Edgar Filing: CABOT MICROELECTRONICS CORP - Form 4

CABOT MICROELECTRONICS CORP

Form 4

December 03, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

Form 5

obligations

(Print or Type Responses)

1. Name and Address of Reporting Person * Naman Ananth

2. Issuer Name and Ticker or Trading

Symbol

(Middle)

CABOT MICROELECTRONICS CORP [CCMP]

3. Date of Earliest Transaction

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB

Number:

Expires:

response...

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

(Last) (First)

(Month/Day/Year)

12/03/2013

Director 10% Owner X_ Officer (give title _ Other (specify

below) VP, Research & Development

C/O CABOT **MICROELECTRONICS** CORPORATION, 870 COMMONS **DRIVE**

(Street)

(State)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

AURORA, IL 60504

(City)

| (City) | (State) | Table Table | I - Non-D | erivative | Secur | ities Acqu | uired, Disposed of | f, or Beneficial | y Owned |
|--------------------------------------|---|---|--|---|------------------|--|--|---|---------|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactio Code (Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | Code V | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | | |
| Common Stock | 12/03/2013 | | F(1) | 126 | D | \$ 44.77 | 11,735.549 | D | |
| Common Stock | 12/03/2013 | | F(1) | 82 | D | \$ 44.77 | 11,653.549 | D | |
| Common Stock | 12/03/2013 | | F(1) | 445 | D | \$ 44.77 | 11,208.549 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | Date Exerc | cisable and | 7. Title | e and | 8. Price of | 9 |
|--|------------------------------|---------------------------------------|---------------------|-------------------------|------------|------------------------|------------------------------|-------------|------------|---------------------|-------------|---|
| | Derivative | Conversion or Exercise Price of | (Month/Day/Year) | Execution Date, if | Transacti | orNumber | Expiration Date | | Amou | nt of | Derivative | J |
| | Security | | | any (Month/Day/Year) | Code | of | (Month/Day/Year) | | Underlying | Security (Instr. 5) | , | |
| | (Instr. 3) | | | | (Instr. 8) | Derivative | | | Securities | |] | |
| | Derivative | | | | | Securities Acquired | | (In: | (Instr. | Instr. 3 and 4) | | (|
| | | Security | | | | | | | | | | J |
| | | | | | | (A) or | | | | | | J |
| | | | | | | Disposed | | | | | | 7 |
| | | | | | | of (D) | | | | | | (|
| | | | | | | (Instr. 3, | | | | | | |
| | | | | | | 4, and 5) | | | | | | |
| | | | | | | | | | | Amount | | |
| | | | | | | | | | | or | | |
| | | | | | | | • | Expiration | | Number | | |
| | | | | | | | | Date | | of | | |
| | | | | | Code V | (A) (D) | | | | Shares | | |
| | | | | | Code v | (A) (D) | | | | Shares | | |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Naman Ananth C/O CABOT MICROELECTRONICS CORPORATION 870 COMMONS DRIVE AURORA, IL 60504

VP, Research & Development

Signatures

/s/ H. Carol Bernstein (Power of Attorney)

12/03/2013

Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Withheld to cover for tax purposes as per terms of Equity Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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