

REGENERON PHARMACEUTICALS INC

Form 3

March 02, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Fenimore Christopher R.

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

03/02/2017

3. Issuer Name and Ticker or Trading Symbol

REGENERON PHARMACEUTICALS INC [REGN]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

VP, Controller

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

777 OLD SAW MILL RIVER RD

(Street)

TARRYTOWN, NY 10591

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

1,328

I

By 401(k) Plan

Common Stock

2,695

I

By GRAT

Common Stock

4,297

I

By Trust ⁽¹⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying

4. Conversion

5. Ownership

6. Nature of Indirect Beneficial

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	Date Exercisable	Expiration Date	Derivative Security (Instr. 4) Title	Amount or Number of Shares	or Exercise Price of Derivative Security	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	Ownership (Instr. 5)
Incentive Stock Option (right to buy)	Â (2)	12/18/2019	Common Stock	1,375	\$ 21.25	D	Â
Incentive Stock Option (right to buy)	Â (2)	12/14/2020	Common Stock	4,280	\$ 30.63	D	Â
Incentive Stock Option (right to buy)	Â (2)	12/16/2021	Common Stock	2,224	\$ 52.03	D	Â
Non-Qualified Stock Option (right to buy)	Â (2)	12/16/2021	Common Stock	13,776	\$ 52.03	D	Â
Non-Qualified Stock Option (right to buy)	Â (3)	12/14/2022	Common Stock	23,500	\$ 179.13	D	Â
Non-Qualified Stock Option (right to buy)	Â (3)	12/13/2023	Common Stock	20,000	\$ 270.43	D	Â
Non-Qualified Stock Option (right to buy)	Â (3)	12/16/2026	Common Stock	12,283	\$ 381.92	D	Â
Non-Qualified Stock Option (right to buy)	Â (3)	12/16/2024	Common Stock	17,000	\$ 399.66	D	Â
Non-Qualified Stock Option (right to buy)	Â (3)	12/16/2025	Common Stock	14,450	\$ 555.67	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Fenimore Christopher R. 777 OLD SAW MILL RIVER RD TARRYTOWN, NY 10591	Â	Â	Â VP, Controller	Â

Signatures

/s/**Christopher R.
Fenimore

03/02/2017

**Signature of Reporting
Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are held in a trust for the benefit of the reporting person's spouse. The reporting person and the reporting person's spouse are trustees of the trust.

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- (2) The stock option award (combined incentive stock option and non-qualified stock option) vests in four equal annual installments, commencing one year after the date of grant.
- (3) The stock option award vests in four equal annual installments, commencing one year after the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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