#### DUNAWAY BARRY C

Form 4 June 08, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB

OMB Number: 3235-0287

**OMB APPROVAL** 

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0.5

Check this box if no longer

subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Washington, D.C. 20549

Form 5 File obligations may continue. See Instruction

1 Name and Address of Reporting Person \*

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| DUNAWAY BARRY C     |         |          | Symbol                          | Issuer  |  |  |
|---------------------|---------|----------|---------------------------------|---|--|--|
|                     |         |          | J M SMUCKER Co [SJM]            | (Check all applicable)  |  |  |
| (Last)              | (First) | (Middle) | 3. Date of Earliest Transaction | ,   |  |  |
| ONE STRAWBERRY LANE |         |          | (Month/Day/Year)<br>06/06/2018  | Director 10% Owner _X_ Officer (give title Other (specif below)  President, Pet Food and Snacks |  |  |
| (Street)            |         |          | 4 If Amendment Date Original    | 6 Individual or Joint/Group Filing(Check  |  |  |

Filed(Month/Day/Year)

ORRVILLE, OH 44667-0280

| A | Applicable Line)                      |
|---|---------------------------------------|
| _ | X_ Form filed by One Reporting Person |
| _ | Form filed by More than One Reporting |
| F | Person                                |
|   |                                       |

5 Relationship of Reporting Person(s) to

| (City)                               | (State)                              | (Zip) Tabl  | e I - Non-E                            | Derivative                            | Secur  | rities Acqu  | ired, Disposed of,   | or Beneficiall   | y Owned   |
|--------------------------------------|--------------------------------------|---|--|---------------------------------------|--------|--------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securi<br>on(A) or D<br>(Instr. 3, | ispose | d of (D)     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Shares                     | 06/06/2018                           |   | F                                      | 4,024<br>(1)                          | D      | \$<br>105.45 | 33,205   | D  |   |
| Common<br>Shares                     |                                      |   |  |                                       |        |              | 6,277.236  | I  | By 401(k)   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

### Edgar Filing: DUNAWAY BARRY C - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | f 2.         | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exer | cisable and | 7. Titl | le and      | 8. Price of | 9. Nu  |
|-------------|--------------|---------------------|--------------------|------------|------------|--------------|-------------|---------|-------------|-------------|--------|
| Derivativ   | e Conversion | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D | ate         | Amou    | ınt of      | Derivative  | Deriv  |
| Security    | or Exercise  |                     | any                | Code       | of         | (Month/Day/  | /Year)      | Under   | rlying      | Security    | Secui  |
| (Instr. 3)  | Price of     |                     | (Month/Day/Year)   | (Instr. 8) | Derivativ  | e            |             | Secur   | ities       | (Instr. 5)  | Bene   |
|             | Derivative   |                     |                    |            | Securities | S            |             | (Instr. | . 3 and 4)  |             | Own    |
|             | Security     |                     |                    |            | Acquired   |              |             |         |             |             | Follo  |
|             |              |                     |                    |            | (A) or     |              |             |         |             |             | Repo   |
|             |              |                     |                    |            | Disposed   |              |             |         |             |             | Trans  |
|             |              |                     |                    |            | of (D)     |              |             |         |             |             | (Instr |
|             |              |                     |                    |            | (Instr. 3, |              |             |         |             |             |        |
|             |              |                     |                    |            | 4, and 5)  |              |             |         |             |             |        |
|             |              |                     |                    |            |            |              |             |         | A           |             |        |
|             |              |                     |                    |            |            |              |             |         | Amount      |             |        |
|             |              |                     |                    |            |            | Date         | Expiration  | T:41-   | or<br>Namel |             |        |
|             |              |                     |                    |            |            | Exercisable  | Date        | Title   | Number      |             |        |
|             |              |                     |                    | C-1- V     | (A) (D)    |              |             |         | of          |             |        |
|             |              |                     |                    | Code v     | (A) (D)    |              |             |         | Shares      |             |        |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Other Director 10% Owner Officer

**DUNAWAY BARRY C** ONE STRAWBERRY LANE ORRVILLE, OH 44667-0280

President, Pet Food and Snacks

## **Signatures**

/s/ Jeannette L. 06/08/2018 Knudsen, POA

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Smucker common shares withheld by the Company to satisfy tax liability upon the vesting of restricted stock or restricted stock units, pursuant to The J. M. Smucker Company 2010 Equity and Incentive Compensation Plan.
- This amount includes shares acquired under (i) the Company's 401(k) plan since the date of the reporting person's last ownership report and (ii) the Company's dividend reinvestment plan as administered by its transfer agent.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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