

RANKIN VICTOIRE G  
Form 4  
November 02, 2018

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RANKIN VICTOIRE G

2. Issuer Name and Ticker or Trading Symbol  
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
5875 LANDERBROOK DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
10/31/2018

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  Other (specify below)  
Member of a Group

MAYFIELD HEIGHTS, OH 44124  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)   |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Class A Common Stock            | 10/31/2018                           |  | P                              | 40 <sup>(1)</sup> A   | \$ 61.07 <sub>(2)</sub> 7,166   | I  | Spouse serves as trustee of Trust fbo Clara LT Rankin representing interest in shares held by RA6 |
| Class A Common Stock            | 10/31/2018                           |  | P                              | 79 <sup>(1)</sup> A   | \$ 61.07 <sub>(2)</sub> 17,942  | I  | Spouse serves as trustee for GSTs for the benefit of  |

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|                            |            |   |                   |   |                           |        |   |  |
|----------------------------|------------|---|-------------------|---|---------------------------|--------|---|--|
| Class A<br>Common<br>Stock | 10/31/2018 | P | 79 <sup>(1)</sup> | A | \$<br>61.07<br><u>(2)</u> | 17,942 | I | Helen Butler<br>Spouse serves<br>as trustee for<br>the GSTs for<br>the benefit of<br>Clara Williams                    |
| Class A<br>Common<br>Stock | 10/31/2018 | P | 1 <sup>(1)</sup>  | A | \$<br>61.07<br><u>(2)</u> | 185    | I | Spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI                                  |
| Class A<br>Common<br>Stock | 10/31/2018 | P | 1 <sup>(1)</sup>  | A | \$<br>61.07<br><u>(2)</u> | 185    | I | Reporting<br>person's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI                     |
| Class A<br>Common<br>Stock | 10/31/2018 | P | 1 <sup>(1)</sup>  | A | \$<br>61.07<br><u>(2)</u> | 185    | I | Spouse's<br>brother's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI                     |
| Class A<br>Common<br>Stock | 10/31/2018 | P | 17 <sup>(1)</sup> | A | \$<br>61.83<br><u>(3)</u> | 7,183  | I | Spouse serves<br>as trustee of<br>Trust fbo Clara<br>LT Rankin<br>representing<br>interest in<br>shares held by<br>RA6 |
| Class A<br>Common<br>Stock | 10/31/2018 | P | 34 <sup>(1)</sup> | A | \$<br>61.83<br><u>(3)</u> | 17,976 | I | Spouse serves<br>as trustee for<br>GSTs for the<br>benefit of<br>Helen Butler  |
| Class A<br>Common<br>Stock | 10/31/2018 | P | 34 <sup>(1)</sup> | A | \$<br>61.83<br><u>(3)</u> | 17,976 | I | Spouse serves<br>as trustee for<br>the GSTs for<br>the benefit of<br>Clara Williams                                    |
| Class A<br>Common<br>Stock | 10/31/2018 | P | 1 <sup>(1)</sup>  | A | \$<br>61.83<br><u>(3)</u> | 186    | I | Spouse's<br>proportionate<br>interest in   |

|                            |         |   |   |
|----------------------------|---------|---|---|
| Class A<br>Common<br>Stock | 175,278 | I | shares held by Rankin Associates VI<br><br>Represents Spouse's proportionate limited partnership interest in shares held by AMR Associates LP |
| Class A<br>Common<br>Stock | 9,600   | I | spouse serves as Trustee of Trusts for the benefit of each of grantor's grandchildren <sup>(4)</sup>  |
| Class A<br>Common<br>Stock | 14,752  | I | Held in an Individual Retirement Account for the benefit of the Reporting Person's spouse <sup>(4)</sup>                                      |
| Class A<br>Common<br>Stock | 113,159 | I | spouse serves as Trustee of a Trust for the benefit of Alfred M. Rankin, Jr <sup>(4)</sup>  |
| Class A<br>Common<br>Stock | 10,271  | I | Spouse's proportionate limited partnership interest in shares held by RA1 <sup>(4)</sup>  |
| Class A<br>Common<br>Stock | 951     | I | Spouses's proportionate limited partnership interest in shares held by AMR RAI <sup>(4)</sup>   |

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|                            |        |   |  |
|----------------------------|--------|---|--|
| Class A<br>Common<br>Stock | 19     | I | Spouse's<br>proportionate<br>partnership<br>interest in<br>RAIV <sup>(4)</sup>   |
| Class A<br>Common<br>Stock | 1,087  | I | Spouses<br>proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>Rankin<br>Associates IV,<br>L.P |
| Class A<br>Common<br>Stock | 226    | I | Represents<br>spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates V                     |
| Class A<br>Common<br>Stock | 1,975  | I | spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Management,<br>Inc. ("RMI")<br><sup>(4)</sup>  |
| Class A<br>Common<br>Stock | 57     | I | spouse's<br>proportionate<br>interest in<br>shares held by<br>RAV held by<br>Rankin<br>Management,<br>Inc.             |
| Class A<br>Common<br>Stock | 64     | I | spouse's<br>proportionate<br>interest in<br>shares held by<br>RAVI held by<br>Rankin<br>Management,<br>Inc.            |
| Class A<br>Common          | 18,625 | I | spouse serves<br>as Trustee of   |

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|                      |         |   |  |  |
|----------------------|---------|---|--|--|
| Stock                |         |   |  | Trusts for the benefit of the Estate of Alfred M. Rankin, Sr <sup>(4)</sup>  |
| Class A Common Stock | 42,666  | I |  | Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust's proportionate interest of RA I <sup>(4)</sup> |
| Class A Common Stock | 26,119  | I |  | Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust proportionate interest in RA II <sup>(4)</sup>  |
| Class A Common Stock | 46,182  | I |  | Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust's proportionate interest of RA 4 <sup>(4)</sup> |
| Class A Common Stock | 20,040  | I |  | Spouse is a Trustee of a Trust for the benefit of Bruce T. Rankin <sup>(4)</sup>                                   |
| Class A Common Stock | 111,818 | I |  | Spouse serves as trustee of Trust fbo Clara LT Rankin representing interest in shares held by RA 5                 |
| Class A Common Stock | 6,673   | I |  | spouse serves as the Trustee of the Trust which is held  |

|                            |        |   |   |
|----------------------------|--------|---|---|
| Class A<br>Common<br>Stock | 47,698 | I | for the benefit<br>of Clara LT<br>Rankin<br><br>serves as<br>Trustee of a<br>Trust for the<br>benefit of<br>Victoire G.<br>Rankin |
|----------------------------|--------|---|---|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Price<br>Derivati<br>Security<br>(Instr. 5) |                                  |
|---|---|---|---|---|---|--|---|--|----------------------------------|
|   |   |   |   | Code                                    | V (A) (D)   | Date<br>Exercisable  | Expiration<br>Date  | Title  | Amount or<br>Number of<br>Shares |
| Class B<br>Common<br>Stock                          | (5)   |   |   |   |   | (5)  | (5)   | Class A<br>Common<br>Stock                     | 204,146                          |
| Class B<br>Common<br>Stock                          | (5)   |   |   |   |   | (5)  | (5)   | Class A<br>Common<br>Stock                     | 9,600                            |
|   | (5)   |   |   |   |   | (5)  | (5)   |  | 14,160                           |

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| Class B<br>Common<br>Stock |     |  |     | Class A<br>Common<br>Stock |        |
|----------------------------|-----|--|-----|----------------------------|--------|
| Class B<br>Common<br>Stock | (5) |  | (5) | Class A<br>Common<br>Stock | 17,556 |
| Class B<br>Common<br>Stock | (5) |  | (5) | Class A<br>Common<br>Stock | 18,373 |
| Class B<br>Common<br>Stock | (5) |  | (5) | Class A<br>Common<br>Stock | 951    |
| Class B<br>Common<br>Stock | (5) |  | (5) | Class A<br>Common<br>Stock | 31     |
| Class B<br>Common<br>Stock | (5) |  | (5) | Class A<br>Common<br>Stock | 1,721  |
| Class B<br>Common<br>Stock | (5) |  | (5) | Class A<br>Common<br>Stock | 1,975  |

|                            |            |            |            |                            |        |
|----------------------------|------------|------------|------------|----------------------------|--------|
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock | 18,625 |
|----------------------------|------------|------------|------------|----------------------------|--------|

|                            |            |            |            |                            |        |
|----------------------------|------------|------------|------------|----------------------------|--------|
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock | 76,330 |
|----------------------------|------------|------------|------------|----------------------------|--------|

|                            |            |            |            |                            |        |
|----------------------------|------------|------------|------------|----------------------------|--------|
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock | 26,119 |
|----------------------------|------------|------------|------------|----------------------------|--------|

|                            |            |            |            |                            |        |
|----------------------------|------------|------------|------------|----------------------------|--------|
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock | 73,166 |
|----------------------------|------------|------------|------------|----------------------------|--------|

|                            |            |            |            |                            |     |
|----------------------------|------------|------------|------------|----------------------------|-----|
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock | 747 |
|----------------------------|------------|------------|------------|----------------------------|-----|

|                            |            |            |            |                            |       |
|----------------------------|------------|------------|------------|----------------------------|-------|
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock | 6,889 |
|----------------------------|------------|------------|------------|----------------------------|-------|



