

Ludgate Kristen M
Form 3
January 10, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *			2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Ludgate Kristen M			(Month/Day/Year)	3M CO [MMM]	
(Last)	(First)	(Middle)	01/01/2018	4. Relationship of Reporting Person(s) to Issuer	
3M CENTER				5. If Amendment, Date Original Filed(Month/Day/Year)	
(Street)				(Check all applicable)	
				☐ Director ☐ 10% Owner ☒ Officer ☐ Other (give title below) (specify below)	
ST. PAUL, MN 55144				Sr Vice President	
(City)	(State)	(Zip)		6. Individual or Joint/Group Filing(Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	721.5291	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable Expiration Date	Title Amount or Number of			

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				Shares		(I) (Instr. 5)	
Incentive Stock Option (Right to Buy)	02/04/2015	02/02/2024	Common Stock	2,921	\$ 126.72	D	Â
Incentive Stock Option (Right to Buy)	02/03/2016	02/03/2025	Common Stock	5,779	\$ 165.94	D	Â
Incentive Stock Option (Right to Buy)	02/02/2017	02/02/2026	Common Stock	4,646	\$ 147.87	D	Â
Incentive Stock Option (Right to Buy)	02/07/2018	02/06/2027	Common Stock	5,541	\$ 175.76	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Ludgate Kristen M 3M CENTER ST. PAUL, MN 55144	Â	Â	Â Sr Vice President	Â

Signatures

/s/ Sheila B. Clagherty, attorney-in-fact for Ms.
Ludgate

01/10/2018

____Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.