

Edgar Filing: PNM RESOURCES - Form 4

PNM RESOURCES  
Form 4  
September 03, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549  
FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.  
Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person  
PRICE, ROBERT M  
4908 ROLLING GREEN PARKWAY  
EDINA, MN 55436  
USA
2. Issuer Name and Ticker or Trading Symbol  
PNM RESOURCES, INC.  
PNM
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year  
02/26/02
5. If Amendment, Date of Original (Month/Year)  
08/22/02
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
(X) Director ( ) 10% Owner ( ) Officer (give title below) ( ) Other  
(specify below)  
DIRECTOR
7. Individual or Joint/Group Filing (Check Applicable Line)  
(X) Form filed by One Reporting Person  
( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D) |     |         | 5. Amount of Securities Beneficially Owned at End of Month |
|----------------------|---------------------|---------|---|-----|---------|--|
|                      |                     |         | Amount  | A/D | Price   |  |
| COMMON STOCK         | 02/26/02            | M       | 400   | A   | \$5.50  |  |
| COMMON STOCK         | 02/26/02            | S       | 400   | D   | \$26.15 |  |
| COMMON STOCK         | 02/26/02            | M       | 600   | A   | \$5.50  |  |
| COMMON STOCK         | 02/26/02            | S       | 600   | D   | \$26.03 |  |
| COMMON STOCK         | 02/26/02            | M       | 2,000   | A   | \$6.63  |  |
| COMMON STOCK         | 02/26/02            | S       | 2,000   | D   | \$26.03 |  |
| COMMON STOCK         | 02/26/02            | M       | 2,000   | A   | \$6.19  |  |

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|              |          |       |   |         |       |
|--------------|----------|-------|---|---------|-------|
| COMMON STOCK | 02/26/18 | 2,000 | D | \$26.03 | 3,000 |
|              | 02       |       |   |         |       |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Transaction Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Price or Value of Underlying Securities |
|---------------------------------|--|---------------------|---------------------|--|--|--|--|
| OPTIONS                         | \$5.50   | 02/26/18            | M                   | 400  | D 04/29/11/07/97   | COMMON STOCK                                 | 400  |
| OPTIONS                         | \$5.50   | 02/26/18            | M                   | 600  | D 04/29/11/07/97   | COMMON STOCK                                 | 600  |
| OPTIONS                         | \$6.63   | 02/26/18            | M                   | 2,000  | D 04/28/11/05/98   | COMMON STOCK                                 | 2,000                                      |
| OPTIONS                         | \$6.19   | 02/26/18            | M                   | 2,000  | D 07/31/12/14/08   | COMMON STOCK                                 | 2,000                                      |

Explanation of Responses:

THIS FORM WAS PREVIOUSLY FILED WITHIN THE APPROPRIATE DEADLINE UNDER THE CIK CODE FOR PUBLIC SERVICE COMPANY OF NEW MEXICO. THE PURPOSE OF THIS FILING IS TO REFLECT THE NEW CIK CODE FOR PNM RESOURCES, INC.