

Stewart John H.
Form 3
February 12, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Stewart John H.		(Month/Day/Year)	COLUMBUS MCKINNON CORP [CMCO]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
205 CROSSPOINT PARKWAY			(Check all applicable)	
(Street)			☐ Director ☐ 10% Owner ☒ Officer ☐ Other (give title below) (specify below) VP-Engineered Products	
GETZVILLE, NY 14068			6. Individual or Joint/Group Filing(Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person	
(City)	(State)	(Zip)		

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	5,554 ⁽¹⁾	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable Expiration Date	Title Amount or Number of			

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				Shares		(I) (Instr. 5)	
Non-Qualified Stock Options (Right to Buy)	05/19/2009	05/19/2018	Common Stock	414 ⁽²⁾	\$ 28.45	D	Â
Non-Qualified Stock Options (Right to Buy)	05/18/2010	05/18/2019	Common Stock	1,258 ⁽²⁾	\$ 13.27	D	Â
Non-Qualified Stock Options (Right to Buy)	05/17/2011	05/17/2020	Common Stock	878 ⁽²⁾	\$ 18.24	D	Â
Non-Qualified Stock Options (Right to Buy)	05/23/2012	05/23/2021	Common Stock	838 ⁽²⁾	\$ 19.5	D	Â
Non-Qualified Stock Options (Right to Buy)	05/21/2013	05/21/2022	Common Stock	1,257 ⁽²⁾	\$ 13.43	D	Â
Non-Qualified Stock Options (Right to Buy)	05/20/2014	05/20/2023	Common Stock	1,058 ⁽²⁾	\$ 18.95	D	Â
Non-Qualified Stock Option (Right to Buy)	05/19/2015	05/19/2024	Common Stock	895 ⁽³⁾	\$ 27.12	D	Â
Non-Qualified Stock Options (Right to Buy)	05/18/2016	05/18/2025	Common Stock	1,119 ⁽⁴⁾	\$ 24.94	D	Â
Non-Qualified Stock Options (Right to Buy)	05/23/2017	05/23/2026	Common Stock	2,070 ⁽⁵⁾	\$ 15.16	D	Â
Non-Qualified Stock Options (Right to Buy)	05/22/2018	05/22/2027	Common Stock	1,369 ⁽⁶⁾	\$ 24.33	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Stewart John H. 205 CROSSPOINT PARKWAY GETZVILLE, NY 14068	Â	Â	Â VP-Engineered Products	Â

Signatures

John H. Stewart 02/12/2018

 Date

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 1,196 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 89 shares become fully vested and non-forfeitable on 5/19/2018; 195 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/18/2018;

(1) 497 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/23/2018 and the remaining 415 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/22/2018, if reporting person remains an employee of issuer.

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- (2) All exercisable, subject to IRS limitations.
- (3) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
- (4) Exercisable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.
- (5) Exercisable 25% per year for four years beginning 5/23/2017, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/22/2018, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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