

RLI CORP
Form 3
November 02, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|--|--|---|---|--|
| <p>1. Name and Address of Reporting Person *</p> <p>Â FICK JEFFREY D</p> <p>(Last) (First) (Middle)</p> <p>9025 N. LINDBERGH DRIVE</p> <p>(Street)</p> <p>PEORIA, Â IL Â 61615</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>10/28/2016</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>RLI CORP [RLI]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other</p> <p>(give title below) (specify below)</p> <p>SVP, Chief Legal Officer</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p><input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|--|--|---|---|--|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 43,487.574 | D <u>(1)</u> | Â |
| Common Stock | 9,473.5115 | I | Employee Stock Ownership Plan <u>(2)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|------------------------------------|---------------------------------|--|
|---|---|--|------------------------------------|---------------------------------|--|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) | |
|--------------|---------------------------|-----------------|--------------|----------------------------|-------------------------|---|---|
| Stock Option | 05/03/2013 ⁽³⁾ | 05/03/2020 | Common Stock | 3,600 | \$ 25.35 ⁽⁴⁾ | D | Â |
| Stock Option | 05/02/2014 ⁽³⁾ | 05/02/2021 | Common Stock | 7,200 | \$ 29.42 ⁽⁴⁾ | D | Â |
| Stock Option | 05/01/2015 ⁽³⁾ | 05/01/2022 | Common Stock | 8,100 | \$ 38.21 ⁽⁵⁾ | D | Â |
| Stock Option | 05/07/2016 ⁽³⁾ | 05/07/2023 | Common Stock | 13,500 | \$ 47.61 ⁽⁵⁾ | D | Â |
| Stock Option | 05/05/2017 ⁽³⁾ | 05/05/2024 | Common Stock | 10,000 | \$ 64.09 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|----------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| FICK JEFFREY D 9025 N. LINDBERGH DRIVE PEORIA, IL 61615 | Â | Â | Â SVP, Chief Legal Officer | Â |

Signatures

/s/ Jeffrey D. Fick 11/02/2016

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Ownership reflects dividend reinvestment
- (2) Balance reflects annual company contributions and dividend reinvestment.
- (3) Pursuant to option schedule wherein 20% of the aggregate number of shares granted may be exercised commencing one year from grant date and each year thereafter in 20% increments.
- (4) Exercise price reflects prior adjustments as a result of special dividends and a stock split.
- (5) Exercise price reflects prior adjustments as a result of special dividends.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.