Edgar Filing: CANNING JOHN A JR - Form 4

CANNING JOHN A JR Form 4 April 02, 2018						
FORM 4 UNITE Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed p obligations	EMENT OF	SECURITIES AND EXCHANG Washington, D.C. 20549 CHANGES IN BENEFICIAL SECURITIES ection 16(a) of the Securities Exc ublic Utility Holding Company A	OWNERSHIP OF change Act of 1934,	OMB APPROVAL OMB 3235-0287 Number: January 31, 2005 Estimated average burden hours per response 0.5		
may continue. See Instruction 1(b). (Print or Type Responses)		of the Investment Company Act of				
1. Name and Address of Reporting Person <u>*</u> CANNING JOHN A JR		2. Issuer Name and Ticker or Trading Symbol CORNING INC /NY [GLW]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) ONE RIVERFRONT PLA	(3. Date of Earliest Transaction (Month/Day/Year) 03/29/2018	X_ Director 10% Owner Officer (give title Other (specify below) below)			
(Street) CORNING, NY 14831		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State)	(Zip)	Table I - Non-Derivative Securitie		or Beneficially Owned		
1.Title of Security (Instr. 3)2. Transaction Day (Month/Day/Yea)		Date, if TransactionAcquired (A) or Code Disposed of (D)	Securities Fo Beneficially (D Owned (I) Following (Ir Reported Transaction(s) (Instr. 3 and 4)	Ownership7. Nature oform: DirectIndirectornIndirectBeneficialOwnershipOwnershipnstr. 4)(Instr. 4)		
Reminder: Report on a separate	line for each clas	ss of securities beneficially owned direct Persons who information c required to re		re not (9-02)		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Pr
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securities	Deri
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired			(Inst

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	Derivative Security				(A) or Disposed (D) (Instr. 3, and 5)						
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	<u>(1)</u>	03/29/2018	А		1,256		(2)	(2)	Common Stock	1,256	\$ 2
Restricted Stock Unit	<u>(1)</u>	03/29/2018	А		225 (3)		(2)	(2)	Common Stock	225	\$ 2
Restricted Stock Unit	<u>(4)</u>						(5)	(5)	Common Stock	11,447	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
CANNING JOHN A JR ONE RIVERFRONT PLAZA CORNING, NY 14831	Х						
Signatures							
Linda E. Jolly, Power of Attorney	(04/02/2018					
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted stock units granted under the Non-Employee Directors' Deferred Commpensation Plan. Each restricted stock unit represents a contingent right to receive one share of Corning Incorporated Common Stock.
- (2) Conversion of restricted stock units to the Company's common stock and distribution of such stock under the Non-Employee Directors' Deferred Compensation Plan is deferred until a specific date as elected by the participant or termination of service as a Corning director.
- (3) Units acquired pursuant to dividend reinvestment feature of Non-Employee Directors' Deferred Compensation Plan for which no additional price was paid.
- (4) Represents annual equity retainer in the form of restricted stock units granted under the 2010 Equity Plan For Non-Employee Directors. Each restricted stock unit represents a contingent right to receive one share of Corning Incorporated Common Stock.
- (5) Conversion of restricted stock units to the Company's common stock and distribution of such stock is deferred until a specific date as elected by the participant or termination of service as a Corning director.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.