Edgar Filing: SEABROOK RAYMOND J - Form 4

SEABROOK RAYMOND J Form 4 July 01, 2009 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OMB APPROVAL Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). State of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).										
1. Name and Address of Reporting Person _ 2. Issue SEABROOK RAYMOND J Symbol BALL 0				d Ticker o BLL]	r Trad	ing	5. Relationship of Reporting Person(s) to Issuer			
(Last) BALL COI PEAK DR.	(First) (Middle)	3. Date ((Month/	of Earliest 7 Day/Year)	-	1		Director XOfficer (give below)		Owner er (specify	
(Street) 4. If Amo Filed(Mo BROOMFIELD, CO 80021-2510				Date Origin ar)	al		 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 			
(City)	(State) (Zip)	Tal	ole I - Non-	Derivativo	e Secu	rities Aco	uired, Disposed of.	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	any	eemed tion Date, if h/Day/Year)	Code (Instr. 8)	4. Securi or(A) or D (Instr. 3, Amount	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	06/30/2009		J <u>(1)</u>	4,200	D	\$ 45.16	144,514.5246	D		
Common Stock	06/30/2009		J <u>(2)</u>	3,000	А	\$ 45.16	147,514.5246	D		
Common Stock	06/30/2009		F <u>(3)</u>	930	D	\$ 45.16	146,584.5246	D		
Common Stock							6,507.634	Ι	401(k) Plan <u>(4)</u>	
Common Stock							4,075	Ι	By Daughter	

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Common	
Stock	

4,075 Ι By Son (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Securities Code Acquired (A) or (Instr. 8) Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and 4	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Deferred Compensation Company Stock Plan	<u>(6)</u>	06/30/2009		J <u>(7)</u>	4,642.8698		(8)	(8)	Common Stock
Restricted Stock Units	<u>(9)</u>	06/30/2009		J <u>(9)</u>		3,000	<u>(9)</u>	(9)	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SEABROOK RAYMOND J BALL CORPORATION 10 LONGS PEAK DR. BROOMFIELD, CO 80021-2510			EXEC. V.P., & C.F.O.				
Signatures							

Signatures

/s/ Charles E. Baker, attorney-in-fact for Mr. Seabrook

**Signature of Reporting Person

Date

07/01/2009

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v). *

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Lapsed Table I restricted stock deferred into Ball Corporation's Deferred Compensation Company Stock Plan as stock units.

(2) Common stock acquired upon the lapse of Table II Restricted Stock Units.

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- (3) Shares withheld for the payment of the tax obligation on the lapse of restrictions on Table II restricted stock units.
- (4) Total number of 401(k) Plan shares includes shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
- (5) The reporting person expressly disclaims beneficial ownership of these securities.
- (6) Each unit may be settled for a single share of stock or the equivalent amount of cash pursuant to the Ball Corporation Deferred Compensation Company Stock Plan.
- (7) Lapsed Table I restricted stock deferred into Ball Corporation's Deferred Compensation Company Stock Plan as stock units along with possible company match.
- (8) Stock units in Ball Corporation's Deferred Compensation Company Stock Plan are distributed upon the separation of service in accordance with the Plan.
- (9) Lapse of restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.