Edgar Filing: CLEARBRIDGE AMERICAN ENERGY MLP FUND INC. - Form 3

CLEARBRIDGE AMERICAN ENERGY MLP FUND INC.

Form 3

October 25, 2013

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement CLEARBRIDGE AMERICAN ENERGY MLP FUND A Athene Holding Ltd (Month/Day/Year) INC. [CBA] 10/15/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 96 PITTS BAY ROAD.Â (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director _X__ 10% Owner Form filed by One Reporting Officer Other Person PEMBROKE, DOÂ HM08 (give title below) (specify below) _X_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Senior Secured Notes Ι See footnote (1) \$ 50,400,000 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	•		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

Shares

(I) (Instr. 5)

Reporting Owners

Reporting Owner Name / Address	Relationships				
coporting of the remaining	Director	10% Owner	Officer	Other	
Athene Holding Ltd 96 PITTS BAY ROAD PEMBROKE, D0 HM08	Â	ÂX	Â	Â	
ATHENE ANNUITY & LIFE ASSURANCE Co 841 APOLLO STREET SUITE 150 EL SEGUNDO, CA 90245	Â	ÂX	Â	Â	
Aviva Life & Annuity Co 841 APOLLO STREET SUITE 150 EL SEGUNDO, CA 90245	Â	ÂΧ	Â	Â	
Athene USA Corp 841 APOLLO STREET SUITE 150 EL SEGUNDO, CA 90245	Â	ÂX	Â	Â	

Signatures

See signatures attached as Exhibit 99.2

10/25/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) See Exhibit 99.1.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2