NETGEAR, INC Form 4 November 21, 2016

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** 3235-0287 Number: January 31,

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

·	
(Check all applicable)  (Last) (First) (Middle) 3. Date of Earliest Transaction	
(Month/Day/Year)  350 E. PLUMERIA DR.  (Month/Day/Year)  11/18/2016  Director	
(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(control of the control of the contr	
Filed(Month/Day/Year)  Applicable Line)  _X_ Form filed by One Reporting Person  SAN JOSE, CA 95134  Applicable Line)  _X_ Form filed by More than One Reporting Person	

(City)	(State) (	Zip) Tabl	e I - Non-D	erivative	Secur	ities Acqı	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)	4. Securities Acquired ion(A) or Disposed of (D) (Instr. 3, 4 and 5)			Securities Ownership India Beneficially Form: Direct Bene Owned (D) or Own Following Indirect (I) (Inst	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	11/18/2016		M(1)	44	A	\$ 27.55	17,219	D	
Common Stock	11/18/2016		S(1)	44	D	\$ 51.35	17,175	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	of Den Sec Acc (A) Dis of (Ins	rivative urities quired or posed	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		{ I
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (Right to Buy)	\$ 27.55	11/18/2016		M <u>(1)</u>		44	04/18/2013	04/18/2023	Common Stock	44	
Employee Stock Option (Right to Buy)	\$ 31.28						06/02/2015	06/02/2025	Common Stock	11,625	
Employee Stock Option (Right to Buy)	\$ 33.78						06/06/2014	06/06/2024	Common Stock	7,125	
Employee Stock Option (Right to Buy)	\$ 39.53						<u>(2)</u>	03/24/2026	Common Stock	20,000	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1 8	Director	10% Owner	Officer	Other		
Collins Patrick J III						
350 E. PLUMERIA DR.			SVP, Arlo Smart Home Devices			
SAN JOSE, CA 95134						

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### **Signatures**

/s/ Andrew W. Kim, Attorney in Fact

11/21/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The exercise and sale reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 27, 2016.
- This Option shall be exercisable, in whole or in part, in accordance with the following schedule: 25% of the Shares subject to the Option (2) shall vest twelve months after the Vesting Start Date, and 1/48 of the Shares subject to the Option shall vest each month thereafter, subject to the Optionee continuing to be a Service Provider on such dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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