

Edgar Filing: ICON PLC /ADR/ - Form SC 13G

ICON PLC /ADR/  
Form SC 13G  
May 27, 2004

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934

ICON plc

-----  
(Name of Issuer)

American Depositary Shares, representing Ordinary Shares

-----  
(Title of Class of Securities)

45103T107

-----  
(CUSIP Number)

December 31, 2003

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(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

Rule 13d-1(b)

Rule 13d-1(c)

Rule 13d-1(d)

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Page 1 of 5 pages

SCHEDULE 13G

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CUSIP No.  
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1 NAME OF REPORTING PERSON: Dr. John Climax  
I.R.S. IDENTIFICATION NO. OF ABOVE PERSON (ENTITIES  
ONLY): n/a  
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2 CHECK THE APPROXIMATE BOX IF A MEMBER OF A GROUP (a) [ ]  
(b) [ ]  
-----

3 SEC USE ONLY  
-----

4 CITIZENSHIP OR PLACE OF ORGANIZATION:  
Republic of Ireland  
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|  |   |                          |  |
|--|---|--------------------------|--|
|  | 5 | SOLE VOTING POWER        |  |
| NUMBER OF<br>SHARES  |   | 1,566,852                |  |
|  | 6 | SHARED VOTING POWER      |  |
| BENEFICIALLY<br>OWNED BY<br>EACH<br>REPORTING<br>PERSON WITH |   | 0                        |  |
|  | 7 | SOLE DISPOSITIVE POWER   |  |
|  |   | 1,566,852                |  |
|  | 8 | SHARED DISPOSITIVE POWER |  |
|  |   | 0                        |  |

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9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON:

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1,566,852

-----  
10 CHECK BOX IF AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN [ ]  
SHARES  
-----

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9):  
11.5%  
-----

12 TYPE OF REPORTING PERSON:  
IN  
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Page 2 of 5 pages

Item 1.

(a) Name of Issuer:

The name of the issuer is ICON plc (the "Issuer").

(b) Address of Issuer's Principal Executive Offices:

The principal executive offices of the Issuer are located at South County Business Park, Leopardstown, Dublin 18, Ireland.

Item 2.

(a) Name of Person Filing:

This Schedule 13G Statement (this "Statement") is hereby filed by Dr. John Climax.

(b) Address of Principal Business Office, or, if none, Residence:

South County Business Park, Leopardstown,  
Dublin 18, Ireland

(c) Citizenship:

Republic of Ireland

(d) Title of Class of Securities:

American Depositary Shares, representing  
Ordinary Shares.

(e) CUSIP Number: 45103T107

Item 3. If this statement is filed pursuant to Rules 13d-1(b), or 13d-2(b) or (c), check whether the person filing is a:

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- (a)  Broker or dealer registered under Section 15 of the Act (15 U.S.C. 78o);
- (b)  Bank as defined in Section 3(a)(6) of the Act (15 U.S.C. 78c);
- (c)  Insurance company as defined in Section 3(a)(19) of the Act (15 U.S.C. 78c);
- (d)  Investment company registered under Section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
- (e)  An investment adviser in accordance with Section 240.13d-1(b)(1)(ii)(E);
- (f)  An employee benefit plan or endowment fund in accordance with Section 240.13d-1(b)(1)(ii)(F);

Page 3 of 5 pages

- (g)  A parent holding company or control person in accordance with Section 240.13d-1(b)(1)(ii)(G);
- (h)  A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i)  A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j)  Group, in accordance with Section 240.13d-1(b)(1)(ii)(J).

Item 4. Ownership.

- (a) Amount beneficially owned:  
1,566,852
- (b) Percent of class:  
11.5%
- (c) Voting and dispositive power:  
1,566,852

Item 5. Ownership of Five Percent or Less of a Class.

Not Applicable

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Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Not Applicable

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company.

Not applicable.

Item 8. Identification and Classification of Members of the Group.

Not applicable.

Item 9. Notice of Dissolution of Group.

Not applicable.

Item 10. Certification.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

Page 4 of 5 pages

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief I certify that the information set forth in this statement is true, complete and correct.

Dated: May 27, 2004

/s/ John Climax

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Name: Dr. John Climax  
Title: Chief Executive Officer

Page 5 of 5 pages