

Edgar Filing: Pioneer Floating Rate Trust - Form NSAR-B

Pioneer Floating Rate Trust  
Form NSAR-B  
January 29, 2018

PAGE 1  
000 B000000 11/30/2017  
000 C000000 0001305767  
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000 I000000 6.1  
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001 A000000 PIONEER FLOATING RATE TRUST  
001 B000000 811-21654  
001 C000000 6177427825  
002 A000000 60 STATE STREET  
002 B000000 BOSTON  
002 C000000 MA  
002 D010000 02109  
003 000000 N  
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PAGE 2  
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PAGE 3

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008 A000001 AMUNDI PIONEER ASSET MANAGEMENT, INC.  
008 B000001 A  
008 C000001 801-8255  
008 D010001 BOSTON  
008 D020001 MA  
008 D030001 02109

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010 A000001 AMUNDI PIONEER ASSET MANAGEMENT, INC.  
010 B000001 801-8255  
010 C010001 BOSTON  
010 C020001 MA  
010 C030001 02109  
010 A000002 STATE STREET BANK & TRUST COMPANY  
010 C010002 BOSTON  
010 C020002 MA  
010 C030002 02101  
010 A000003 BROWN BROTHERS HARRIMAN & CO  
010 B000003 812-13314  
010 C010003 BOSTON  
010 C020003 MA  
010 C030003 02110  
011 A000001 MERRILL LYNCH & CO.  
011 B000001 8-7221  
011 C010001 NEW YORK  
011 C020001 NY  
011 C030001 10281  
011 C040001 1201  
011 A000002 UBS INVESTMENT BANK  
011 B000002 8-022651  
011 C010002 STAMFORD  
011 C020002 CT  
011 C030002 06901  
011 A000003 RBC CAPITAL MARKETS CORP.  
011 B000003 8-045411  
011 C010003 MINNEAPOLIS  
011 C020003 MN  
011 C030003 55402  
011 C040003 4422  
011 A000004 ADVEST, INC.  
011 B000004 8-21409  
011 C010004 HARTFORD  
011 C020004 CT  
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011 C030004 06103  
011 A000005 JANNEY MONTGOMERY SCOTT, LLC  
011 B000005 8-462  
011 C010005 PHILADELPHIA  
011 C020005 PA  
011 C030005 19103  
011 C040005 1675  
011 A000006 A.G. EDWARDS  
011 B000006 8-13580  
011 C010006 ST. LOUIS  
011 C020006 MO  
011 C030006 63103  
011 A000007 STIFEL, NICOLAUS & CO., INC.  
011 B000007 8-001447  
011 C010007 ST. LOUIS  
011 C020007 MO  
011 C030007 63102  
011 A000008 ROBERT W. BAIRD & CO.  
011 B000008 8-00497  
011 C010008 MILWAUKEE  
011 C020008 WI  
011 C030008 53201  
011 A000009 FERRIS, BAKER WATTS, INC.  
011 B000009 8-16427  
011 C010009 BALTIMORE  
011 C020009 MD

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011 C030009 21202  
011 A000010 J.J.B. HILLIARD, W.L. LYONS, INC.  
011 B000010 8-03868  
011 C010010 LOUISVILLE  
011 C020010 KY  
011 C030010 40202  
011 A000011 KEYBANC CAPITAL MARKETS  
011 B000011 8-0000  
011 C010011 CLEVELAND  
011 C020011 OH  
011 C030011 44114  
011 A000012 MORGAN KEEGAN & CO., INC.  
011 B000012 8-15001  
011 C010012 MEMPHIS  
011 C020012 TN  
011 C030012 38103  
011 A000013 OPPENHEIMER & CO.  
011 B000013 8-04077  
011 C010013 NEW YORK  
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011 B000014 8-12296  
011 C010014 FLORHAM PARK  
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011 A000015 SUNTRUST ROBINSON HUMPHREY  
011 B000015 8-17212  
011 C010015 ATLANTA  
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011 A000016 WEDBUSH MORGAN SECURITIES, LLC.  
011 B000016 8-12987  
011 C010016 LOS ANGELES  
011 C020016 CA  
011 C030016 90017  
011 A000017 WELLS FARGO SECURITIES, LLC  
011 B000017 8-22947  
011 C010017 SAN FRANCISCO  
011 C020017 CA  
011 C030017 94101  
012 A000001 AMERICAN STOCK TRANSFER & TRUST CO. (COMMON)  
012 B000001 85-0000  
012 C010001 BROOKLYN  
012 C020001 NY  
012 C030001 11219  
012 A000002 DEUTSCHE BANK TRUST COMPANY AMERICAS (PREF.)  
012 B000002 85-0000  
012 C010002 NEW YORK  
012 C020002 NY  
012 C030002 10005  
013 A000001 ERNST & YOUNG LLP  
013 B010001 BOSTON  
013 B020001 MA  
013 B030001 02116  
013 B040001 5072  
015 A000001 BROWN BROTHERS HARRIMAN & CO.  
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015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02110

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015 B000002 S  
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015 D010002 ARGENTINA  
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015 A000003 HSBC BANK AUSTRALIA LIMITED  
015 B000003 S  
015 C010003 SYDNEY  
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015 E040003 X  
015 A000004 UNICREDIT BANK AUSTRIA AG  
015 B000004 S  
015 C010004 VIENNA  
015 D010004 AUSTRIA  
015 E040004 X  
015 A000005 STANDARD CHARTERED BANK, BANGLADESH BRANCH  
015 B000005 S  
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015 D010005 BANGLADESH  
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015 A000006 BNP PARIBAS SECURITIES SERVICES  
015 B000006 S  
015 C010006 PANTIN  
015 D010006 BELGIUM  
015 E040006 X  
015 A000007 CITIBANK, N.A., SAO PAULO  
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015 D010007 BRAZIL  
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015 A000009 RBC INVESTOR SERVICES TRUST  
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015 C010009 TORONTO  
015 D010009 CANADA  
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015 C010010 SANTIAGO  
015 D010010 CHILE  
015 E040010 X  
015 A000011 STANDARD CHARTERED BANK (CHINA) LIMITED  
015 B000011 S  
015 C010011 SHANGHAI  
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015 E040011 X  
015 A000012 CITITRUST COLOMBIA S.A., SOCIEDAD FIDUCIARA  
015 B000012 S  
015 C010012 BOGOTA  
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015 A000013 UNICREDIT BANK AUSTRIA AG  
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015 E040013 X

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015 A000014 CITIBANK EUROPE PLC, ORGANIZACNI SLOZKA  
015 B000014 S  
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015 D010014 CZECH REPUBLIC  
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015 A000015 STANDARD CHARTERED BANK GHANA LTD.  
015 B000015 S  
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015 A000016 SKANDINAVISKA ENSKILDA BANKEN AB(PUBL)  
015 B000016 S  
015 C010016 COPENHAGEN  
015 D010016 DENMARK  
015 E040016 X  
015 A000017 CITIBANK, N.A., CAIRO BRANCH  
015 B000017 S  
015 C010017 CAIRO  
015 D010017 EGYPT  
015 E040017 X  
015 A000018 NORDEA BANK AB (PUBL), FINNISH BRANCH  
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015 C010018 HELSINKI  
015 D010018 FINLAND  
015 E040018 X  
015 A000019 BNP PARIBAS SECURITIES SERVICES  
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015 E040019 X  
015 A000020 BNP PARIBAS SECURITIES SERVICES,FRANKFURT BR  
015 B000020 S  
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015 A000021 HSBC FRANCE, ATHENS BRANCH  
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015 E040021 X  
015 A000022 STANDARD CHARTERED BANK (HONG KONG) LIMITED  
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015 E040022 X  
015 A000023 CITIBANK EUROPE PLC, HUNGARIAN BRANCH OFFICE  
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015 C010023 BUDAPEST  
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015 E040023 X  
015 A000024 CITIBANK, N.A., MUMBAI BRANCH  
015 B000024 S  
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015 D010024 INDIA  
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015 A000025 CITIBANK, N.A., JAKARTA BRANCH  
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015 B000025 S  
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015 A000026 CITIBANK, N.A., LONDON BRANCH  
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015 C010026 LONDON  
015 D010026 IRELAND  
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015 A000027 BANK HAPOALIM BM  
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015 A000028 BNP PARIBAS SECURITIES SERVICES, MILAN BRANCH  
015 B000028 S  
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015 E040028 X  
015 A000029 SUMITOMO MITSU BANKING CORPORATION  
015 B000029 S  
015 C010029 TOKYO  
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015 E040029 X  
015 A000030 HSBC BANK MIDDLE EAST LIMITED, KUWAIT BRANCH  
015 B000030 S  
015 C010030 KUWAIT CITY  
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015 E040030 X  
015 A000031 KBL EUROPEAN PRIVATE BANKERS SA  
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015 E040031 X  
015 A000032 HSBC BANK MALAYSIA BERHAD  
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015 C010032 KUALA LUMPUR  
015 D010032 MALAYSIA  
015 E040032 X  
015 A000033 STANBIC IBTC BANK PLC  
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015 C010033 LAGOS  
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015 A000035 CITIBANK MAGHREB  
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015 A000038 NORDEA BANK AB (PUBL), FILIAL I NORGE

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015 C010038 OSLO  
015 D010038 NORWAY  
015 E040038 X  
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015 D010039 QATAR  
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015 A000040 CITIBANK DEL PERU S.A.  
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015 D010040 PERU  
015 E040040 X  
015 A000041 HSBC LTD., PHILIPPINE BRANCH  
015 B000041 S  
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015 E040041 X  
015 A000042 BANK HANDLOWY W WARSZAWIE SA  
015 B000042 S  
015 C010042 WARSAW  
015 D010042 POLAND  
015 E040042 X  
015 A000043 BNP PARIBAS SECURITIES SERVICES  
015 B000043 S  
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015 A000044 CITIBANK EUROPE PLC, DUBLIN-SUCURSALA ROMANIA  
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PAGE 10  
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015 A000046 STANDARD CHARTERED BANK, SINGAPORE BRANCH  
015 B000046 S  
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015 A000047 CITIBANK EUROPE PLC POBOCKA ZAHRANICNEJ BAN  
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015 C010047 BRATISLAVA  
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015 E040047 X  
015 A000048 STANDARD CHARTERED BANK JOHANNESBURG BRANCH  
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015 A000049 STANDARD CHARTERED BANK KEYNA LIMITED  
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015 C010049 NAIROBI  
015 D010049 KENYA  
015 E040049 X  
015 A000050 NORDEA BANK AB (PUBL), FINNISH BRANCH  
015 B000050 S



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015 C010050 HELSINKI  
015 D010050 ESTONIA  
015 E040050 X  
015 A000051 BANCO BILBAO VIZCAYA AREGENTARIA SA  
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015 C010052 COLOMBO  
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015 E040052 X  
015 A000053 SKANDINAVISKA ENSKILDA BANKEN AB (PUBL)  
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015 C010053 SOLINA  
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015 E040053 X  
015 A000054 CREDIT SUISSE (SWITZERLAND) LTD.  
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015 C010054 ZURICH  
015 D010054 SWITZERLAND  
015 E040054 X  
015 A000055 BANK OF TAIWAN  
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PAGE 11  
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015 A000056 HSBC LTD., THAILAND BRANCH  
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015 C010056 BANGKOK  
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015 A000057 CITIBANK ANONIM SIRKETI  
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015 C010057 ISTANBUL  
015 D010057 TURKEY  
015 E040057 X  
015 A000058 HSBC BANK MIDDLE EAST LIMITED  
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015 A000060 EUROCLEAR BANK SA NV  
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015 C010060 BRUSSELS  
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015 A000061 HSBC BANK (VIETNAM) LTD  
015 B000061 S  
015 C010061 HO CHI MINH CITY  
015 D010061 VIETNAM  
015 E040061 X  
015 A000062 CITIBANK KOREA INC  
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015 D010062 SOUTH KOREA  
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 022 A000003 J.P. MORGAN SECURITIES LLC  
 022 B000003 13-3299429  
 022 C000003 215293  
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 022 A000004 BARCLAYS CAPITAL INC.  
 022 B000004 06-1031656  
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 022 B000006 13-5674085  
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 022 A000008 WELLS FARGO SECURITIES, LLC  
 022 B000008 56-2326000  
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 022 A000009 DEUTSCHE BANK SECURITIES  
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022 C000009 47020  
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022 B000010 41-1416330  
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PAGE 13

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PAGE 14

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062	O000000		0.0
062	P000000		6.8
062	Q000000		135.0
062	R000000		1.9
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064	A000000	N	
064	B000000	Y	
065	000000	N	
066	A000000	N	
067	000000	N	
068	A000000	N	
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068	B000000	Y	
069	000000	N	
070	A010000	Y	
070	A020000	Y	
070	B010000	Y	
070	B020000	N	
070	C010000	Y	
070	C020000	N	
070	D010000	Y	
070	D020000	N	
070	E010000	Y	
070	E020000	N	
070	F010000	Y	
070	F020000	N	
070	G010000	Y	
070	G020000	N	
070	H010000	Y	
070	H020000	N	
070	I010000	N	
070	I020000	N	
070	J010000	Y	
070	J020000	Y	

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070	K010000	Y	
070	K020000	Y	
070	L010000	Y	
070	L020000	Y	
070	M010000	Y	
070	M020000	Y	
070	N010000	Y	
070	N020000	N	
070	O010000	Y	
070	O020000	Y	
070	P010000	Y	
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070	Q010000	N	
070	Q020000	N	
070	R010000	N	
070	R020000	N	
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072	C000000		246
072	D000000		0
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072	G000000		194
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072	T000000		0
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072	V000000		27
072	W000000		247
072	X000000		6844
072	Y000000		0
072	Z000000		17447
072AA	000000		0
072BB	000000		712
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072CC	020000		839
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072DD	020000		0
072EE	000000		0
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074	A000000		963
074	B000000		2845
074	C000000		5446
074	D000000		447867

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074	E000000	0
074	F000000	659
074	G000000	0
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074	I000000	5188
074	J000000	1595
074	K000000	0
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074	M000000	369
074	N000000	466921
074	O000000	14044
074	P000000	44
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074	R020000	0
074	R030000	0
074	R040000	145638
074	S000000	0
074	T000000	307195
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074	U020000	0
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074	V020000	0.00
074	W000000	0.0000
074	X000000	4039
074	Y000000	201
075	A000000	0
075	B000000	310055
076	000000	11.47
077	A000000	Y
077	B000000	Y
077	K000000	Y
077	Q010000	Y
078	000000	N
080	A000000	ICI MUTUAL INSURANCE COMPANY
080	C000000	38000
081	A000000	Y
081	B000000	44
082	A000000	Y
082	B000000	100
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	0
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086	B020000	0
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086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	72369J102
087	A030000	PHD

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087 B010000 PREFERRED STOCK M7  
087 B020000 72369J201  
087 C010000 PREFERRED STOCK W7  
087 C020000 72369J300  
088 A000000 N  
088 B000000 N  
088 C000000 Y  
088 D000000 N

PAGE 19

SIGNATURE MARK BRADLEY  
TITLE TREASURER