

MANDRACCHIA STEPHEN P  
Form 4  
November 20, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
MANDRACCHIA STEPHEN P

2. Issuer Name and Ticker or Trading Symbol  
HUDSON TECHNOLOGIES INC /NY [HDSN]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
2 HERITAGE COURT  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/17/2017

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
VP Legal & Regulatory

WARWICK, NY 10990

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/11/2017		G	V 5,000	D \$ 0	1,552,073 <sup>(1)</sup>	I Held by Spouse and Children
Common Stock	11/17/2017		M	125,000	A \$ 0.85	1,552,073 <sup>(2)</sup>	I Held by Spouse and Children
Common Stock	11/17/2017		F	48,592 <sup>(3)</sup>	D \$ 5.76 <sup>(4)</sup>	1,502,481 <sup>(2)</sup>	I Held by Spouse and Children

## Edgar Filing: MANDRACCHIA STEPHEN P - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
*(e.g., puts, calls, warrants, options, convertible securities)*

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount Number of Shares
Stock Option	\$ 0.85	11/17/2017		M	125,000	11/20/2007 11/20/2017	Common Stock	125,000	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MANDRACCHIA STEPHEN P 2 HERITAGE COURT WARWICK, NY 10990			VP Legal & Regulatory	

## Signatures

Stephen P.  
Mandraccia 11/20/2017

Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 333,000 shares which may be purchased pursuant to stock options. Also includes 766,273 shares held by Spouse and 9,800 shares held by Reporting Person's children
- (2) Includes 208,000 which may be purchased pursuant to stock options. Also includes 841,681 shares held by Reporting Person's Spouse and 9,800 shares held by Reporting Person's children.
- (3) No shares sold on the Open Market. Pursuant to provisions of the Company's Stock Incentive Plan, shares from the option exercise were applied by the Company to cover the exercise price and the taxes due upon the exercise of the options.
- (4) Closing Stock Price on November 17, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.