## Edgar Filing: APOGEE TECHNOLOGY INC - Form 4

APOGEE TECHN Form 4 June 08, 2010	NOLOGY IN	NC								
Check this box if no longer subject to Section 16. Form 4 or					ITIES AND EXCHANGE COMMISSION hington, D.C. 20549 GES IN BENEFICIAL OWNERSHIP OF SECURITIES			N OMB Numbe Expires Estimat burden respons	r: Jani ed averag hours per	35-0287 uary 31, 2005 ge
obligations may continue. <i>See</i> Instruction 1(b). (Print or Type Respon	Section 17(	a) of the H	Public U	tility Hol	ding Co		t of 1935 or Sect			
1. Name and Address of Reporting Person <u>*</u> STEIN HERBERT M			2. Issuer Name <b>and</b> Ticker or Trading Symbol APOGEE TECHNOLOGY INC [ATCS]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) 71 FAIRLEE ROAD			3. Date of Earliest Transaction (Month/Day/Year) 06/04/2010			X DirectorX 10% Owner X Officer (give title Other (specify below) below) President/CEO				
			4. If Amendment, Date Original Filed(Month/Day/Year)			Applicable Line) _X_ Form filed b	X_ Form filed by One Reporting Person Form filed by More than One Reporting			
(City) (S	State)	(Zip)	Tab	le I - Non-I	Derivativ	e Securities A	Acquired, Disposed	of, or Benef	icially Ow	ned
	insaction Date th/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	3. Transaction Code (Instr. 8) Code V	Dispose (Instr. 3	d (A) or d of (D) , 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownersh Form: Direc (D) or Indir (I) (Instr. 4)	t Indire	ct ïcial rship
Reminder: Report on	a separate line	for each cla	ass of sect	urities benef	Pers info requ	ons who re mation con ired to resp	or indirectly. spond to the collection tained in this for bond unless the for ently valid OMB co	m are not orm	SEC 14 (9-0	
					num		, , , , , , , , , , , , , , , , , , , ,			

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security	(	(Month/Day/Year)	(Instr. 8	or (D (In	equired Dispose ) astr. 3, 4 d 5)	ed of				
				Code V	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Warrant $(1)$	\$ 1	06/04/2010		J <u>(2)</u>	1	1,600		06/04/2010	06/04/2013	Common Stock (3)	11,600

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships							
	Director	10% Owner	Officer	Other				
STEIN HERBERT M 71 FAIRLEE ROAD WABAN, MA 02468	Х	Х	President/CEO					
Signatures								

Herbert M. Stein	06/08/2010
<u>**</u> Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Right to Buy
- (2) Security is a three-year warrant to purchase eleven thousand six hundred (11,600) shares of Apogee Common Stock with an exercise price of \$1.00 per share, issued to Mr. Stein as additional consideration for a \$116,000 Promissory Note dated June 4, 2010.
- (3) Common Stock, \$0.01 par value per share

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.