

RANKIN ALFRED M ET AL  
Form 4  
January 02, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RANKIN ALFRED M ET AL

2. Issuer Name and Ticker or Trading Symbol  
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
5875 LANDERBROOK DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
12/28/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman

MAYFIELD HEIGHTS, OH 44124  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4)                                       |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                 |                                      |  |                                | (A) or (D) Code V Amount (D) Price                                |   |  | Reporting Person Serves as Trustee for GSTs for the Benefit of Clara R. Williams |
| Class A Common Stock            | 12/28/2018                           |  | P                              | 95 <sup>(1)</sup> A \$ 61.09 <sub>(2)</sub>                       | 112,716   | I  | Reporting Person Serves as Trustee for GSTs for the Benefit of Clara R. Williams |
| Class A Common Stock            | 12/28/2018                           |  | P                              | 94 <sup>(1)</sup> A \$ 61.63 <sub>(3)</sub>                       | 112,810   | I  | Reporting Person Serves as Trustee for GSTs for the Benefit of                   |

|                            |            |   |                     |   |                        |         |   |  |
|----------------------------|------------|---|---------------------|---|------------------------|---------|---|--|
| Class A<br>Common<br>Stock | 12/28/2018 | P | 94 <u>(1)</u>       | A | \$ <u>61.63</u><br>(3) | 112,810 | I | Helen R.<br>Butler<br><br>Reporting<br>Person Serves<br>as Trustee for<br>GSTs for the<br>Benefit of<br>Clara R.<br>Williams |
| Class A<br>Common<br>Stock | 12/28/2018 | P | 1 <u>(1)</u>        | A | \$ <u>61.63</u><br>(3) | 246     | I | Represents the<br>proportionate<br>interest in<br>shares held by<br>RAVI   |
| Class A<br>Common<br>Stock | 12/28/2018 | P | 2 <u>(1)</u>        | A | \$ <u>61.63</u><br>(3) | 534     | I | Spouse's<br>proportionate<br>interest in<br>shares held by<br>RA VI  |
| Class A<br>Common<br>Stock | 12/28/2018 | P | 3 <u>(1)</u>        | A | \$ <u>61.63</u><br>(3) | 535     | I | Brother's<br>Proportionate<br>interest in<br>share held by<br>Rankin<br>Associates VI  |
| Class A<br>Common<br>Stock | 12/31/2018 | P | 301 <u>(1)</u>      | A | \$<br>61.9539          | 13,543  | I | Serves as<br>trustee of trust<br>for the benefit<br>of Clara<br>Rankin <u>(4)</u>  |
| Class A<br>Common<br>Stock | 12/31/2018 | P | 603 <u>(1)</u>      | A | \$<br>61.9539          | 126,909 | I | Reporting<br>Person serves<br>as Trustee of a<br>Trust for the<br>benefit of the<br>Alfred M.<br>Rankin, Jr.                 |
| Class A<br>Common<br>Stock | 12/31/2018 | P | 1,206<br><u>(1)</u> | A | \$<br>61.9539          | 75,194  | I | spouse serves<br>as Trustee of a<br>Trust for the<br>benefit of<br>Victoire G.<br>Rankin <u>(5)</u>                          |
| Class A<br>Common<br>Stock | 12/31/2018 | P | 904 <u>(1)</u>      | A | \$<br>61.9539          | 40,662  | I | Reporting<br>person serves<br>as Trustee of a<br>Trust for the   |

|                            |            |   |               |   |                        |         |   |  |
|----------------------------|------------|---|---------------|---|------------------------|---------|---|--|
| Class A<br>Common<br>Stock | 12/31/2018 | P | 1 <u>(1)</u>  | A | \$ 61.81<br><u>(6)</u> | 69      | I | benefit of<br>Bruce T.<br>Rankin <u>(5)</u><br><br>Proportionate<br>Interest in<br>shares held by<br>RA5 held by<br>Rankin<br>Management<br>Inc. |
| Class A<br>Common<br>Stock | 12/31/2018 | P | 83 <u>(1)</u> | A | \$ 61.81<br><u>(6)</u> | 14,601  | I | Serves as<br>Trustee of<br>Trust fbo<br>Clara Rankin<br>representing<br>interest in<br>shares held by<br>RA5                                     |
| Class A<br>Common<br>Stock | 12/31/2018 | P | 4 <u>(1)</u>  | A | \$ 61.81<br><u>(6)</u> | 585     | I | Represents the<br>proportionate<br>interest in<br>shares held by<br>RA5  |
| Class A<br>Common<br>Stock | 12/31/2018 | P | 84 <u>(1)</u> | A | \$ 61.81<br><u>(6)</u> | 112,894 | I | Reporting<br>Person Serves<br>as Trustee for<br>GSTs for the<br>Benefit of<br>Helen R.<br>Butler   |
| Class A<br>Common<br>Stock | 12/31/2018 | P | 84 <u>(1)</u> | A | \$ 61.81<br><u>(6)</u> | 112,894 | I | Reporting<br>Person Serves<br>as Trustee for<br>GSTs for the<br>Benefit of<br>Clara R.<br>Williams   |
| Class A<br>Common<br>Stock | 12/31/2018 | P | 30 <u>(1)</u> | A | \$ 62.35<br><u>(7)</u> | 14,631  | I | Serves as<br>Trustee of<br>Trust fbo<br>Clara Rankin<br>representing<br>interest in<br>shares held by<br>RA5                                     |
| Class A<br>Common          | 12/31/2018 | P | 1 <u>(1)</u>  | A | \$ 62.35<br><u>(7)</u> | 586     | I | Represents the<br>proportionate  |

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|                      |        |   |  |  |
|----------------------|--------|---|--|--|
| Stock                |        |   |  | interest in shares held by RA5   |
| Class A Common Stock | 21,920 | I |  | Represents the proportionate limited partnership interest in shares held by AMR Associates LP        |
| Class A Common Stock | 14,752 | I |  | Held in an Individual Retirement Account for the benefit of the Reporting Person.                    |
| Class A Common Stock | 10,271 | I |  | proportionate LP interest in shares held by RA I in qualified annuity interest trust-benefit of AMR  |
| Class A Common Stock | 541    | I |  | Represents the proportionate limited partnership interest in shares held by Rankin Associates II, LP |
| Class A Common Stock | 19     | I |  | proportionate general partnership interest shares held by RAIV                                       |
| Class A Common Stock | 1,087  | I |  | Proportionate limited partnership interest in shares held by Rankin                                  |

|                            |        |   |  |
|----------------------------|--------|---|--|
| Class A<br>Common<br>Stock | 1,975  | I | Associates IV,<br>L.P<br><br>proportionate<br>LP interest in<br>shares of RA<br>II LP held by<br>Rankin<br>Management<br>Inc as general<br>partner |
| Class A<br>Common<br>Stock | 85     | I | Proportionate<br>Interest in<br>shares held by<br>RA6 held by<br>Rankin<br>Management<br>Inc.  |
| Class A<br>Common<br>Stock | 18,625 | I | Reporting<br>Person serves<br>as Trustee of<br>Trusts for the<br>benefit of the<br>Estate of<br>Alfred M.<br>Rankin. <u>(5)</u>                    |
| Class A<br>Common<br>Stock | 42,666 | I | proportionate<br>LP interest in<br>shares held by<br>RA I LP held<br>in trust for<br>benefit of<br>Bruce Rankin<br><u>(5)</u>                      |
| Class A<br>Common<br>Stock | 25,099 | I | proportionate<br>LP interest in<br>shares held by<br>RA II LP held<br>in trust for<br>benefit of<br>Bruce Rankin<br><u>(5)</u>                     |
| Class A<br>Common<br>Stock | 46,182 | I | Trustee of a<br>Trust for the<br>benefit of<br>Bruce T<br>Rankin,<br>Trust's   |

|                            |  |  |  |  |       |   |   |
|----------------------------|--|--|--|--|-------|---|---|
| Class A<br>Common<br>Stock |  |  |  |  | 9,600 | I | proportionate<br>interest of<br>RAIV <sup>(5)</sup><br><br>Reporting<br>Person serves<br>as Trustee of<br>Trusts for the<br>benefit of<br>each of<br>grantor's<br>grandchildren<br><u>(5)</u> |
|----------------------------|--|--|--|--|-------|---|---|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|
|  |  |                                      |  |                                |   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares |
|  |  |                                      |  |                                |   | Code   | V   | (A)  | (D)                        |
| Class B<br>Common<br>Stock                 | <u>(8)</u>   |                                      |  |                                |   | <u>(8)</u>   | <u>(8)</u>  | Class A<br>Common<br>Stock                 | 25,524                     |
| Class B<br>Common<br>Stock                 | <u>(8)</u>   |                                      |  |                                |   | <u>(8)</u>   | <u>(8)</u>  | Class A<br>Common<br>Stock                 | 14,160                     |

|                            |            |            |            |                            |        |
|----------------------------|------------|------------|------------|----------------------------|--------|
| Class B<br>Common<br>Stock | <u>(8)</u> | <u>(8)</u> | <u>(8)</u> | Class A<br>Common<br>Stock | 17,556 |
| Class B<br>Common<br>Stock | <u>(8)</u> | <u>(8)</u> | <u>(8)</u> | Class A<br>Common<br>Stock | 18,373 |
| Class B<br>Common<br>Stock | <u>(8)</u> | <u>(8)</u> | <u>(8)</u> | Class A<br>Common<br>Stock | 541    |
| Class B<br>Common<br>Stock | <u>(8)</u> | <u>(8)</u> | <u>(8)</u> | Class A<br>Common<br>Stock | 31     |
| Class B<br>Common<br>Stock | <u>(8)</u> | <u>(8)</u> | <u>(8)</u> | Class A<br>Common<br>Stock | 1,721  |
| Class B<br>Common<br>Stock | <u>(8)</u> | <u>(8)</u> | <u>(8)</u> | Class A<br>Common<br>Stock | 1,975  |

|                            |            |  |            |            |                            |        |
|----------------------------|------------|--|------------|------------|----------------------------|--------|
| Class B<br>Common<br>Stock | <u>(8)</u> |  | <u>(8)</u> | <u>(8)</u> | Class A<br>Common<br>Stock | 18,625 |
| Class B<br>Common<br>Stock | <u>(8)</u> |  | <u>(8)</u> | <u>(8)</u> | Class A<br>Common<br>Stock | 76,330 |
| Class B<br>Common<br>Stock | <u>(8)</u> |  | <u>(8)</u> | <u>(8)</u> | Class A<br>Common<br>Stock | 25,099 |
| Class B<br>Common<br>Stock | <u>(8)</u> |  | <u>(8)</u> | <u>(8)</u> | Class A<br>Common<br>Stock | 73,166 |
| Class B<br>Common<br>Stock | <u>(8)</u> |  | <u>(8)</u> | <u>(8)</u> | Class A<br>Common<br>Stock | 747    |
| Class B<br>Common<br>Stock | <u>(8)</u> |  | <u>(8)</u> | <u>(8)</u> | Class A<br>Common<br>Stock | 9,600  |



|                            |     |     |     |                            |        |
|----------------------------|-----|-----|-----|----------------------------|--------|
| Class B<br>Common<br>Stock | (8) | (8) | (8) | Class A<br>Common<br>Stock | 94,809 |
|----------------------------|-----|-----|-----|----------------------------|--------|

|                            |     |     |     |                            |        |
|----------------------------|-----|-----|-----|----------------------------|--------|
| Class B<br>Common<br>Stock | (8) | (8) | (8) | Class A<br>Common<br>Stock | 94,809 |
|----------------------------|-----|-----|-----|----------------------------|--------|

|                            |     |     |     |                            |        |
|----------------------------|-----|-----|-----|----------------------------|--------|
| Class B<br>Common<br>Stock | (8) | (8) | (8) | Class A<br>Common<br>Stock | 21,006 |
|----------------------------|-----|-----|-----|----------------------------|--------|

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |          |       |
|---|---------------|-----------|----------|-------|
|   | Director      | 10% Owner | Officer  | Other |
| RANKIN ALFRED M ET AL<br>5875 LANDERBROOK DRIVE<br>MAYFIELD HEIGHTS, OH 44124 | X             |           | Chairman |       |

## Signatures

/s/ Suzanne S. Taylor,  
attorney-in-fact

01/02/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
  - (2) 2018-Dec-28 -Block 1 Weighted Average- Share Price represents average price between \$60.50 and \$61.49.
  - (3) 2018-Dec-28 -Block 2 Weighted Average- Share Price represents average price between \$61.50 and \$62.09.
  - (4) Reporting person serves as Trust for Clara L.T. Rankin Trust
  - (5) Reporting Person disclaims beneficial ownership of all such shares.
  - (6) 2018-Dec-31 -Block 1 Weighted Average- Share Price represents average price between \$61.20 and \$62.19.
  - (7) 2018-Dec-31 -Block 2 Weighted Average- Share Price represents average price between \$62.25 and \$62.45.

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(8) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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