

MICROSOFT CORP
Form 4
February 05, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 4

OMB APPROVAL

o Check this box if no longer
subject to Section 16. Form 4 or
Form 5 obligations may continue.

See Instruction 1(b).

(Print or Type Responses)

STATEMENT OF
CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(h) of the Investment Company Act of 1940

OMB Number: 3235-0287
Expires: January 31, 2005
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per response 0.5

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol		6. Relationship of Reporting Person(s) to Issuer (Check all applicable)	
Gates III	William	H.	MICROSOFT CORPORATION (MSFT)		☒ Director ☒ 10% Owner	
(Last)	(First)	(Middle)			☒ Officer (give title below) ☐ Other (specify below)	
One Microsoft Way			3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)		Chairman of the Board; Chief Software Architect	
(Street)			4. Statement for Month/Day/Year			
			2/3/2003			
			5. If Amendment, Date of Original (Month/Day/Year)		7. Individual or Joint/Group Filing (Check Applicable Line)	
					☒ Form filed by One Reporting Person	
					☐ Form filed by More than One Reporting Person	
Redmond	WA	98052-6399				
(City)	(State)	(Zip)				

Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or Price (D)		
Common Stock	2/3/2003		S		10,000	D	48.28
Common Stock	2/3/2003		S		11,100	D	48.30
Common Stock	2/3/2003		S		3,900	D	48.31
Common Stock	2/3/2003		S		27,400	D	48.55
Common Stock	2/3/2003		S		154,709	D	48.56
Common Stock	2/3/2003		S		37,683	D	48.57
Common Stock	2/3/2003		S		9,000	D	48.58

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Common Stock	2/3/2003	S	167	D	48.59
Common Stock	2/3/2003	S	46,046	D	48.60
Common Stock	2/3/2003	S	20,300	D	48.61
Common Stock	2/3/2003	S	12,600	D	48.62
Common Stock	2/3/2003	S	94,611	D	48.63
Common Stock	2/3/2003	S	14,904	D	48.64
Common Stock	2/3/2003	S	98,538	D	48.65
Common Stock	2/3/2003	S	17,400	D	48.66
Common Stock	2/3/2003	S	39,359	D	48.67
Common Stock	2/3/2003	S	82,791	D	48.70
Common Stock	2/3/2003	S	10,020	D	48.71
Common Stock	2/3/2003	S	13,900	D	48.72
Common Stock	2/3/2003	S	75	D	48.74
Common Stock	2/3/2003	S	38,350	D	48.75
Common Stock	2/3/2003	S	2,500	D	48.79
Common Stock	2/3/2003	S	52,847	D	48.80
Common Stock	2/3/2003	S	16,800	D	48.82
Common Stock	2/3/2003	S	10,900	D	48.85
Common Stock	2/3/2003	S	25,373	D	48.87
Common Stock	2/3/2003	S	3,324	D	48.88
Common Stock	2/3/2003	S	5,900	D	48.90
Common Stock	2/3/2003	S	20,000	D	48.92
Common Stock	2/3/2003	S	15,000	D	48.93
Common Stock	2/3/2003	S	24,700	D	48.94
Common Stock	2/3/2003	S	17,594	D	48.95
Common Stock	2/3/2003	S	4,509	D	48.98

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Common Stock	S	17,700	D	49.00			
Common Stock	S	3,700	D	49.01			
Common Stock	S	21,300	D	49.02			
Common Stock	S	700	D	49.03			
Common Stock	S	7,100	D	49.04			
Common Stock	S	7,200	D	49.05	610,749,300	D	
Common Stock					368	I	Through an entity owned by the reporting person
Common Stock					214,260*	I	Held by spouse

* The reporting officer disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of the securities for purposes of Section 16 or for any other purpose.

Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

[illegible]

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7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Securities: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
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Title	Amount or Number of Shares
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Explanation of Responses:

/s/ Michael Larson

2/4/03

**Signature of Reporting Person

Date

Attorney-in-fact.

Duly authorized under Special Power of Attorney appointing Michael Larson attorney-in-fact, dated March 14, 2001, by and on behalf of William H. Gates III, filed as Exhibit B to Cascade Investment's Amendment No. 1 to Schedule 13D with respect to Pan American Silver Corp. on March 19, 2001, SEC File No. 005-52919, and incorporated by reference herein.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

<http://www.sec.gov/divisions/corpfin/forms/form4.htm>

Last update: 09/05/2002