BALL CORP Form 4 September 17, 2015

Common

Stock

#### **OMB APPROVAL** UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading CAVE MICHAEL J Issuer Symbol BALL CORP [BLL] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) X\_ Director 10% Owner Officer (give title Other (specify BALL CORPORATION, 10 LONGS 09/15/2015 below) PEAK DRIVE (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting **BROOMFIELD, CO 80021** (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of TransactionAcquired (A) or Security (Month/Day/Year) Execution Date, if Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

(Instr. 3 and 4)

D

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

or

Code V Amount (D) Price

#### Edgar Filing: BALL CORP - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)    | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) Disposed of (I (Instr. 3, 4, and 5) | <b>O</b> ) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount Underlying Securiti (Instr. 3 and 4) |                       |
|--|---|--------------------------------------|---|--|--|------------|--|--------------------|--|-----------------------|
|  |   |                                      |   | Code V                                 | (A)  | (D)        | Date<br>Exercisable                                      | Expiration<br>Date | Title  | Amou<br>Numb<br>Share |
| 2005 Deferred<br>Compensation<br>Company<br>Stock Plan | <u>(1)</u>  | 09/15/2015                           |   | J(2)                                   | 311.9893   |            | (3)  | (3)                | Common<br>Stock  | 311.                  |
| 2005 Deferred<br>Compensation<br>Company<br>Stock Plan | (1)   | 09/15/2015                           |   | J <u>(4)</u>                           | 1.1438   |            | (3)  | (3)                | Common<br>Stock  | 1.1                   |
| Restricted<br>Stock Units                              | <u>(5)</u>  |                                      |   |  |  |            | <u>(5)</u>   | <u>(5)</u>         | Common<br>Stock  | 4,7                   |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| r g                            | Director      | 10% Owner | Officer | Other |  |  |  |
| CAVE MICHAEL J                 |               |           |         |       |  |  |  |
| BALL CORPORATION               | X             |           |         |       |  |  |  |
| 10 LONGS PEAK DRIVE            | Λ             |           |         |       |  |  |  |
| BROOMFIELD, CO 80021           |               |           |         |       |  |  |  |

### **Signatures**

/s/ Robert W. McClelland, attorney-in-fact for Mr.
Cave 09/17/2015

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each unit may be settled for a single share of stock or the equivelent amount of cash pursuant to the Ball Corporation Deferred Compensation Company Stock Plan.
- (2) Contribution of quarterly directors' fees and possible company match in Ball Corporation Deferred Compensation Company Stock Plan.
- (3) Stock units in Ball Corporation's Deferred Compensation Company Stock Plan are distributed upon the separation of service in accordance with the Plan.
- (4) Dividend reinvestment in Ball Corporation's Deferred Compensation Company Stock Plan.
- (5) Each restricted stock unit represents a contingent right to receive one share of Ball Corporation Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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