#### SMITH STEPHEN R

Form 4 May 20, 2013

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* SMITH STEPHEN R

2. Issuer Name and Ticker or Trading

Symbol

**CABOT MICROELECTRONICS** CORP [CCMP]

3. Date of Earliest Transaction

(Month/Day/Year) 05/16/2013

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

VP, Marketing

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

C/O CABOT

(Last)

(Middle)

Director 10% Owner X\_ Officer (give title Other (specify below)

**MICROELECTRONICS** CORPORATION, 870 COMMONS

05/17/2013

(First)

**DRIVE** 

Stock

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

19,872

35.5933

D

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

AURORA, IL 60504

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	Transaction Disposed of (D) Code (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I) Fransaction(s) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
Common Stock	05/16/2013		Code V M	Amount 10,990	(D)	Price \$ 33.72	30,862	D					
Common Stock	05/16/2013		S <u>(1)</u>	10,990	D	\$ 35.6249	19,872	D					
Common Stock	05/17/2013		M	43,296	A	\$ 33.72	63,168	D					
Common	05/17/2013		<b>c</b> (1)	12 206	D	\$	10 872	D					

43,296

 $S^{(1)}$ 

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Options (Right to Buy)	\$ 33.72	05/16/2013		M	10,990	12/11/2004(2)	12/11/2013	Common Stock	10,990
Stock Options (Right to Buy)	\$ 33.72	05/17/2013		M	43,296	12/11/2004(2)	12/11/2013	Common Stock	43,296

## **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

SMITH STEPHEN R C/O CABOT MICROELECTRONICS CORPORATION 870 COMMONS DRIVE AURORA, IL 60504

VP, Marketing

#### **Signatures**

/s/ H. Carol Bernstein (Power of Attorney) 05/20/2013

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Sale to cover exercise price of, and taxes related to, exercise of stock options.

Reporting Owners 2

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Pursuant to Second Amended and Restated Equity Incentive Plan (EIP) and Compensation Committee action 9/27/04, grant agreement amended to provide that Vesting Schedule is: 25% on 12/11/04 75% on 9/1/05

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.