

Edgar Filing: THERMOGENESIS CORP - Form 3

THERMOGENESIS CORP  
 Form 3  
 January 24, 2002  
 FORM 3

U.S. SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person /			2. Date of Event Requiring /			4. Issuer Name and Ti /
CAPE, EDWARD G. /			12/31/01 /			T
(Last) (First) (Middle) /			3. IRS or Social Security /			5. Relationship of Re /
c/o THERMOGENESIS CORP. /			Number of Reporting Person /			to Issuer
3146 Gold Camp Drive /			(Voluntary) /			(Check all applica
----- /			/			X Director --- 1
(Street) /			/			X Officer --- O
/			/			(give title(Specif
/			/			below)
/			/			EXECUTIVE VICE-PRESI
/			/			CORPORATE STRAT
/			/			
Rancho Cordova, California 95670 /			/			
(City) (State) (Zip) /			/			
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Table 1 - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4) /			2. Amount of Securities /			3. Ownership Form: D /
/			Beneficially Owned /			Indirect (I) (Inst
/			(Instr. 4) /			
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. (Over)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price Derivative Security	5. Ownership Derivative Direct (D) Indirect (I) (Instr. 5)	
		Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Explanation of Responses:

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/ EDWARD G. CAPALDI  
 \_\_\_\_\_  
 \*\*Signature of

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, See Instruction 6 for procedure.

EDWARD G. CAPALDI