Edgar Filing: CABOT MICROELECTRONICS CORP - Form 4

CABOT MICROELECTRONICS CORP

Form 4

December 09, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB Washington, D.C. 20549 Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

Form 5

obligations

(Print or Type Responses)

1. Name and Address of Reporting Person * NOGLOWS WILLIAM P

2. Issuer Name and Ticker or Trading

Symbol

CABOT MICROELECTRONICS CORP [CCMP]

(Month/Day/Year)

3. Date of Earliest Transaction

12/05/2013

5. Relationship of Reporting Person(s) to

OMB APPROVAL

Estimated average

burden hours per

Expires:

response...

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

C/O CABOT

(Last)

(First)

(Middle)

_X__ Director 10% Owner Other (specify X_ Officer (give title

below) Chairman, President & CEO

MICROELECTRONICS CORPORATION, 870 COMMONS

12/06/2013

12/06/2013

DRIVE

Common

Common

Stock

Stock

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

 $M_{\underline{-}}^{(1)}$

 $S^{(2)}$

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

167,161.246 D

147,145.246 D

AURORA, IL 60504

| (City) | (State) | (Zip) Tab | ole I - Non- | Derivative | Secu | rities Acquii | ed, Disposed of, o | or Beneficially | Owned |
|--------------------------------------|--------------------------------------|---|--|------------|---------|---------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactio Code (Instr. 8) | | ed of (| ` ' | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 12/05/2013 | | M <u>(1)</u> | 100 | A | \$ 26.05 | 147,245.246 | D | |
| Common Stock | 12/05/2013 | | S(2) | 100 | D | \$ 44.51 | 147,145.246 | D | |

20.016 A

20,016 D

\$ 26.05

1

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount o Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---|---|--|--------------------|--|------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Share |
| Stock Options (Right to Buy) | \$ 26.05 | 12/05/2013 | | M | 100 | 12/10/2005(3) | 12/10/2014 | Common Stock | 100 |
| Stock Options (Right to Buy) | \$ 26.05 | 12/06/2013 | | M | 20,016 | 12/10/2005(3) | 12/10/2014 | Common Stock | 20,016 |

Reporting Owners

| Reporting Owner Name / Address | Relauonsnips | | | | | |
|--------------------------------|--------------|-----------|---------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |

NOGLOWS WILLIAM P C/O CABOT MICROELECTRONICS CORPORATION 870 COMMONS DRIVE

X Chairman, President & CEO

870 COMMONS DRIV AURORA, IL 60504

Signatures

/s/ H. Carol Bernstein (Power of Attorney) 12/09/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

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- (1) These options expire on December 10, 2014, and as such, there are only a limited number of regular quarterly trading periods remaining prior to their expiration.
- (2) Sale to cover exercise price of, and taxes related to, exercise of stock options.
- (3) Vesting Schedule: 25% 12/10/05, 25% 12/10/06, 25% 12/10/07, 25% 12/10/08.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.