WILLIS CHARLES F IV

Form 4

December 29, 2017

Check this box

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Ι

1,760

584

if no longer subject to Section 16. Form 4 or

1. Name and Address of Reporting Person *

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

2. Issuer Name and Ticker or Trading

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Common

Common

Stock

Stock

(Print or Type Responses)

WILLIS CHARLES F IV	Sym WI [wl	LLIS LEASE FINANCE C	Issuer ORP	(Check all applicable)	
(Last) (First) 773 SAN MARIN DRIVE	(Mo	ate of Earliest Transaction nth/Day/Year) 29/2017	X Direct X Office below)	torX 10% Owner er (give title Other (specify below) CEO	
2215					
(Street)		Amendment, Date Original	6. Individua	al or Joint/Group Filing(Check	
NOVATO, CA 94998	Filed	d(Month/Day/Year)		ine) ed by One Reporting Person ed by More than One Reporting	
(City) (State)	(Zip)	Table I - Non-Derivative Secur	ities Acquired, Dispo	osed of, or Beneficially Owned	
1.Title of Security (Month/Day/Yea (Instr. 3)		Code Disposed of (D) ar) (Instr. 8) (Instr. 3, 4 and 5 (A) or	Following Reported Transaction(s) (Instr. 3 and 4)	6. 7. Nature of Ownership Form: Ownership Direct (D) or Indirect (I) (Instr. 4)	ial
Common Stock 12/29/2017	12/29/2017	6.338	Price \$0 0	I Son (2)	
Common Stock			619,689	D	
Common Stock			2,134,148	I CFW Partner	S

Spouse (3)

(4)

Granddaughter

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	ionNumber	Expiration D	ate	Amou	int of	Derivative	
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Secur	ities	(Instr. 5)	1
	Derivative				Securities	3		(Instr.	3 and 4)		
	Security				Acquired						1
	·				(A) or						1
					Disposed						
					of (D)						,
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable Date	•	Title Numb	Number		
						LACICISABIC	Dute		of		
				Code V	$^{\prime}$ (A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
•	Director	10% Owner	Officer	Other		
WILLIS CHARLES F IV						
773 SAN MARIN DRIVE	X	X	CEO			
SUITE 2215	Λ	Λ	CEO			
NOVATO, CA 94998						

Signatures

Reporting Person

Charles F.
Willis, IV

**Signature of Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Shares transferred to Austin Willis, as Trustee of the Charles F. Willis V, 2016 Trust (the "CWV Trust"). Shares are no longer held in

(1) Charles F. Willis V UTMA Trust. Mr. Willis does not retain any investment, voting or disposing control over the shares transferred to the CWV Trust.

- (2) Charles F. Willis V UTMA Trust
- (3) Charlotte Montresor Willis
- (4) Wylder Grace Willis 2016 Trust

Reporting Owners 2

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.