

NOMURA HOLDINGS INC
Form 6-K
November 13, 2014
Table of Contents

FORM 6-K
U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

**Pursuant to Rule 13a-16 or 15d-16 of
the Securities Exchange Act of 1934**

Commission File Number: 1-15270

For the month of November 2014

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F X Form 40-F _____

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): _____

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): _____

Table of Contents

Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from October 1, 2014 to October 31, 2014

Table of Contents

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: November 13, 2014

By: /s/ Hajime Ikeda
Hajime Ikeda
Managing Director

Table of Contents

[Translation of Share Buyback Report for the reporting month from October 1, 2014 to October 31, 2014 filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on November 13, 2014]

Class of Shares: Common Stock**1. Status of repurchase**

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

	as of October 31, 2014	
	Number of Shares	Total Repurchase Amount (JPY)
Authorization pursuant to the resolution of the Board (October 28, 2014) (Period of repurchase: from November 13, 2014 to January 16, 2015)	40,000,000	28,000,000,000
Repurchases during this reporting month (Date of repurchase)	(Date)	
Total	0	0
Aggregate shares repurchased as of the end of this reporting month	0	0
Progress of share repurchase (%)	0.0	0.0

2. Status of disposition

	as of October 31, 2014	
	Number of shares disposed in the reporting month	Aggregate amount of disposition (JPY)
Treasury shares disposed subject to procedures for issuance of new shares	(Date)	
Subtotal		
Treasury shares canceled	(Date)	

Subtotal

Treasury shares transferred upon merger, share exchange or demerger

(Date)

Subtotal

Other (upon request for purchasing less-than-a-full-unit shares)

(Date)

Oct 8	47	30,286
Oct 9	24	15,151

Subtotal

71 45,437

Other (exercise of stock acquisition rights)

(Date)

Oct 1	7,400	7,400
Oct 3	20,000	20,000
Oct 6	4,000	4,000
Oct 8	5,000	5,000
Oct 9	3,000	3,000
Oct 10	351,600	351,600
Oct 15	8,200	8,200
Oct 16	17,500	17,500
Oct 17	25,800	25,800
Oct 20	33,200	33,200
Oct 21	33,500	33,500
Oct 22	3,000	897,000
Oct 23	378,800	1,272,800
Oct 24	209,600	2,522,600
Oct 27	126,000	126,000
Oct 29	136,300	1,555,300
Oct 30	20,500	20,500
Oct 31	627,700	627,700

Subtotal

2,011,100 7,531,100

Total

2,011,171 7,576,537

3. Status of shares held in treasury

As of the end of the reporting month	As of October 31, 2014 Number of Shares
Total number of issued shares	3,822,562,601
Number of shares held in treasury	179,175,158