

CORNING INC /NY
Form 3
May 09, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â Pambianchi Christine M</p> <p>(Last) (First) (Middle)</p> <p>ONE RIVERFRONT PLAZA</p> <p>(Street)</p> <p>CORNING,Â NYÂ 14831</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>05/01/2014</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>CORNING INC /NY [GLW]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other</p> <p>(give title below) (specify below)</p> <p>Senior VP, Human Resources</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p><input type="checkbox"/> Form filed by More than One Reporting Person</p>
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Table I - Non-Derivative Securities Beneficially Owned

<p>1. Title of Security</p> <p>(Instr. 4)</p>	<p>2. Amount of Securities Beneficially Owned</p> <p>(Instr. 4)</p>	<p>3. Ownership Form:</p> <p>Direct (D) or Indirect (I)</p> <p>(Instr. 5)</p>	<p>4. Nature of Indirect Beneficial Ownership</p> <p>(Instr. 5)</p>
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

<p>1. Title of Derivative Security</p> <p>(Instr. 4)</p>	<p>2. Date Exercisable and Expiration Date</p> <p>(Month/Day/Year)</p> <p>Date Exercisable Expiration Date</p>	<p>3. Title and Amount of Securities Underlying Derivative Security</p> <p>(Instr. 4)</p> <p>Title Amount or Number of Shares</p>	<p>4. Conversion or Exercise Price of Derivative Security</p>	<p>5. Ownership Form of Derivative Security:</p> <p>Direct (D) or Indirect (I)</p> <p>(Instr. 5)</p>	<p>6. Nature of Indirect Beneficial Ownership</p> <p>(Instr. 5)</p>
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Restricted Stock Unit	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	1,381	\$ <u>(2)</u>	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	04/28/2006 <u>(4)</u>	04/27/2015	Common Stock	3,300	\$ 13.68	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	04/27/2007 <u>(5)</u>	04/26/2016	Common Stock	500	\$ 28.2	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	04/26/2008 <u>(6)</u>	04/25/2017	Common Stock	1,000	\$ 24.91	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	04/24/2009 <u>(7)</u>	04/23/2018	Common Stock	1,000	\$ 25.44	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	12/03/2009 <u>(8)</u>	12/02/2018	Common Stock	333	\$ 8.67	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	01/02/2010 <u>(9)</u>	01/01/2019	Common Stock	333	\$ 10.05	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	02/02/2010 <u>(10)</u>	02/01/2019	Common Stock	334	\$ 10.25	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	12/02/2010 <u>(11)</u>	12/01/2019	Common Stock	333	\$ 17.82	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	01/04/2011 <u>(12)</u>	01/03/2020	Common Stock	333	\$ 19.56	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	02/01/2011 <u>(13)</u>	01/31/2020	Common Stock	334	\$ 18.16	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	01/03/2012 <u>(14)</u>	01/02/2021	Common Stock	333	\$ 19.19	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	02/01/2012 <u>(15)</u>	01/31/2021	Common Stock	333	\$ 22.69	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	03/01/2012 <u>(16)</u>	02/28/2021	Common Stock	334	\$ 22.03	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	01/03/2015	01/02/2022	Common Stock	366	\$ 13.04	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	02/01/2015	01/31/2022	Common Stock	367	\$ 12.9	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	03/01/2015	02/28/2022	Common Stock	367	\$ 12.97	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	03/31/2017	02/29/2024	Common Stock	690	\$ 20.82	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	04/30/2017	04/29/2024	Common Stock	687	\$ 20.91	I	Held by Spouse <u>(3)</u>

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Pambianchi Christine M ONE RIVERFRONT PLAZA CORNING, NY 14831	Â	Â	Â Senior VP, Human Resources	Â

Signatures

Linda E. Jolly, Power of Attorney
05/09/2014

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - (1) The Restricted Stock Units vest 100% on April 17, 2017. Vested shares will be delivered to the reporting person within thirty (30) days after April 17, 2017. Events such as retirement, death, disability, and others specified in the agreement may result in vesting prior to April 17, 2017.
 - (2) Each restricted stock unit represents a contingent right to receive one share of Corning Incorporated Common Stock.
 - (3) The reporting person disclaims beneficial ownership of all securities held by spouse.
 - (4) The options vest in three equal annual installments beginning on April 28, 2006.
 - (5) The options vest in three equal annual installments beginning on April 27, 2007.
 - (6) The options vest in three equal annual installments beginning on April 26, 2008.
 - (7) The options vest in three equal annual installments beginning on April 24, 2009.
 - (8) The options vest in three equal annual installments beginning on December 3, 2009.
 - (9) The options vest in three equal annual installments beginning on January 2, 2010.
 - (10) The options vest in three equal annual installments beginning on February 2, 2010.
 - (11) The options vest in three equal annual installments beginning on December 2, 2010.
 - (12) The options vest in three equal annual installments beginning on January 4, 2011.
 - (13) The options vest in three equal annual installments beginning on February 1, 2011.
 - (14) The options vest in three equal annual installments beginning on January 3, 2012.
 - (15) The options vest in three equal annual installments beginning on February 1, 2012.
 - (16) The options vest in three equal annual installments beginning on March 1, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.