

UMB FINANCIAL CORP
 Form 4
 February 06, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KEMPER J MARINER

(Last) (First) (Middle)
 1010 GRAND BLVD.
 (Street)

KANSAS CITY, MO 64106

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
UMB FINANCIAL CORP [UMBF]

3. Date of Earliest Transaction
 (Month/Day/Year)
 10/19/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
 Chairman and CEO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock	10/19/2016		G ⁽¹⁾	V	1,000	D	\$ 0	167,583.8455	D	
Common Stock	10/19/2016		G	V	1,000	A	\$ 0	1,000	I	By Son - Custodial Brokerage Account
Common Stock	10/19/2016		G ⁽²⁾	V	1,000	D	\$ 0	166,583.8455	D	
Common Stock	10/19/2016		G	V	1,000	A	\$ 0	1,000	I	By Daughter - Custodial Brokerage

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							Account	
Common Stock	02/02/2017		A	19,933	A	\$ 0 187,841.5432	D	
Common Stock						1,902.068 ⁽³⁾	I	By Esop
Common Stock						60,800	I	By Trust - TUW RC Kemper For John Mariner
Common Stock						290,397	I	Held by Kemper Realty
Common Stock						395,989	I	Held by Pioneer Service Corporation
Common Stock						2,161,386	I	By Trust - RC Kemper Irrevocable Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
				Code	V	(A)	(D)		
Stock Option (Right to Buy)	\$ 75.25	02/02/2017		A	27,964	02/02/2019 ⁽⁴⁾	02/02/2027	Common Stock	27,964

