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each unit represents a contingent right to receive one share of Bank of America Corporation common stock.
- (2) Disposition of shares to the issuer to satisfy a tax withholding obligation.
- (3) On February 12, 2016, the reporting person was granted units, vesting in three equal annual installments commencing on February 15, 2017.
- (4) On February 15, 2017, the reporting person was granted units, vesting in three equal annual installments commencing on February 15, 2018.
- (5) On February 15, 2018, the reporting person was granted units, vesting in three equal annual installments commencing on February 15, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.