Edgar Filing: WILLIS CHARLES F IV - Form 4

WILLIS CH Form 4	HARLES F IV													
December 2	29, 2017													
FORM	14				I.G.						O	MB API	PROVA	۹L
UNITED STATE			S SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Numb	er:	3235	-0287
Check t if no lou subject Section Form 4 Form 5 obligati may con <i>See</i> Inst 1(b).	NGES SEC 16(a) o Jtility I	GES IN BENEFICIAL OWNERSHIP OF SECURITIES 6(a) of the Securities Exchange Act of 1934, ility Holding Company Act of 1935 or Section vestment Company Act of 1940							Expires:January 31, 2005Estimated average burden hours per response0.5					
(Print or Type	Responses)													
	Address of Reporting HARLES F IV	Person <u>*</u>	Symbol			d Ticker o E FINAN		-	5. Relationsh Issuer		Reportin		n(s) to	
			[wlfc]						(CHECK	an app	licable)		
(Month				Date of Earliest Transaction fonth/Day/Year) //29/2017				_X_ Director _X_ 10% Owner _X_ Officer (give titleOther (specify below) below)						
2215	· · · _ , -		12/2/12	2017							CEO			
				f Amendment, Date Original ed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
									Person				-	
(City)	(State)	(Zip)	Tab	ole I - N	on-	Derivativ	e Secu	irities A	Acquired, Dispos	sed of,	or Ben	eficially	Owne	d
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)			() ((A) of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Form Direc	et (D) direct	7. Nat Indirec Owner (Instr.	et Bene ship	ficial
Common Stock	12/29/2017	12/29/20	17	J		6,338 (1)	D	\$0	0	Ι		Son <u>(</u>	2)	
Common Stock									619,689	D				
Common Stock									2,134,148	Ι		CFW	Partn	ers
Common Stock									1,760	Ι		Spou	se <u>(3)</u>	
Common Stock									584	I		Gran	ddaug	hter

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	ionNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	'Year)	Under	lying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities	3		(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
						Date	Expiration		or		
						Exercisable Date					
									of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
WILLIS CHARLES F IV 773 SAN MARIN DRIVE SUITE 2215 NOVATO, CA 94998	Х	Х	CEO					
O!								

Signatures

Charles F.	12/20/2017
Willis, IV	12/29/2017

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Shares transferred to Austin Willis, as Trustee of the Charles F. Willis V, 2016 Trust (the "CWV Trust"). Shares are no longer held in
 (1) Charles F. Willis V UTMA Trust. Mr. Willis does not retain any investment, voting or disposing control over the shares transferred to the CWV Trust.

- (2) Charles F. Willis V UTMA Trust
- (3) Charlotte Montresor Willis
- (4) Wylder Grace Willis 2016 Trust

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.