## Edgar Filing: CUBIC CORP /DE/ - Form 144

CUBIC CORP /DE/ Form 144 May 08, 2018

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### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

### **FORM 144**

#### NOTICE OF PROPOSED SALE OF SECURITIES

### PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

hours per response . . . 1.00 SEC USE ONLY DOCUMENT SEQUENCE NO.

Estimated average burden

CUSIP NUMBER

ATTENTION: Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a sale directly with a market maker.

1 (a) NAME OF ISSUER (Please type or print)

(b) IRS IDENT. NO.

(c) S.E.C. FILE NO.

WORK LOCATION

95-1678055 001-08931 Cubic Corporation

ADDRESS OF 1 (d) **ISSUER** 

James R. Edwards

STREET

CITY

STATE ZIP CODE (e) TELEPHONE NO.

**AREA** CODE

CA

9333 Balboa Avenue

Officer

92123 CA

NUMBER

San Diego

13912 Mercado Dr.,

NAME OF PERSON FOR WHOSE 2(a)

(b)RELATIONSHIP TO

858 STATE

Del Mar,

505-2226

ACCOUNT THE SECURITIES ARE

ISSUER

(c)ADDRESS STREET

CITY

ZIP CODE

92014

TO BE SOLD

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

(b) SEC USE (c) (d) (f) 3(a)(e) (g) ONLY Name and Address Number of Number of of Each Broker Broker-Dealer Shares Shares Name of Title of Through Whom File Number or Other or Other Each Aggregate the the Securities are to **Approximate** Units Market Units Securities Class of be Offered or Each **Date of Sale** To Be Sold Value Outstanding Exchange Securities Market Maker who (See instr. 3(f)) (See instr. To Be is Acquiring the (See instr. (See instr. (See instr. (MO. DAY YR.) Sold Securities 3(c)) 3(d)) 3(e)) 3(g)Common TD Ameritrade 1,000 \$66,450 27,222,297 05-07-2018 NYSE

## **INSTRUCTIONS:**

- 1.(a) Name of issuer
- (b) Issuer s I.R.S. Identification Number
- (c) Issuer s S.E.C. file number, if any
- (d) Issuer s address, including zip code
- (e) Issuer s telephone number, including area code
- 2.(a) Name of person for whose account the securities are to be sold
  - (b) Such person s relationship to the issuer (e.g., officer, director, 10% stockholder, or

- 3.(a) Title of the class of securities to be sold
  - (b) Name and address of each broker through whom the securities are intended to be sold
  - (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
  - (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice
  - (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
  - (f) Approximate date on which the securities are to be sold
  - (g) Name of each securities exchange, if any, on which the securities are intended to be sold

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member of immediate family of any of the foregoing)

(c) Such person s address, including zip code

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1147 (08-07)

#### TABLE I SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Name of Person from Whom

Date you Nature of Acquisition
Acquired Transaction
10/01/13 Vesting of restricted stock units

Acquired
(If gift, also give date donor
acquired)
Cubic Corporation

Amount of
Securities
Acquired
1,000
Date of
Paymen
N/A

**Payment** Nature of Payment N/A Equity Plan Vesting

INSTRUCTIONS:

Title of the

Class

Common

If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

### TABLE II SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of Seller Title of Securities Sold Date of Sale Securities Sold Gross Proceeds

**REMARKS:** 

### **INSTRUCTIONS:**

See the definition of person in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

05/07/2018 DATE OF NOTICE

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION, IF RELYING ON RULE 10B5-1

### ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

/s/ James R. Edwards (SIGNATURE)

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

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ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

SEC 1147 (02-08)