

TEVENS TIMOTHY T  
Form 4  
May 22, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
TEVENS TIMOTHY T

2. Issuer Name and Ticker or Trading Symbol  
COLUMBUS MCKINNON CORP  
[CMCO]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)  
 Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President & CEO

(Last) (First) (Middle)  
140 JOHN JAMES AUDUBON  
PARKWAY  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/18/2013

AMHERST, NY 14228-1197

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock					183,287	D	
Common Stock	05/18/2013		F	2,174 <sup>(1)</sup>	\$ 18.95	D	
Common Stock	05/19/2013		F	566 <sup>(2)</sup>	\$ 18.95	D	
Common Stock	05/20/2013		A	21,183 <sup>(3)</sup>	\$ 0	D	
Common Stock	05/20/2013		A	27,911 <sup>(4)</sup>	\$ 0	D	
					229,641 <sup>(5)</sup>	D	

Edgar Filing: TEVENS TIMOTHY T - Form 4

Common Stock	6,038.6504 (6)	D	
Common Stock	7,000	I	By spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.** SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Am or Num of S	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Incentive Stock Options (Right to Buy)	\$ 5.46					05/17/2005	05/16/2014	Common Stock	95
Incentive Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	8,
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010	05/17/2019	Common Stock	45
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	33
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2011	05/22/2021	Common Stock	31
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2012	05/20/2022	Common Stock	53
Non-Qualified Stock Options (Right to Buy)	\$ 18.95	05/20/2013		A	44,689	(13)	05/20/2023	Common Stock	44

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TEVENS TIMOTHY T 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228-1197	X		President & CEO	

## Signatures

Mary C. O'Connor, Power of Attorney for Timothy T.  
Tevens

05/22/2013

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligations upon vesting of 6,028 restricted stock units on 5/18/2013.
- (2) Represents shares withheld to satisfy tax withholding obligation upon vesting of 1,543 restricted stock units on 5/19/2013.
- (3) Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part; units become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (4) Represents performance shares issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated July 26, 2010; shares become fully vested and non-forfeited on 5/21/2015, if reporting person remains an employee of issuer.
- (5) Includes 95,344 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 6,868 shares become fully vested and non-forfeitable on 5/17/2014; 12,673 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/23/2013; 26,709 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/21/2013, 21,183 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014, and the remaining 27,911 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.
- (6) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (7) Originally a 125,000 incentive stock option grant, reporting person exercised 30,000 options on 5/27/2009. The remaining 95,000 options are fully exercisable, subject to IRS limitations.
- (8) All exercisable, pursuant to IRS limitations.
- (9) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (10) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (11) Exercisable 25% per year for four years beginning 5/23/2011, if reporting person remains an employee of issuer.
- (12) Exercisable 25% per year for four years, beginning 5/21/09, if reporting person remains an employee of issuer.
- (13) Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation Long Term Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part, options become exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an officer of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.