

BARNES GROUP INC
Form 4
November 23, 2016

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
STEPHENS CHRISTOPHER J

2. Issuer Name and Ticker or Trading Symbol
BARNES GROUP INC [B]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
BARNES GROUP INC., 123 MAIN STREET

3. Date of Earliest Transaction (Month/Day/Year)
11/22/2016

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

SVP, Finance and CFO

(Street)
BRISTOL, CT 06010

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	11/22/2016		M	10,000 A \$ 20.69	91,582.5503 (1)	D	
Common Stock	11/22/2016		S	10,000 D \$ 46.2198	81,582.5503 (1)	D	
Common Stock					5,283.5845	I	By Company's Employee Stock Purchase Plan
					1,885.839	I	

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- (1) Includes balances of 1,633 Restricted Stock Units granted 2/12/2014, 666 granted 2/12/2014, 3,799 granted 2/11/2015 and 7,000 granted 2/10/2016, that are subject to forfeiture if certain events occur.
- (2) The options vest 33.334% on the 18th month and 33.333% on the 30th and 42nd months from the 2/9/2011 grant date.
- (3) 1 for 1.

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