### Edgar Filing: CABOT MICROELECTRONICS CORP - Form 4

### CABOT MICROELECTRONICS CORP

Form 4

December 04, 2013

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** OMB

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**SECURITIES** Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Common

Common

Stock

Stock

12/03/2013

12/03/2013

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading ECKSTEIN BERNSTEIN H Issuer Symbol **CAROL** CABOT MICROELECTRONICS (Check all applicable) CORP [CCMP] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) C/O CABOT 12/03/2013 VP, Sec & General Counsel **MICROELECTRONICS** CORPORATION, 870 COMMONS **DRIVE** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting AURORA, IL 60504 (City) (Zip) (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Transaction(A) or Disposed of Form: Direct Indirect Security (Month/Day/Year) Execution Date, if Securities (Instr. 3) Code (D) Beneficially (D) or Beneficial any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Price Code Amount (D)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $F^{(1)}$ 

Α

D

A

44.1

\$0

810

6,000

(2)

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37,737.621

43,737.621

D

D

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisab	le and	7. Title and A	Amount o
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionDerivative		Expiration Date		Underlying Securities	
Security	or Exercise		any	Code	Securities	(Month/Day/Year	:)	(Instr. 3 and 4	4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)				
	Derivative				or Disposed of				
	Security				(D)				
					(Instr. 3, 4,				
					and 5)				
						Date Exercisable	Expiration Date	Title	Amount or Number
				Code V	(A) (D)				of Share
Stock Options (Right to Buy)	\$ 44.1	12/03/2013		A	16,300	12/03/2014(3)	12/03/2023	Common Stock	16,300
	Derivative Security (Instr. 3) Stock Options	Derivative Security or Exercise (Instr. 3) Price of Derivative Security  Stock Options (Right to	Derivative Security or Exercise (Instr. 3) Price of Derivative Security  Stock Options (Right to	Derivative Security or Exercise (Instr. 3) Price of Derivative Security  Stock Options (Right to	Derivative Security or Exercise (Instr. 3) Price of Derivative Security  Stock Options (Right to	Derivative Security or Exercise (Instr. 3) Price of Derivative Security Security  Note of Derivative Security  Security  Price of Derivative Security  Security  Code Securities  (Month/Day/Year)  (Month/Day/Year)  (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)  Code V (A) (D)  Stock  Options (Right to Securities)  A 16,300	Derivative Security or Exercise (Instr. 3) Price of Derivative Security Security or Exercise (Instr. 3) Price of Derivative Security Securities (Month/Day/Year) Securities (Month/Day/Year) Security Sec	Derivative Security or Exercise (Instr. 3) Price of Derivative Security Price of Derivative Security Security Price of Derivative Security Price of (Month/Day/Year) (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)  Date Exercisable Expiration Date Price of Derivative Security Price of (D) (Instr. 3, 4, and 5)  Stock Options (Right to Price of Derivative Security Price of (Month/Day/Year) Price of (Month/Day/Year) Price of (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)  A 16,300 12/03/2014 12/03/2023	Derivative Security or Exercise (Instr. 3) Price of Derivative Security (Instr. 3) Price of Derivative Security (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)  Code V (A) (D)  Stock Options (Right to Security Security (Month/Day/2013) \$44.1 \$12/03/2013\$ Execution Date (Month/Day/Year) (Month/Day/Year) (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)  Date Exercisable Expiration Date (Month/Day/Year) (Instr. 3 and 4)  Date Exercisable Expiration Date (Month/Day/Year) (Instr. 3 and 4)  Expiration Date (Month/Day/Year) (Instr. 3 and 4)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ECKSTEIN BERNSTEIN H CAROL C/O CABOT MICROELECTRONICS CORPORATION 870 COMMONS DRIVE AURORA, IL 60504

VP, Sec & General Counsel

# **Signatures**

/s/ H. Carol Bernstein 12/04/2013

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Withheld to cover for tax purposes as per terms of Omnibus Incentive Plan.
- (2) 2013 (FY14) Restricted Stock Award Vesting Schedule: 25% 12/03/2014, 25% 12/03/2015, 25% 12/03/2016, 25% 12/03/2017.
- (3) 2013 (FY14) Non-Qualified Stock Option Grant Vesting Schedule: 25% 12/03/2014, 25% 12/03/2015, 25% 12/03/2016, 25% 12/03/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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